Timber Pole Safety by Design

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ABSTRACT

A breakaway design for the modification of timber utility poles that will radically increase the safety of passengers in impacting vehicles has been developed and comprehensively tested. This design is called the Hawkins breakaway system (HBS). The system not only accomplishes the goal of increasing safety but exhibits characteristics of significant advantage to a utility company. A statement of safety philosophy applicable to the evaluation of roadside structures has been prepared. It can be used as the basis for the evaluation of any proposed safety improvement relative to roadside geometry and structures. It was used here to develop compliance tests for breakaway utility poles and to evaluate the results of those tests. Analysis of the literature relative to the cost-effectiveness of breakaway utility poles reveals that there will be a positive societal benefit associated with carefully selected applications.

Timber utility poles carrying power and communication transmission lines on highway rights-of-way are an anachronism. They represent a critical discontinuity in the "forgiving roadside," a concept developed and accepted in the 1960s and that state DOTs have striven to make a reality ever since. Timber utility poles are different from structures such as signs, luminaire supports, and hydraulic structures. They are owned by someone other than the highway or transportation entity responsible for the roadway. These transportation agencies have been hesitant, except under reconstruction conditions, to require a utility company to move or modify its facilities. There has been no consensus as to precisely who should be responsible for the influence on safety of timber utility poles within the highway right-of-way. In the past many utility companies appear to have assumed that highway safety was the responsibility of highway agencies. Although at times that attitude may have been justified, it may no longer be in the best interest of pole owners. Devices now exist that provide cost-effective safety treatments for exposed structures without significant detrimental influence on the primary objective (i.e., the transmission of power and information).

Until 1982 Southwest Research Institute (SwRI) performed most of the work in applying breakaway technology to timber utility poles. Beginning with a 1973 study by Wolfe and Michie (1) various arrangements of holes, grooves, and saw cuts were used to weaken the pole at its base so the pole would fall more easily during a vehicle impact. Another weakened zone was introduced near the top of the pole so that under impact conditions the middle section of the pole would break away leaving the top portion still connected to the utility lines. The best of these designs was called RETROFIX.

It appears that both the utility industry and the FHWA decided that RETROFIX should not be implemented. This was primarily because the pole was significantly weakened in its capacity to withstand environmental loads. To try to overcome the strength problem and other concerns of industry, the FHWA contracted with SwRI to develop a slip base breakaway design. The slip base designed by Bronstad for utility poles and

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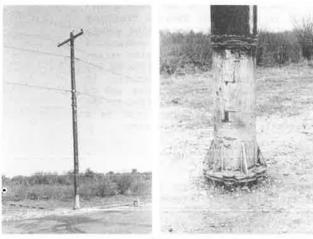
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used by Labra et al. (2) appears to be an adaptation of the triangular, three-bolt, multidirectional slip base developed by Edwards (3). It represents the first application of conventional slip base technology to a timber utility pole.

The primary objective of this work was to build on the conventional slip base technology to develop an implementable design. In addition to production of a more effective breakaway shear connection at ground level, this required overcoming the problems of pole detachment, conductor failure and entanglement, and the falling pole. This objective has been realized. A combination of a slip base lower connection and a progressively deforming upper connection has been subjected to five compliance tests. This combination of lower and upper connections has been named the Hawkins breakaway system (HBS) after D.L. Hawkins, who may have been the first to suggest slip bases on roadside structures (4). These tests have been compared on an acceleration, velocity change, and probability of injury basis to calculated values for unmodified poles. They also have been compared with a statistically derived probability of injury estimate for unmodified poles developed by Mak and Mason (5). The compliance tests conducted meet the criteria defined by NCHRP Report 230 (6).

The test selection was made using a new statement of safety philosophy that is described in detail in the full report (7). These comparisons will be detailed in a later section of this paper, but the net result may be stated as follows: In collisions at speeds of from 20 to 60 mph using automobiles of from 1,800 to 4,300 lb gross vehicle weight (GVW), the average probability of severe injury [abbreviated injury scale (AIS) > 3] has been reduced by 91 percent. In collisions at speeds of from 40 to 60 mph, the probability of severe injury has been reduced by 97 percent. These reductions are far in excess of what most researchers considered probable. Zegeer and Cynecki (8) use example values of 30 and 60 percent reduction in injury and fatal accidents in their benefit-cost studies for FHWA. Although the 60 percent value may not be unreasonable if AIS injuries of 1 are considered, it appears that injuries would be heavily biased to the minor and moderate injury levels (AIS levels 1 and 2). Thus Zegeer's and Cynecki's use of the 60 percent overall reduction in injury and total accidents may still be too low when accident costs for the breakaway design are calculated, and the HBS would be cost-effective in a wider spectrum of conditions than was predicted.

