



# The Impacts of Extreme Weather Events on Ports

## The Example of Mobile, Alabama

*Innovative Technologies for a Resilient Marine Transportation System 3rd Biennial Research and Development Conference*

**Mike Savonis, ICF International**

**June 24, 2014**

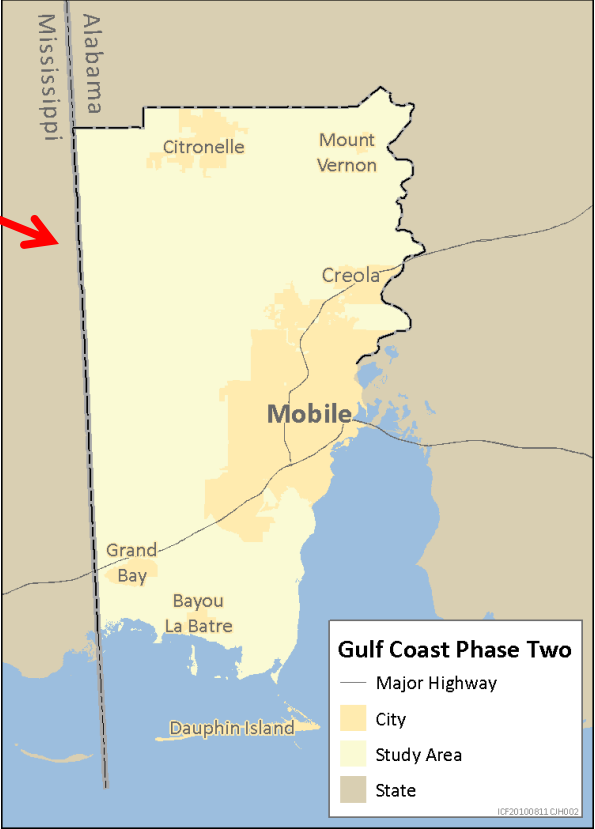
# Presentation Outline

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- **Update on Recent Work**
  - Gulf Coast Study, Phase 2
  - Mobile ports vulnerability assessment
- **Where do we go from here?**
  - New tools and resources available
  - Asset management strategies

# The Gulf Coast Study

## ■ Gulf Coast Study, Phase 2: Vulnerability Assessment



**Pilot** in one metropolitan area (Mobile, AL)

**Tools and Strategies** that will be transferable to other areas

**Share** lessons learned and tools

# Mobile Vulnerability Assessment Approach

## 1) High-level vulnerability screen

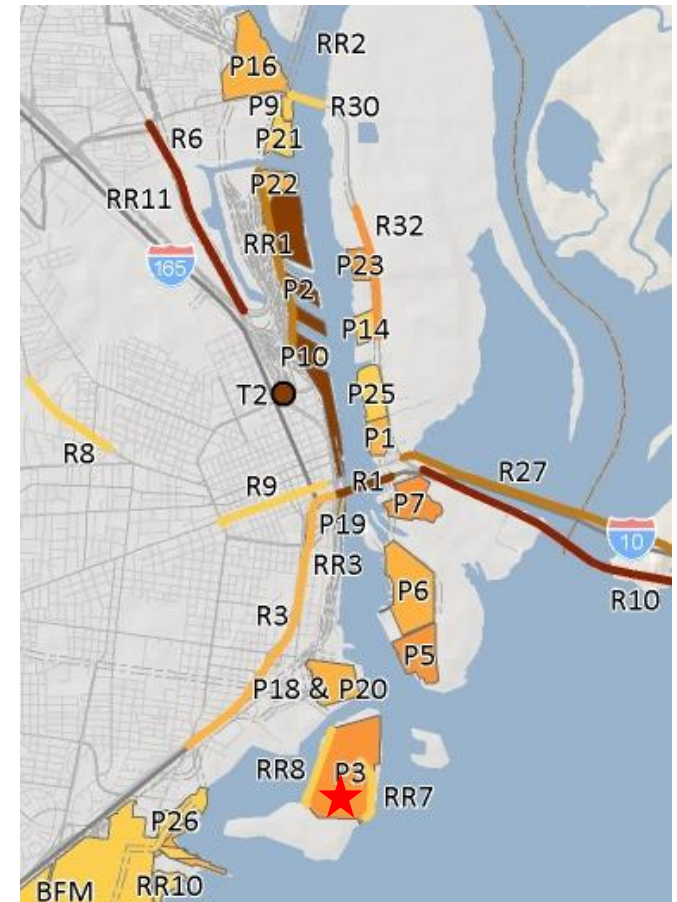
*Which port assets are most vulnerable to which events?*

- Identify vulnerability *indicators*
- Collect data on indicators
- Use indicators to derive a *vulnerability score* for each port

