

# Construction, Quality Control & Performance of Unbound Granular Layers

Hosted by  
**Andrew Dawson**  
University of Nottingham, UK



*chair, TRB Committee AFP70 “Aggregates”*

# Your presenters

- Debakanta Mishra
  - Boise State University
- Rick Boudreau
  - Boudreau Engineering
- George Chang
  - Transtec Group



# Today's Content on Granular Bases/Subbases

- Brief review of construction practices
- Compaction
- Quality control
- Field performance
- Continuous and intelligent compaction
- Summary and conclusion

# NCHRP

## SYNTHESIS 445

NATIONAL  
COOPERATIVE  
HIGHWAY  
RESEARCH  
PROGRAM

### Practices for Unbound Aggregate Pavement Layers



*A Synthesis of Highway Practice*

TRANSPORTATION RESEARCH BOARD  
OF THE NATIONAL ACADEMIES

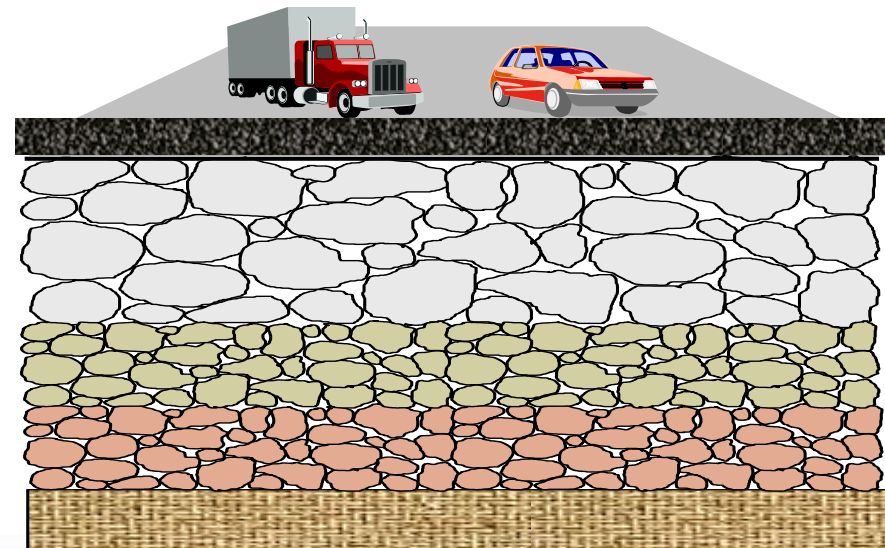
# NCHRP

## Synthesis 445

(Tutumluer, 2013)

Download from the TRB Website:

[http://onlinepubs.trb.org/onlinepubs/  
nchrp/nchrp\\_syn\\_445.pdf](http://onlinepubs.trb.org/onlinepubs/nchrp/nchrp_syn_445.pdf)





NCHRP is...

## **A state-driven national program**

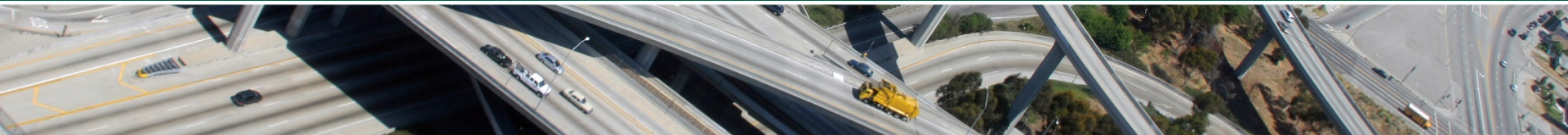
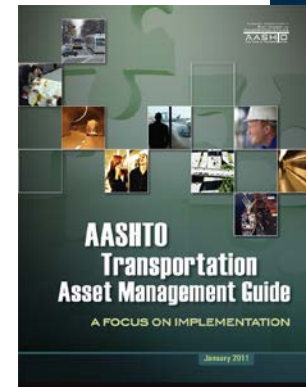
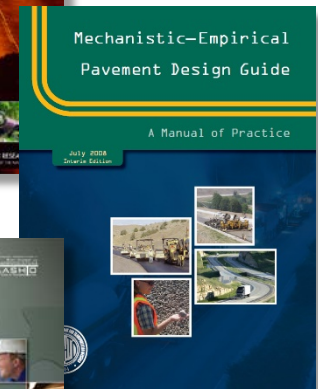
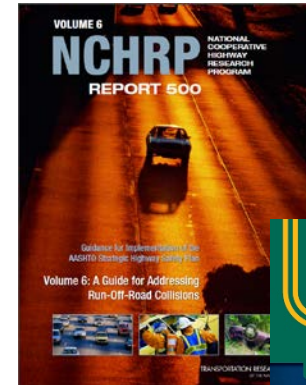
- The state DOTs, through AASHTO's Standing Committee on Research...
  - Are core sponsors of NCHRP
  - Suggest research topics and select final projects
  - Help select investigators and guide their work through oversight panels



NCHRP delivers...

# Practical, ready-to-use results

- Applied research aimed at state DOT practitioners
- Often become AASHTO standards, specifications, guides, manuals
- Can be directly applied across the spectrum of highway concerns: planning, design, construction, operation, maintenance, safety



# A range of approaches and products

- Traditional NCHRP reports
- Syntheses of highway practice
- IDEA Program
- Domestic Scan Program
- Quick-Response Research for AASHTO
- Other products to foster implementation:
  - *Research Results Digests*
  - *Legal Research Digests*
  - *Web-Only Documents and CD-ROMs*





# Review of granular base/subbase construction practices

**Rick Boudreau**  
Boudreau Engineering





# Granular Base/Subbase





# Brief Overview

- Granular Base Defined
- Composition
- Segregation and Degradation
  - Stockpiling
  - Transporting
  - Spreading
  - Compacting



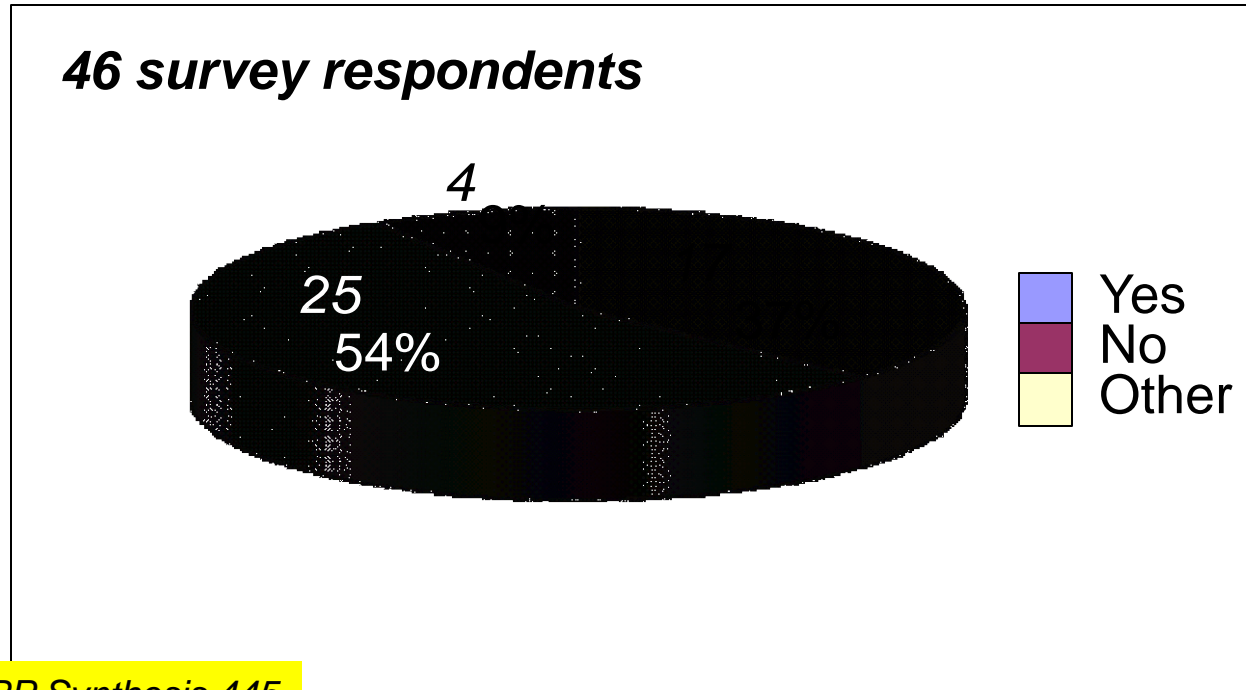


# Brief Overview

- Granular Base Defined
- Composition
- Segregation and Degradation
  - Stockpiling
  - Transporting
  - Spreading
  - Compacting



Does your agency have specific guidelines regarding the transportation and storage (stockpiling) of aggregate materials for base and subbase construction?