The HBS design consists of a slip base similar to those developed by TTI 17 to 20 years ago for use on sign and luminaire supports ($\frac{4}{2}$): an upper hinge mechanism and structural support cables (overhead guys) (Figure 1). The slip base connection is unique in that it is a six-bolt connection to reduce weight.



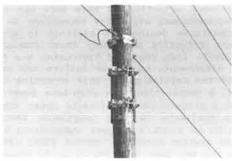


FIGURE 1 Modified utility pole installation.

These mechanisms are activated on impact and are intended to reduce the inertial effects of the pole on the errant vehicle while minimizing the impact on utility service. Typical performance of the HBS is shown in Figure 2. The slip base is designed to withstand the overturning moments imposed by inservice wind loads and, at the same time, slip when subjected to the forces of a collision.

A lower shear plane is created through installation of a slip base at an elevation of 3 in. above grade. The elevation of the slip base is intended to avoid snagging on the underside of an errant vehicle. This shear plane consists of two 5/8-in.-thick plates separated by a 26-gauge keeper plate (intended to maintain a bolt circle diameter of 15 1/2 in.) and by washers 2 1/2 in. in diameter by 1/8 in. The base plates are connected to each other by six 1-in.diameter high-strength bolts with washers 2 1/2 in. by 1/4 in. These bolts are torqued to 200 ft-1b. Connection of the wooden utility pole to the slip base is through a steel pipe or tubing (Figure 3). These tubes are nominally 12 in. in diameter and 30 in. long and are welded to the base plates. In addition, the base plates are braced by 5/8-in.-thick stiffeners that are welded to both the base plate and the steel tube.

The upper hinge mechanism is sized to adequately

transmit service loads while hinging during a collision to allow the bottom segment of the pole to rotate out of the way. This connection consists of two four-part pole bands installed above and below a saw cut through the pole and four straps connecting the two pole bands. The pole bands and straps are further secured to the pole by means of 1-in.-diameter through bolts as shown in Figure 4. At the bottom pole band, the bolts pass through the ends of the straps. At the lower end, the bolt holes are separated from four 1/2-in.-long slots by a 3/16-in. section of steel. Initial bending resistance is provided by the strength of this 3/16-in. margin. When the margin is punched out, resistance is offered by friction between the straps and bolts and by bending of the straps. When significant rotation has occurred, the bolts bear on the end of the slot, thereby providing the required ultimate bending strength. This upper connection reduces the effective inertia of the pole and minimizes effect of any variation in hardware attached to the upper portion of the pole during a collision. The entire HBS system is designed to achieve the industry standard safety factor of four before ultimate failure. This design has been verified by static tests.

A series of tests was conducted to verify the performance of the HBS. In selecting the test matrix, it was necessary to define and adhere to a specific safety criterion. That criterion is:

A new structural design for a highway auxiliary structure should be strongly considered for implementation if

- The new design results in significant improvement in safety for the majority of drivers and passengers,
- The new design does not result in a significant deterioration in safety for any group of vehicle occupants, and
- 3. There are no other proven designs of equal or better cost-effectiveness that produce a safer condition for a larger spectrum of vehicle occupants.

Although this safety criterion may appear to be self-evident, its acceptance could allow use of structures that vastly improve the safety of the traveling public while not meeting all requirements of NCHRP Report 230 (6) or Transportation Research Circular 191 (9). Although the HBS does meet the requirements of NCHRP Report 230 and Transportation Research Circular 191, it will be demonstrated here how the alternate safety criterion can be applied.

The specific case under consideration is that of utility poles. The questions derived from the alternate safety criterion are:

- 1. Will breakaway poles result in a significant improvement in safety for the majority of drivers and passengers?
- 2. Will the design result in a significant deterioration in safety for any group of vehicle occupants (in this case, for drivers of very small cars)?
- 3. Are there other proven structural designs of equal or better cost-effectiveness that produce a safer condition for a larger spectrum of vehicle occupants?