## 2) Detailed engineering analysis:

*How is this asset vulnerable to this event?*

- Analyze implications of specific scenarios on a specific asset

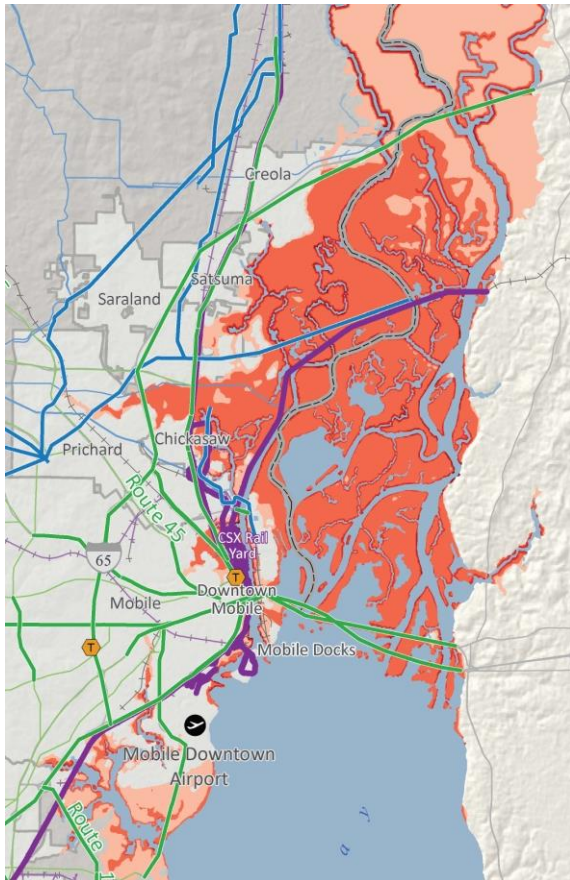


Storm surge vulnerability screen results  
(red star = detailed assessment)

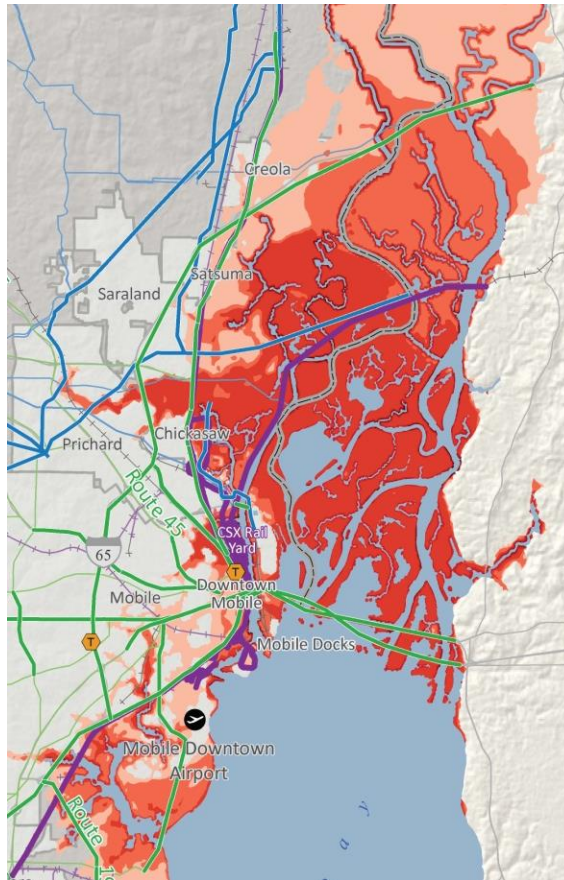
# Vulnerability Screen – Exposure Analysis

## Modeled storm surge depth

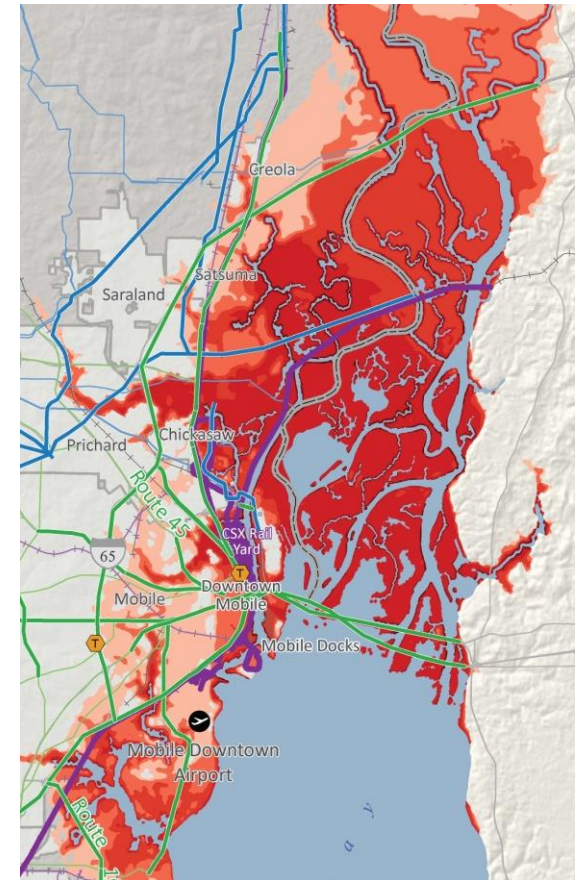
Hurricane Katrina, natural path



Hurricane Katrina, shifted to direct hit on Mobile



Hurricane Katrina, shifted, intensified, and with SLR



*Also modeled exposure to sea level rise, wind speeds, extreme heat, and heavy precipitation*

# Vulnerability Screen – Asset-Level Indicators

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- **Vulnerability =  $f$  (Exposure, Sensitivity, Adaptive Capacity)**
- **Asset-specific characteristics serve as *indicators* of their sensitivity and adaptive capacity**

## Ports Storm Surge Sensitivity Indicators

- Previous damage from storm surge?
- Shoreline protection?
- Infrastructure elevation above sea level
- Asset age
- Asset condition
- Dependence on electrical power
- Materials handled

