Superpave Gyratory Compaction Guidelines

Executive Summary
NCHRP 9-9: Evaluation of the Superpave Gyratory Compaction Procedure

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National Cooperative Highway Research Program
Transportation Research Board
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INTRODUCTION

The Strategic Highway Research Program (SHRP) on asphalt has been concluded and a number of new tests and new analysis procedures have been recommended for adoption. These new Superpave tests include asphalt binder tests, mineral aggregate tests, and mixture tests. One of the primary purposes of the SHRP was to develop tests that would allow for evaluation of hot mix asphalt (HMA) on a more rational basis.

The Superpave gyratory compactor (SGC) was developed as a part of the SHRP study and has been recommended as an improved method for compaction and analysis of HMA in the mix design process. The purpose of this document is to provide the specific information and procedures which are to be used to better refine the Superpave gyratory compaction procedure. These new procedures are a result of research project NCHRP 9-9: Evaluation of the Superpave Gyratory Compaction Procedure.

The Superpave gyratory compaction procedure, developed under the Strategic Highway Research Program (SHRP), is the method required for all Superpave mix designs and mixture process control. The gyratory compactor must provide a density in the compacted laboratory specimen that closely approximates the ultimate density of the HMA mixture obtained in the pavement when subjected to traffic loads and climatic conditions; so that an appropriate optimum asphalt content can be selected during the laboratory mix design process.

There are three controls (vertical pressure, gyratory angle, and gyration rate [rpm]) on the Superpave gyratory compactor that must be set to estimate the ultimate field density. These control parameters were established by SHRP researchers and were not the subject of NCHRP 9-9. The number of gyrations (N) also has a significant effect on the laboratory density. SHRP has established, based on a limited set of data, a procedure for determining $N_{design}$ for dense graded mixtures; which is based on the design high air temperature of the paving location and the traffic level in terms of equivalent single axle loads (ESALs). The current Superpave mixture design specification allows for 28 possible values of $N_{design}$ (seven levels of ESALs and four levels of average high air temperature). This is thought to be excessive and confusing; therefore it is believed that these $N_{design}$ levels should be reduced to a lower number, such as four to six levels.

Other factors that will affect the gyratory compactor results include aggregate properties, such as gradation, absorption, hardness, etc.; and the short-term aging of mixtures. Additionally, non-dense-graded asphalt mixtures, such as gap-graded and large stone mixtures, which were not included in the SHRP program, need to be evaluated using the Superpave gyratory compactor to establish the proper compaction levels. Also not evaluated in the initial SHRP research was whether the levels of $N_{design}$ should be reduced for binder and base mixtures.
The overall goal of this document is to provide guidance in specific areas related to the Superpave gyratory compaction of hot mix asphalt. Among the items which guidance is provided are the ones given below

- Evaluate the current $N_{\text{design}}$ compaction matrix and determine whether the levels can be consolidated.
- Determine Superpave mixture design procedures for large stone and gap graded mixtures.
- Evaluate the potential for using the mixture’s compaction temperature as the short-term aging temperature.
- Determine the appropriate design number of gyrations for mixtures as a function of depth.
- Evaluate the current density requirements at $N_{\text{initial}}$ and $N_{\text{maximum}}$ and determine whether the specification values are appropriate or needed.

**Recommended $N_{\text{design}}$ Levels**

Currently there are a total of 28 possible $N_{\text{design}}$ levels, specified in AASHTO PP 28, to be used for the compaction of Superpave mixtures. Some states have recognized that this number of levels is excessive and confusing and have therefore reduced the number of levels. Further, some of the levels only differ by one or two gyration levels. Previous research has shown that these levels do not differ significantly. Research results from NCHRP 9-9 has shown that the current $N_{\text{design}}$ compaction matrix can be consolidated from 28 to four compaction levels and still provide a range of mixture quality for all traffic categories. These traffic levels include low, medium, high and very high. A recommended $N_{\text{design}}$ table is provided in Table 1. As seen in the table there are four recommended $N_{\text{design}}$ levels (50, 70, 100, and 130 gyrations).

**Gyratory Compaction of Large Stone Mixtures**

Large stone mixtures (37.5 nominal size) can be compacted and designed in the Superpave gyratory compactor in the same manner as conventional mixtures. Large stone mixtures placed in the top 100 mm of the pavement structure should be designed using the suggested levels of $N_{\text{design}}$ for dense graded mixtures, provided in Table 1.
Table 1 Recommended Consolidation of the $N_{\text{design}}$ Compaction Matrix