It will be shown in later sections that breakaway utility poles implemented selectively, as suggested by both Mak and Mason $(\underline{5})$ and Zegeer and Cynecki $(\underline{8})$, will satisfy the proposed criterion. To prove that compliance, it was necessary to test proposed designs to determine if Element 1 was achieved. The approach to that was to select a series of compliance

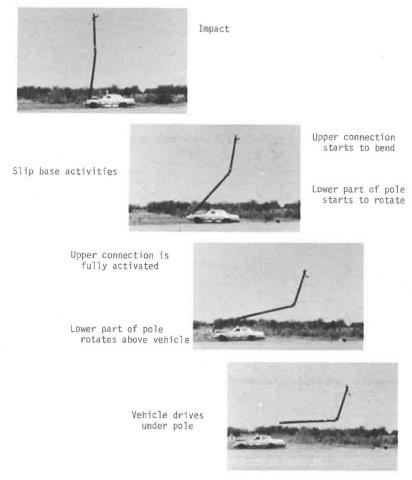


FIGURE 2 Function of Hawkins breakaway system during a vehicle collision.

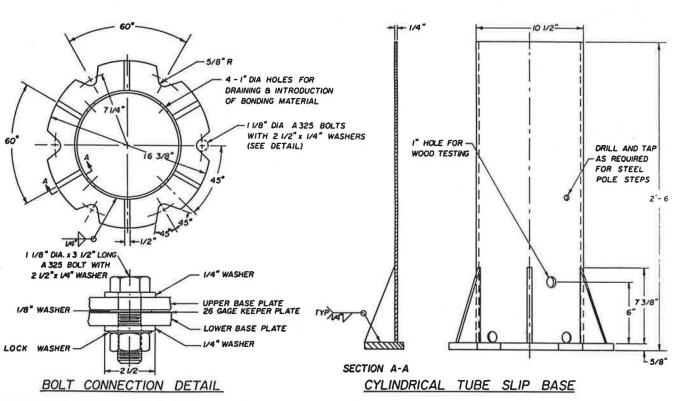


FIGURE 3 Lower connection-slip base.

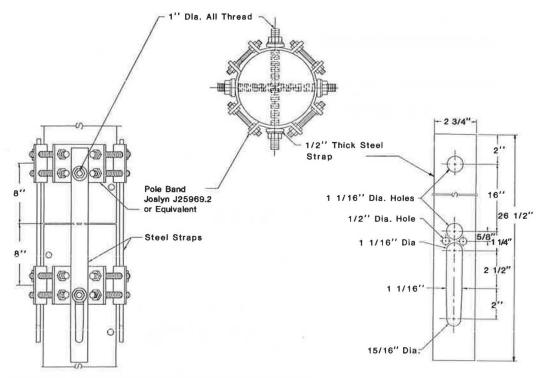


FIGURE 4 Upper connection-pole band with modified slotted straps.

crash tests that would encompass a clear majority of impact conditions.

The tests selected are given in Table 1. The primary purpose of each test is shown in the final column. The actual test conditions achieved are shown in parentheses. For example, in Test 1 the actual vehicle weight was 1,826 lb and the speed determined at impact was 39.9 mph.

HBS PERFORMANCE

The compliance tests outlined in Table 1 were conducted. These tests were performed on 40-ft, Class 4 timber utility poles retrofitted with the HBS. The results are detailed by summary sheets in Figures 5-9. In Table 2 changes in velocity, changes in momentum, and maximum average 0.050-sec accelerations are empirically determined for each test. The probability of injury estimates (percentage AIS \geq 1, percentage AIS \geq 3, and percentage PI) are made in the following ways:

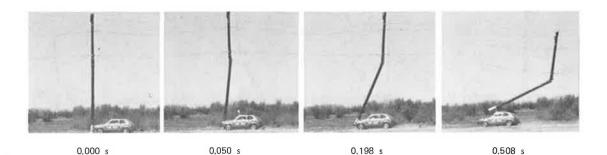
- Method 1, percentage AIS 1 and percentage AIS 3. For the tests conducted, this estimate can be made using Mak's and Mason's equation for velocity change (ΔV) and momentum change (ΔM) ($\underline{5}$). For the hypothetical case of the same vehicle conditions on a nonbreakaway pole, a third equation by Mak, depending on vehicle impact speed (V), may be used to make the AIS estimates. Table 3 gives Mak's and Mason's equations.
- Method 2, probability of injury (percent). This estimate can be made using a relationship developed by Buth et al. (10). It depends on the highest average 0.050-sec resultant acceleration level determined from the test. For the hypothetical case of the same vehicle conditions and a nonbreak away pole, the acceleration level must be calculated to obtain a probability of injury (PI) estimate from the same relationship. Table 3 gives the relationship described.

