

Tennessee's Programing Study: First Year's Experience and Techniques for Updating

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● IN 1956 the Tennessee Department of Highways completed drawing up a 5-year program aimed at correcting the most serious deficiencies on the state highway system.¹ This program worked so well during the past year that the Department now has formulated the techniques and procedures for perpetuating the program and for always keeping it abreast of current demands and conditions in the future.

The criteria and methods used in determining deficiencies and priorities and in organizing the selected projects into the original 5-year program were described at the 36th Annual Meeting. The present paper is a sequel to that presentation. It reports the success that attended the first year's operation of the program; it attempts to explain why the operation has been so successful; and it goes on to describe how this experience has been utilized in devising a continuing process of record keeping, appraisal and programing by which the long-range development of the state highway system can be guided and achieved in an orderly and rational manner.

THE FIVE-YEAR PROGRAM EXPERIENCE

The adoption of the initial 5-year program marked an important milestone in the management of Tennessee's state highway system. Although it did not change the objective of highway administration—that has always been the steady improvement of the state's basic network—it did provide for the first time a consistent and technically sound method for deciding how improvement could best proceed.

That by itself was a significant step toward more efficient highway management, but it was only a first step. The really decisive achievement is that this program based on a thorough engineering analysis of the facts not only was adopted by the Department, but has been generally accepted by Tennessee people and their legislative representatives as a fair and efficient method of planning highway development.

It is an axiom that free government depends on popular approval and that, if its operations are to be successful and constructive, approval must be based on the intelligent and enlightened self-interest of the people. The fundamental theory of such a government is that the people are wise, or at least that they are able to choose between good and bad measures and methods. That ability is especially pertinent to the provision of public services like highways which are used by the whole population and which are essential to the functioning of the community.

Every citizen is keenly interested in highways and demands for himself the fullest possible benefit from their services. This interest and demand are by no means lessened by the fact that comparatively few individuals have any great knowledge of the engineering and financial problems involved in developing and operating a highway system. That is the reason that highway authorities usually are bombarded with constant demands for road improvements that may or may not be justified by conditions.

Very frequently highway officials themselves lack established means for weighing the relative merits of the demands and are forced to rely on their experience and judgment for the purpose. Even when criteria have been developed and are used, they sometimes are not of a character which is intelligible and convincing to the general public and its spokesmen. As a result, capable engineers with sound plans find themselves without effective evidence to support their programs against the weight of earnest, sincere, but ill-informed pressures.

In undertaking the studies which produced Tennessee's short-range program, a major purpose was to provide its highway authorities with a tool that would be useful under such circumstances.

HOW THE PROGRAM WAS LAUNCHED

The 5-year program began to influence the Department's decisions and activities before the program itself was either completed or formally adopted. The studies and analyses involved in the program project were performed by engineers from the Automotive Safety Foundation and the Tennessee Department of Highways. Engineers from all divisions of the Highway Department took an active part and interest in the programming work and were, therefore, completely familiar with the program when it had been completed.

While the program was in its final stage, but clearly marked for adoption, there were numerous inquiries as to how various projects were rated. The chief engineer obtained an advance preliminary copy for use in programming work for current lettings. The maintenance section found similar information useful to avoid planning heavy maintenance operations on roads soon to be rebuilt. Many legislators came in to see how their constituencies were affected.

When finally completed and adopted, the program was released and explained to the press by the state highway commissioner, the chief engineer and other key officials. Full information was given also to such interested organizations as the County Services Association, the Municipal League, the County Judges Association, and the Tennessee Road Builders.

The value the Department puts on the program is indicated by the fact that, although it was not adopted until November 1956, the state construction schedule for 1957 includes most of the projects given a first priority rating by the program studies. Also the coordination of location surveys, soil surveys, plans preparation and acquisition of rights-of-way has been greatly improved by the top level approval and use of the program. Contrary to the expectations of many, public acceptance of the program was good and the opinion was generally expressed that a step had been taken in the right direction.

REASONS FOR PUBLIC ACCEPTANCE

There are a number of solid reasons for the Department's confidence in the program, for the respectful attention given it by press and public, and for the degree to which they have accepted it as a trustworthy guide toward sound highway improvement. Adoption of the programming method won approval throughout the Department because of the general recognition of the need for such a method and because so many department engineers knew, through participation, what painstaking care had been used in devising it. The planning division could proceed only so far in evaluating the sections for improvement. Responsible engineers were informed of the methods used and their knowledge and experience was sought to appraise the reasonableness of the selected projects, and evaluate immeasurables not otherwise considered. These responsible engineers worked with the program until it became their program. Public acceptance came when the people became familiar with the fundamental reasonableness of the criteria and methods used.

To understand why these gratifying results were obtained, it will be necessary to review very briefly some of the facts presented at the January meeting of the Board. The paper referred to can be found in the Highway Research Board Bulletin 158 entitled "Priorities Determination and Programming in Tennessee."

