

# New SHRP 2 Tools for Underground Utility Location, Data Collection, and Analysis



U.S. Department of Transportation  
**Federal Highway Administration**

# New SHRP 2 Tools for Underground Utility Location, Data Collection, and Analysis

## Technical Support

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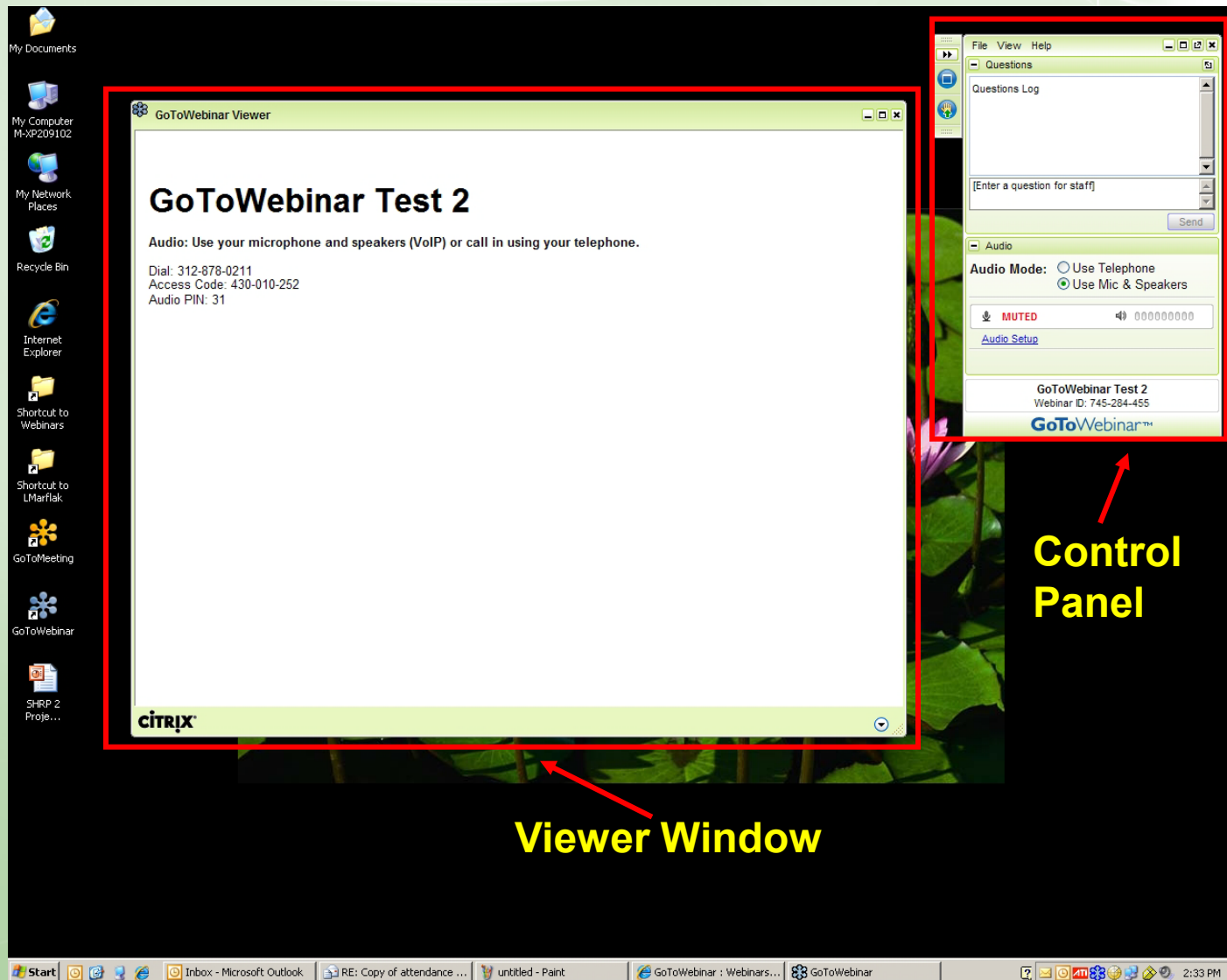
## Moderator

Chuck Taylor

Senior Program Officer, SHRP 2



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# Webinar control panel

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Questions

Questions Log

[Enter a question for staff]  
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Audio Setup

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# **Attendance Poll Question**

How many people are watching this webinar from your computer?

# **Webinar Objectives**

**What is SHRP 2 doing to reduce the impacts of underground utilities on highway construction projects?**

**How will I be able to use the products of this SHRP 2 research?**

# **Some Background**

- **35 Million Miles of underground utilities (CGA)**
- **More being installed daily, deeper, with less detectable materials**
- **Much of the data on location, composition, ownership, and status (active or abandoned) is missing, incorrect, or incomplete**
- **Current technology/tools can find 80-90%**
- **Getting the remaining 10- 20% requires new tools**

# **Impacts on Highway Construction**

- **Redesign costs**
- **Delay costs**
- **Change orders**
- **Claims**
- **Damages**
  - **Human casualties**
  - **Environmental releases**
  - **Repairs**

# SHRP 2 Utilities Research

- **Develop new tools to locate that remaining 10- 20%:**
  - **Multi- Sensor Platforms (R01- B)**
  - **Locating Deep Utilities (R01- C)**
- **Innovative system to store, retrieve, analyze, visualize 3- D utility location data (R01- A)**
- **Optimized Utility Conflict Matrix system to organize, track, manage utility conflicts (R15- B)**



# **August 15, 2011 Webinar**

- **Preliminary info on the functional design and intended use of these new tools**
- **Most tool prototypes have now been designed, fabricated, and tested**
- **Today's webinar will include the preliminary results of those tests**

# **Webinar Agenda**

- **Multi- Sensor Platforms**  
**Gary Young (UIT): slides 16- 38**  
**Colin Kennedy (UIT)**
- **Locating Deep Utilities**  
**Chris Ziolkowski (GTI): slides 39- 59**
- **Storing & Using 3- D Data**  
**Bill Gale (GTI): slides 60- 83**
- **Utility Conflicts & Solutions**  
**Cesar Quiroga (TTI): slides 84- 102**
- **Q&A and Wrap Up**  
**Chuck Taylor (SHRP 2)**

# **Questions to be Addressed**

- **What's different about these new tools?**
- **What new capabilities will they provide?**
- **Will they be more difficult to use?**
- **Will they require special training or operation only by specially- trained people?**
- **How will the costs to use these tools compare with those of today's tools?**
- **When will these new tools likely be commercially available?**

# **Presentation Format for the 4 Projects:**

- **Project Background/Objectives**
- **Project Products**
- **Schedule and Status**
- **Prototype Test Results**
- **Answers to the Six Questions**

# **What is SHRP 2?**

## **(Strategic Highway Research Program)**

- **Authorized by Congress in 2005**
- **Conducted under a memo of understanding among AASHTO, FHWA, National Academies (TRB)**
- **Funds Provided through FHWA**
- **Program Recently Extended to March 2015**
- **Current Budget is \$232.5 million**

# **Project Review**

**RO1-B: Utility Locating Technology Development  
Utilizing Multi-Sensor Platforms**

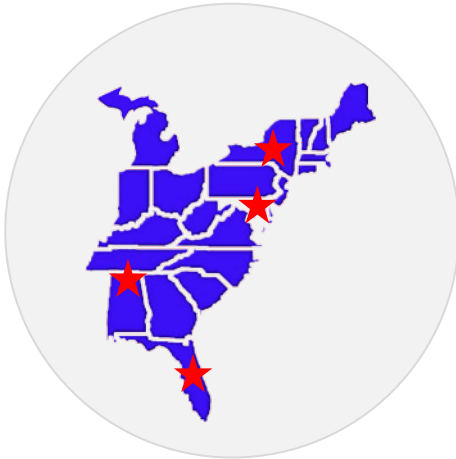
**Prime Contractor: Underground Imaging Technologies, LLC**

**Gary N. Young, Principal Investigator**

**Colin M. Kennedy, Project Manager**



# Colin M. Kennedy



- Geophysicist at Underground Imaging Technologies LLC
- SHRP 2 R01-B Project Manager
- 15 years of progressive experience in the execution and management of geophysical investigations across North America for both near-surface environmental related projects and deep subsurface natural resources exploration projects.

# SHRP 2 R01-B Outline

## 1. Project Objective

- Current Systems
- Limitations

## 2. The New SHRP 2 R01-B Tools

- Seismic System
- TDEMI System
- Software

## 3. Geophysical Service Costs

## 4. Availability of SHRP 2 R01-B Tools

## 5. Summary

# Project Objective

**“This project will support improvements in the detection and accurate determination of positions of buried utilities beyond Quality Level B as defined by CI/ASCE 38-02...” (from SHRP 2 RFP)**

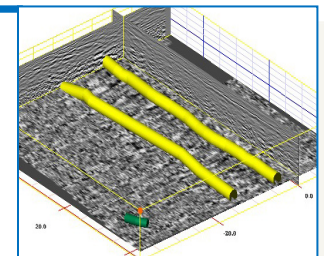
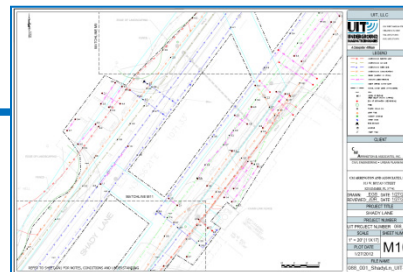
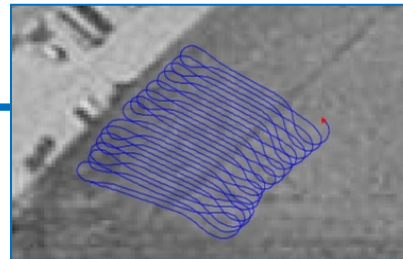
**What does this mean?**

**More Complete Quality Level B Investigations**

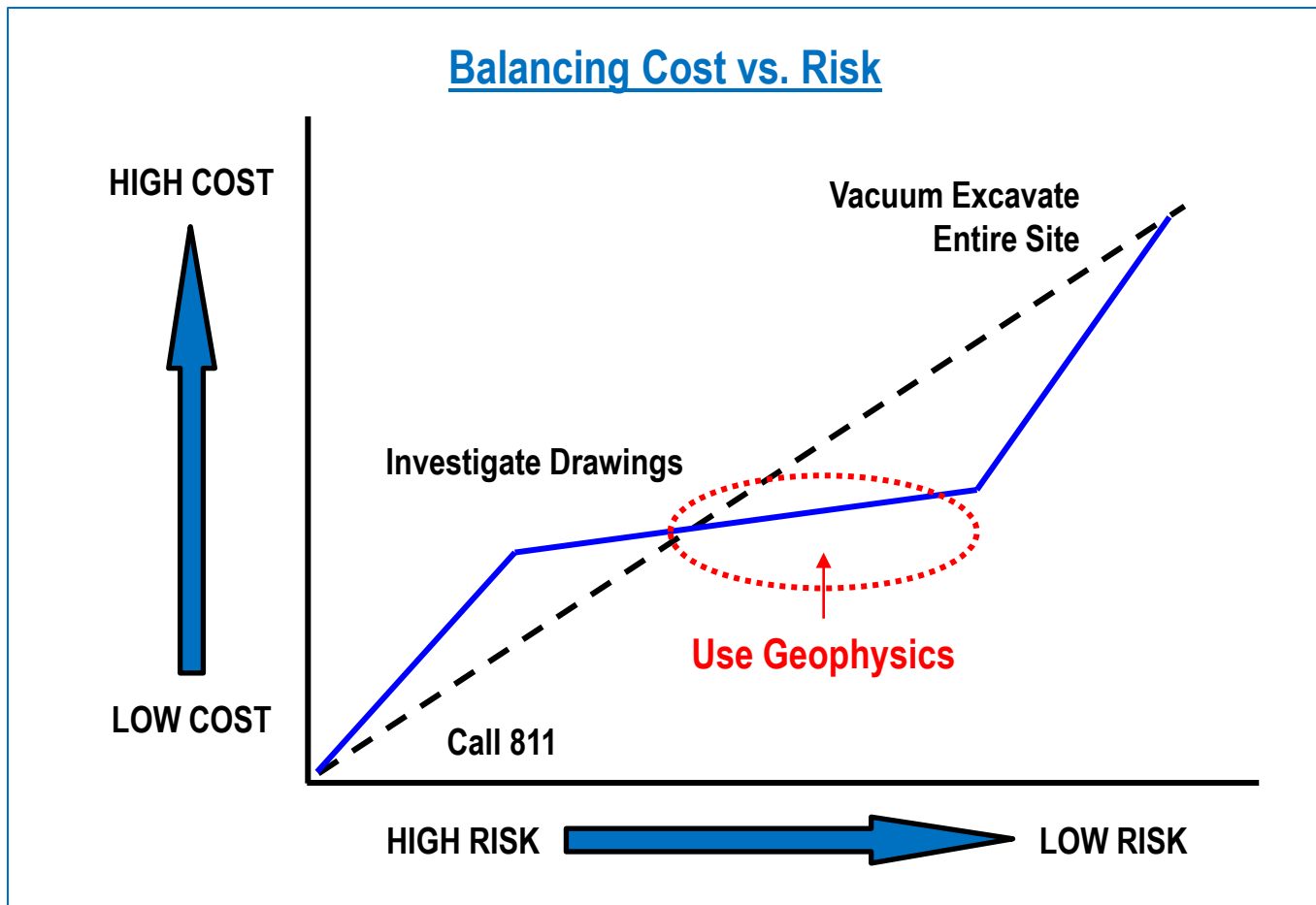
# Currently Used Advanced Geophysics

In addition to standard pipe & cable locators, etc.

- **Multi-channel GPR** ←  
Produces 3-D subsurface images
- **Multi-sensors EMI** ←  
Aids in most soils  
No connection to utility
- **Choice of positioning (GPS or RTS)** ←  
Depends on needs of the job
- **In-field system integration** ←  
GPS with GPR and EMI
- **3D processing, visualization and interpretation** ←  
Critical and difficult
- **Final digital output** ←  
CAD in client's format  
Dataset for Machine Control and Guidance