NCHRP Synthesis 445



# Granular Base/Subbase



# Granular Base/Subbase

What is it?



# Granular Base/Subbase

“granular materials of mineral composition such as sand, shell, slag, or crushed stone, used with a cementing medium to form mortars or concrete, or alone as in base courses, railroad ballasts, etc.” - **ASTM**

Natural extraction from: Stone Deposits or Sand and Gravel Deposits –**Barksdale 1991**

# Composition

- **Mineralogy** (igneous, metamorphic, sedimentary origin)
- **Particle shape and texture** (angularity, crushed faces, elongation, rough, smooth)
- **Gradation** – grain size distribution, fines and property of fines



**is every base layer a 0.14?**



# Blast and Load





# Blast and Load





# Haul to Crusher



# Load Primary Crusher





# Primary Crusher





# Secondary Crusher



# Size Separation





# Sizing Stockpiles





# Sizing Stockpiles

**perfectly segregated!!**



# Segregation?



**Aggregate Segregation** – the separation of one size of particles from a mass of particles of different sizes, caused by the methods used to mix, transport or store aggregate.

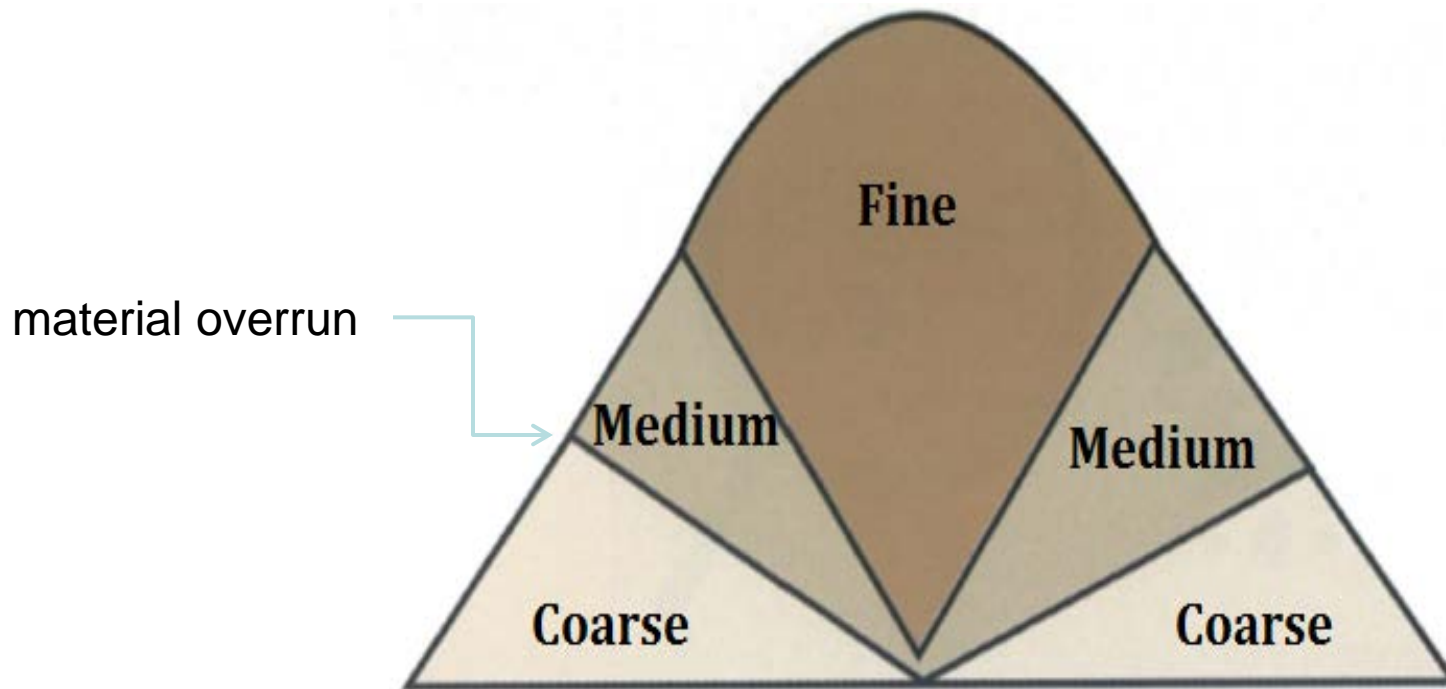