Although the comparison between any two injury

TABLE 1 Compliance Tests for Breakaway Utility Poles

Test No.	Vehicle Weight (test inertia mass, lb)	Vehicle Speed V (mph)	Vehicle Attitude	Primary Purpose of Test
1	1,700-1,900	38-42	Frontal, mid-50%	Determination of probability of injury reduction for the most
(16)	(1,826)	(39.9)	(close to center)	critical element of the design spectrum
2	1,700-1,900	18-22	Frontal, mid-50%	Determination of probability of injury reduction for the
(12)	(1,775)	(19.9)	(close to center)	lowest kinetic energy level at which pole structural activation would be expected
3	3,200-3,600	38-42	Frontal, mid-50%	Determination of probability of injury reduction for the mid-
(13)	(3,365)	(40.7)	(close to center)	range of automobile kinetic energy
4	2,300-2,700	58-62	Frontal, outer 50%	Determination of vehicle dynamic reaction to eccentric col-
(14)	(2,500)	(60.0)	(quarter point of bumper)	lision
5	4,300-4,800	58-62	Frontal, mid-50%	Assessment of pole structural integrity at the highest kinetic
(15)	(4,331)	(56.8)	(close to center)	energy level encompassed by the design spectrum

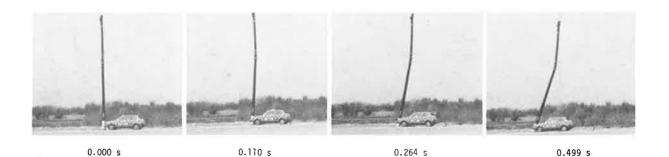
Note: numbers in parentheses refer to test numbers described in the text.





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39.9 mi/h (64.2 km/h)
11.5 mi/h (18.5 km/h)
Test No. . . . . . . . . . . .
                      4859-16
4/03/85
                      Breakaway Wooden
                                                                957 1b-s
                                     Utility Pole
Lower Connection . . . Slip Base
Upper Connection . . . Pole Band No. 3
Vehicle . . . . . . 1979 Honda
                      Civic
Lateral . . . . . . . 0.5 g
 CDC. . . . . . . . . . . 12FCEN2
Maximum Vehicle Crush
 Bumper Height. . . . . 10.0 in (25.4 cm)
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FIGURE 5 Summary of results for Test 4859-16 (Compliance Test 1).



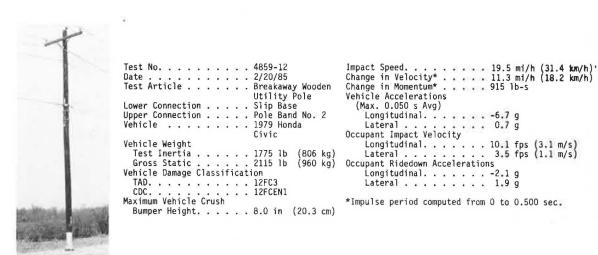


FIGURE 6 Summary of results for Test 4859-12 (Compliance Test 2).

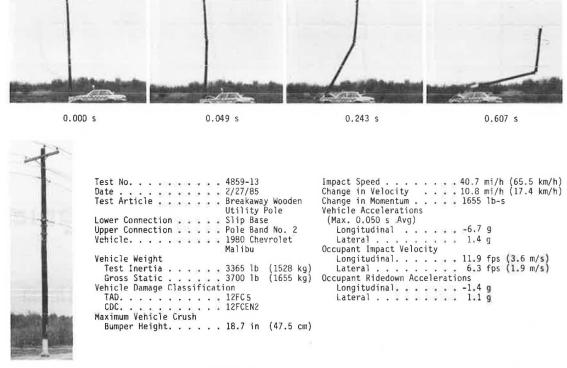


FIGURE 7 Summary of results for Test 4859-13 (Compliance Test 3).