# SHRP 2 R01-B Geophysical System(s) Cost Advantage



# What are the Limitations?

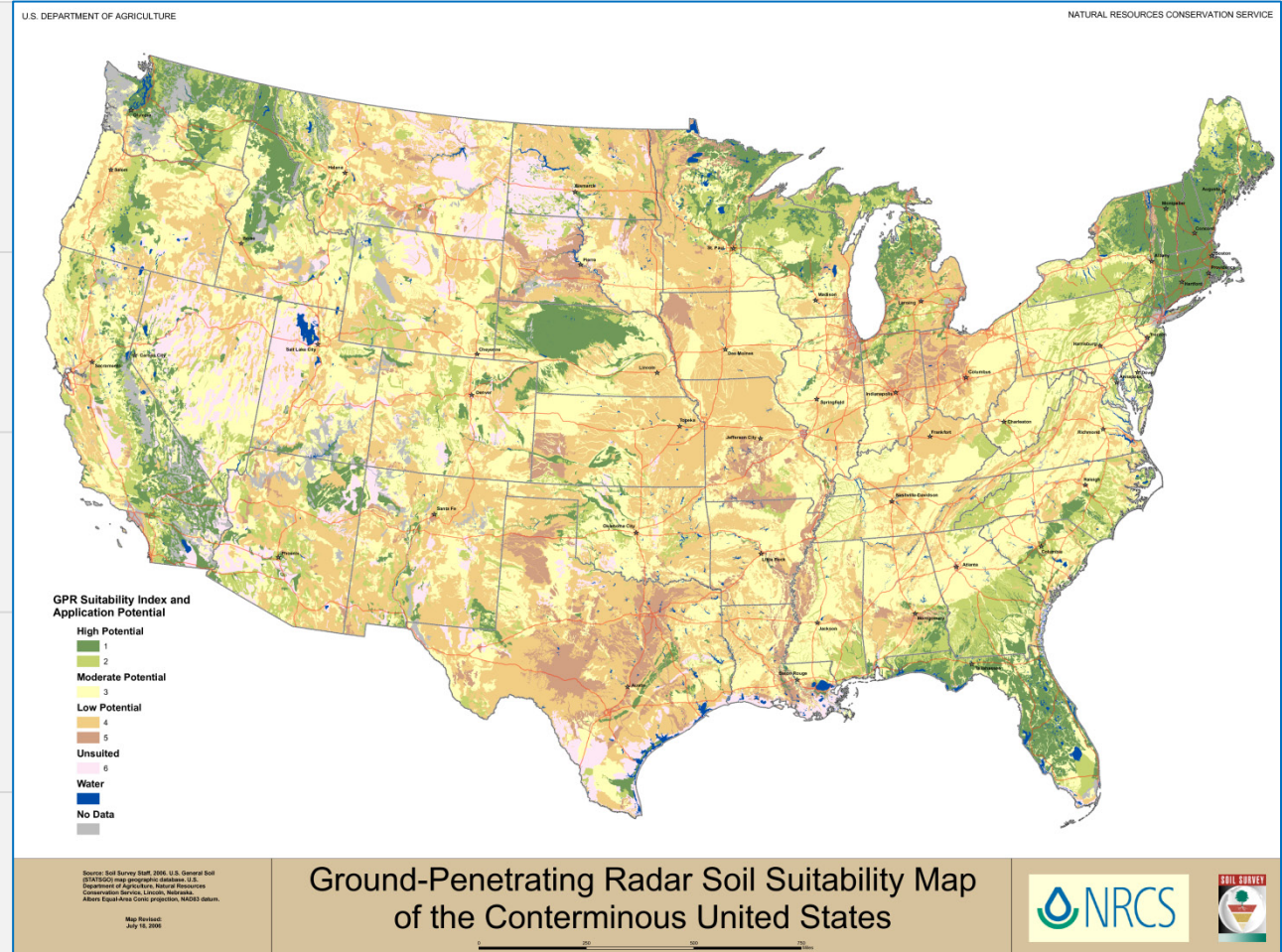
Varying Soil  
Conditions

Utility Size

Depth

Density

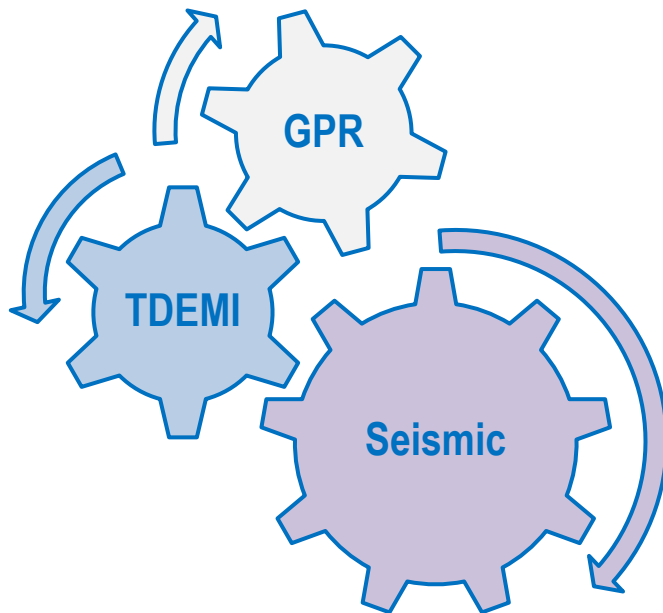
Composition





# The New SHRP 2 R01-B Tools

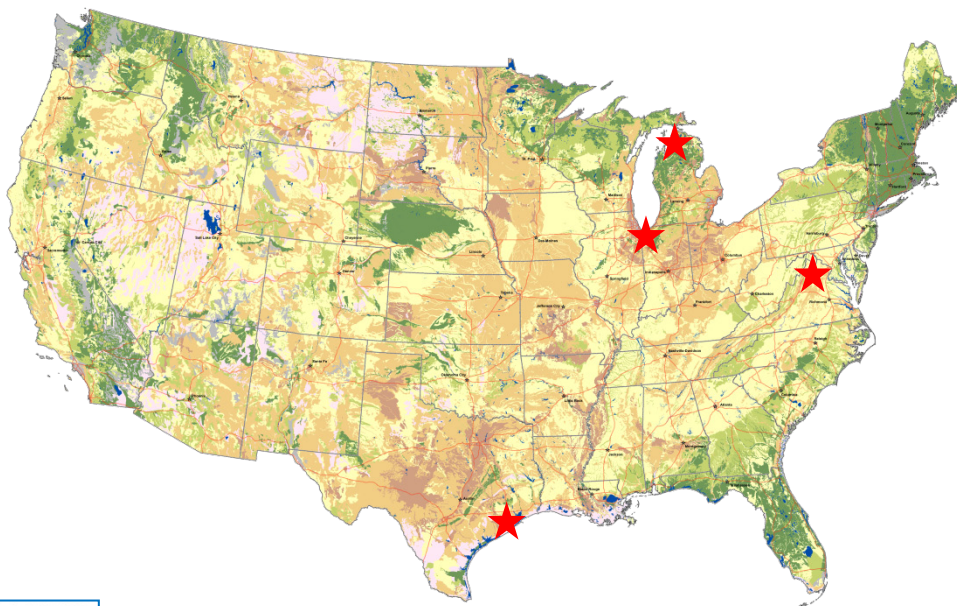
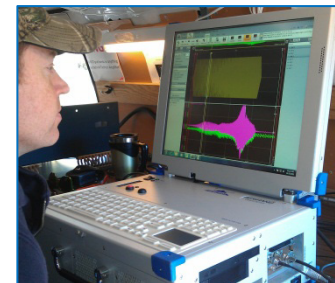
**GOAL: Continuous Mapping and 3D Results**



1. High Frequency Seismic (acoustic) Imaging System
2. Improved Time Domain Electromagnetic Induction System
3. Seismic Modeling Software
4. Improvements to Data Analysis Software

\* Integration of precise and accurate positioning equipment

# Seismic Soil Properties Testing



## Seismic Soil Properties Testing Locations

Traverse City, MI

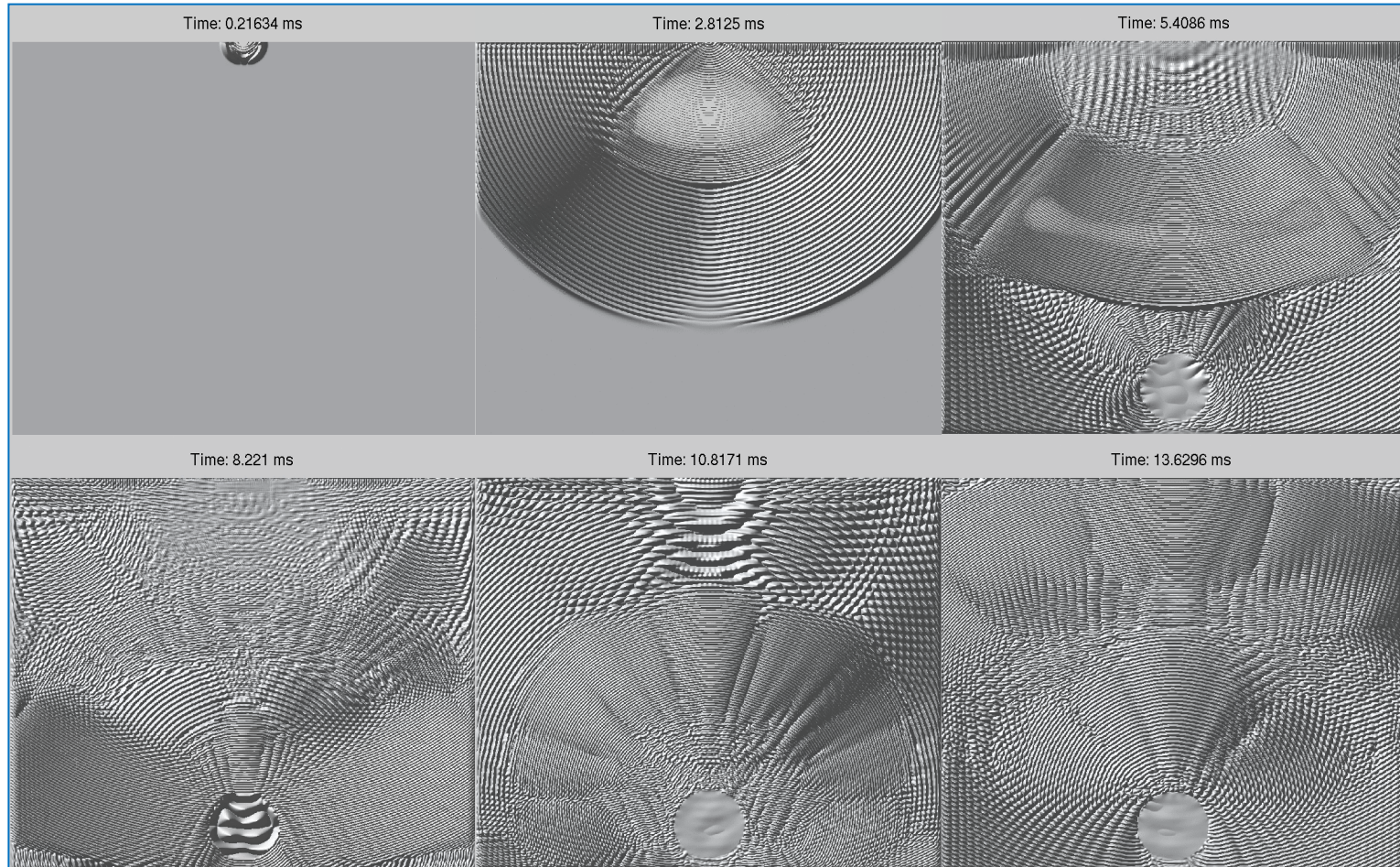
Manteno, IL

Houston, TX

Manassas Park, VA

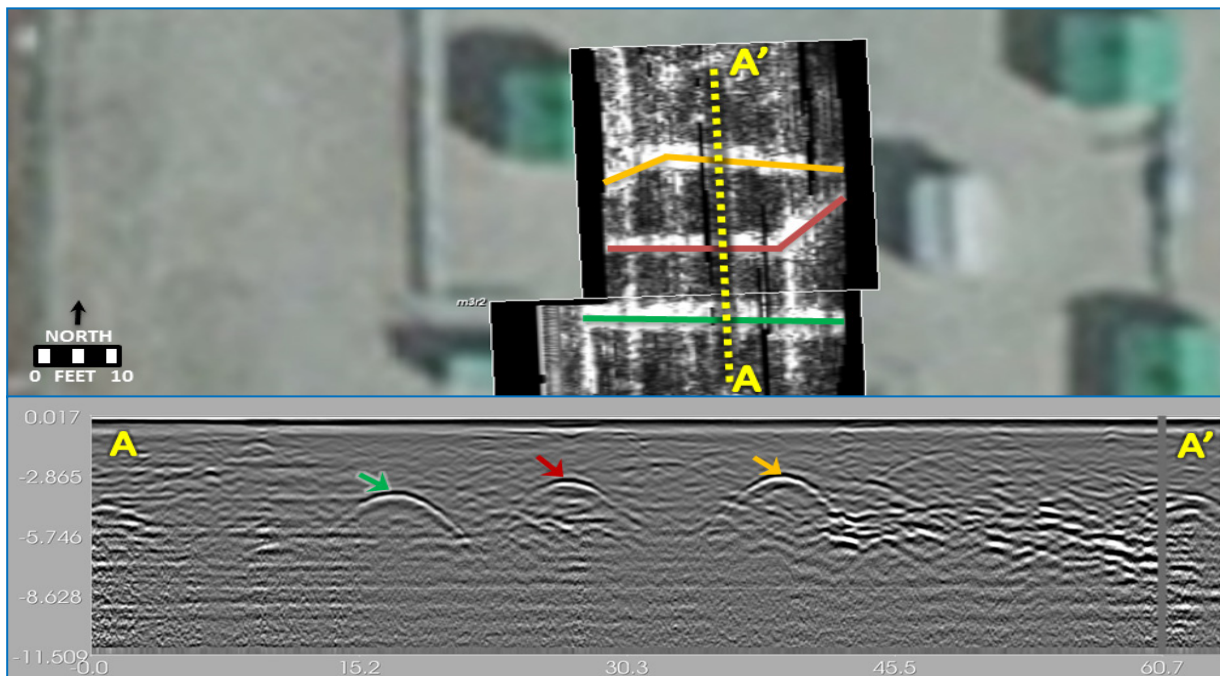


# New Software: Seismic Modeling



# Seismic System and Today's Geophysical Tools

- No such system is available today.
- The prototype seismic system will have a detection footprint and data format comparable to that of a multi-channel GPR unit **but will be effective in clay soils.**



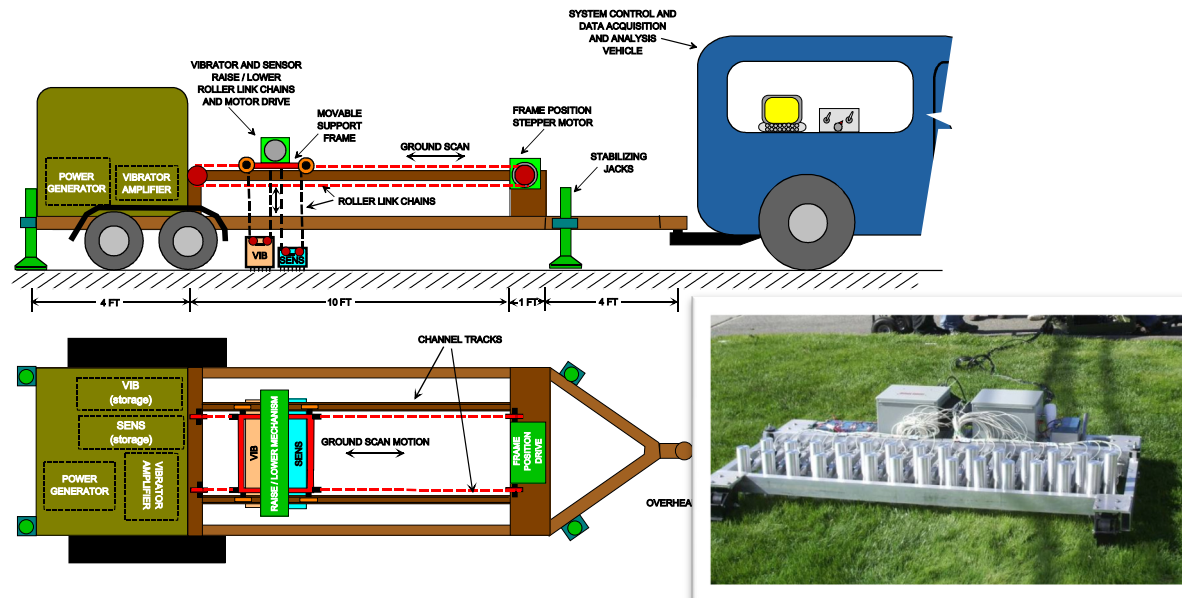
Stylized S-Wave Cross-Section To be Developed in a Similar Way to GPR

New System will  
use S-Wave  
Seismic  
With Reflection  
Imaging  
Processing  
To Make the Data  
the Same Format  
as GPR



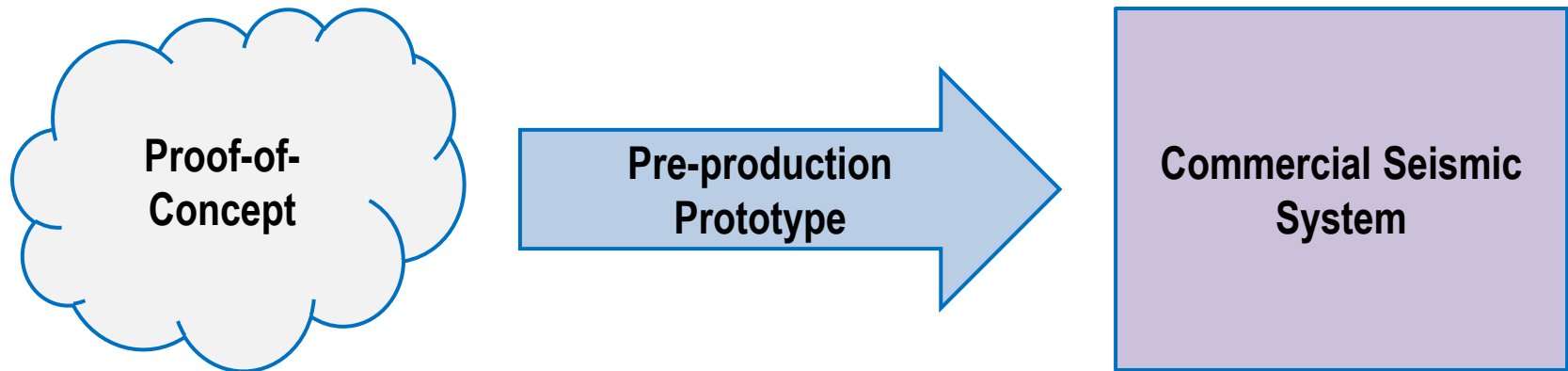
# SHRP 2 R01-B Seismic System Prototype(s)

- The seismic prototype system will be complex.
- Data acquisition, data processing and data interpretation will require specially-trained operators and analysts.
- The seismic prototype will be relatively slow in acquiring data, difficult to transport/maneuver, and rely on several codependent components.