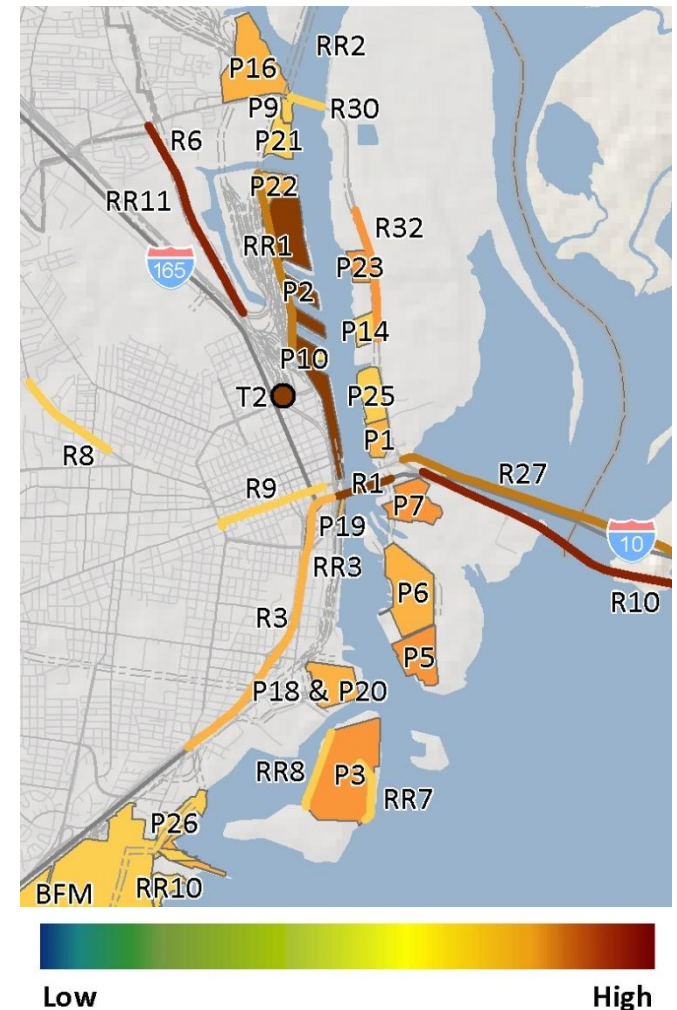
## Ports Adaptive Capacity Indicators

- Ability to shift operations internally
- Ability to shift operations externally
- Estimated disruption duration from storm surge impacts

- **Project developed methodology to derive *vulnerability score* for each port based on exposure, sensitivity, and adaptive capacity indicators**

# Vulnerability Screen Results

- **Alabama State Port Authority (ASPA) State Docks facility was most vulnerable**
  - Highly exposed
  - Demonstrated sensitivity in the past
  - Low-lying assets
  - Relatively inflexible operations
- **Most vulnerable ports those with:**
  - Location on lower Mobile River
  - History of flooding
  - Reliance on electricity
  - Lack of redundancy
- **Results limited by data availability/survey responses**



Additional detail on methods and results available in GC2 'Screening for Vulnerability' Report

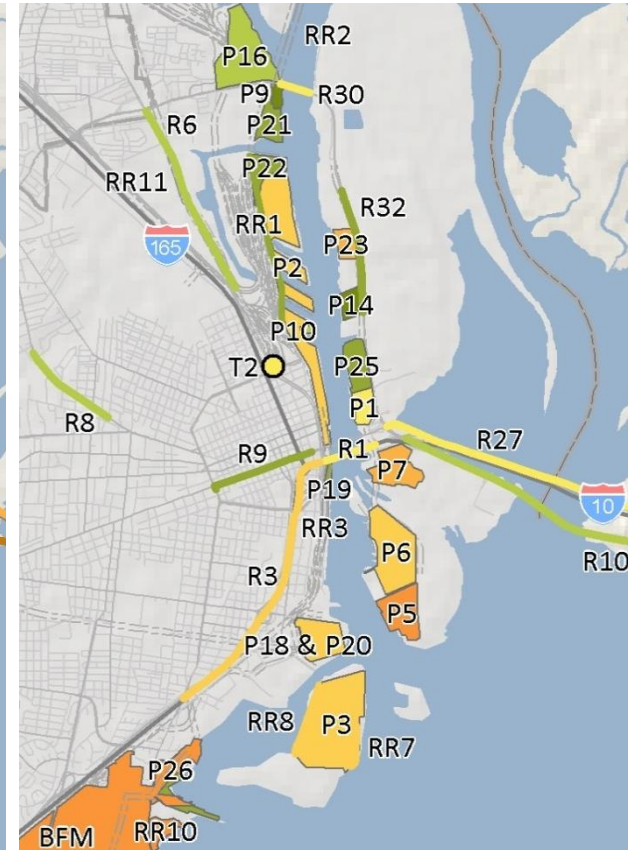
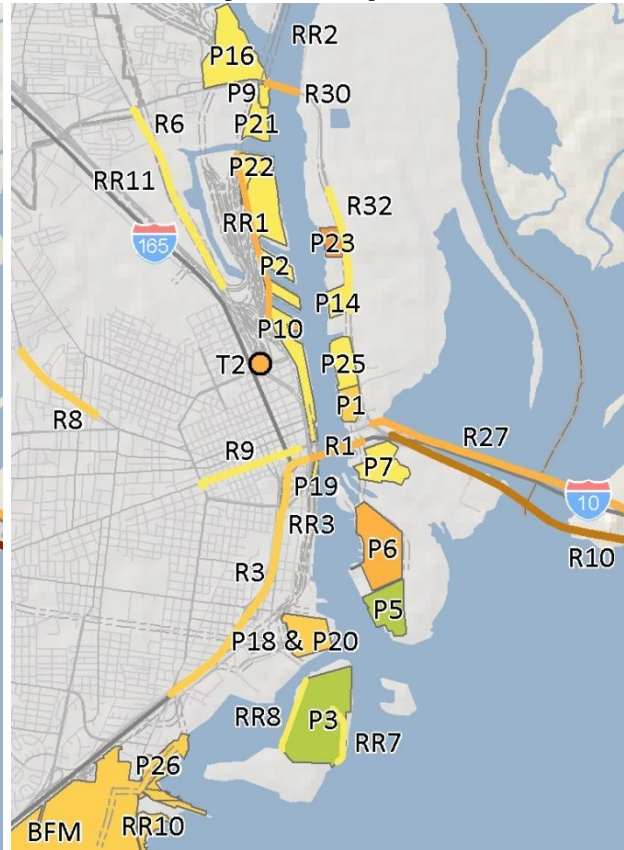
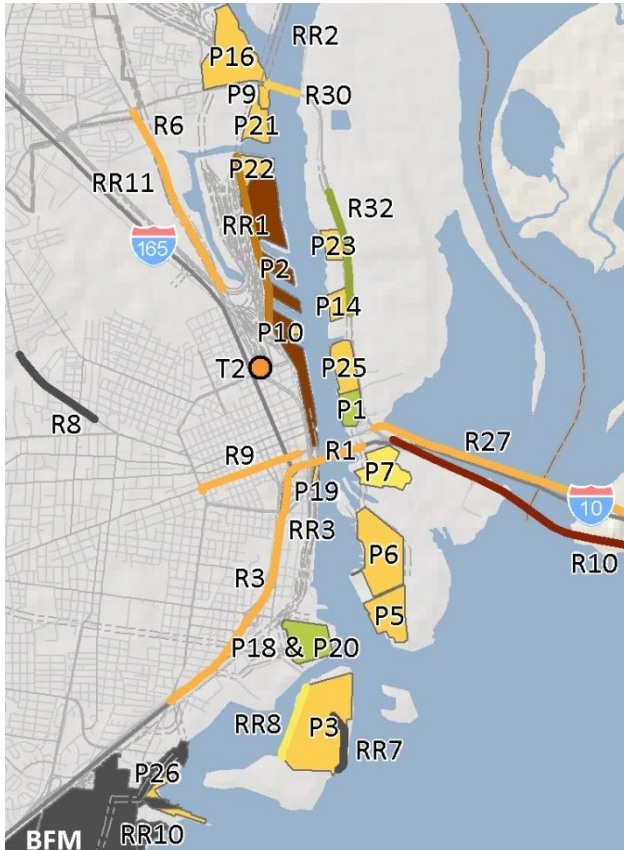