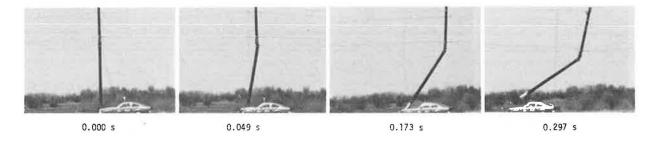


FIGURE 8 Summary of results for Test 4859-14 (Compliance Test 4).









0.000 s

0.101 s

0.218 s

0.415 s



Impact S	peed.							56.8 mi/h (91.4 km/h) 7.0 mi/h (11.3 km/h) 1487 lb-s
Change in	n Velo	ocity						7.0 m1/h (11.3 km/h)
Change in	n Mome	entur	١.					1487 1b-s
Vehicle /	Accele	erati	01	าร				
(Max.	0.050	s Av	p)				
								-4.9 g
Late	ral .							0.6 g
Occupant	Impac	ct Ve	10	oc	ity	1		•
Long	itudir	nal.						10.7 fps (3.3 m/s)
	ral .							
Occupant								
								-0.8 g
								No Contact

FIGURE 9 Summary of results for Test 4859-5 (Compliance Test 5).

TABLE 2 Injury Rate Levels for Compliance Tests

Test No	Change in Velocity			Change in Momentum			0.050-sec Avg Acceleration		Probability of Injury for Unmodified Pole		
	ΔV (mph)	AIS ≥ 1 (%)	AIS ≥ 3 (%)	ΔM (lb-sec)	AIS ≥ 1 (%)	AIS ≥ 3 (%)	g	PI (%)	AIS ≥ 1 (%)	AIS ≥ 3 (%)	PI (%)
i (16)	11.5	66.0	1.42	987	52.3	0.38	8.0	21.5	81.3	22.4	100
(10)	11.3	65.7	1.39	915	51.5	0.36	6.7	15.1	70.2	2.5	60
(13)	10.8	64.9	1.31	1,655	61.5	0.74	6.77	15.1	81.3	22.4	66
14)	11.0	65.3	1.34	1,253	56.8	0.50	10.2	35.0	87.8	76.5	79
5	7.0	57.2	0.83	1,487	59.7	0.63	4.9	8.1	72.6	2.58	26.

Note: numbers in parentheses refer to test numbers described in the text.

TABLE 3 Probability of Injury Equations

Description	Equation
Mak and Mason (5)	% AIS \geq 1 = -63.5 + 16.87 Ln(Δ M)
Percentage AIS as a function of momentum	
change, AM (lb-sec)	% AIS $\geq 3 = 100/[1 + e^{65-0.00097(\Delta M)}]$
Mak and Mason (5)	$\% \text{ AIS} \ge 1 = 22.2 + 16.03 \text{ Ln(V)}$
Percentage AIS as a function of impact speed,	
V (mph)	$\% AIS \ge 3 = 100/[1 + e^{6.08 - 0.121(V)}]$
Mak and Mason (5)	$\% \text{ AIS} \ge 1 = 22.5 + 17.83 \text{ LN(V)}$
Percentage AIS as a function of change in	
velocity, ΔV (mph)	$\% \text{ AIS} \ge 3 = 100/[1 + e^{5.62 - 0.12(\Delta V)}]$
Buth and Ivey (10)	Appendix of the American Control of the American Contr
Probability of injury (%) as a function of	PI = 0.336 Ar
highest resultant 50-msec acceleration, Ar (g 's)	P = 0.336 Ar

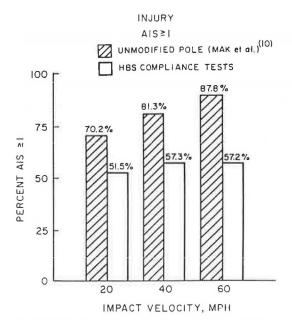


FIGURE 10 Comparison of injury levels from HBS compliance tests with unmodified pole injury levels (% AIS 1).

rate levels for any test can be seen by examining Table 2, it is somewhat easier to compare those levels using Figures 10 and 11. These bar graphs were developed for each test speed using Method 1 and present the average injury level for all tests at that speed. In Figure 10 it is seen that a significant improvement results. The greater improvement, however, is shown by Figure 11. A major decrease in the AIS \geq 3 injury rate is demonstrated. This decrease, for the five compliance tests conducted, averages 91 percent. It is apparent from

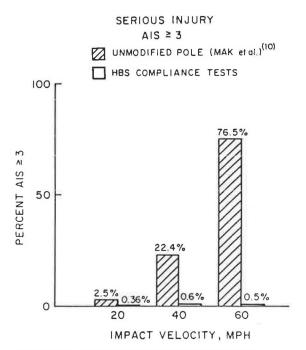


FIGURE 11 Comparison of injury levels from HBS compliance tests with unmodified pole injury levels (% AIS 3).