# SHRP 2 R01-B Seismic Prototype(s) Development

- Proof of concept testing required for utility detection.
- The production system will be the 3<sup>rd</sup> step of development.





# New Sensor: Improved Time Domain EM



Naval  
Research Lab  
System



UIT Current  
EMI Mapping  
System



SHRP2 TEM Array



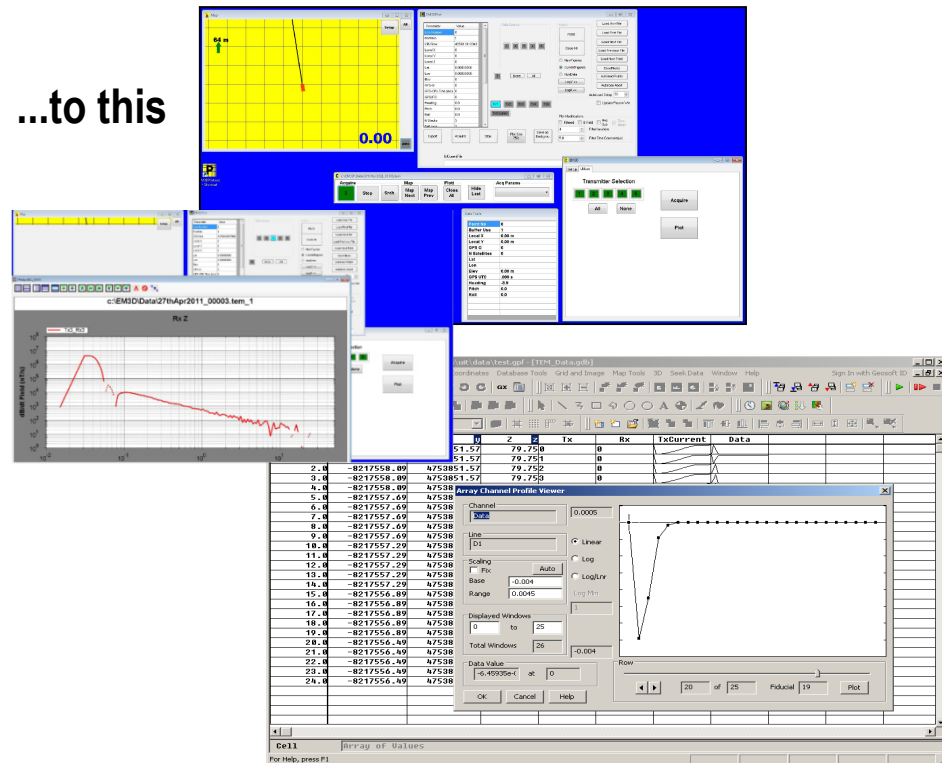
# SHRP 2 R01-B Time Domain EMI System Software

## Standard Locators Display vs. EM3D Program

From this...



...to this



# Comparison of SHRP 2 R01-B EMI System vs. Current EMI System

SHRP2 R01-B TDEMI Sensors



5 Tx/Rx pairs at 26 time gates =  
**3900** data points/second

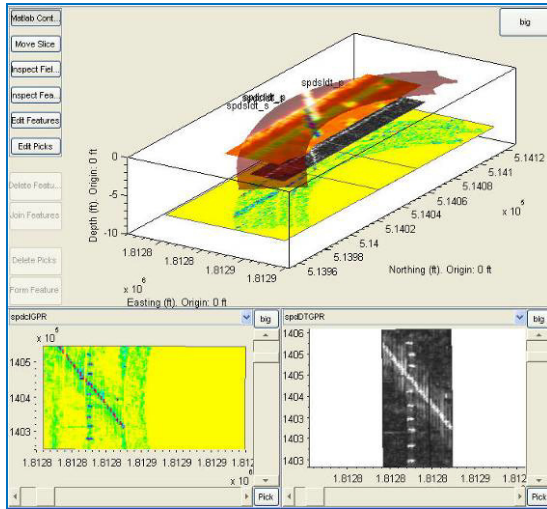
Current TDEMI Sensors



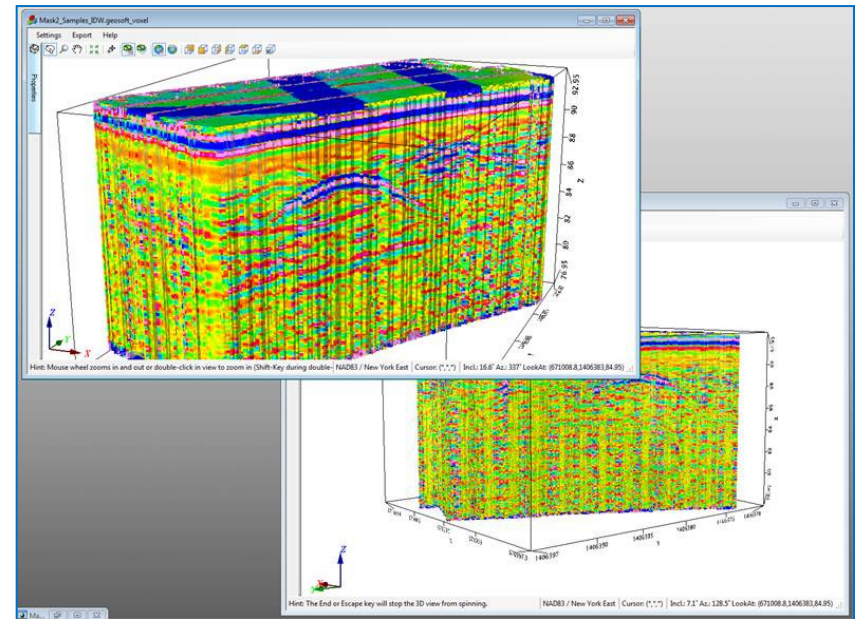
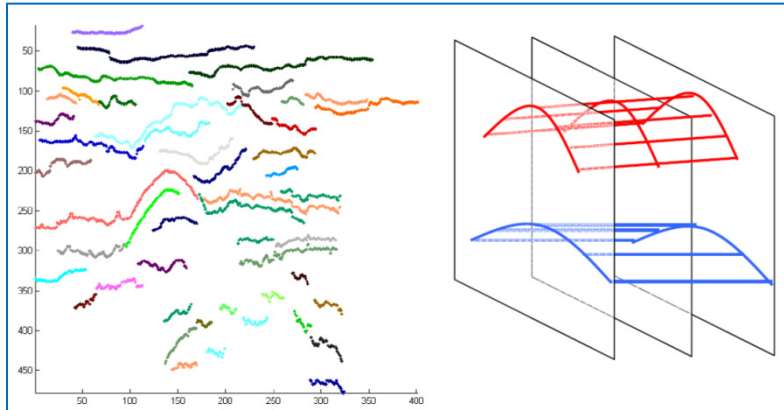
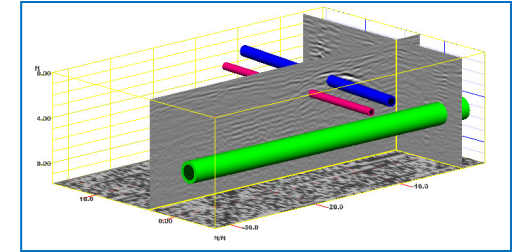
1 Tx/Rx pair at 4 time gates x 3 sensors =  
**180** data points per second

VS

# 3-D Software Interpretation Environment



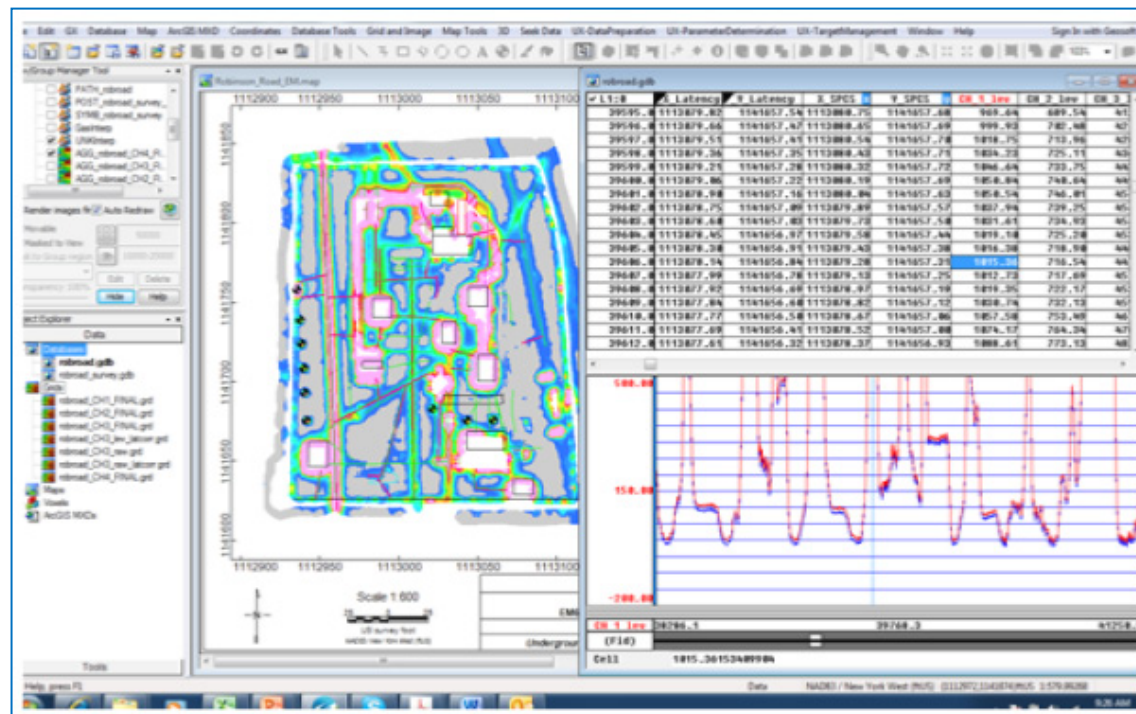
Multiple data sets  
in the same 3D  
workspace





# Software Usability and Progression

- These software tools should not be any more difficult to use than those used today
- They should offer a more user friendly graphical interface and automate the most tedious processes.
- Special training will be required to utilize these software tools effectively.



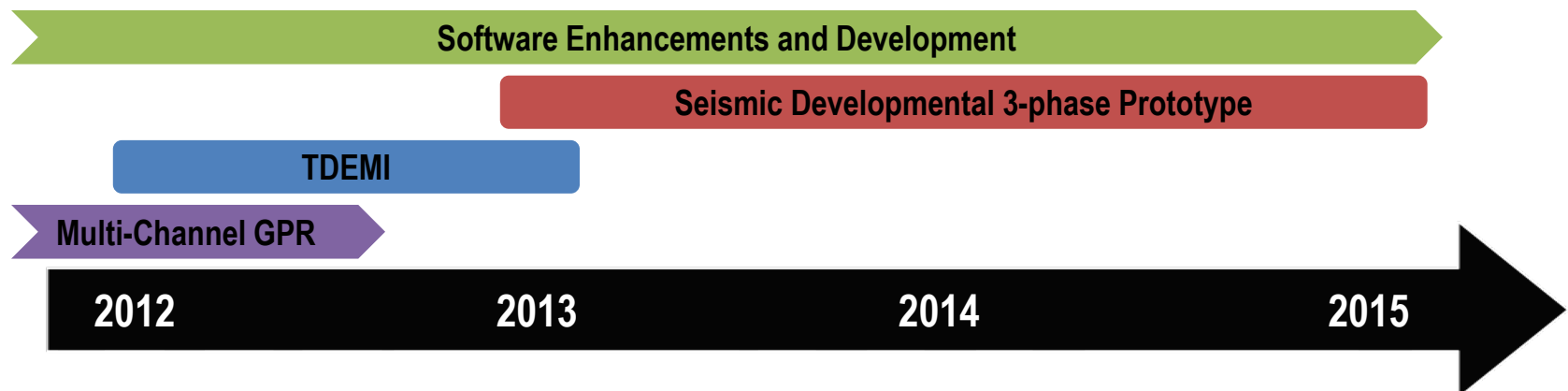
# SHRP2 R01-B Products Costs

- System field costs will be comparable to other Utility Mapping Systems.
- Geophysical service providers will use these tool in the context of Subsurface Utility Engineering.
- The larger the project and the greater the lead time the better the Return on Investment.



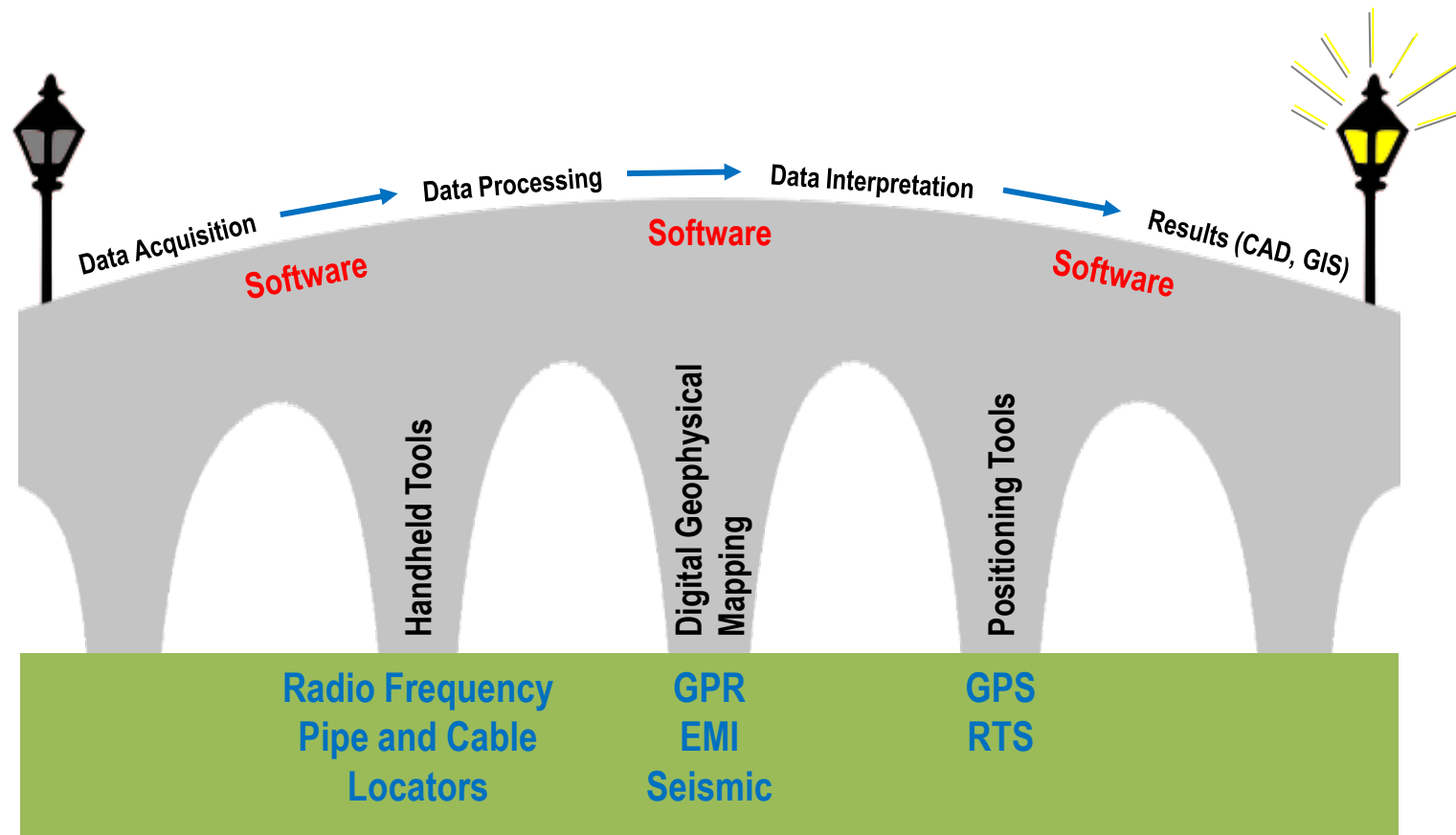
# SHRP 2 R01-B Products Availability

- The new TDEMI System: ~ 1 year (2013)
- The Seismic System: ~ 3 years (2015)
- The Multi-channel GPR System: Available
  - Improvements to data analysis functions
- Software enhancements are produced continuously with user feedback and the prototype(s) evolution.