# Vulnerability Screen Results – Other Stressors

## Sea Level Rise

## Heavy Precipitation

## Heat



- Low elevation

- Demonstrated flood-prone

- Heat-sensitive materials

Drivers



# Engineering Assessment – Dock One at the McDuffie Coal Terminal

- **Assessed vulnerability of shipping pier to storm surge**
- **Major consequences if damaged:**
  - 50% of ASPA revenue from McDuffie Coal Terminal
  - Service outages at terminal can lead to local coal shortage and brown-outs
- **Analysis found low vulnerability to damage from storm surge**
  - Designed for very large loads
  - Surges so high, wave forces do not interact with pier





## Where do we go from here?

*Tools and Resources for Other Ports*

# U.S. DOT Gulf Coast Study Tools

### Sensitivity Matrix: Report Generation

Select the Asset Type(s) and Climate Stressor(s) of interest to generate a report on the sensitivity of the selected asset type(s) to the selected Climate Stressor(s). You can generate reports for either one asset type at a time or one climate stressor at a time.

**Asset Type**

- Transportation
- Highways
- Ports and Waterways
- Rail
- Airports

**Climate Stressor**

- Temperature Increase
- Sea Level Rise
- Extreme Precipitation
- Extreme Drought
- Storm Surge
- Ice Storms
- Changes in Precipitation
- Permafrost Thaw

**Generate report for one asset type and one climate stressor**

**Generate report for one asset type and all climate stressors**

**Generate report for all asset types and one climate stressor**

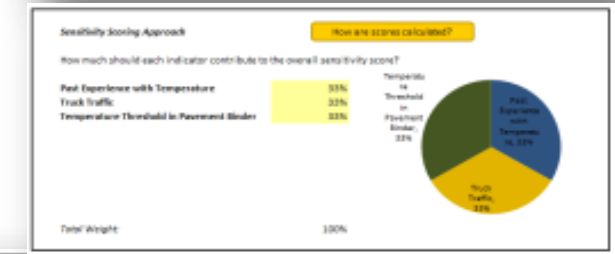
**Generate report for all asset types and all climate stressors**

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### Bridge

Asset ID	Asset Name	Status	Score	Value	Score	Value	Score	Value	Score	Value	Sensitivity Score
HC1	Highway 1	Yes	4	101	2	101	2	101	2	101	2.0
HC2	Highway 2	No	2	208	6	No Data	No Data	6	6	6	2.8
HC3	Highway 3	Yes	4	180	3	2290.5	4	2	2	2	3.5
HC4	Highway 4	No	2	144	2	2288.5	4	2	2	2	2.7
HC5	Highway 5	Unknown	No Data	128	2	3171.4	3	4	4	4	3.7
HC6	Highway 6	Yes	4	263	6	406	No Data	6	6	6	3.8
HC7	Highway 7	No	2	262	6	8170.3	6	6	6	6	3.8
HC8	Highway 8	No	2	109	2	80.4	1	3	3	3	2.5
HC9	Highway 9	No	2	152	4	8170.3	4	2	2	2	2.6
HC10	Highway 10	Yes	4	128	2	0	1	7	7	7	3.2
HC11	Highway 11	No	2	182	3	8170.3	4	3	3	3	2.8
HC12	Highway 12	Yes	4	191	3	8170.3	4	4	4	4	3.0
HC13	Highway 13	No	2	95	2	1.4	1	1	1	1	1.0
HC14	Highway 14	No	2	221	4	8895.8	4	6	6	6	2.5
HC15	Highway 15	No	2	67	2	30.85	1	1	1	1	1.2
HC16	Highway 16	No	2	204	4	8895.8	4	4	4	4	2.0
HC17	Highway 17	Yes	4	196	2	13.4	1	6	6	6	3.2
HC18	Highway 18	Yes	4	167	3	4881.8	3	6	6	6	3.2
HC19	Highway 19	No	2	144	2	304.2	3	7	7	7	2.7
HC20	Highway 20	Yes	4	114	2	878.1	2	2	2	2	3.0
HC21	Highway 21	No	2	126	2	0	1	6	6	6	3.7
HC22	Highway 22	No	2	174	3	1011.45	3	6	6	6	3.4
HC23	Highway 23	No	2	222	4	8087.28	3	6	6	6	2.8
HC24	Highway 24	No	2	182	4	1011.45	3	6	6	6	2.8
HC25	Highway 25	Yes	4	76	2	1017.14	3	6	6	6	3.8