- Barksdale 1991

# Segregation?

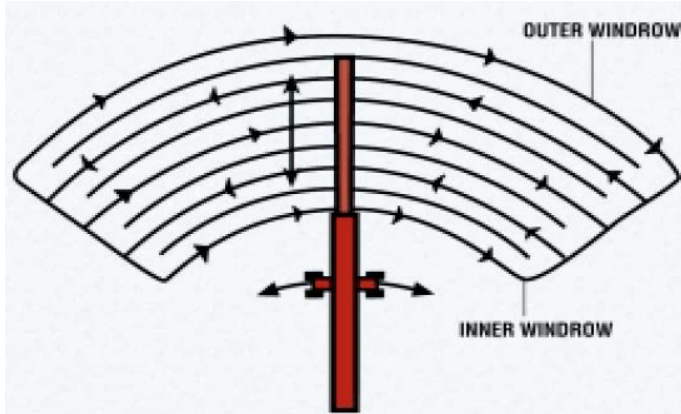




# Stockpile Segregation

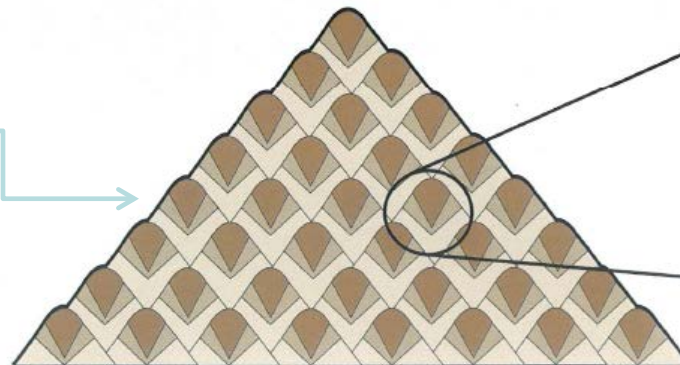


# Stockpile Segregation

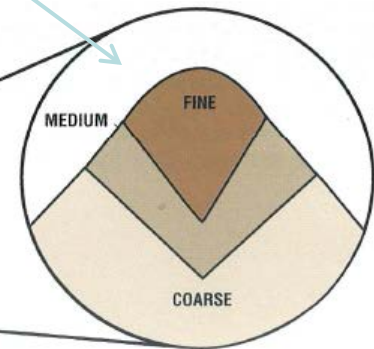


radial telescoping stacker

results in a more uniform stockpile



miniature stockpile



# GAB Stockpile – formed with...

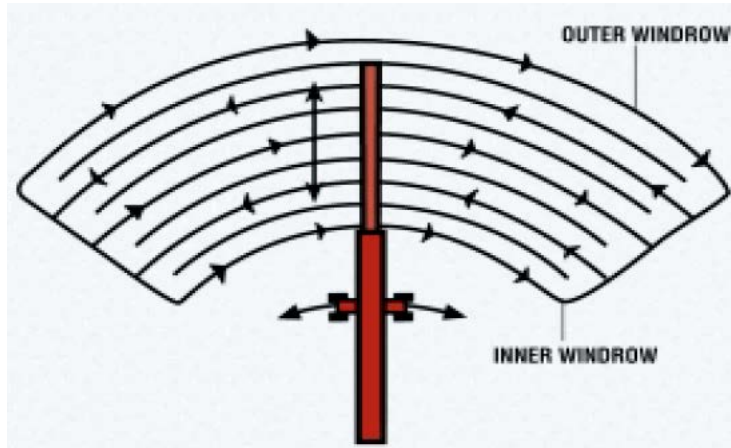




# GAB Stockpile – formed with a radial telescoping stacker

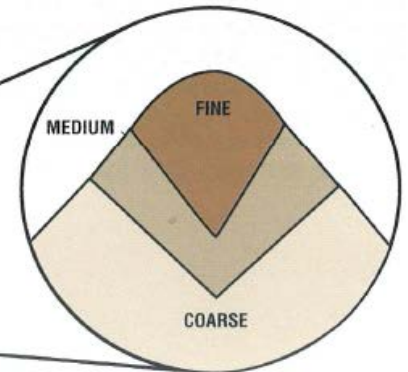
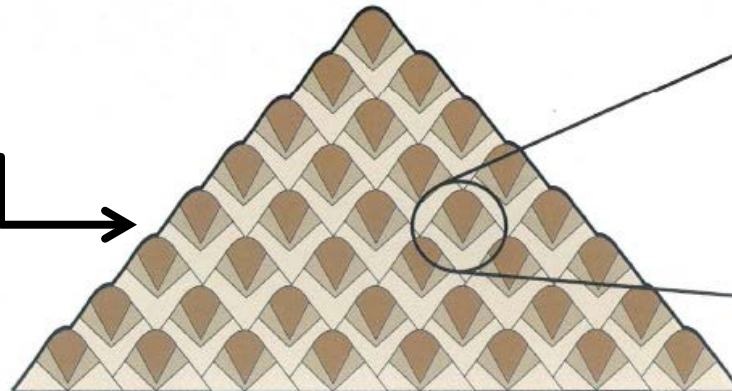


# Stockpile Segregation



← radial telescoping stacker

results in a more uniform stockpile



# Good Practices

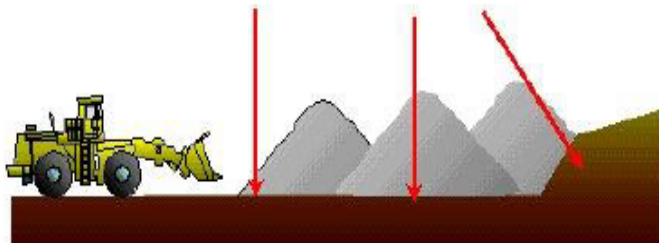
**DON'T** CONE UP



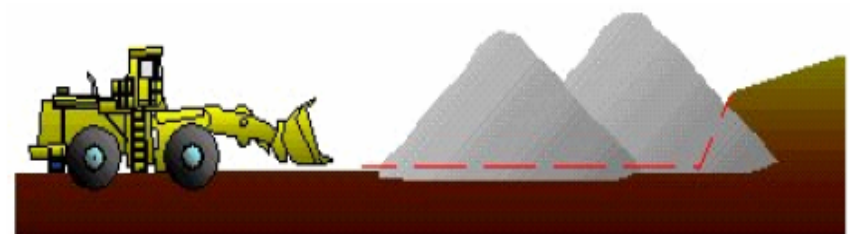
**DO** DUMP TIGHTLY IN  
SINGLE PILES



**DON'T** DIG UP THE MAT



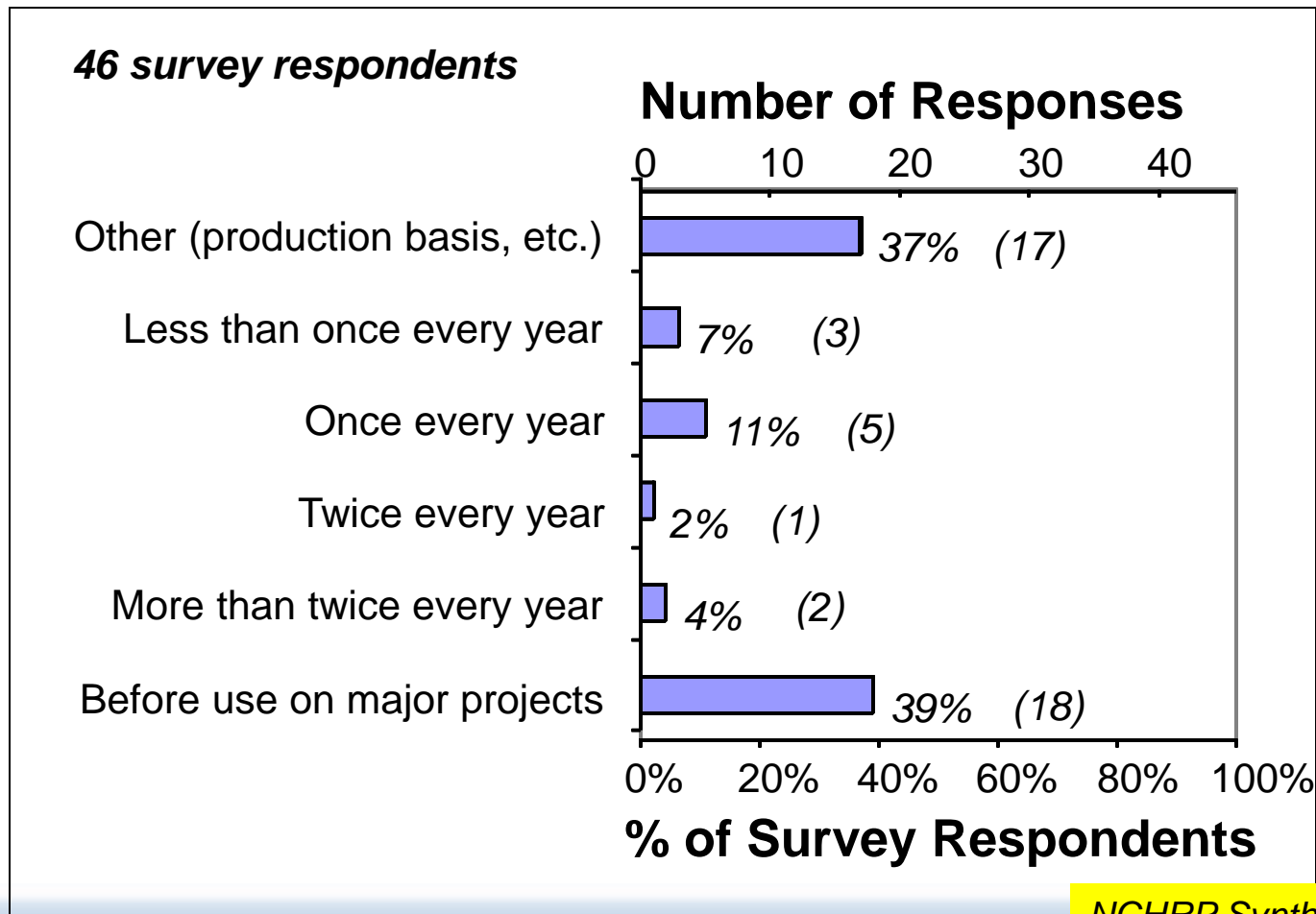
**DO** KEEP THE BUCKET UP



**CONTAMINATION**

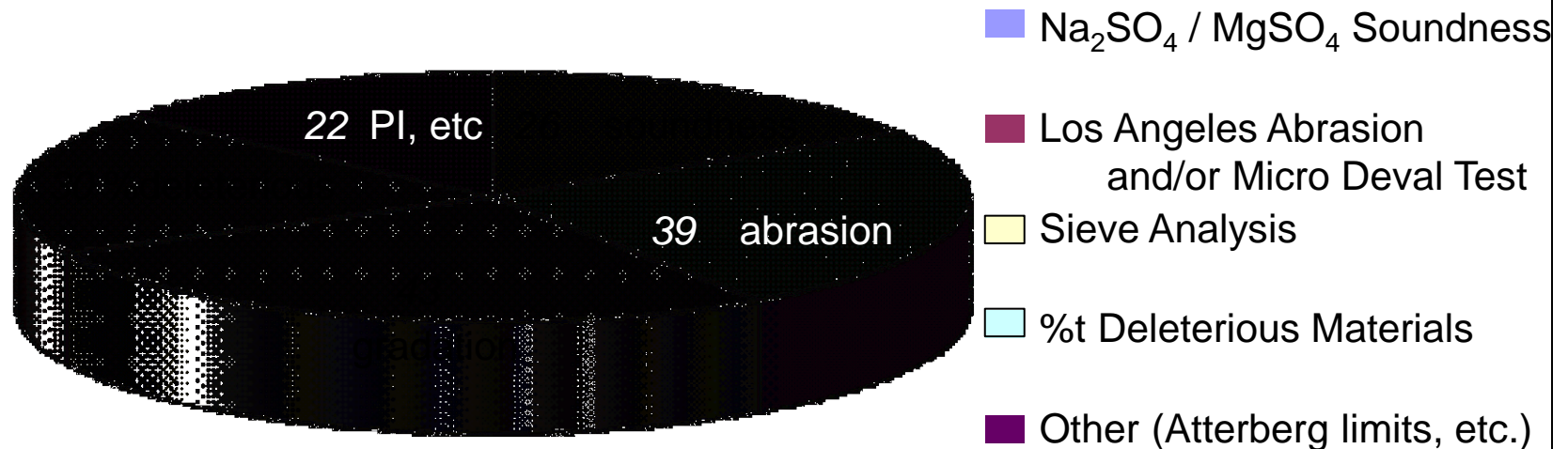


# How frequently does your agency check the acceptance of materials obtained from commonly used and/or approved aggregate sources?