# Summary of Service - Bridging the Elements



# Research Team

**Team Lead: Underground Imaging Technologies, LLC, A Corporate Affiliate of Caterpillar**

Team Members	Task
Owen Engineering Services (OES)	Seismic development
Psi-G and Bay Geophysical	Seismic development
SAIC	EMI development
Sagentia	Software development
Louisiana Tech Univ.	Seismic model development
University of Birmingham (MTU)	Consulting on all phases
University of Southampton (MTU)	Consulting on seismic
J. H. Anspach Consulting	Coordination with MTU, with in-service testing sponsors, and A, C projects
Geomedia Research & Development	Consulting on seismic
Radar Solutions International	Field support in testing
Dr. Tom Iseley	Consulting on outreach and in-service testing

# END OF SLIDES



*the Energy to Lead*

# **SHRP 2 Project R01-C Encouraging Technology Innovation to Improve the Extent of the Locatable Zone**

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Chris Ziolkowski  
Principle Investigator  
Gas Technology Institute

# Biography

- R&D Manager, Sensors and Automation Group at Gas Technology Institute
- Group focus on electronics and physics
- Mission of adapting new technologies to utility applications
- Multiple projects in utility and feature location



# R01-C Presentation

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- What will we cover:
  - Background and motivation for project
  - The schedule and status of project
  - Updates of 4 distinct technology products
  - Anticipated product for each of these
  - Suggested next steps for each technology

# R01-C Background

- Modern installation processes go deeper
- Depth can lead to “stacked” infrastructure
- Modern materials are less easily detected
- We need to improve both:
  - Our means to locate deep infrastructure
  - Our means of maintaining location data





# R01-C Objective

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- To test prototype technologies for locating buried facilities that are:
  - Of diverse composition
  - At depths of up to 20 feet
  - Obstructed or “stacked”
  - In the challenging, road construction environment

# R01-C Schedule

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- The R01-C was initiated in Q4 of 2009.
- A state of the art assessment and literature review was prepared.
- Prototype Development was initiated in Q2 of 2010.
- Initial field testing began in Q4 of 2011.
- Testing at an active DOT site is anticipated for April-May of 2012.
- Final Report anticipated end of July.

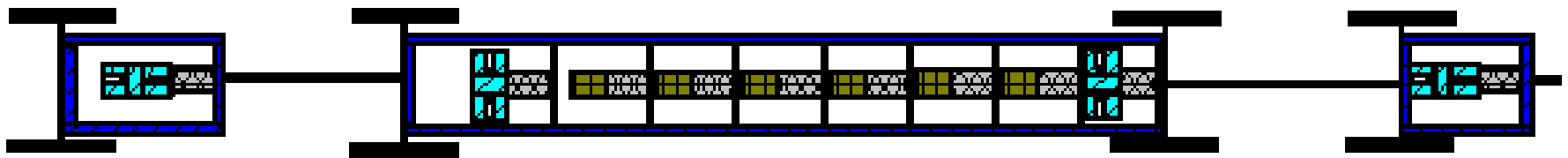
# Anticipated Technology Products

- UIT: Seismic Reflection Locator
- GTI: Active & Passive Acoustic Locator
- VAI: Long-Range RFID Tags
- GTI: Scanning Electromagnetic Locator

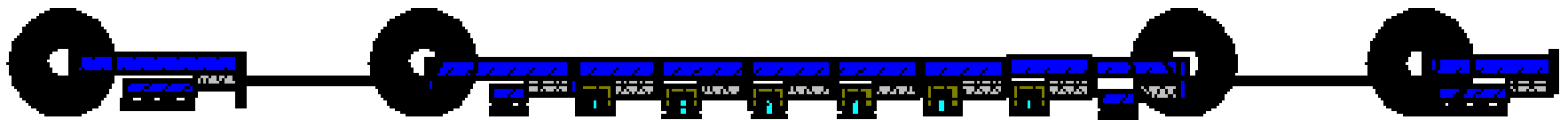


# UIT 2D Seismic Reflection Technology

- Targets all pipe materials
- Method staged completely above ground
- Shear waves have ability to resolve smaller targets
- Works in clay soils where GPR does not
- Current seismic techniques are suited for large/deep targets.



Trailer Top & Side Views



# UIT 2D Seismic Reflection Technology



Sources

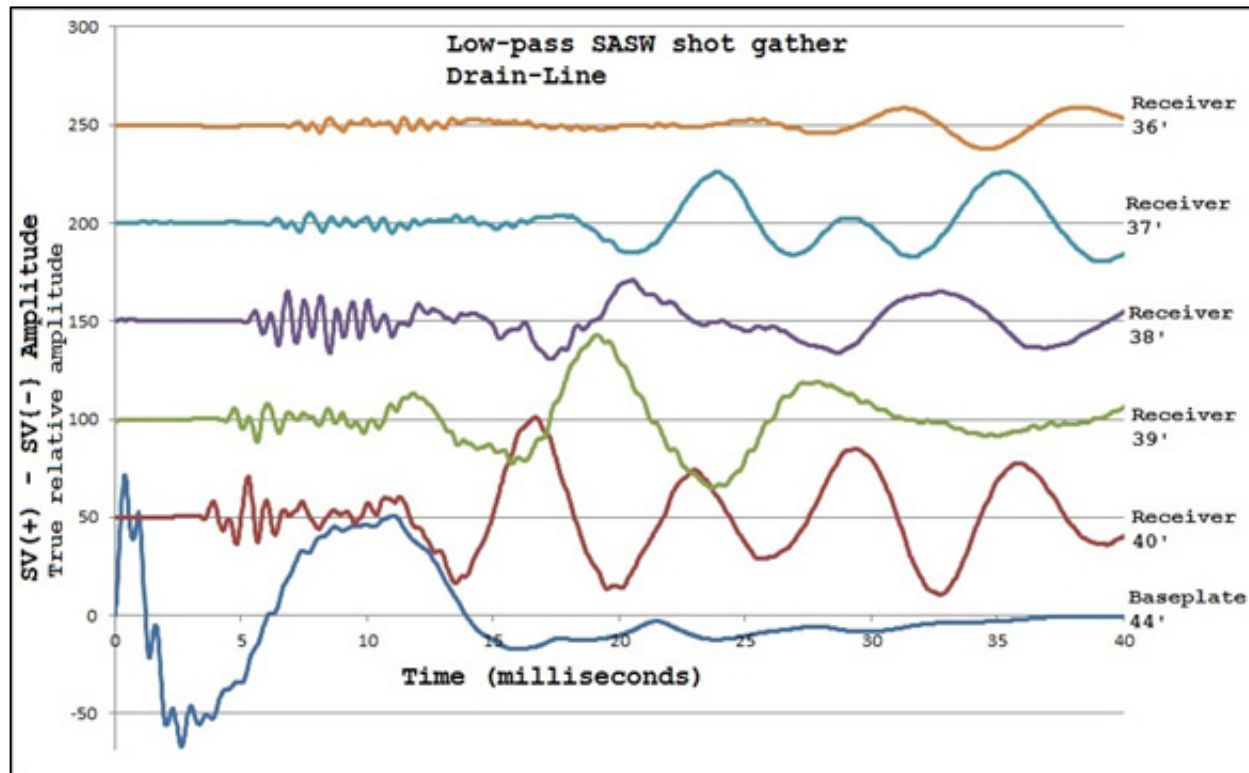


Receivers



Single Receiver

# UIT 2D Seismic Reflection Technology



Initial test data shows the surface wave propagates and attenuates more rapidly than the sub-surface wave. This is a positive result for the location of buried structures.



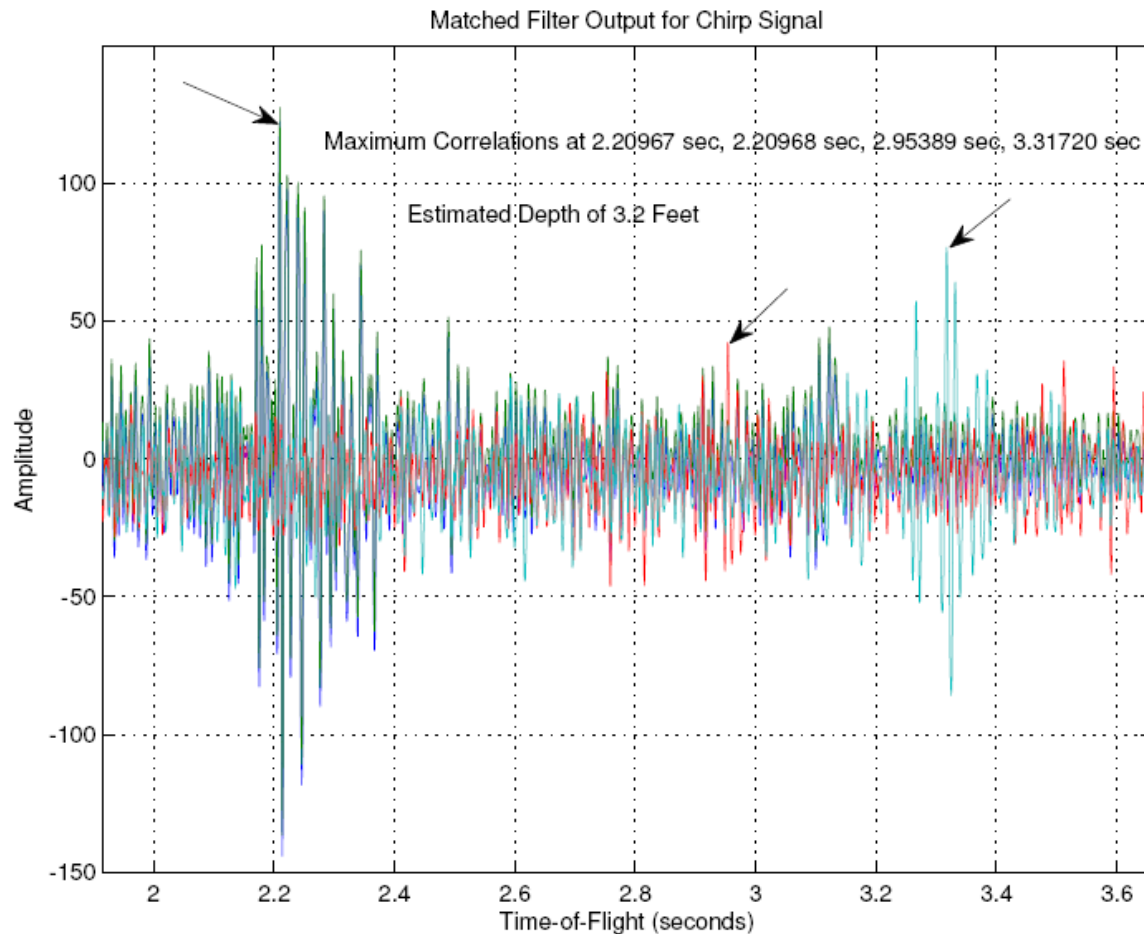
# GTI Acoustic Method

- Target can be any pipe material
- A tailored acoustic on the pipe eliminates round trip
- Improves discrimination amongst facilities
- Active requires a connection to the pipe
- Passive uses intrinsic signal; i.e. 60 cycle hum
- **Multiple wireless sensors automate depth estimate**





# GTI Active Acoustic Method



Cross correlation of multiple sensor returns gives an accurate time of flight. The TOF is then further processed to estimate pipe location and depth.

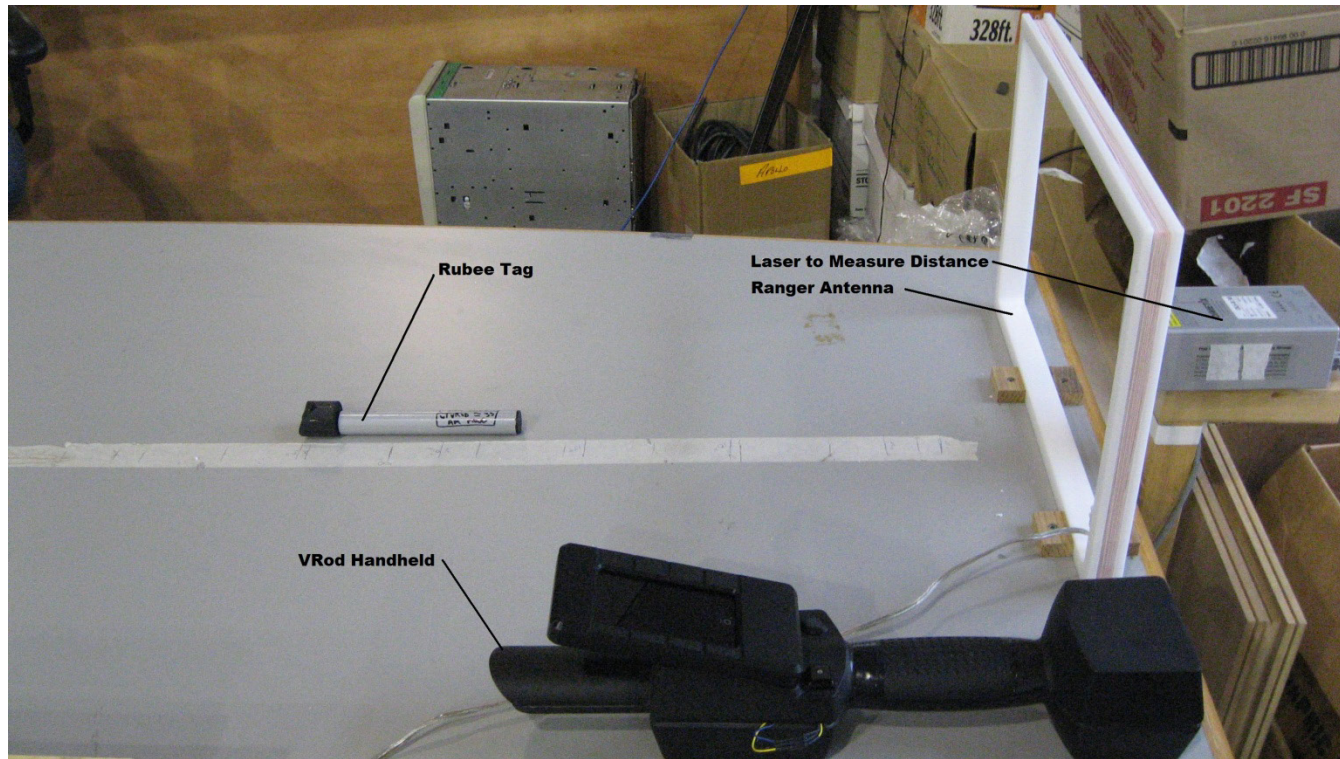
# VAI Long Range RFID Tags

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- Visible Assets Inc. is producing active RFID tags and hand-held readers for testing
  - Range of up to 40 feet with hand held reader
  - Battery life of 20+ years
  - IEEE 1902.1 public protocol communication
  - VAI in-house testing of first batch of 20 complete
- Current Smart Tags have ranges of about 8 feet and use proprietary protocols.