Asset ID	Asset Name	Status	Score	Value	Score	Value	Score	Value	Score	Value	Sensitivity Score
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HC25	Highway 25	Yes	4	76	2	1017.14	3	6	6	6	3.8



## Transportation Climate Change Sensitivity Matrix

The interface includes a map of the Gulf Coast region, a search bar, and various data processing options. Below the map, there are sections for 'Asset ID', 'Asset Name', and 'Status'. A table displays data for various asset types, including 'Highway' and 'Bridge', with columns for 'Asset ID', 'Asset Name', 'Status', 'Score', and 'Value'. The table also includes a 'Sensitivity Score' column. The interface is designed for users to input data and generate reports on the sensitivity of transportation assets to climate change.

## Vulnerability Assessment Scoring Tool (VAST)

## CMIP Climate Data Processing Tool

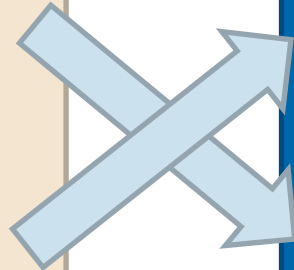
# Transportation Climate Change Sensitivity Matrix

What are the potential impacts?

## ■ Spreadsheet-based reference tool, covering...

### Effects of:

- Extreme Heat
- Heavy Precipitation
- Sea Level Rise
- Storm Surge
- Wind
- Drought
- Dust Storms
- Wildfires
- Winter Storms
- Changes in Freeze/Thaw
- Permafrost Thaw



### On:

- **Marine Ports, Terminals, and Waterways**
- Terminal Buildings
- Channels
- Piers, wharves, and berths
- Port services and equipment (e.g., cranes)
- Operations, maintenance, and safety

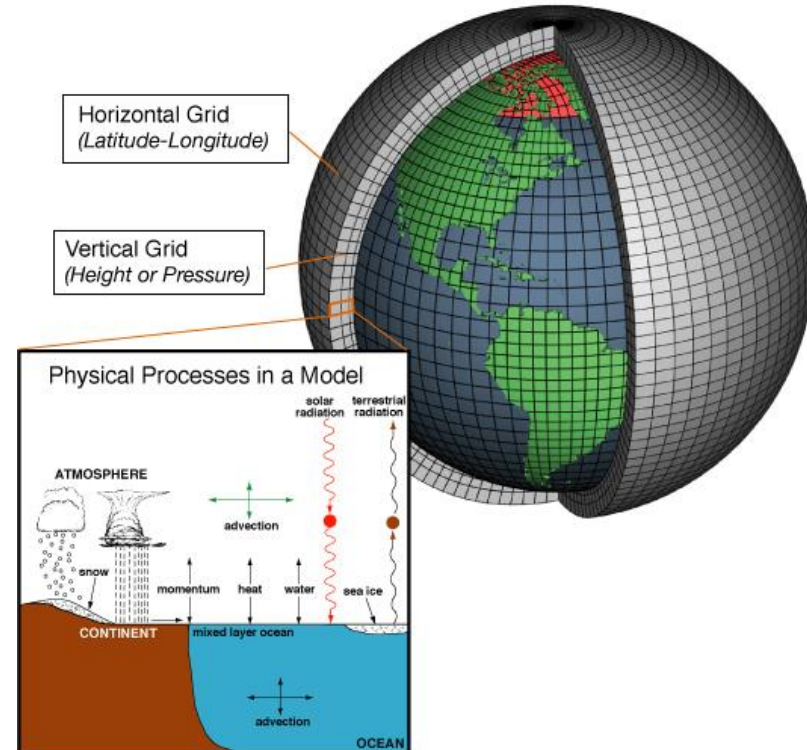
# Transportation Climate Change Sensitivity Matrix