Figure 11 that the reduction becomes more pronounced as the speed increases. There is a slight advantage at 20 mph that progresses to a major improvement at 60 mph. For the 40- and 60-mph test conditions, the probability of injury greater than AIS = 3 is reduced by 97 percent.

Finally, Figure 12 was constructed using all available test data and a computer simulation. This figure shows the various zones of interaction between vehicles and HBS-modified poles. It also shows the calculated failure boundary for unmodified Class 4 timber utility poles. The activation boundary for the HBS occurs at about 10 mph for small vehicles and will decrease slightly as vehicle weight increases. As speed increases, the next zone is where the lower connection is activated and the pole is pushed in front of the impacting vehicle. The vehicle then stops and the pole leans on or descends on the vehicle. The velocity of the falling pole is so low that significant passenger compartment intrusion will not occur. This was illustrated by Compliance Test 2.

In the next zone the vehicle will go completely under the pole, but the pole will make contact with the roof or truck structure as the vehicle moves through. Passenger compartment intrusion will be minimal in this zone because of the rotation of the lower pole segment to a position where it will glance off or be pulled across the roof structure. The zone is not precisely defined but will vary as vehicle structural stiffness and coefficient of restitution vary. Finally, the zone where the pole clears the vehicle after impact is everywhere to the right of Curve C. This is the zone illustrated by compliance Tests 1 and 3-5.

COMPLIANCE WITH NCHRP REPORT 230

It should be recognized that the recommendations for timber utility poles were considered extremely tentative by the writer of NCHRP Report 230 $(\underline{6})$. The development of breakaway devices for these structures was in its infancy and no one was sure it could be done. The recommendations for "Occupant/Compartment Impact Velocity" and "Occupant Ride Down Acceleration" were based more on what the author considered possible than on what would be preferred. In Table 8 of NCHRP Report 230, an acceptance factor of 1.33 was recommended. This resulted in values of ΔV of 30 fps and acceleration of 15 g's.

It appears now that breakaway timber utility poles can be engineered to perform significantly better than the values that were recommended in 1981 would indicate. This can be seen by comparing the results of tests recommended in NCHRP Report 230 for breakaway on yielding supports to the values of velocity change and acceleration given previously in this paper. Table 4 gives this comparison. The required tests are 60 and 61, although in this case test 61 is substituted for 60; 62 is a more demanding test. The other test conducted was not required but is described as a possible supplementary test in Table 4 of NCHRP Report 230 (6). This is Test S64, an 1,800-lb vehicle at 40 mph impacting at the center of the bumper.

As can be seen, the HBS results are well below the maximum values given by NCHRP Report 230 for timber utility poles and fundamentally meet the requirements for signs and luminaire supports. They are well within the requirements for ridedown acceleration and, with one exception, meet the occupant/compartment impact velocity. That exception is Test 61 in which a ΔV of 15.6 fps was observed, compared with a recommended limiting value of 15 fps. Given the variability in crash testing,

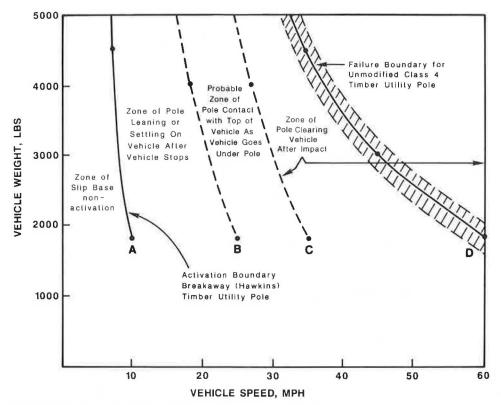


FIGURE 12 Zones of vehicle-pole interaction.

there is no reason to be overly concerned by this result. It appears that an acceptance factor higher than the 1.33 value proposed in 1981 might be considered for timber utility poles.