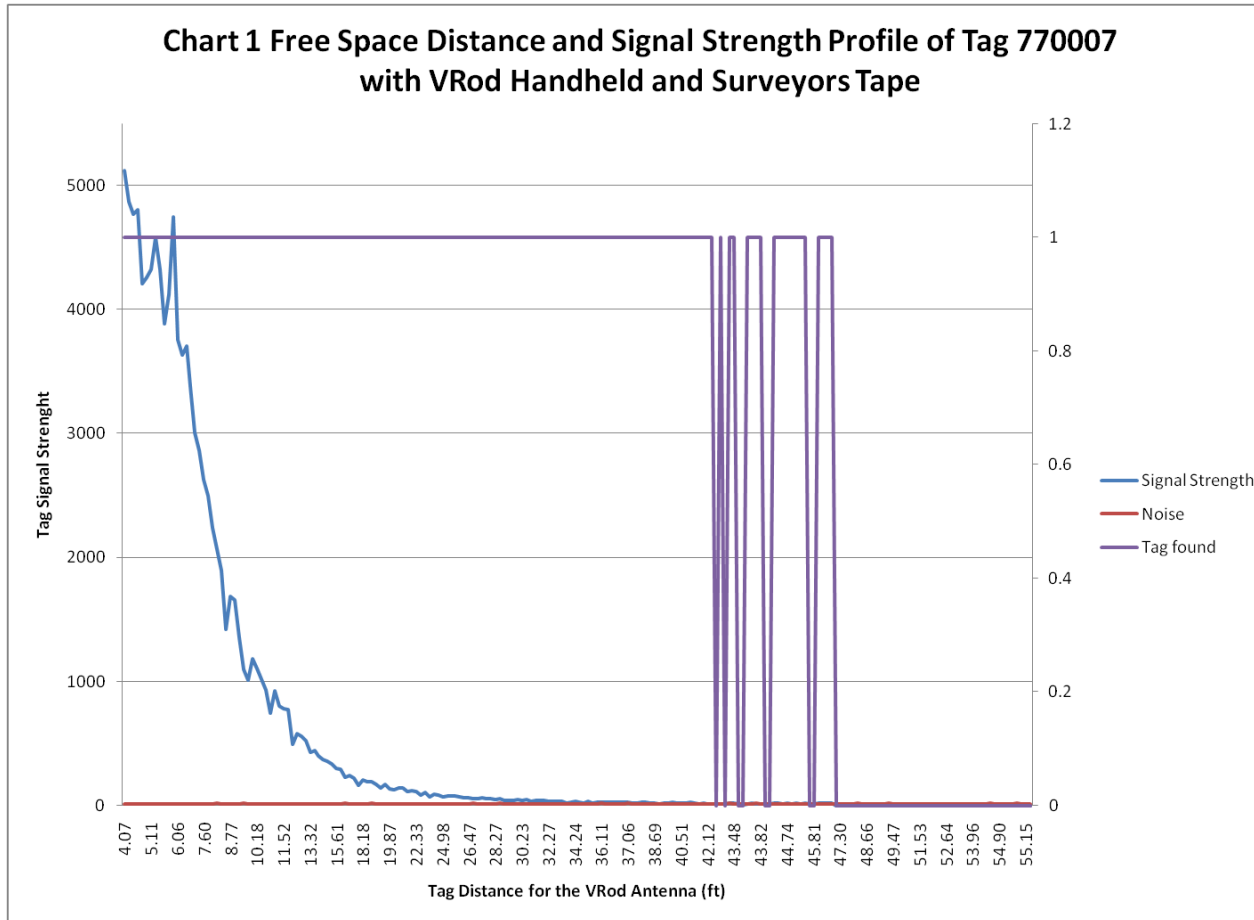


# VAI Current State of Prototypes



Tag and hand held reader under test

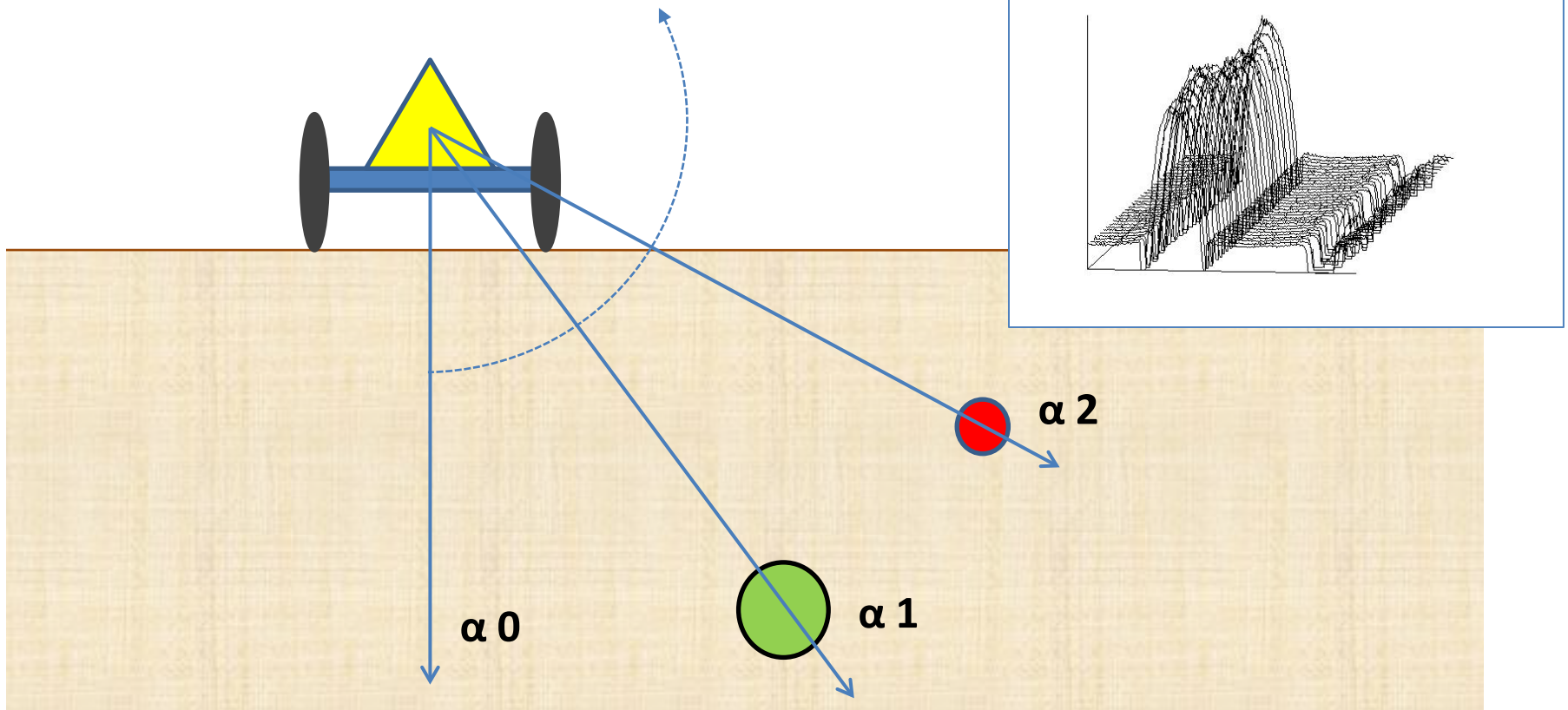
# VAI Prototype Test Data



Positive acquisition of Smart Tag to greater than 40 feet

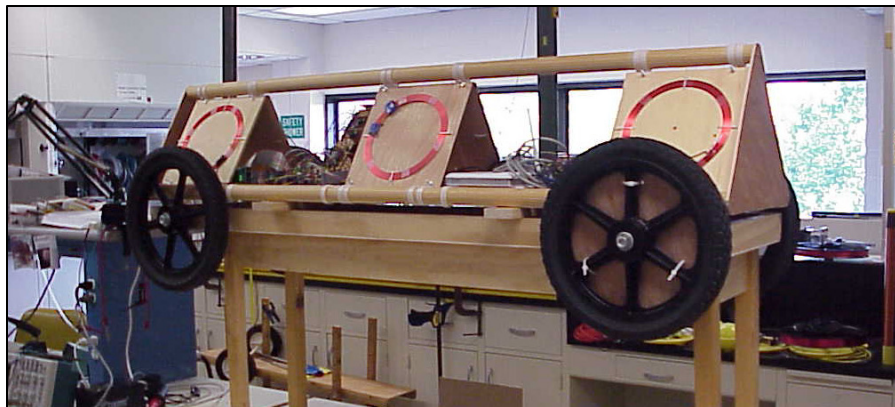
# GTI Scanning EM Concept

Cart rolls parallel to pipe path (into page)  
EM field scans perpendicular to path



# GTI Scanning EM Prototype

- Low frequency EM for depth of penetration
- Inducer and differential pick up moves with the cart
- Unresolved signal strength issues delaying testing
- May be dropped from testing program
- Scan angle provides added location data with fewer passes





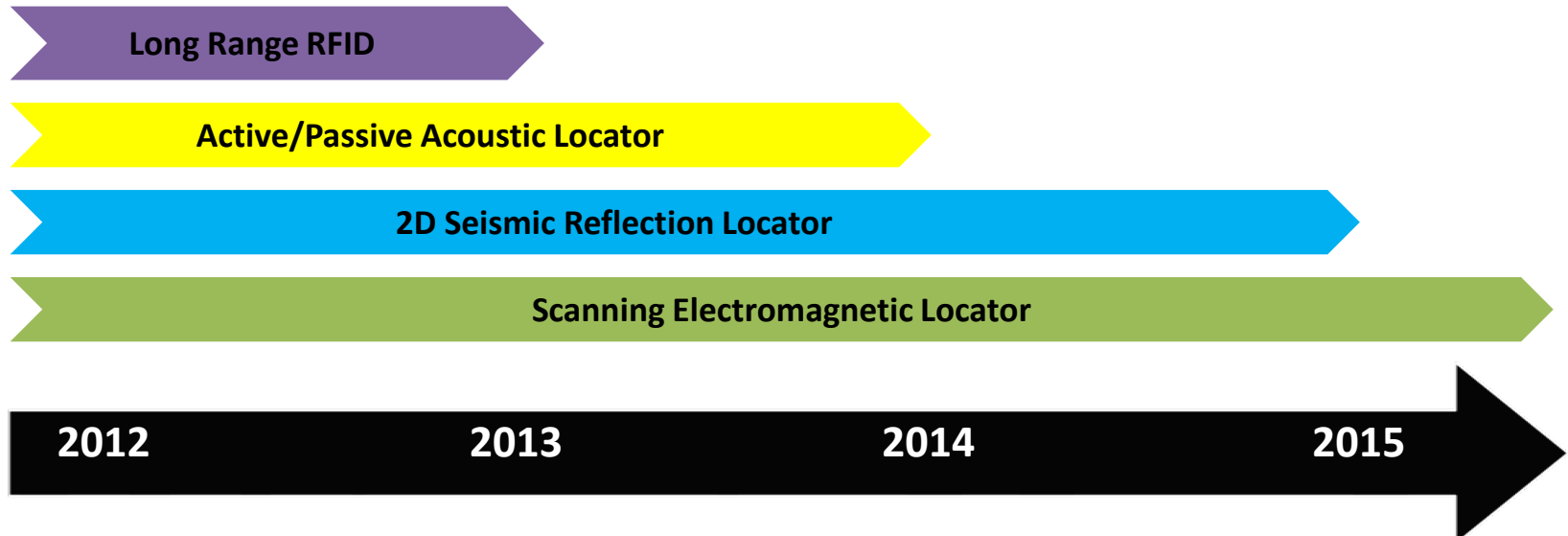
# Anticipated Product Characteristics

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- What is different about these new tools?
  - Explicitly designed for extended depths
- What new capabilities will they provide?
  - Acoustics and low-frequency EM both work in wet and mineral laden soils that stop GPR
- Will they be more difficult to use?
  - The extended depth tools require more effort than basic locators; they are intended for difficult locates.
- Will they require special training and/or operators?
  - The prototypes; definitely. The productized versions can be made easier to use.

# SHRP 2 R01-C Products Availability

- Long Range RFID Tags: ~ 1 year (2013)
- Active/Passive Acoustic Locator: ~ 2 years (2014)
- 2D Seismic System: ~ 3 years (2015)
- Scanning Electromagnetic system: minimum of 3 years



# Anticipated Product Availability

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- The Smart Tags are closest to commercialization; VAI would like to license. The tags could be sub-\$10 in volume.
- Acoustic Methods could be licensed out as hardware is well defined. Additional field testing could be useful in raising awareness in manufacturers and the user community.

# Anticipated Product Availability

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- The Seismic Reflection will likely require another generation of prototype after the R01-C testing. A hardened prototype and additional testing would raise industry awareness of this technology.
- The signal strength issues for the Scanning EM technology must be resolved before basic testing can be completed. This will require additional funding for hardware modifications.



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# **SHRP2 Project R01-A: Technologies for the Storage, Retrieval, and Utilization of 3-Dimensional Utility Location Data**

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**Bill Gale**  
Team Technical Lead  
Gas Technology Institute

# Presenter – Mr. Bill Gale/GTI

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Principal Investigator on multiple GPS/GIS based R&D efforts at GTI. Joined GTI in 2010 after 32 Years with a private Utility (New York State Electric & Gas).

Past experience includes:

- Expertise in the design and development of several large scale enterprise GIS based systems and led the design , development and implementation of the first GIS based Electric Outage Management System .
- Over two decades of experience implementing GIS, document management systems and mobile computing as primary technologies for both the gas and electric utility industry.
- Over 15 years of experience in managing engineering and field construction activities.

Education : BS Wood Products Engineering SUNY Environmental Science and Forestry at (Syracuse University). Completed 3 years of a 5 year Masters program in theology as part of a Diaconal studies.



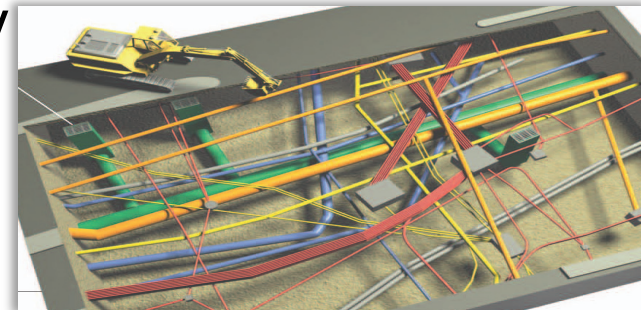
# Agenda

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- > Background
- > Industry Problem
- > Project Objective
- > Expected Outcome
- > Research Approach
- > Research Products
- > Schedule and Status
- > System and Work Flow Diagrams

# Background

- > DOTs need accurate and up-to-date utility information during project development in order to consider the impact on utilities.
- > Designers are usually provided with this information at the beginning of a project, but do not have a mechanism to ensure it is kept up-to-date.
- > There is currently no system in place to track utility changes during a project and notify designers of the changes.
- > DOTs need to completely re-map utilities for every new project.



# Project Objective

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- > Create a system that provides a single, up-to-date repository for 3-D utility location data within a project boundary
- > Leverage existing permitting and one-call processes to create a change notification system
- > Develop supporting administrative procedures
- > Utilize existing DOT 2-D and 3-D CAD design software

# Expected Outcome

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- > Reduce re-design work resulting from utility changes unknown to the DOT designers
- > Reduce project delays in the design and construction phase
- > Reduce excavation damage to utility lines



# Research Approach

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- > Adopt and adapt a 3-D utility data model (Proprietary Model or Industry Standard)
- > Utilize a spatial document management system
- > Leverage existing 3-D software tools
- > Create administrative procedures
- > Incorporate supporting best practices

# 3-D Utility Data Model

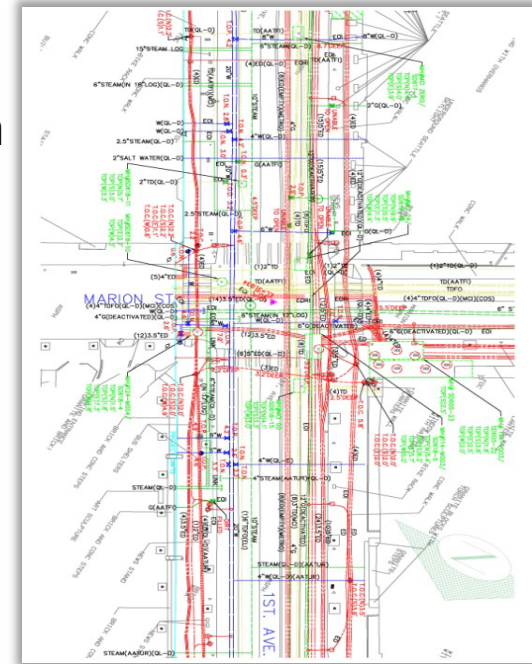
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- > Sufficient detail to allow designers to model Utility:
  - Location (x, y, z)
  - Attributes (size, material, owner, etc.)
  - Feature Quality and accuracy (ASCE Quality Level)
  - Administrative controls (security, access, etc.)