Climate Stressor	Information Type	Physical Infrastructure	
		Terminals and Other Buildings	Channels and Waterways
Storm Surge	Relationship	Storm surge and direct wave action can damage marine port buildings (Nadal et al., 2010). Roof covers, walls, and doors are often the most sensitive (Curtis, 2007). Fast moving water can undermine or damage building foundations (U.S. CCSP, 2008).	Storm surge can wash debris and sediment into shipping channels, necessitating dredging after the storm. In some cases, such as in the Gulf of Mexico intercoastal waterway, a combination of storm surge and sea level rise can destroy barrier islands, eliminating waterway systems entirely, and forcing ships to navigate open water (NRC, 2008).
	Threshold(s)	If the storm surge overtops the elevation of the port, damage is likely to occur due to a combination of flooding and wave action. Wave damage (driven by wind) to port facilities is possible when wave heights reach 4-6 feet, and likely when wave heights reach 6-12 feet. When wave heights exceed 10-12 feet, there can be structural damage to larger vessels and their cargo (Peterson et al., 2008; OFCM, 2002). However, wave height thresholds are location-specific as the height of wharf decks above the water surface differs from one port to another and one terminal to another (Beckstrom, 2013). Wave heights also assume a mean sea level. As the mean sea level changes, wave height thresholds will also change (Savonis, 2013).	The extent to which sediment build-up necessitates dredging is location-specific and depends on (1) sedimentation rate, (2) dredging frequency as part of regular maintenance, and (3) port depth. Deep water berths and channels to shallow water berths (Beckstrom, 2013). The frequency of dredging depends in part on whether the largest ships that the port usually accommodates can berth at the port (Beckstrom, 2013).
	Indicator(s)	Roll-up door openings proved to be a weak design element in Gulfport, MS, in Hurricane Katrina. When the doors failed, wind, waves, and debris entered and damaged the buildings. High wind-rated roll-up doors can mitigate some impacts.	Shallower channels are more likely to require dredging after storms due to debris. Overdredging (advance maintenance dredging) is not always necessary. The Army Corps of Engineers estimates that dredging needs after storms. The Army Corps of Engineers estimates that dredging needs after storms. The Army Corps of Engineers estimates that dredging needs after storms.
	Key Source(s)	ASCE 24-05 Flood Resistant Design and Construction (FEMA, 2011a)	NRC, 2008; Smythe, 2013
		During Hurricane Katrina, building walls, doors, and roof covers were heavily damaged in Gulfport, MS. However, building columns, roof purlins, and roof structures performed well (Curtis, 2007). Five years after Katrina, Port of Gulfport operated at only 80% of its pre-Katrina volume and plans to build	After Hurricane Katrina, Port of Mobile officials estimated that dredging expenses, including removal of branches, sand, and silt from port channels, would exceed \$7.5 million (CAG, 2007).

# CMIP Climate Data Processing Tool – Background

What are the projected changes?

- Potential future temperature and precipitation conditions come from global climate models (GCMs)
- GCMs are frequently *downscaled* to higher resolution for use at the local level
- *Acquiring* and *processing* downscaled GCM data can be very resource-intensive (time, computing, technical expertise)
  - **Acquiring** – Bureau of Reclamation’s Downscaled CMIP3 and CMIP5 Climate and Hydrology Projections (DCHP) website
  - **Processing** – CMIP Climate Data Processing Tool



## CMIP Climate Data Processing Tool

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- Excel-based tool
- User selects location on a map, downloads data for downscaled (56 sq. mile) “grids”
- Tool translates climate model data into more relatable terms
- Outputs change at the **local level** in 58 specific **variables relevant to transportation practitioners**
  - e.g., Change in number of very hot days
  - e.g., Change in 1% precipitation events

- Overcomes major need for easy access to climate data
- Makes a process that took *years* for Mobile take a matter of *hours*

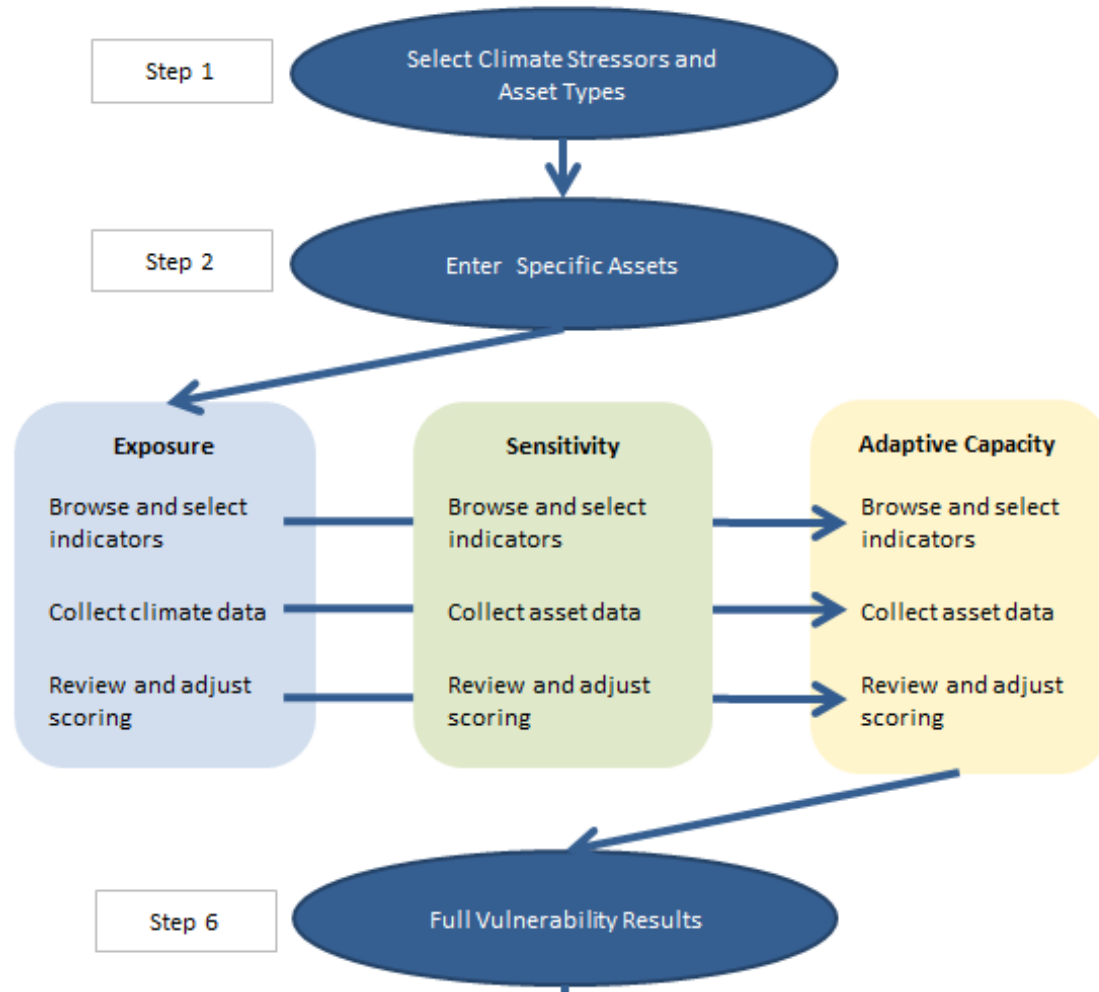
# Vulnerability Assessment Scoring Tool (VAST)

What is most vulnerable?