CONCLUSION

A breakaway design for the modification of timber utility poles that will radically increase the safety of passengers in impacting vehicles has been developed and comprehensively tested. It is called the Hawkins breakaway system (HBS). This system not only accomplishes the goal of increasing safety but exhibits characteristics of significant advantage to a utility company.

An alternate safety criterion to be applied in the evaluation of roadside structures also has been developed. It can be used as the basis for evaluation of any proposed safety improvement relative to roadside geometry and structures. It was used to develop compliance tests for breakaway utility poles, but its applicability is general to the roadside environment.

Analysis of the literature relative to the costeffectiveness of breakaway utility poles reveals that there will be a positive societal benefit associated with carefully selected applications. The work of Zegeer and Cynecki $(\underline{8})$ may be used to define appropriate applications, although Sicking and Ross $(\underline{11})$ have recently developed a somewhat more comprehensive benefit-cost analysis.

Detailed conclusions are

- The HBS has been adapted and applied to 40-ft, Class 4 timber utility poles (4/0 construction). The primary system developed for this type of construction consists of a slip base, an upper hinge mechanism, and overhead guy support cables. This adaptation of the HBS virtually eliminates the chance of serious injury in a wide range of vehicle collisions.
- Excellent performance has been achieved for vehicles ranging from 1,800 to 4,500 lb at speeds of from 20 to 60 mph. Mak and Mason $(\underline{5})$ have found that there is little chance of serious injury at speeds lower than 20 mph, even for an unmodified pole.
- The original cost of the HBS for a single pole modification should be less than \$800. It is estimated that a three-person crew with a digger-derrick and insulated aerial device can make all of the necessary repairs within a 4-hr period following an accident. Assuming an area with congested traf-

TABLE 4 NCHRP Report 230 Compliance Tests

NCHRP	TTI Test Designation	Weight		Speed		ΔV		a	
Test Designation		Suggested (lb)	Achieved (1b)	Suggested (mph)	Achieved (mph)	Suggested (fps)	Achieved (fps)	Suggested (g 's)	Achieved
61									
(substitute for 60)	4859-14	2,250	2,500	60	60.0	30	15.6	15	1.8
62	4859-12	1,800	1,775	20	19.5	30	10.1	15	2.1
564	4859-16	1,800	1,826	40	39.9	30	12.0	15	1.0



End view



Side view



Fully activated upper connection

FIGURE 13 HBS-modified utility pole after a high-speed collision (Test 4859-3).

fic, energized electric power lines, and night work conditions, the manpower, material (including a new pole but excluding breakaway hardware), and equipment costs are estimated at \$875. Because a new pole will not always be required, the average cost may be somewhat lower. In addition, some of the breakaway hardware may need to be replaced (miscellaneous nuts and bolts and a keeper for low-speed impacts, plus two straps in higher speed impacts). The cost for replacement of breakaway hardware should be less than \$150.

• On the basis of the results of the compliance tests reported here, it appears that most other types of Class 4 construction could be treated in a similar manner, yielding similar results.

The HBS is ready for implementation. Used selectively, it holds the potential to make a significant reduction in the 1,600 deaths and 100,000 injuries that occur annually as a result of collisions with timber utility poles (12). In addition, significant advantages to utility companies will accrue as selective implementation is undertaken (7). One major benefit is illustrated by Figure 13. After a vehicle collision, a utility maintenance crew will find a shortened pole, with conductors still intact and functioning, instead of a tangle of conductors and broken pole segments.

ACKNOWLEDGMENT

This paper is dedicated to Dr. Robert M. Olson, Professor Emeritus of Texas A&M University, who died suddenly on April 4, 1986. He had a major influence on the lives of both authors and was a continual source of inspiration during a 25-year period as both teacher and associate. Dr. Olson worked with D.L. Hawkins in the late 1960s to develop the nationally accepted breakaway highway signs. The development of the Hawkins breakaway system for utility poles was grounded firmly on their pioneering efforts.

The influence and inspiration of Bob Olson will live on in the students he taught and in the associates he so unselfishly helped. The present authors are two among the many.

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The contents of this paper reflect the views of the Texas Transportation Institute, which is responsible for the facts and accuracy of the data presented. The contents do not necessarily reflect the official policy of the U.S. Department of Transportation.