<table>
<thead>
<tr>
<th>Design Traffic Level (ESALs) Millions$^{3,5}$</th>
<th>Compaction Parameters</th>
<th>Typical Roadway Applications $^2$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$N_{\text{initial}}$</td>
<td>$N_{\text{design}}$</td>
</tr>
<tr>
<td>Less than 0.1</td>
<td>6</td>
<td>50</td>
</tr>
<tr>
<td>Applications would include roadways with very light traffic volumes such as local roads, county roads, and city streets where truck traffic is prohibited or at a very minimal level. Traffic on these roadways would be considered local in nature; not regional, intrastate, or interstate. Special purpose roadways serving recreational sites or areas would also be applicable to this level.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.1 to 1</td>
<td>7</td>
<td>70</td>
</tr>
<tr>
<td>Applications would include many collector roads or access streets. Medium trafficked city streets and the majority of county roadways would be applicable to this level.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 to 30</td>
<td>8</td>
<td>100</td>
</tr>
<tr>
<td>Applications would include many two lane, multilane, and divided partially or completely controlled access roadways. Among these are medium to highly trafficked city streets, many state routes, US highways, and some rural interstates. Use for Stone Matrix Asphalt (SMA)$^4$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Greater than 30</td>
<td>9</td>
<td>130</td>
</tr>
<tr>
<td>Applications include much of the US Interstate system, both rural and urban in nature. Special applications such as truck weighing stations or truck climbing lanes on two lane roadways would also be applicable to this level.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Notes:
1. It is suggested that Superpave mixtures be compacted to $N_{\text{design}}$ gyrations.
2. Typical applications as defined by A Policy on Geometric Design of Highways and Streets, 1994, AASHTO.
3. Values shown are based upon 20 year ESALs. For roadways designed for more or less than 20 years, determine the estimated ESALs for 20 years and choose the appropriate $N_{\text{design}}$ level.
4. When the Los Angeles (L.A.) abrasion value for the aggregate used in SMA exceeds 30 or when designing for less than 1 million ESALs, consider dropping to the next lowest compaction level (70 gyrations).
5. When the mixture being designed is to be placed more than 100 mm from the finished surface the $N_{\text{design}}$ requirement can be dropped one (1) traffic level. When the mixture is more than 200 mm from the surface the $N_{\text{design}}$ requirement can be dropped two (2) traffic levels. However, if the mixture being designed at lower gyrations is exposed to significant traffic prior to being overlaid, significant stability problems may occur.
Gyratory Compaction of Gap Graded Mixtures

The research data indicates that gap graded mixtures can be designed without problems in the Superpave gyratory compactor. Many S-shaped, medium gaped aggregate blends are currently being used in the Superpave system without major problems. The gap graded mixtures evaluated were stone matrix asphalt mixtures.

It is recommended that the gap graded mixtures, such as stone matrix asphalt (SMA), be designed using 100 gyrations as provided in Table 1. However, there are some cases where the design level should be decreased to 70 gyrations. The decision of the design gyration level should be based upon the experience of the user agency. For higher traffic volume roadways, the designer should consider using 100 gyrations, while 70 gyrations could be used for lower volume roadways. Also, when designing mixtures with aggregates which tend to break down during lab compaction (i.e. Los Angeles Abrasion values greater than 30), the design number of gyrations should be 70.

Compaction Procedures for Base Mixtures

The research results from laboratory prepared specimens showed that the number of gyrations, shown in Table 1, for mixtures greater than 100 mm from the finished pavement surface may be reduced by approximately 28 percent to account for the reduced vertical pressure and lower temperatures at increased depths within the pavement structure. For mixtures greater than 200 mm from the finished pavement surface, the design number of gyration may be reduced to a greater percentage.

For mixtures which are placed below 100 mm in the pavement structure, the design number of gyrations may be reduced one level from those shown in Table 1. When placed more than 200 mm into the pavement structure the design gyrations may be reduced by two levels. However, if it is likely that these mixtures will be open to traffic for any significant period of time (more than 2-3 days) prior to the construction of the overlying mixture, the design number of gyrations for the mixtures should remain at the level used for surface course mixtures.

Short Term Aging Procedure for Superpave Mix Design

It is recommended that the short term oven aging temperature used in the Superpave volumetric mix design process be changed from 135°C to the compaction temperature of the asphalt mixture as determined from the temperature-viscosity relationship of the asphalt binder. Based upon the limited study with a low absorption aggregate (less than two percent water absorption), the Superpave mixture expert task group’s (ETG) recommendation of a two hour short term aging period for mixtures with low absorption aggregates is valid. However, additional research should be performed with aggregates having a range of absorption values to make further recommendations concerning the reduction of the short term aging time from four to two hours.
Requirements for $N_{\text{initial}}$ and $N_{\text{maximum}}$

It appears that the requirement of $\%G_{\text{mm}}$ at $N_{\text{initial}}$ of 89 percent for lower traffic volume roadways is too stringent. Fine graded mixtures in the study which were comprised of crushed fine aggregate materials failed this requirement, especially at the lower $N_{\text{design}}$ values. This requirement should be raised for the lower volume roadways, as shown in Table 2, to allow for more fine graded mixtures to be used.

Generally, mixtures which are more likely to fail the density requirements at $N_{\text{maximum}}$ were the coarse graded mixtures, not the fine graded mixture. Mixtures which have been designed to have 4 percent air voids at $N_{\text{design}}$, do not fail the $N_{\text{maximum}}$ density requirement. All the mixtures evaluated passed the $N_{\text{maximum}}$ requirement. The mixtures which came the closest to failing the requirement are considered to be the better mixtures.