## ■ Provides a framework for conducting a vulnerability assessment

- Guides users through
  - Choosing stressors to evaluate
  - Choosing assets to evaluate
  - Choosing indicators to use
  - Collecting data on indicators
  - Refining method to convert data to scores
  - Reviewing results

## ■ Outputs: Vulnerability scores for all assets entered



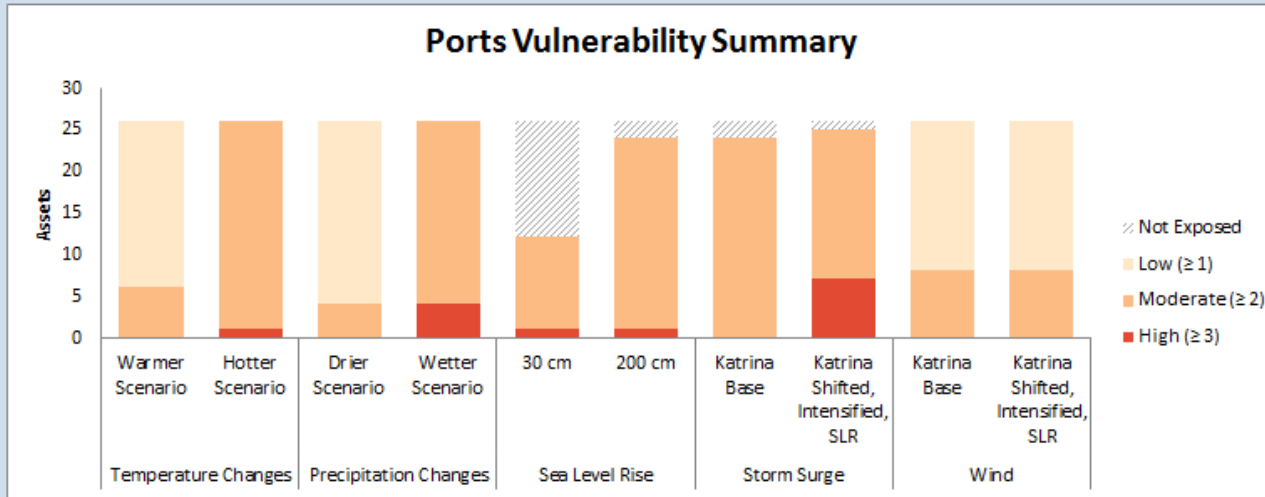


# VAST – Example Outputs

View results for...

Ports

Generate PDF



## 10 Most Vulnerable Assets to Each Stressor (highlighted assets appear in multiple lists)

Scenario 1  Scenario 2

### Temperature Changes

ID	Name	Score
P-5	Alabama State Port Authority (ASPA) - Pinto Island	2.4
P-7	Austal	2.2
P-23	Shell Chemical Co.	2.2
P-2	Alabama State Port Authority (ASPA) - Alabama State Docks	2.1
P-18	Mobile Container Terminal	2.1
P-3	Alabama State Port Authority	2.1

### Precipitation Changes

ID	Name	Score
P-6	Atlantic Marine (BAE Systems Southeast Shipyards)	2.2
P-23	Shell Chemical Co.	2.2
P-7	Austal	2.1
P-2	Alabama State Port Authority (ASPA) - Alabama State Docks	2.0
P-5	Alabama State Port Authority	1.9
P-18	Mobile Container Terminal	1.7

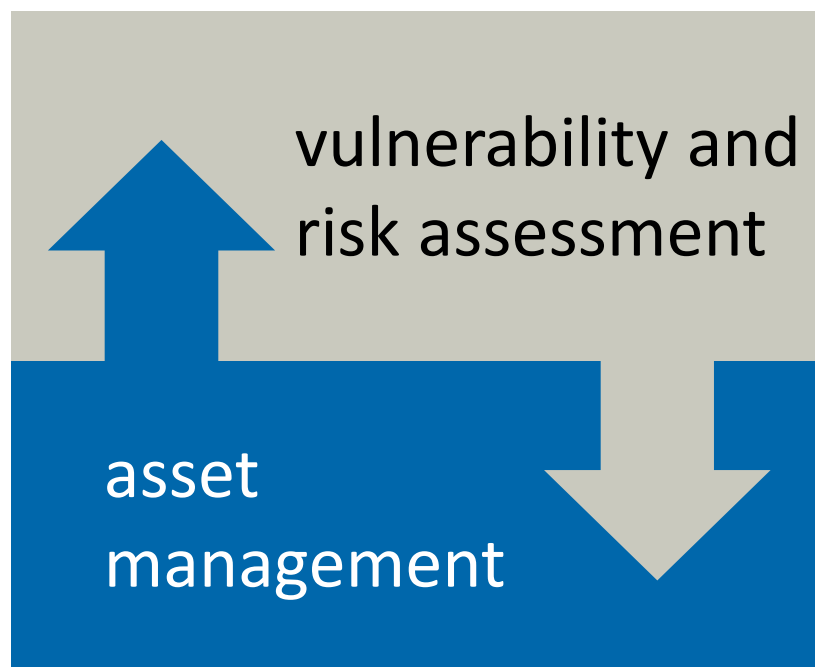
### Sea Level Rise

ID	Name	Score
P-2	Alabama State Port Authority (ASPA) - Alabama State Docks	3.7
P-22	Plains Marketing - South Terminal	2.8
P-5	Alabama State Port Authority (ASPA) - Pinto Island	2.5
P-8	Bayou La Batre	2.5
P-11	Environmental Treatment Team	2.5
P-13	Gulf Atlantic Oil Refining Co.,	2.5