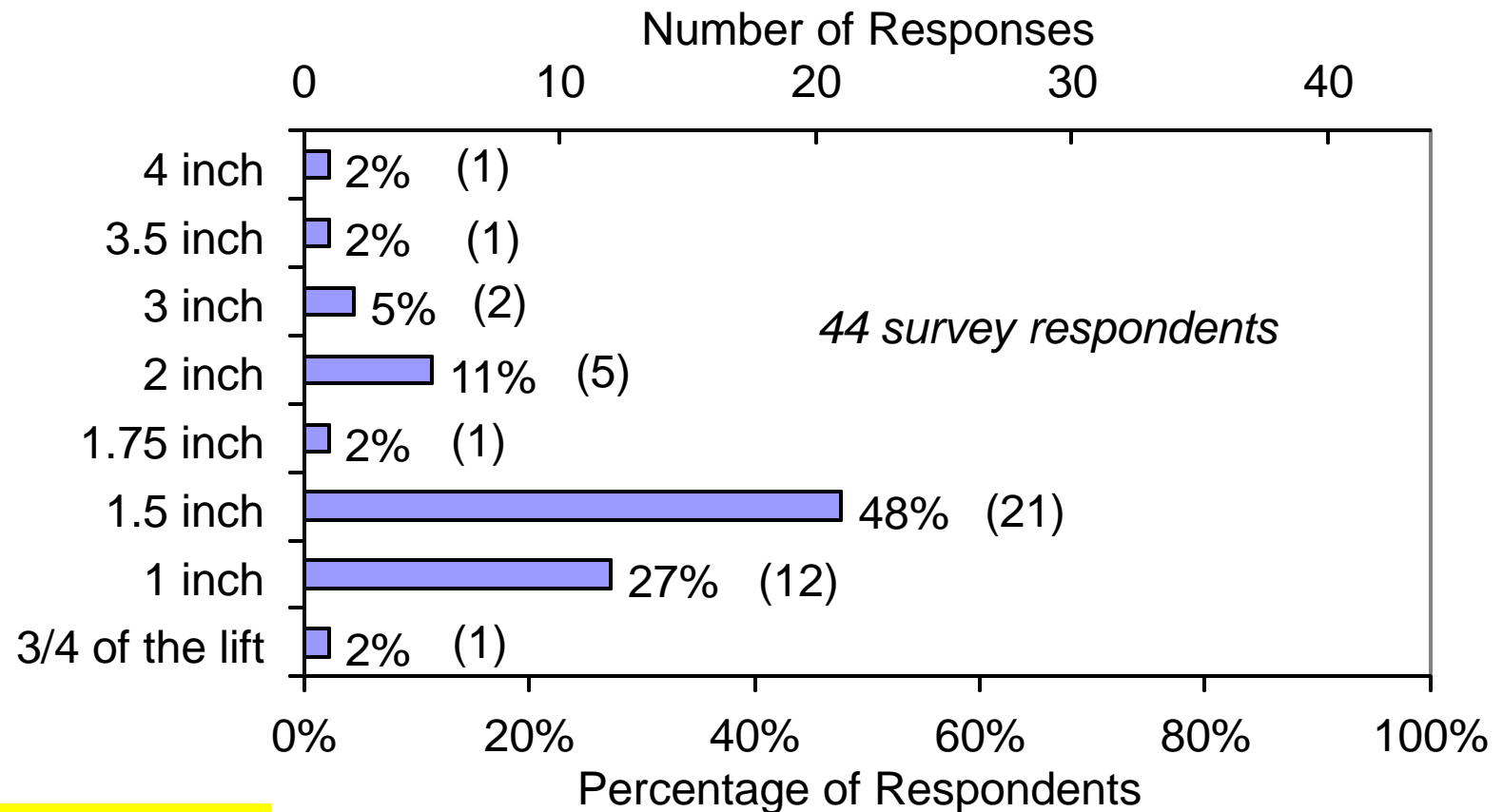
# What tests are used by your agency for evaluating quality aspects of virgin aggregate materials for pavement base and subbase applications?

*46 survey respondents*



*NCHRP Synthesis 446*

What is the maximum aggregate particle size ( $D_{max}$ ) in inches allowed by your agency in the following constructed unbound aggregate layers?



NCHRP Synthesis 446



# Transport to Jobsite

- End Dump Truck (typical)



- Belly Dump (preferred to minimize segregation)



# Shaping and Compacting

1. Spread

2. Grade

3. Compact



or . . .



# Place and Shape with Paving Equipment



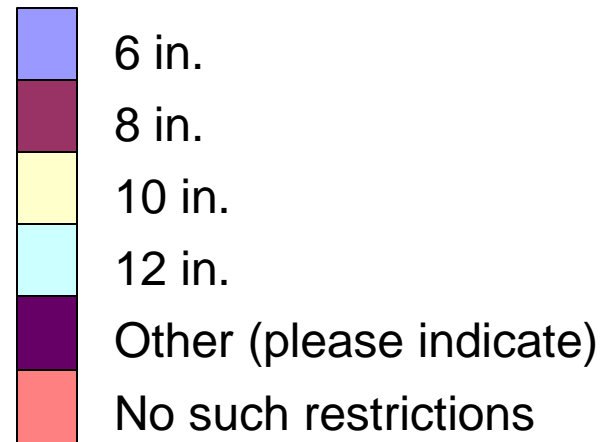


# Can Minimize Segregation



# Allowable Max. Lift Thickness

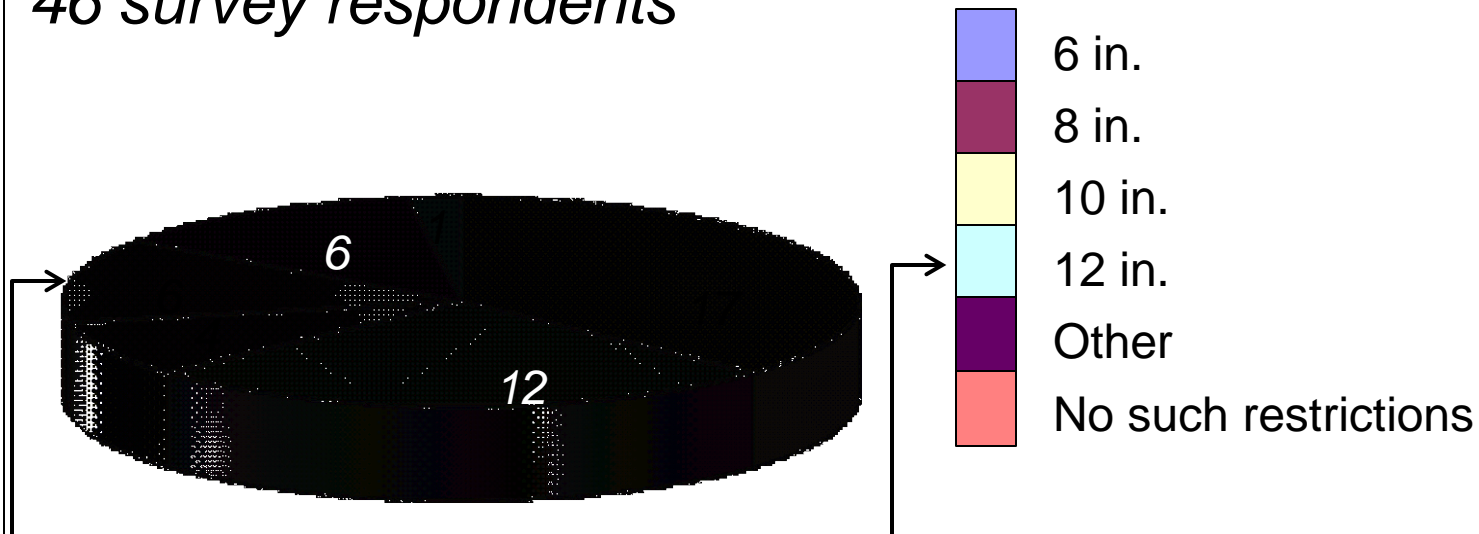
*46 survey respondents*





# Allowable Max. Lift Thickness

*46 survey respondents*



Studies indicate uniform compaction possible for 12 inch lift thickness (over “firm” prepared subgrade soils, i.e. CBR > 8)