# Spatial Document Management System

- > Stores all project documents, raster and vector drawings, spreadsheets, survey data, etc.
- > Spatial features allow administrative controls
  - Project Boundary Polygon
  - Right of Way (ROW) Permit Boundary Polygon
  - One-call Ticket Boundary Polygon



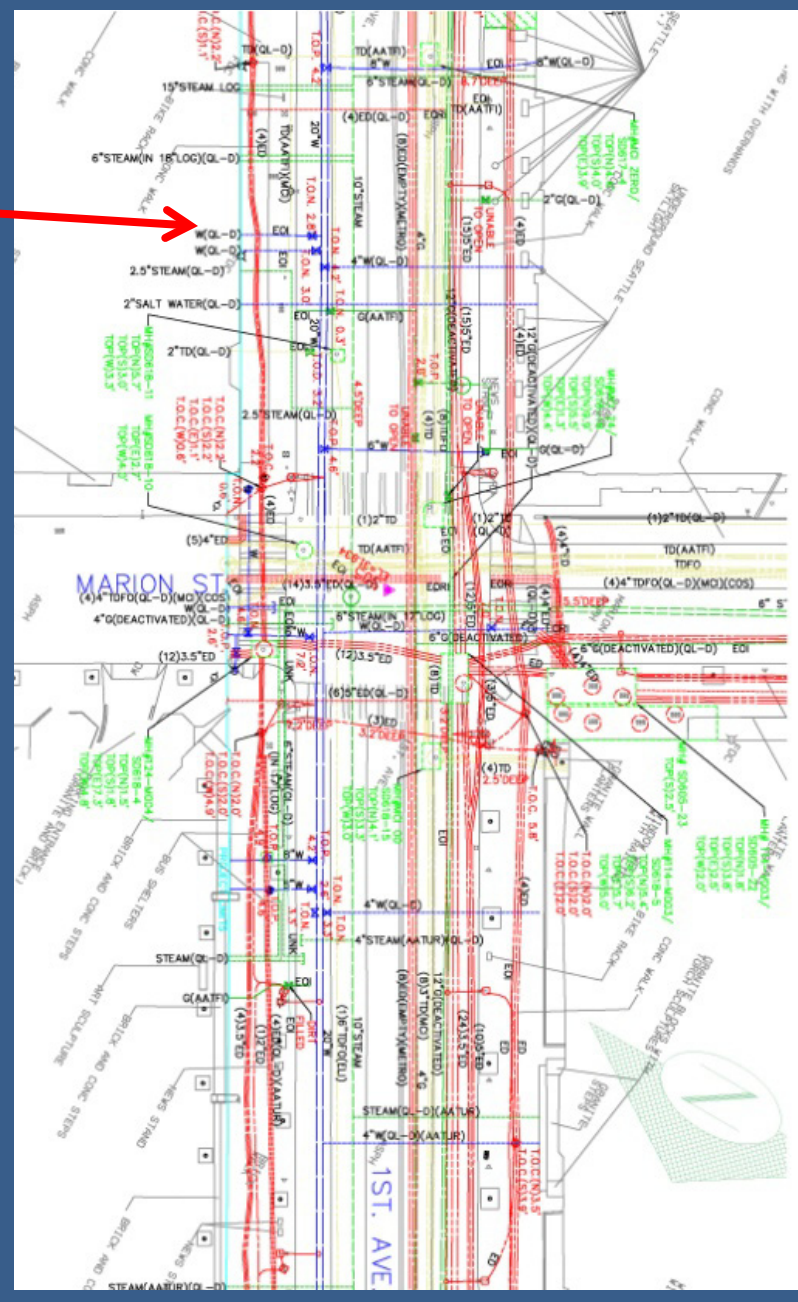
# Administrative Procedures

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- > Integration with (ROW) permit and one-call process
- > Quality and accuracy management
  - Gatekeeper function
  - Certified Record Drawing
- > Balancing security with access

Initial Project Utility Mapping

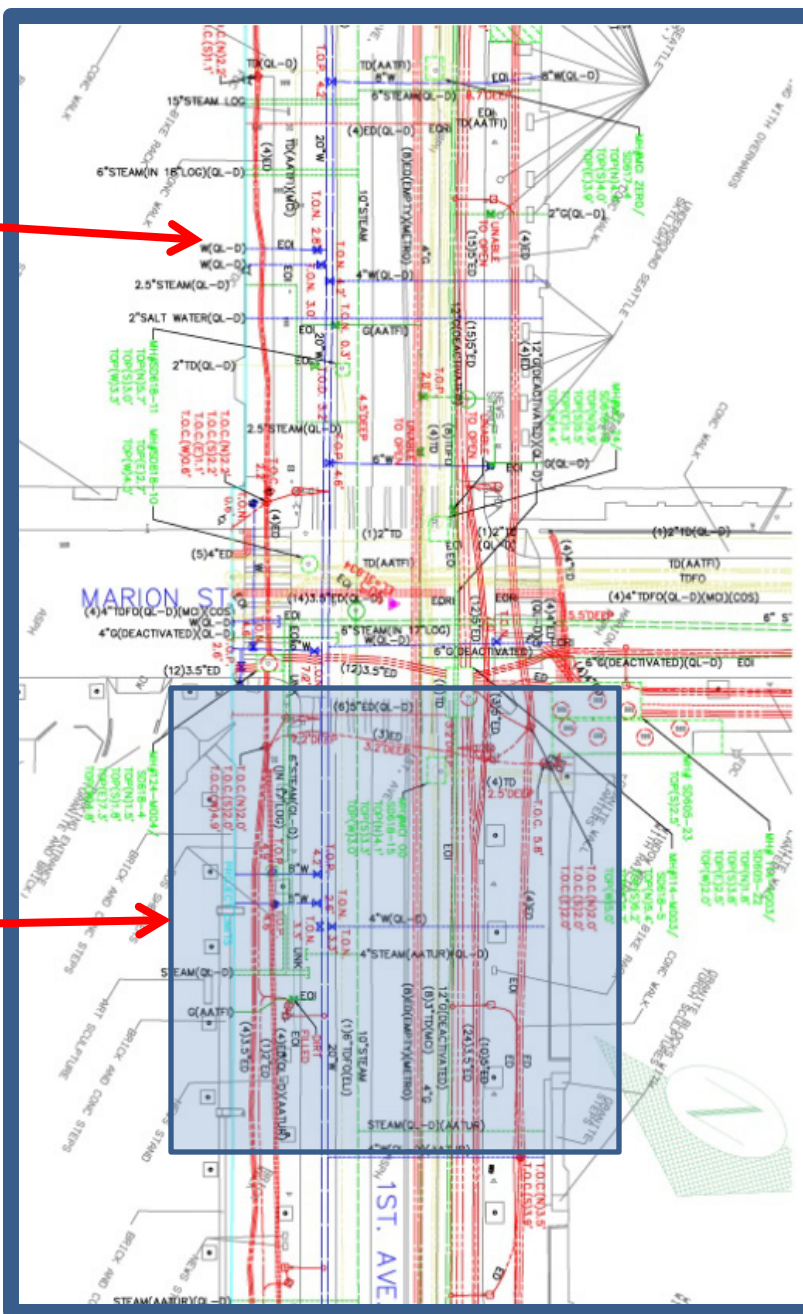
The DOT Project Limits  
(Project Boundary Polygon)



Initial Project Utility Mapping

The DOT Project Limits  
(Project Boundary Polygon)

Boundary of New  
DOT ROW Permit for  
Utility Relocation  
and/or new  
installation (Permit  
Boundary Polygon)



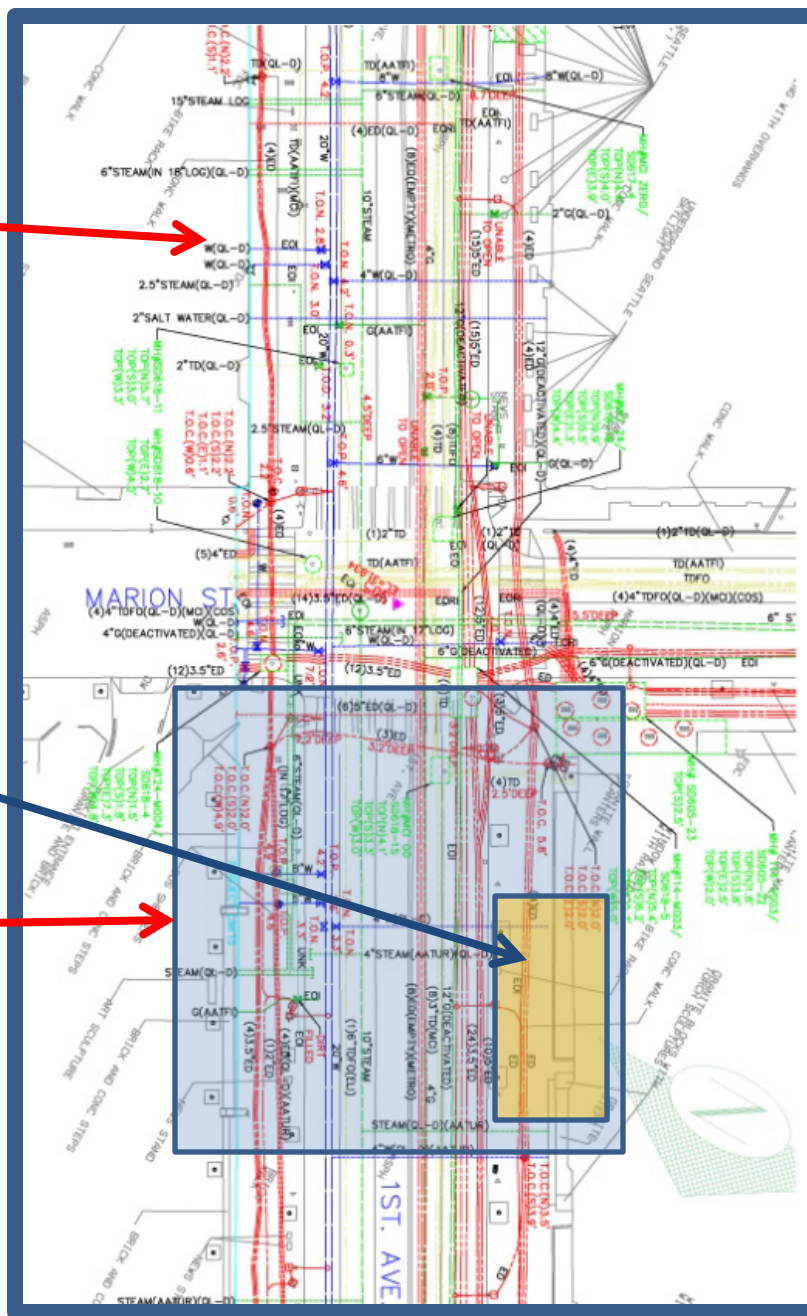


Initial Project Utility Mapping

The DOT Project Limits  
(Project Boundary Polygon)

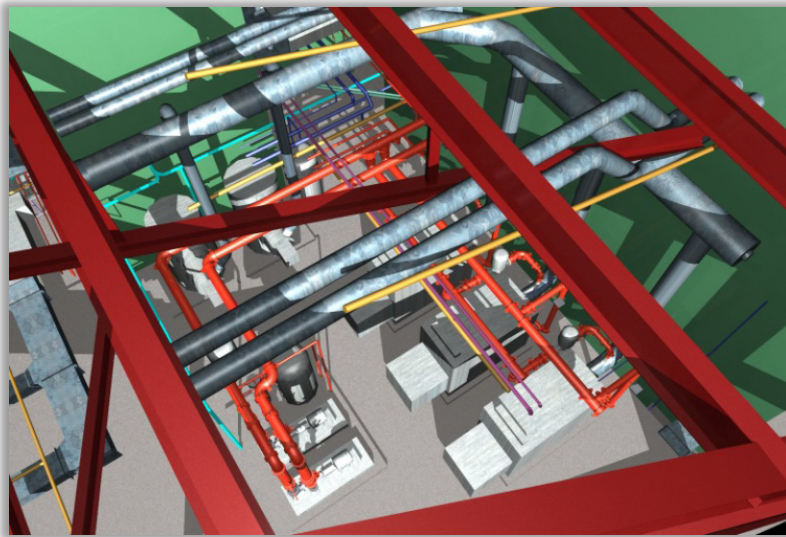
One-Call Ticket (One-Call  
Ticket Boundary Polygon)

Boundary of New  
DOT ROW Permit for  
Utility Relocation  
and/or new  
Installations (Permit  
Boundary Polygon)



# Visualization and Notification

- > Utilize existing 3-D visualization tools
- > Change and **notification** system



## EMAIL

Date: September 10, 2012  
To: All Project T-31 Task Designers  
From: Utility Gatekeeper

There is a change to the existing utilities on the referenced project.

Location: At Project GPS Coordinates 38.54.47.13N ; 77.13.35.98W  
What: Washington Gas has relocated their 10" HP gas line

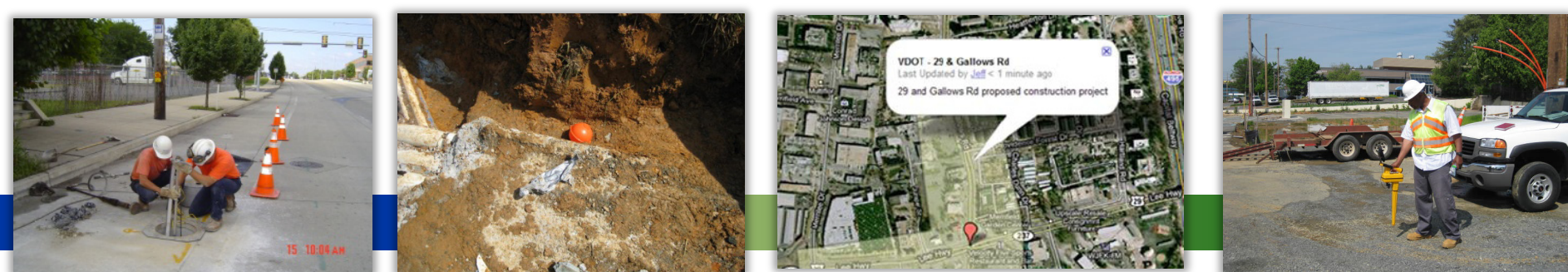
Please view document UM 21.6 for details of the change.

The Master Utility Map has been updated as of 9/10/12, 10:42AM EST.