## Other Resources – Is there a role for asset management?

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- Strong **asset management** systems underpin effective **vulnerability assessment**
- **Vulnerability assessment and extreme weather risk management can inform transportation asset management**



# Roles for Asset Management in Improving Resilience

## ■ 1) Provide data for vulnerability assessments

- Asset management systems house data that can be used to determine:
  - Criticality
  - Vulnerability to different extreme weather events or climate changes

## ■ 2) House information on asset vulnerability and risk

- From vulnerability or risk assessment, institutional knowledge, or weather-related repair or maintenance records

	Consequence				
Likelihood	Insignificant	Minor	Significant	Major	Catastrophic
Very Rare	Low	Low	Low	Moderate	High
Rare	Low	Low	Moderate	High	High
Seldom	Low	Moderate	Moderate	High	Extreme
Common	Moderate	Moderate	High	Extreme	Extreme
Frequent	Moderate	High	High	Extreme	Extreme

# Roles for Asset Management in Improving Resilience

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## ▪ 3) Provide a means to track critical information

- Costs of extreme weather events
- Weather-related repair or maintenance records
- Assets with repeated weather issues
- Extreme weather performance indicators
- Develop triggers for action

Continually inform and revisit knowledge of vulnerabilities, need for adaptation

**Thank you!**

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**202-862-1116**



# Alternate Screen Shots for VAST

		Show Scoring Approach		Show Scoring Approach		Show Scoring Approach		Hide Scoring Approach	
Asset ID	Asset Name	Past Experience with Storm Surge		Shoreline protection		Reliance on Electrical Power		Age of Wharves, Structures	
		Value	Score	Value	Score	Value	Score	Value	Score
P-1	Alabama Bulk Terminal Co. (Hunt)	Yes	4	Yes	1	2.0	2	21.0	1
P-2	Alabama State Port Authority (AS)	Yes	4	No	4	3.0	3	84.0	4
P-3	Alabama State Port Authority (AS)	Yes	4	Yes	1	4.0	4	33.0	2
P-4	Alabama State Port Authority (AS)	No data	No data	Yes	1	3.0	3	20.0	1
P-5	Alabama State Port Authority (AS)	No data	No data	Yes	1	4.0	4	1.0	1
P-6	Atlantic Marine (BAE Systems Sou)	Yes	4	Yes	1	4.0	4	96.0	4
P-7	Austal	Yes	4	Yes	1	4.0	4	11.0	1
P-8	Bayou La Batre	No data	No data	Yes	1	3.0	3	No data	No data
P-9	BP Oil Co., Mobile Terminal Barge	No data	No data	Yes	1	No data	No data	No data	No data
P-10	Crescent Towing & Salvage Co., Ri	No data	No data	Yes	1	2.0	2	22.0	1
P-11	Environmental Treatment Team V	No data	No data	Yes	1	No data	No data	No data	No data
P-12	Evonik Industries	No data	No data	No	4	3.0	3	19.0	1

**Age of Wharves, Structures Scoring Approach** ?

Review and adjust value range for each score:  
Default scoring ranges based on range of all values

Value range:		Score:
1	25	= 1
25	50	= 2
50	75	= 3
75	96	= 4

Restore Defaults

OR

if indicator has non-numerical values...

P-13	Gulf Atlantic Oil Refi
P-14	Gulf Coast Asphalt C
P-15	Holcim Cement Wha
P-16	Kimberly-Clark Corp
P-17	Martin Marietta Agg
P-18	Mobile Container Te
P-19	Mobile Cruise Termi
P-20	Oil Recovery Co. of /
P-21	Plains Marketing - N
P-22	Plains Marketing - S
P-23	Shell Chemical Co.
P-24	Standard Concrete P
P-25	TransMontaigne Pro
P-26	U.S. Coast Guard Pie

Indicators of Ports Sensitivity to Temperature

Potential Indicators and Data Sources

Indicator	Description and Rationale	Potential Data Source(s)
<input type="checkbox"/> → Past Experience with Temperature	Ports that have experienced damage during past heat events have demonstrated sensitivity to heat and are likely to be damaged if exposed in the future.	<ul style="list-style-type: none"> <li>Interviews/survey/conversations with operations and maintenance staff</li> <li>Maintenance or repair records</li> <li>Emergency response records</li> </ul>
<input type="checkbox"/> → Size of Paved Asphalt Areas	Pavement can buckle or sink in high temperatures. The extent of paved asphalt areas is therefore an indicator of sensitivity to heat.	<ul style="list-style-type: none"> <li>Visual inspection of satellite imagery</li> <li>Port operators</li> </ul>
<input type="checkbox"/> → Reliance on Electrical Power	Some types of rail, such as continuously-welded rail, are more prone to buckling.	<ul style="list-style-type: none"> <li>Port owners and operators in your organization</li> </ul>

Example

Indicator Unit	Value Range
Damaged in past? (Yes/No)	No Yes
	No or negligible asphalt Small asphalt area Medium asphalt area Large asphalt area

View results for...

Ports