# Compacting



smooth drum/vibratory



textured/sheepsfoot



# Improving the Chance of Success

- **Equipment:** Mixing should be accomplished by stationary plant such as a pugmill or by road mixing using a pugmill or rotary mixer. Mechanical spreaders should be utilized to avoid segregation and to achieve grade control. Suitable vibratory compaction equipment should be employed.
- **Mixing and Transporting:** The aggregates and water should be plant mixed (stationary or roadway) to the range of optimum moisture plus 1% or minus 2% and transported to the job site so as to avoid segregation and loss of moisture.
- **Spreading:** The material should be placed at the specified moisture content to the required thickness and cross section by an approved mechanical spreader. At the engineer's discretion, the contractor may choose to construct a 500-ft long test section to demonstrate achieving adequate compaction without particle degradation for lift thicknesses in excess of 13 in. The engineer may allow thicker lifts on the basis of the test section results.

*Allen, et al. ICAR 501-5 (1998)*





# Granular Base/Subbase Compaction, Quality Control and Field Performance

**Debakanta Mishra**  
Boise State University



# Outline

- Compaction Testing of Laboratory Samples
- Field Compaction
- Quality Control and Quality Assurance
- Field Performance Evaluations of Constructed Unbound Aggregate Base/Subbase Layers



# Purpose of Compaction

- ✓ Reduce / Prevent Settlement
- ✓ Increase Strength – Improve Slope Stability
- ✓ Improve Subgrade Bearing Capacity
- ✓ Control Volume Change
  - Frost Action – surcharge
  - Swell – Shrinkage

***Note: Attainment of High Density is NOT included!!!***

# Establishing Target Density for Field Compaction Control

# Drop Hammer Methods

- ✓ Also known as Proctor Methods

## Standard Compaction (AASHTO T-99)

- ✓ 5.5-lb hammer
- ✓ 12-in. drop height
- ✓ 25 blows/lift (4-in. diameter mold)

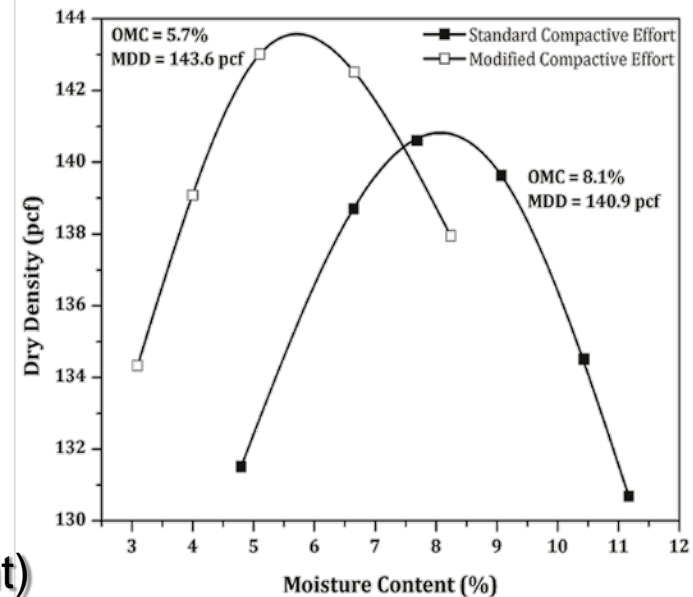
## Modified Compaction (AASHTO T-180)

- ✓ 10-lb hammer
- ✓ 18-in. drop height
- ✓ 25 blows/lift (4-in. diameter mold)
- ✓ Higher Energy!.. ( $\text{mass} \times g \times \text{drop height}$ )

## Procedure:

- ✓ Prepare 4 to 5 soil samples by increasing  $w(\%)$
- ✓ Compute dry density from wet density for the known volume and plot ( $\gamma_{\text{dry}}$  vs.  $w$ )

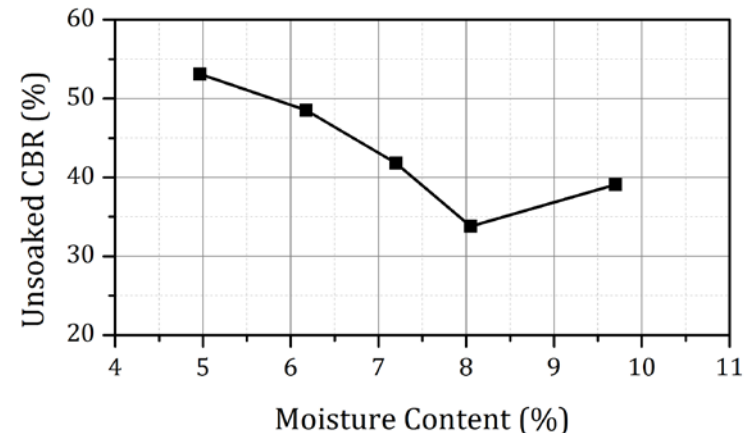
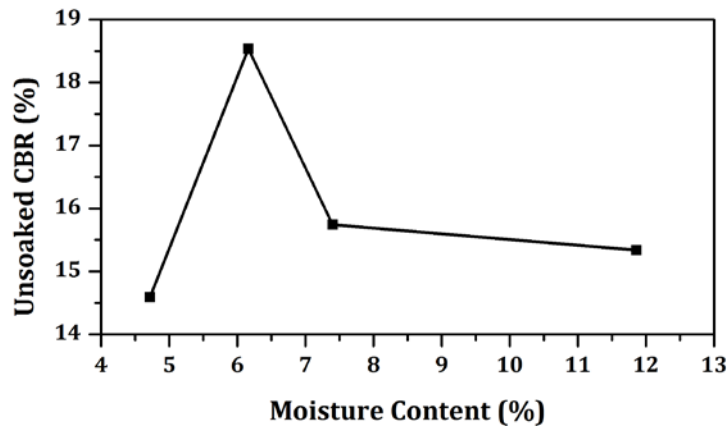
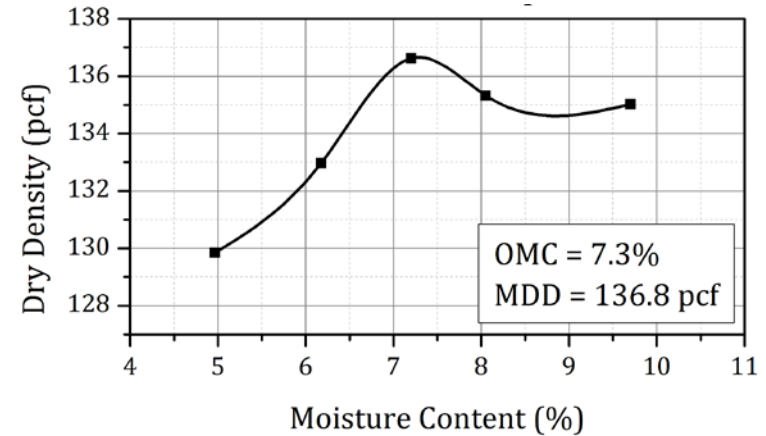
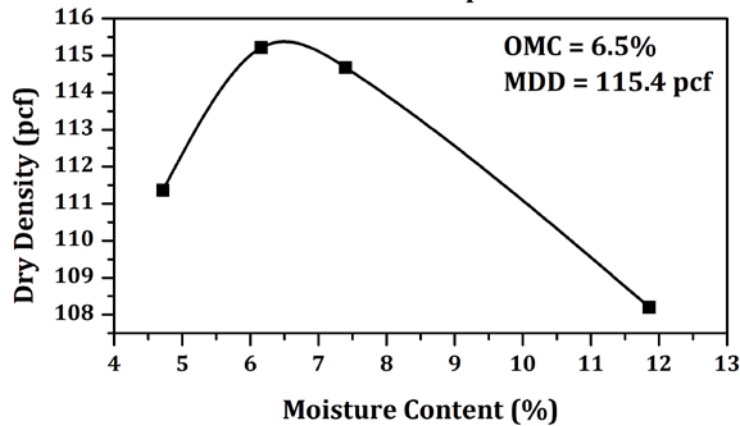
Using molds of fixed volume