BASES AND SCOPE OF THE PROGRAM STUDY

The studies for the 5-year program were an outgrowth of the Highway Needs Study conducted in Tennessee by the Automotive Safety Foundation with the cooperation of state, county and municipal highway agencies in 1954 and 1955. Major products of the needs study, as reported late in 1955, were listings of the state highway sections found to be deficient at that time and of those sections which would become deficient during succeeding 5-year periods.

The 5-year program studies were undertaken by the Department to devise means for correcting the existing deficiencies and to determine the priorities of their respec-

tive claims for attention. In the meantime, however, Congress appropriated funds for accelerated construction of the National System of Interstate and Defense Highways and accomplishment of that huge task will be programed separately from the remaining mileage. The 5-year program was limited, therefore, to remedying critical deficiencies on the remaining state mileage to the extent possible with available funds.

CHARACTER OF THE CRITERIA AND METHODS

Because there were a great number—about 1,600—highway sections which had been adjudged presently deficient by the Needs Study, it was necessary to break down this big backlog into groups of manageable proportions. This was done, first, by allotting the funds available for the program to the different classes of highway in each of the Department's four administrative divisions according to their proportion of total dollar needs and this includes both rural and urban needs over the entire period of time needed to bring all systems to adequacy; and, second, by determining the priorities for expenditure of the allotted funds among the deficient sections on each class of highway. The road systems included in this program are FAP rural, FAP urban and other state highways, rural and urban.

The distribution of improvements throughout the whole area of the state appealed to everyone as just and desirable, but perhaps what contributed most to public acceptance of the program were the means adopted for determining priorities.

Deciding which jobs should be done first is fundamentally important to good highway management, but it also is difficult. It deals with complex problems of structural design and condition and of traffic operation and accommodation. Criteria and procedures adopted for priority determination may become so involved in the details of these factors as to be understandable only to the engineers and statisticians who formulate them.

The studies for the 5-year plan did not bypass these complexities, but they did adopt certain directions of approach which brought details into focus in forms intelligible to the interested layman. The criteria selected to measure the relative priorities among deficient rural and urban sections are examples of the simple forms used to represent the end results of intricate analyses and computations.

After much study of and experiment with the data available for the several highway sections, three criteria were chosen as basically significant. These are dependability or structural condition, facility of movement, and safety.

While these criteria were selected as the best tests of adequacy for all roads and streets, differences of conditions, usage and the availability of data between rural and urban highways required one important change and some modification of application.

Highway dependability was based on appraisals of the surface, subgrade and drainage, but the combined effects of these factors could be presented as a single factor, rideability, which is the criterion by which people judge the physical condition of a road or street.

Facility of movement, to the popular ear, has a very vague meaning. In appraising the deficient rural sections, however, this factor was measured in terms of the "actual average speed" permitted by existing conditions of roadway design, alignment and traffic. Urban sections were appraised for facility of movement in terms of the degree of congestion imposed on existing traffic volumes by the conditions which affect street capacity. "Speed" and "congestion" are terms which the motorist readily understands and uses in describing the quality of travel service afforded by highways and streets.

Safety expressed in terms of traffic accidents per mile of roadway, is a simple and realistic measure of highway hazard and is easily intelligible to both engineers and laymen. This criterion was used for rural highways, but data regarding urban accidents was lacking at the time the studies for the 5-year program were made. For street appraisals, another factor representing route features which affect traffic accommodation as well as hazards, was used in place of an accident ratio.

A scoring system was used to register the appraised condition of each deficient section of rural and urban highway with regard to each of these three criteria. When all the deficient sections of one class of rural highway or of urban state routes in one of the Department's territorial divisions had been so appraised and scored, the scores

STATE OF TENNESSEE
DEPT OF HWYS AND PUBLIC WORKS

RURAL HIGHWAY PROGRAM CONTROL SHEET

CS-201 (REVISED) FORM NO. 8 77000-11

SECTION I - STRAIGHT LINE DIAGRAM
DATE OF FIELD REVIEW _____

HIGHWAY PLANNING
SURVEY DIVISION

LOG MILES	-----									

STREET NAME	-----									
U S NUMBER	-----									
CULTURAL FEATURES & BOUNDARY LINES	-----									

ft	-----									

SECTION II - GEOMETRICS
DATE OF FIELD REVIEW _____

EXIST ROW	-----									
AVAIL ROW	-----									
ACC CONTROL	-----									
LANE WIDTH	12									
	11									
	10									
	9									
	8									
NUMBER LANES	-----									
MEDIAN	-----									
SHOULDER WIDTH	9-10									
	7-8									
	5-6									
	3-4									
	1-2									
HORIZONTAL RESTRICTION	-----									
	5									
	6									
	7-8									
	9-10									
	11-20									
	21-29									
	30-35									
	36+									
CURVE DATA DEGREE	4									
	5									
	6									
	7									
	8									
	9+									
TRAFFIC CAPACITY	-----									
SPEED ZONES	-----									
CONTROLS	-----									
LOG MILES	-----									