Currently, the gyratory compaction procedure requires that specimens be compacted to $N_{\text{maximum}}$ and densities and volumetric properties be back-calculated at $N_{\text{design}}$. This causes an error in the calculated volumetric properties at $N_{\text{design}}$. Since the mixture is designed based upon its volumetric properties at $N_{\text{design}}$, Superpave volumetric mix designs should be completed by compacting specimens to their respective $N_{\text{design}}$ values, and not $N_{\text{maximum}}$ as currently exists. Once the optimum asphalt content of the mixture has been determined, triplicate specimens should be prepared at the optimum asphalt content and compacted to the respective $N_{\text{maximum}}$. The average specimen density should then be calculated and compared against the density requirement at $N_{\text{maximum}}$ of less than 98.0 percent of $G_{\text{mm}}$.

Adjustment of $N_{\text{initial}}$ and $N_{\text{maximum}}$ Values During Field Process Control

During quality control or quality assurance testing of a mixture’s volumetric and densification properties, the specification values of density at $N_{\text{initial}}$ should be raised or lowered to account for the change in the mixture’s air voids at $N_{\text{design}}$. The amount that the specification values are changed should be equal to the difference in the measured and design $\%G_{\text{mm}}$ at $N_{\text{design}}$. For example, if the measured air voids in the field is 4.5 percent, then the requirements for $N_{\text{initial}}$ and $N_{\text{maximum}}$ should be decreased by 0.5 percent.

Interpretation of the Gyratory Compaction Slope

Based on the test results, the gyratory compaction slope does not appear to be a good indicator of the strength of the aggregate structure of the asphalt mixture. Mixtures designed at lower levels of $N_{\text{design}}$ have higher compaction slopes than mixtures designed at higher $N_{\text{design}}$ levels. However, the slope does recognize changes that occur in the mixture’s asphalt content within a given $N_{\text{design}}$ level, with gradation being constant. Therefore, the slope could possibly be used in the quality control or quality assurance testing of an asphalt mixture.
Aggregate Consensus Property Requirements for Revised $N_{\text{design}}$ Levels

Although not specifically an objective of the research project, some adjusting of the aggregate consensus property requirements was necessary to match the revised $N_{\text{design}}$ levels and to make for a standard or uniform table. Consensus property requirements are shown in Table 2. By observing Table 2, it is evident that the specification values used in the past remain approximately constant. It was not an objective of the research project to determine if these values are correct in their current form. Each $N_{\text{design}}$ level specified has one set of consensus property requirements, with the exception of the $N_{\text{design}}$ level of 100 gyrations. Because the range of ESALs at this $N_{\text{design}}$ level was wide (1 to 30 million ESALs), aggregate property requirements were specified for three levels of ESALs (1 to 3 million, 3 to 10 million, and 10 to 30 million ESALs).
### Table 2 - Superpave Design Compactive Effort and Aggregate Consensus Property Requirements

<table>
<thead>
<tr>
<th>Estimated Design Traffic Level (Million ESALs)&lt;sup&gt;2&lt;/sup&gt;</th>
<th>Superpave Compaction Parameters</th>
<th>Aggregate Consensus Properties</th>
</tr>
</thead>
<tbody>
<tr>
<td>N&lt;sub&gt;initial&lt;/sub&gt;</td>
<td>N&lt;sub&gt;design&lt;/sub&gt;</td>
<td>N&lt;sub&gt;maximum&lt;/sub&gt;</td>
</tr>
<tr>
<td>---------------------</td>
<td>-----------------</td>
<td>-----------------</td>
</tr>
<tr>
<td>&lt; 0.1</td>
<td>6</td>
<td>50</td>
</tr>
<tr>
<td>0.1 to &lt; 1.0</td>
<td>7</td>
<td>70</td>
</tr>
<tr>
<td>1.0 to &lt; 30.0</td>
<td>8</td>
<td>100&lt;sup&gt;10&lt;/sup&gt;</td>
</tr>
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<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥ 30.0</td>
<td>9</td>
<td>130</td>
</tr>
</tbody>
</table>

Notes:
1. It is recommended that Superpave mixtures be compacted to N<sub>initial</sub> gyrations.
2. Values shown are based upon 20 year ESALs. For roadways designed for more or less than 20 years, determine the estimated ESALs for 20 years and choose the appropriate N<sub>design</sub> level.
3. Requirements apply to traffic levels from 1 to < 3 million ESALs.
4. Requirements apply to traffic levels from 3 to < 10 million ESALs.
5. Requirements apply to traffic levels from 10 to < 30 million ESALs.
6. "85/80" denotes that 85% of the coarse aggregate has one fractured face and 80% has two or more fractured faces.
7. Criteria are minimum presented as percent air voids in loosely compacted fine aggregate. Test to be run in accordance with AASHTO TP-33.
8. No distinction is made between depth from surface. Test to be run in accordance with AASHTO T176.
9. Criterion based upon a 5:1 maximum to minimum ratio.
10. Use for Stone Matrix Asphalt (SMA). However, when the L.A. Abrasion value for the aggregate used in SMA exceeds 30, consider dropping to the next lowest compaction level (70 gyrations).