Typical Compaction Curve



# May NOT Always be Adequate !



**Standard Compaction**

**Modified Compaction**

**Crushed Limestone with 5% Fines**

# Control Strip or Test Strip Methods

- ✓ Construct a “test strip” using the same material
- ✓ Compacted through repeated rolling and vibration
  - ✓ Achieved density checked after each pass
  - ✓ Compaction stopped when no further increase in density with increasing number of passes
- ✓ Average final density of the control strip is used as the “maximum density” for the particular aggregate type
- ✓ Target density is specified as a certain percentage of the maximum density

**28% (13) survey respondents currently use Test Strip Method**

# Solid Volume Density Method

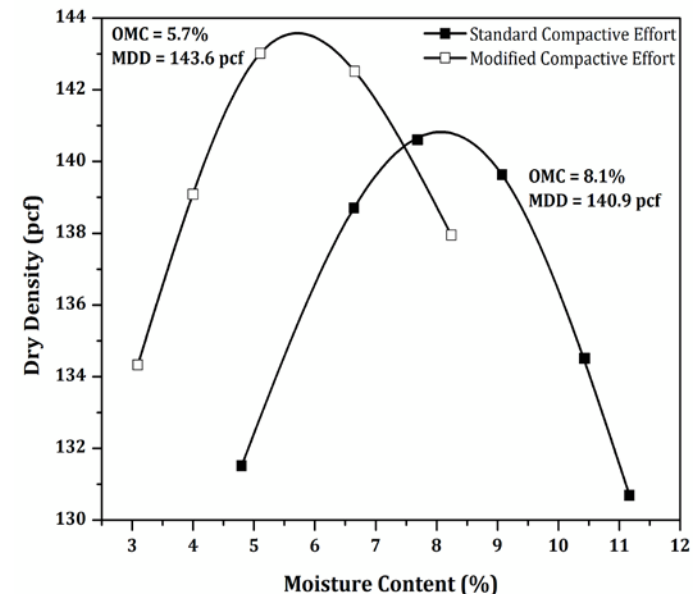
- ✓ Specific Gravity x Density of Water
- ✓ Target density specified as a percentage of solid volume density
- ✓ Correlation between achieved density in the field, and “void-less” density needs to be known
- ✓ Example application: South African G1 Base



# Unbound Aggregates Compaction Variables

## Aggregate Material and Layer Characteristics

1. Type of parent rock (in terms of the hardness and durability of individual particles)
2. Particle shape and surface texture
3. Gradation or particle size distribution
4. Construction lift thickness
5. Moisture content
6. Layer support conditions

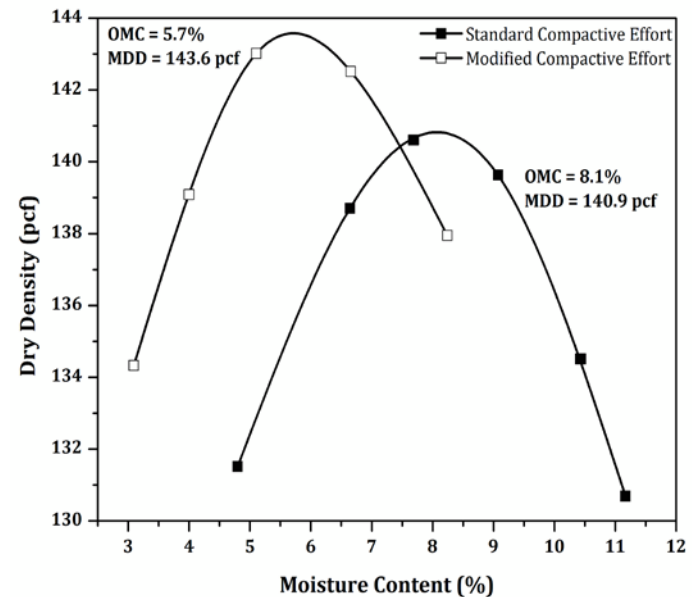


Typical Compaction Curve

# Unbound Aggregates Compaction Variables (2)

## Compaction Equipment and Operating Characteristics

1. Roller Type
2. Roller Weight / Energy
3. Roller Speed or Dwell Time
4. Number of Passes or Coverages
5. Rolling Zone
6. Rolling Pattern



Typical Compaction Curve

# Types of Compaction Equipment



**Smooth Drum Vibratory Roller**

*Most common for unbound aggregate layers*

*Single or dual drums*

*Static or Vibratory*

*Sometimes used to finish subgrade layers*



**Sheepfoot Roller**

*Typically used for cohesive soils*

***“Bottom up”** Compaction*

*Kneading action maximizes shear strength*

*Roller **“walks out”** after compaction*



# Types of Compaction Equipment (2)

(Photo source:  
<http://www.fhwa.dot.gov/engineering/geotech/pubs/05037/08.cfm>)



## Impact Roller

*Triangular ellipsoids or hexagonal drums*

*Greater zone of influence compared to conventional rollers*

*Up to 11 g's*

*Commonly used in Europe and South Africa*

## Pneumatic or Rubber-Tire Rollers

*Generally two tandem axles*

*3-6 wheels each (70-80% coverage)*

*Particularly effective for non-cohesive silty soils*

*Top-Down compaction*

*Relatively shallow depth of influence*

## Grid Rollers

*Cylindrical heavy steel surface with a network of steel bars forming a grid*

*High contact pressure, but little kneading action*

*Suitable for most coarse-grained soils (breaks lumps)*

# Recommended Field Compaction Equipment for Different Soil Types

<b>Soil Type</b>	<b>First Choice</b>	<b>Second Choice</b>	<b>Comment</b>
Rock Fill	Vibratory	Pneumatic	-
Plastic Soils, CH-MH (A-7, A-5)	Sheepsfoot or pad foot	Pneumatic	Thin lifts usually needed
Low-plasticity soils, CL, ML (A-6, A-4)	Sheepsfoot or pad foot	Pneumatic, vibratory	Moisture control often critical for silty soils
Plastic sands and gravels, GC, SC (A-2-6, A-2-7)	Vibratory, Pneumatic	Pad foot	-
Silty Sands and Gravels SM, GM (A-3, A-2-4, A-2-5)	Vibratory	Pneumatic, Pad foot	Moisture Control often critical
Clean Sand, SW, SP (A-1-b)	Vibratory	Impact, pneumatic	-
Clean Gravels, GW, GP (A-1-a)	Vibratory	Pneumatic, Impact, Grid	Grid useful for over-sized particles

**Christopher et al. (2010)**  
**Rollings and Rollings (1996)**

# Methods to Measure the Moisture-Density of Constructed Unbound Aggregate Layers

Parameter to be Determined	Name of Method		ASTM	AASHTO
Moisture Content	Gravimetric Method		D 2216	T 265
	Microwave Method		D 4643	*N/A
	Calcium Carbide Gas Pressure Test		D 4944	T 217
Density	Sand Cone Method		D 1556	T 191
	Sand Replacement Method		D4914	*N/A
	Balloon Method		D 2167	T 205**
	Oil or Water			
	Drive Cylinder		D 2937	T 204**
Moisture and Density	Rapid Method		D 5080	*N/A
	Nuclear	Moisture	D 3017	T 310
		Density	D 2922	
	Time Domain Reflectometry		D 6780	*N/A
*N/A: Not available				
**: Withdrawn from latest standards				