SECTION III - SURFACE LIFE AND DEPENDABILITY RATING
DATE OF FIELD REVIEW _____

BASE TYPE & L	-----									
THICK R	-----									

SECTION XVI - DEFICIENCIES

LT RDY _____ RT RDY _____

MINIMUM WIDTH

NOT PAVED FULL WIDTH

CURBS

SIDEWALKS

LIGHTING

GRADES

CONTINUITY OF DESIGN

PARKING

CAPACITY (_____ %)

SIGNALS

OTHER TRAFFIC CONTROLS

SURFACE TYPE

RIDABILITY

ACCIDENTS

R R GRADE CROSSINGS

STRUCTURES

ADEQUATE NOW

WARRANTS IMPROVEMENT

SPECIAL WARRANTS

SECTION XVII - RECOMMENDED IMPROVEMENT

DESCRIPTION _____

EXPECTED A D T _____

SECTION XVIII - URGENCY RATING

LT _____ RT _____

RIDABILITY _____

FACILITY OF MOVEMENT _____

SAFETY _____

SPECIAL WARRANTS _____

GROUP NUMBER _____

COLOR _____

SECTION XIX - PROJECT DESCRIPTION AND COST ESTIMATE

REQUIRED IMPROVEMENT TYPE AND EXTENT OF PROPOSED WORK

SECTION TYPE NO _____ DESCRIPTION _____

TRAFFIC LANES NO & WIDTH _____

MEDIAN TYPE & WIDTH _____

PARKING LANES NO & WIDTH _____

SIDEWALKS NO & WIDTH _____

PLANT STRIPS NO & WIDTH _____

COST SUMMARY

ITEM COST IN \$ 1 000

TYPE OF WORK	LENGTH	ACCESS CONTROL	R O W	GRADE & DRAIN	BASE	SURF	STRS	CURB & GUTTER	STORM DRAINS	SIDE WALKS	ILLUMINATION	TRAFFIC CONTROLS	TOTAL
RESURFACE													
WIDEN & RESURFACE													
RECONSTRUCTION													
NEW CONSTRUCTION													
TOTAL													

STRUCTURE SUMMARY
(COST INCLUDED IN ABOVE SUMMARY)

LOG MILE	TYPE STRUCTURE	TYPE WORK	LENGTH	COST	LOG MILE	TYPE STRUCTURE	TYPE WORK	LENGTH	COST

RAMPS AND APPROACHES
(COST INCLUDED IN ABOVE SUMMARY)

LOG MILE	LENGTH	G & D	B & S	TOTAL	LOG MILE	LENGTH	G & D	B & S	TOTAL

STOP-GAP WORK SUMMARY
(REQUIRED IF IMPROVEMENTS ARE POSTPONED)

TYPE OF WORK	BEGIN	END	LENGTH	COST	DESCRIPTION

COST ESTIMATE BASIS _____

DATE _____

PREPARED BY _____

APPROVED BY _____

were compared. On the basis of this comparison the sections were arranged in the descending order of their critical deficiency.

Five-year programs for correcting the deficiencies on each class of highway in each division were formulated by drawing successive sections from the top of this priority list. The number of projects programed for each year was governed by the estimated cost of correction in relation to the amounts assigned to that group of highways in the original allotment of funds. When this had been done, the programs were taken into the field for checking by the division engineers. When revisions had been made to conform with current conditions, the program was complete.

While this method of priority determination and program building required handling an immense volume of data and making numerous computations, the process itself was fundamentally simple, direct and, as results proved, effective. As a matter of fact it was the basic simplicity and directness of the means used and the clear and generally acknowledged validity of the results obtained, which have won for the 5-year program the approval of highway officials, public authorities and most of the public. Neither the methods nor the program are perfect, but the engineers and the people alike have recognized that highway administration in Tennessee has turned an important corner and that the new direction should be followed.

KEEPING THE PROGRAM UP-TO-DATE

The original priority study rated rural highways as to structural condition, facility of movement and safety. Urban highways were rated as to structural condition, congestion, and route characteristics. In the continuing program procedure, the term "structural condition" has been superceded by "dependability" for rural sections and "rideability" for urban sections. The criteria used in the continuing program bring the rural and urban ratings closer together for comparative study.

Highway program control sheets for both rural and urban highways are prepared on an 11- by 17-in. card (see illustrations), folded and filed in a visible card filing cabinet. On the front of the card is a straight line diagram of the study section. On the back is the deficiency analysis and program data. A strip map of the same section is on the back of the preceding file pocket. A sample of each of the forms is presented.

The data card file is kept current and available for use at all times, not only for programing, but for a multiplicity of other uses by all engineers of the Department.

The 5-year program is revised each year by bringing into the program the projects with the highest priorities replacing projects which have been constructed in the previous year.

The same procedure of going to the four field divisions and carefully reviewing each project in the program is continued. This procedure gives the opportunity to pick up sections of road that have suddenly gone bad and necessarily need immediate attention, pick up sections that should be programed for continuity of development or for any other valid reason. When the field divisions have been visited, the program is submitted to the chief engineer and his headquarters staff for study and approval. When the program is approved, copies are given to all engineers who have the responsibility for execution of the program.