# Supporting Best Practices

- > RFID marker ball and smart tag technology
- > Certified Record Drawings for new installations
- > Electronic DOT ROW permit Feature
- > Electronic one-call boundary “white-lining” Feature
- > ASCE 38 Utility Quality Levels on GIS attribute and CAD file metadata
- > Geo-stamping photos and other data collection activities
- > Incorporation of data resulting from advanced tools developed under SHRP B&C technologies
- > Incorporation of CSA S250



# Research Products

- > 3-D Utility Data Model
- > Implementation Strategy
- > Proof of Concept System
- > Pilot Project
  - Virginia DOT, VUPS, participating utility companies
  - Implementation with existing tools
  - Inclusive of RFID marker ball program
  - Evaluation of administrative controls
  - Evaluation of administrative control processes



# Research Products/Audience

---

## > Final Report

- Recommendations for further implementation
- Technology and administrative best practices

## > DOTs, one-call centers, vendors, and service providers can use the process model and best practices to support further implementation

# Schedule and Status

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- > Completed Phase 1 Report January 2012
- > Starting Proof of Concept End of 1<sup>st</sup> Quarter 2012
- > Pilot Project Mid 2012
- > Project Completion – December 2012

# Questions?

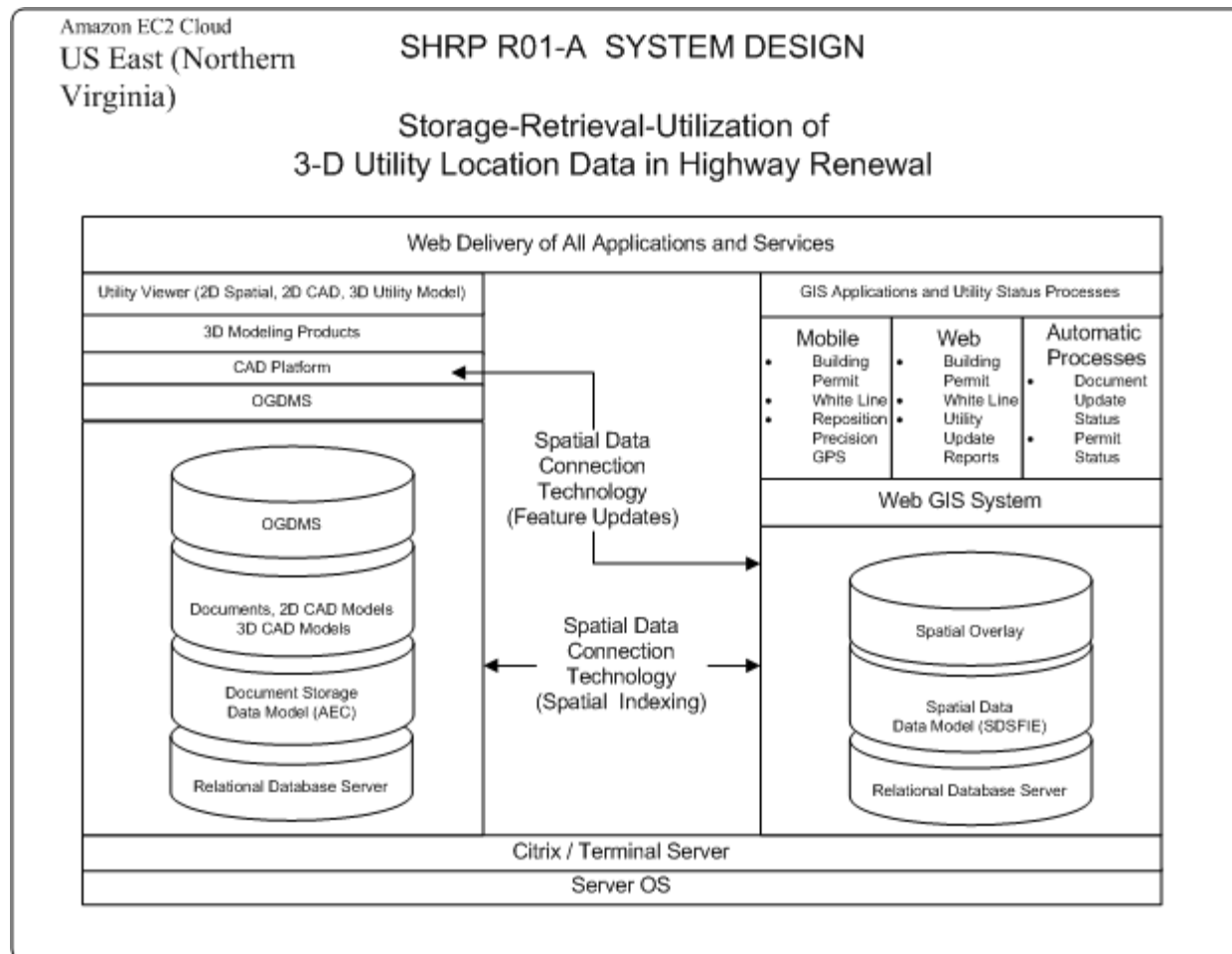
# Questions and Answers

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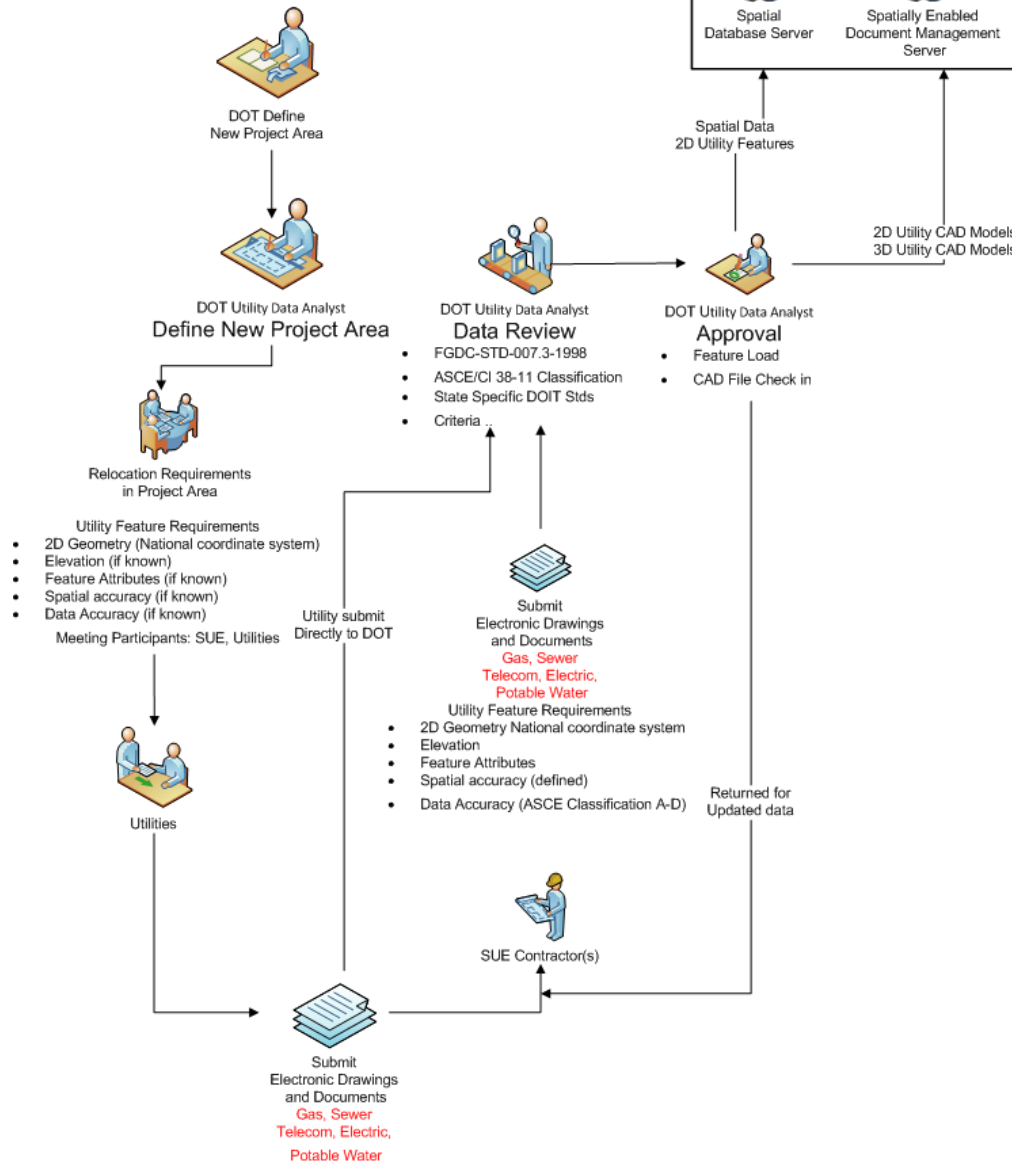
- > 1. The new tools will provide a automated means of understanding change within a project area.
- > 2. New 3-D and 2-D views of utility change and data quality available without laborious research time.
- > 3. The tools will require additional training and understanding of the system nomenclature and work flow. DOT organizations should restructure their work practices.
- > 4. New software products may need to be purchased or extensions added to existing systems.
- > 5. The Prototype has not been developed for pricing. Additional information will be available mid Summer 2012.



# Proof of Concept & Pilot System

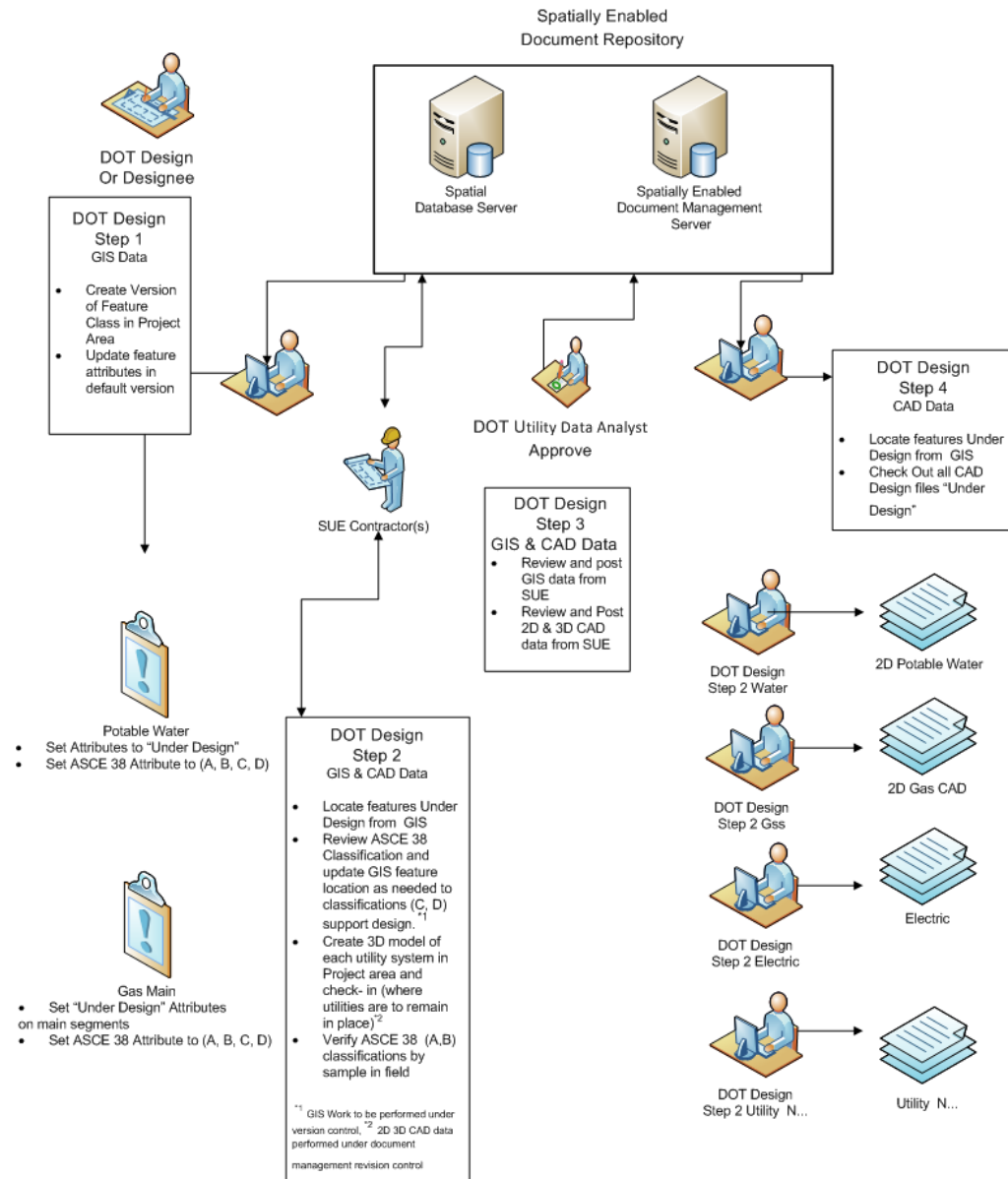


## Initial System Data Load Work Flow



## System Work Flow Data Load

# Highway Design Work Flow



## System Work Flow Design

# Utility Data Change Control Processing (Continuous)



## DOT Utility Data Analyst Change Control Processing

- Review Building permits \*
- Correlate one call permits with Building Permits
- Mark utilities in question in project areas (2D spatial data, 3D Model;)
- Manage as-built data request document flow
- Message Utilities for As-built drawings for changes from open permits
- Allow issue of permits based on updated documentation (previously opened permits)

- Message for as-built documents
- Manage issue of permits



Utilities

Submit  
Electronic Drawings  
and Documents  
Gas, Sewer  
Telecom, Electric,  
Potable Water

Utility submit  
Directly to DOT

DOT Utility Data Analyst  
**Data Review**

- FGDC-STD-007.3-1998
- ASCE/CI 38-11 Classification
- State Specific DOT Stds
- Criteria ...



DOT Utility Data Analyst  
**Approval**

- Feature Load
- CAD File Check in

Returned for  
Updated data



- SUE Contractor(s)
- DOT survey

Submit  
Electronic Drawings  
and Documents  
Gas, Sewer  
Telecom, Electric,  
Potable Water

- Utility Feature Requirements
- 2D Geometry National coordinate system
  - Elevation
  - Feature Attributes
  - Spatial accuracy (defined)
  - Data Accuracy (ASCE Classification A-D)

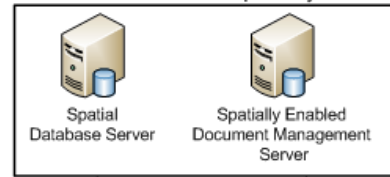
Revise/Update Utility Models

- Spatial Data
- 2D Utility Features

Revise/Update Utility Models

- 2D Utility CAD Models
- 3D Utility CAD Models

Spatially Enabled  
Document Repository



# System Work Flow Change Management

# SHRP 2 Project R15-B: Identification of Utility Conflicts and Solutions

**Cesar Quiroga**

Texas Transportation Institute

New SHRP 2 Tools for Underground Utility Location,  
Data Collection, and Analysis

SHRP 2/FHWA Webinar, February 15, 2012

# Cesar Quiroga's Profile

- Senior Research Engineer and Manager, San Antonio Office at TTI
- Current research work:
  - Project development process optimization
  - Utility coordination and ROW acquisition
  - Spatial data technologies
  - Impacts of energy developments on the transportation infrastructure and ROW
- MSCE and Ph.D. from Louisiana State University
- Member ASCE, ITE, IRWA
- Co-Chair, TRB Spatial Data Committee
- Secretary, TRB Utilities Committee



# Presentation Outline

- Background and research objectives
- Research products
- Anticipated value and implementation cost
- Answers to today's questions
- Pilot implementation



# Utility Conflict Solution Strategies

- Remove, abandon, or relocate utilities in conflict
  - Relocating utilities NOT ALWAYS the best or most cost-effective solution
- Modify transportation facility
- Protect-in-place utility installation
- Accept an exception to policy

# Research Objectives

- Utility conflict matrix (UCM): Important tool for managing utility conflicts
- Objectives:
  - Review trends and identify best UCM practices
  - Develop a recommended UCM approach and document related processes
  - Develop training materials
  - Develop implementation guidelines

# SHRP 2 R15-B Research Products

- Prototype 1: Compact, standalone UCM
- Prototype 2: Utility conflict data model and database
- One-day UCM training course
- Implementation guidelines