# Methods for Moisture Measurement

## Direct Methods

- ✓ Oven Dry Method
- ✓ Direct Heating Method
- ✓ Calcium Carbide Gas Pressure Tester Method

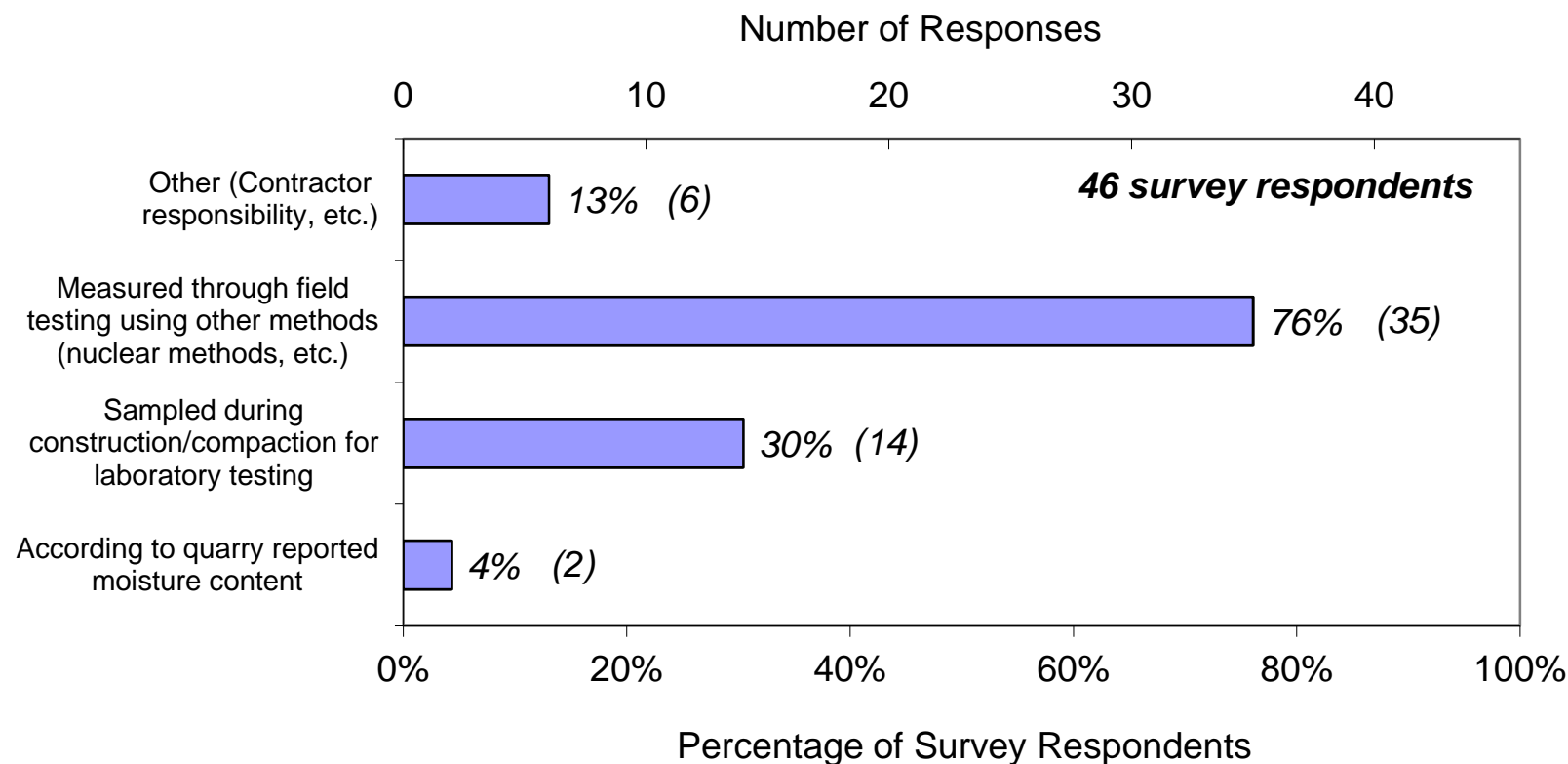
## Indirect Methods

- ✓ Nuclear Gauge Method
- ✓ Time-Domain Reflectometers
- ✓ Frequency-Domain Reflectometers
- ✓ Capacitance Probes

## Desirable Characteristics

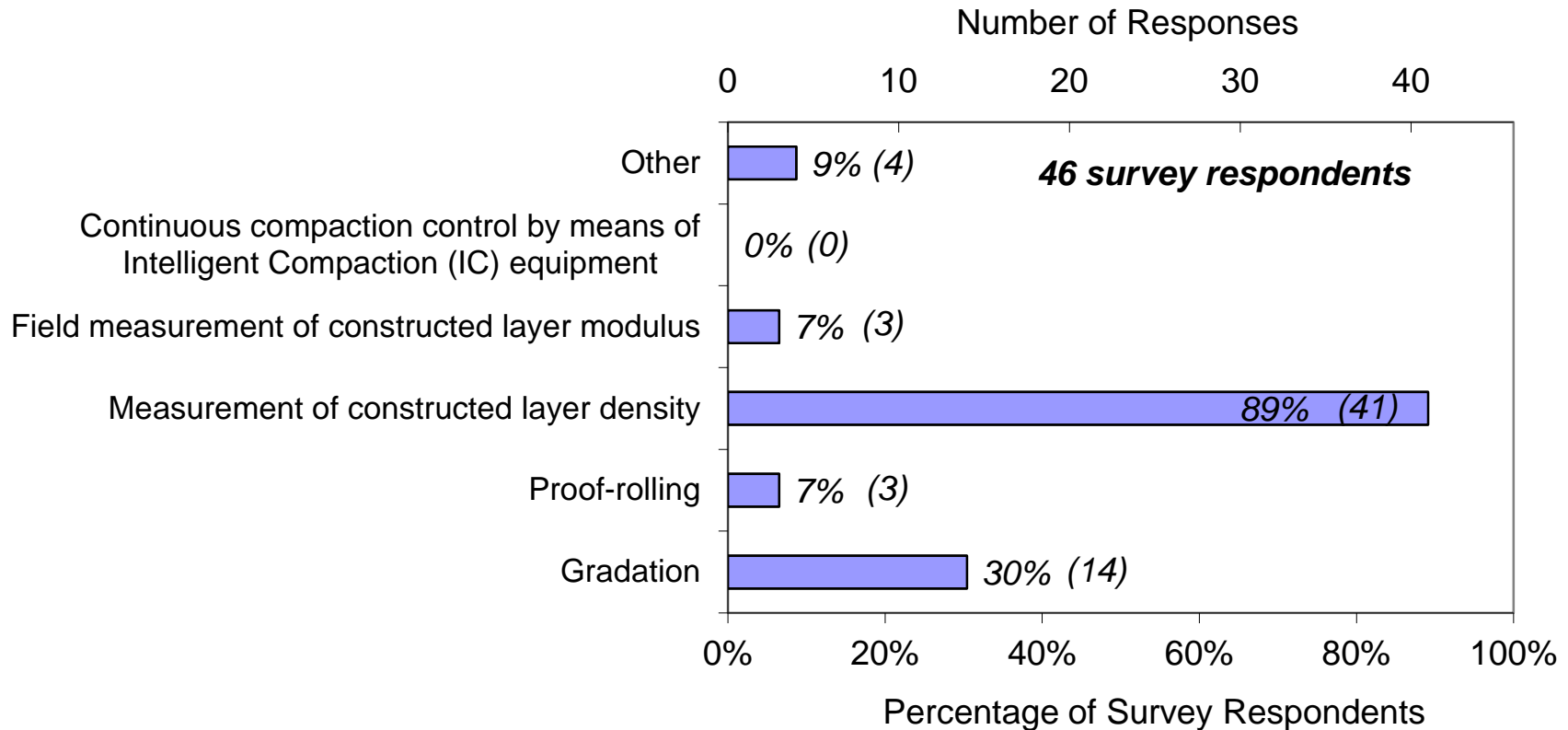
- ✓ Accurate
- ✓ Durable
- ✓ Reasonably fast
- ✓ Easy to use