# Prototype 1: Utility Conflict Matrix

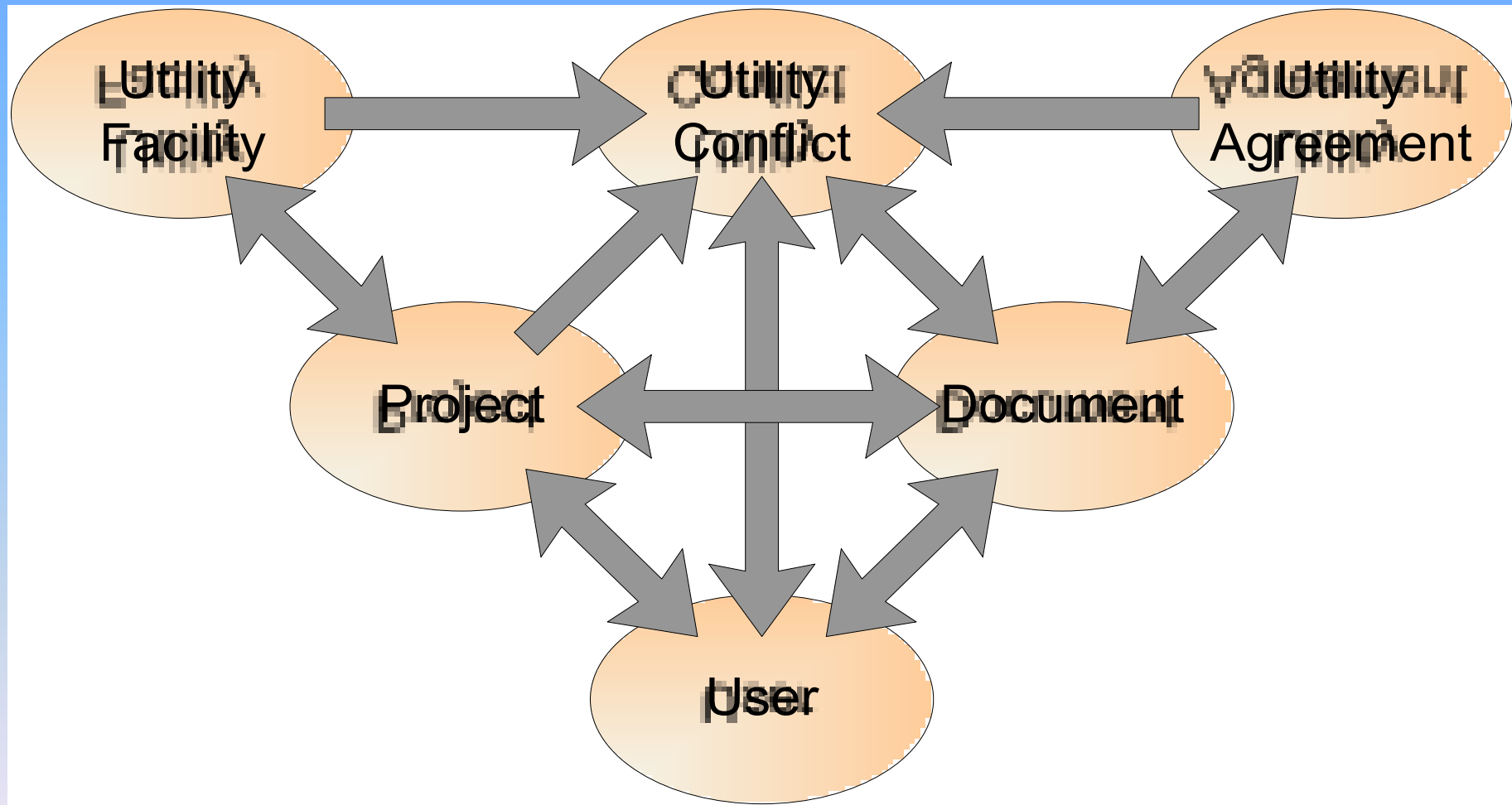
- MS Excel format, includes drop-down lists

Utility Owner and/or Contact Name			Conflict ID	Drawing or Sheet No.	Utility Type	Size and/or Material	Utility Conflict Description	Start Station
AT&T			1	U-1	Telephone	Fiber Optic	Conflict with construction of frontage road widening.	21+00
End Station	Start Offset	End Offset	Utility Investigation Level Needed		Test Hole	Recommended Action or Resolution	Estimated Resolution Date	Resolution Status
22+00	45' Lt	45' LT	QLC			Relocation before construction.	3/8/2010	Utility conflict identified.

# Prototype 1: Cost Estimate Analysis

Alternative Number	Engineering Cost (Utility)	Direct Cost (Utility)	Engineering Cost (DOT)	Direct Cost (DOT)	Total Cost	Feasibility	Decision
0	\$ 10,375.00	\$ 63,875.00	\$ -	\$ -	\$ 74,250.00	Yes	Selected
1	\$ 7,875.00	\$ 32,375.00	\$ -	\$ -	\$ 40,250.00	No	Rejected
2	\$ -	\$ -	\$ 95,375.00	\$ -	\$ 95,375.00	No	Rejected
3	\$ -	\$ -	\$ -	\$ -	\$ -	No	Rejected
4	\$ 10,375.00	\$ 63,875.00	\$ -	\$ -	\$ 74,250.00	No	Rejected

# Prototype 2: Data Model and Database



# Prototype 2: Example (Prototype 1)



Utility Conflict Matrix Developed/Revised By: \_\_\_\_\_ Date: \_\_\_\_\_  
 Reviewed By: \_\_\_\_\_ Date: \_\_\_\_\_

End Offset	Utility Investigation Level Needed	Test Hole No.	Recommended Action or Resolution	Responsible Party	Estimated Resolution Date	Resolution Status	Cost Analysis
45' Lt	QLC		Relocation before construction.	U	3/8/2010	Utility conflict identified	<a href="#">Detail</a>
37' Rt	QLC		Relocation before construction.	U	3/8/2010	Utility conflict identified	<a href="#">Detail</a>
48' Rt	QLC		Relocation before construction.	U	3/8/2010	Utility conflict identified	<a href="#">Detail</a>
48' Rt	QLC		Relocation before construction.	U	3/8/2010	Utility conflict identified	<a href="#">Detail</a>
49' Lt	QLB		Design change.	D	3/8/2010	Utility owner informed of utility conflict	<a href="#">Detail</a>



# Prototype 2: Example (Prototype 1)



Date: 11/24/2010

## Resolution Alternatives

### Analysis

**Project Owner:** Texas Department of Transportation  
**Project No.:** 1234-56-789  
**Project Description:** Road construction project  
**Highway or Route:** I-10 Katy Freeway

**Conflict ID:** 1  
**Utility Owner:** AT&T  
**Utility Type:** Telephone  
**Size and/or Material:** Fiber Optic  
**Project Phase:** 60% Design

Alternative Number	Alternative Description	Party	Engineering Cost (Utility)	Direct Cost (Utility)	Engineering Cost (DOT)	Direct Cost (DOT)	Total Cost	Feasibility	Decision
0	Relocation before construction.	No conflict, no action	\$10,375.00	\$63,875.00	\$0.00	\$0.00	\$74,250.00	Yes	Selected
1	Protect in-place.		\$7,875.00	\$32,375.00	\$0.00	\$0.00	\$40,250.00	No	Rejected
2	Design change.		\$0.00	\$0.00	\$95,375.00	\$0.00	\$95,375.00	No	Rejected
3	Exception to policy.		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	No	Rejected

# Prototype 2: Other Potential Reports

- All utility conflicts associated with company X (project, corridor, or timeframe)
- Average conflict resolution time for electric utilities
- All utility conflicts with resolution time >100 days
- Customized UCMs for individual utility companies
- Utility certification for inclusion in PS&E package
- ...

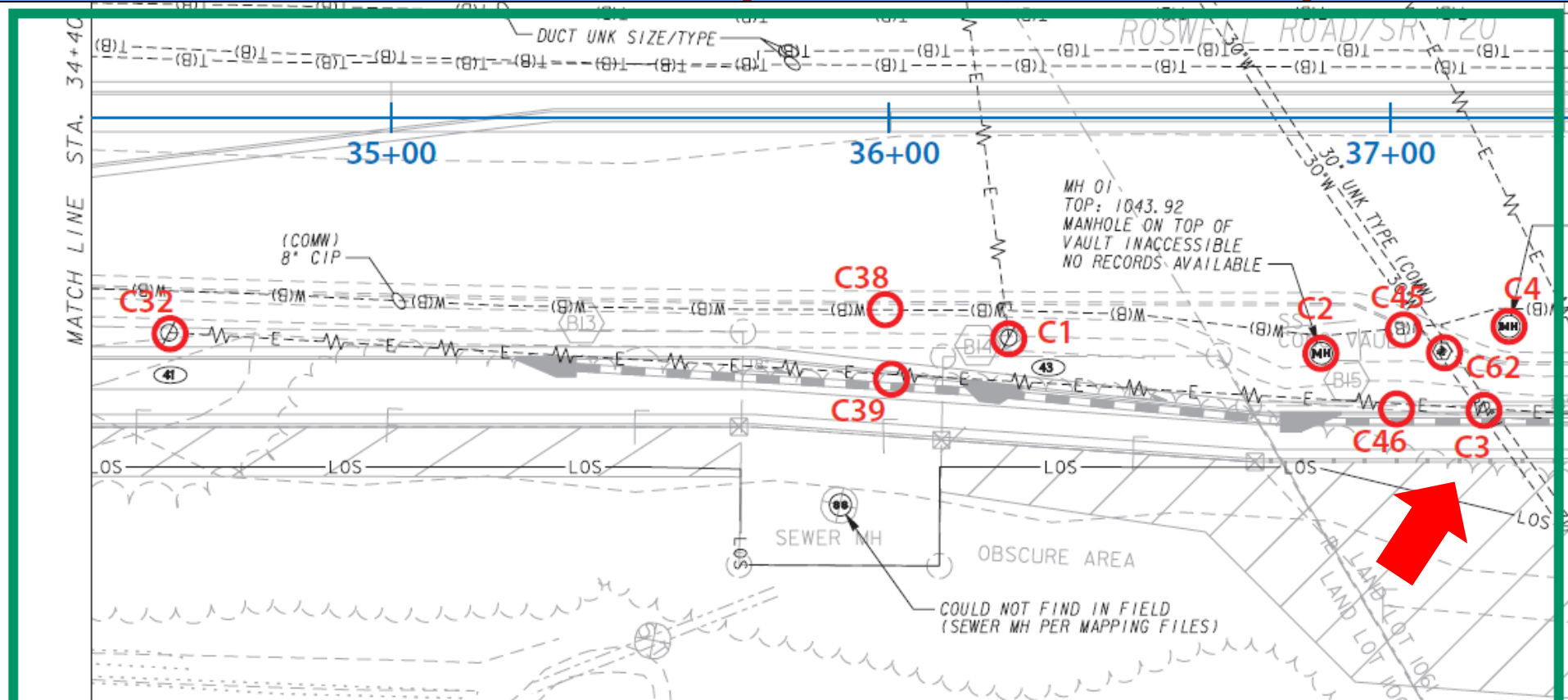
# Utility Conflict Event Tracking

0	Utility conflict identified	15	Required adjustment completion
1	Comment created	16	Estimated adjustment completion
2	Utility owner informed of utility conflict	17	Scheduled adjustment completion
3	Utility conflict resolved	18	Notice to proceed to utility owner
4	Utility owner acknowledges receipt of document	19	Adjustment construction start
5	Document requested	20	Adjustment construction end
6	Document sent	21	Permit application
7	Document received	22	Permit approved
8	Document reviewed	23	Exception requested
9	Document certified	24	Exception approved
10	Document approved	25	Plans sufficient sent to utility owner
11	Document uploaded	26	30-day notice submitted
12	Document review, comment, and approval	27	90-day notice submitted
13	Utility coordination meeting	28	Utility conflict resolution strategy selected
14	ROW cleared for adjustment	29	Utility relocation under construction
		30	Utility conflict archived

# One-Day UCM Training Course

- Lesson plan (6 lessons)
- Presentation materials (PowerPoint)
- Presenter notes
- Participant handouts
  - Handouts, sample project plans, UCM templates
- Companion CD
  - All training materials, including UCM
  - Prototype utility conflict database

# Hands-on Utility Conflict Analysis



Utility Owner	ID	Sheet No.	Utility Type	Size/ Material	Utility Conflict Description	Start Sta.	End Sta.	Start Offset	End Offset	Inv. Need	Test Hole	Recommended Action	Rsp. Party	Est. Res. Date	Res. Status	Cost Analysis
	C3	1	WM	30"	Proposed 18" drainage pipe would cross WM.	37+20		60' Rt		QLA	3	Review possibility of adjusting drainage pipes up to avoid conflict, lowest structure (B13) is at 5.6'.	D	n/a	Utility conflict identified.	

# Anticipated Value and Implementation Cost

Implementation Product	Value	Cost
Prototype 1 (standalone UCM in Excel format)	20	\$
UCM training course	40	\$\$
Prototype 2 (standalone database implementation in Access format)	50	\$\$\$
Prototype 2 (enterprise-level implementation)	80	\$\$\$\$



# Today's Questions

- What's different about these new tools?
- What new capabilities will they provide?
- Will they be more difficult to use?
- Will they require special training or operation only by specially-trained people?
- How will the costs to use these tools compare with those of today's tools?
- When will these new tools likely be commercially available?

# Answers to Today's Questions

- Systematic treatment of utility conflicts
- More effective project development process integration
- Easy to use given a correct implementation
- Training for all stakeholders is highly recommended to realize benefits of UCM implementation
- Slightly higher front-end costs but potentially much lower costs at the end
- Research products available as soon as SHRP 2 publishes them

# Pilot Implementation

- Four tasks over a 14-month period
  - Schedule meeting with key stakeholders
  - Select state DOT and assemble agency-wide task force
  - Conduct UCM training course for selected users
  - Assist users with full implementation of Prototype 1 and limited implementation of Prototype 2

# **Webinar Objectives**

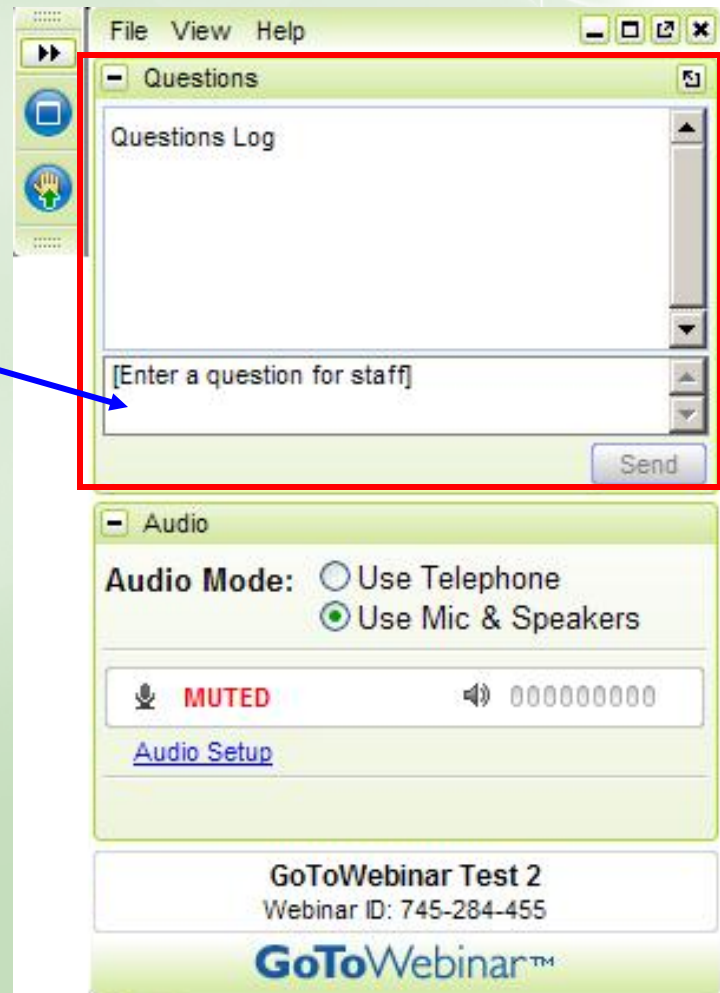
**What is SHRP 2 doing to reduce the impacts of underground utilities on highway construction projects?**

**How will I be able to use the products of this SHRP 2 research?**

# Question and Answer Session

Please type your questions into this box

We will answer as many of your questions as time allows



The screenshot displays the GoToWebinar interface. At the top is a menu bar with 'File', 'View', and 'Help'. Below the menu is a 'Questions' panel, which is highlighted with a red border. This panel contains a 'Questions Log' area and a text input field with the placeholder text '[Enter a question for staff]'. A blue arrow points from the text 'Please type your questions into this box' to this input field. To the right of the input field is a 'Send' button. Below the 'Questions' panel is an 'Audio' panel. It shows 'Audio Mode' with two options: 'Use Telephone' (unselected) and 'Use Mic & Speakers' (selected). Below this is a 'MUTED' status indicator with a microphone icon and a volume control slider. A link for 'Audio Setup' is also present. At the bottom of the interface, it says 'GoToWebinar Test 2' and 'Webinar ID: 745-284-455', followed by the 'GoToWebinar' logo.

Thank you for attending the webinar!

Chuck Taylor  
**ctaylor@nas.edu**

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