# Methods to Control the Moisture Content of Unbound Aggregate Base/Subbase Layers



*Unbound Aggregate Pavement Base / Subbase Applications  
(46 respondents – NCHRP Synthesis 43-03 -2012)*

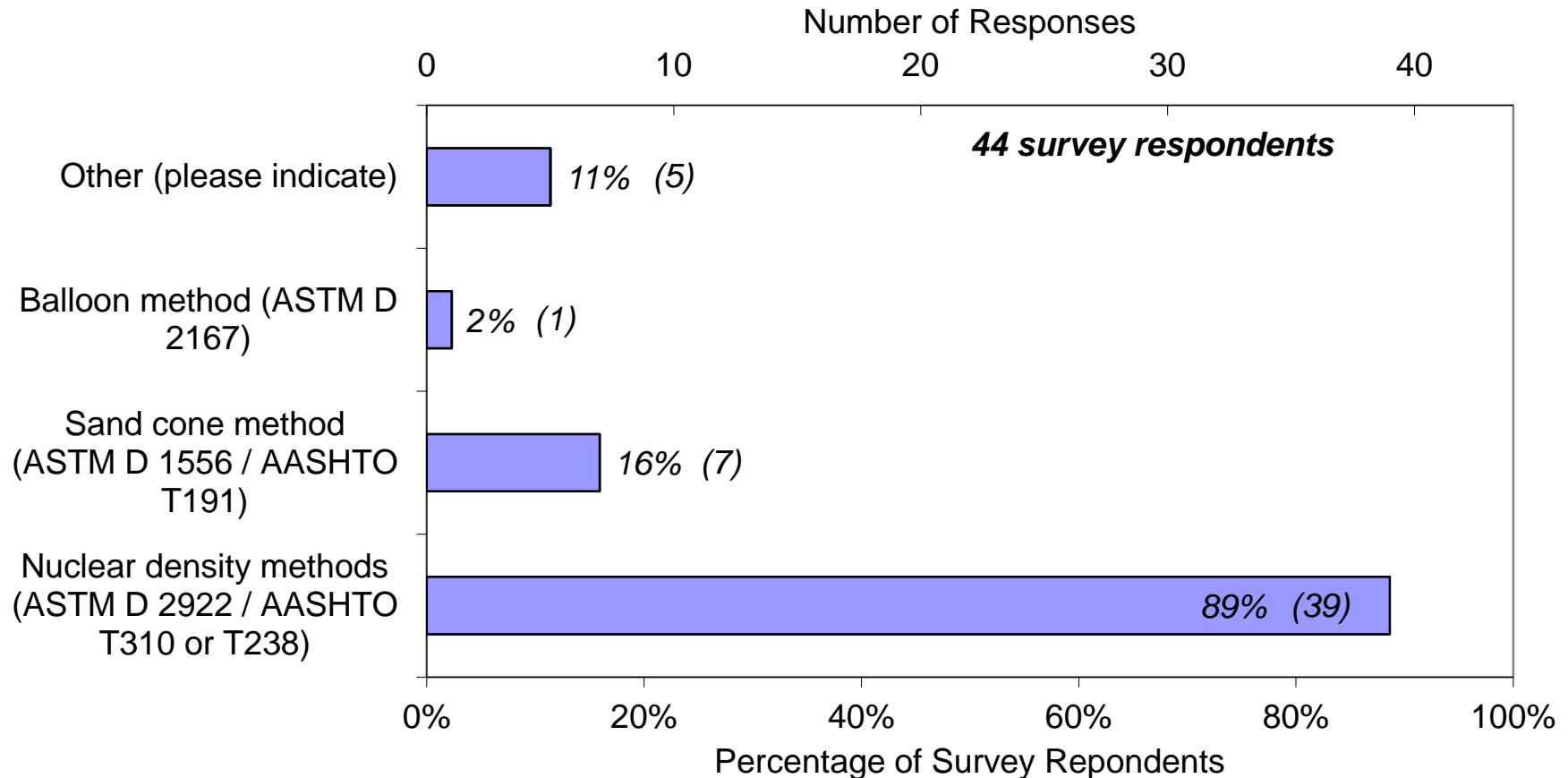
# Approaches for Evaluating Degree of Compaction and Construction Quality Control



*Unbound Aggregate Pavement Base / Subbase Applications  
(46 respondents – NCHRP Synthesis 43-03 -2012)*

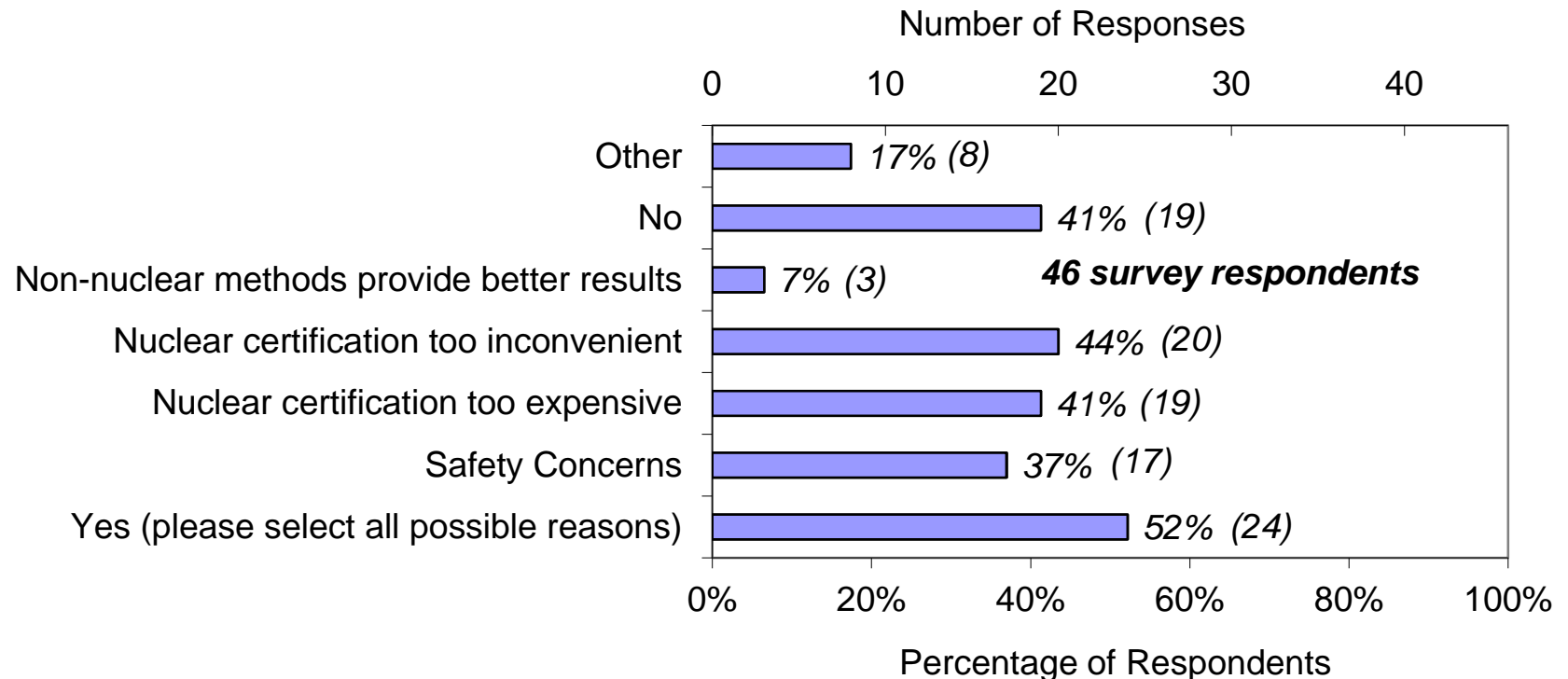


# Methods to Measure Constructed Base/Subbase Layer Densities in the Field



*Unbound Aggregate Pavement Base / Subbase Applications  
(46 respondents – NCHRP Synthesis 43-03 -2012)*

# Response to Whether there is Interest to Implement Non-Nuclear Density Measurement Methods



*Unbound Aggregate Pavement Base / Subbase Applications  
(46 respondents – NCHRP Synthesis 43-03 -2012)*

**Several survey respondents indicated "lack of confidence"  
in non-nuclear methods**

# In-Place Modulus Measurement of Constructed Aggregate Layers

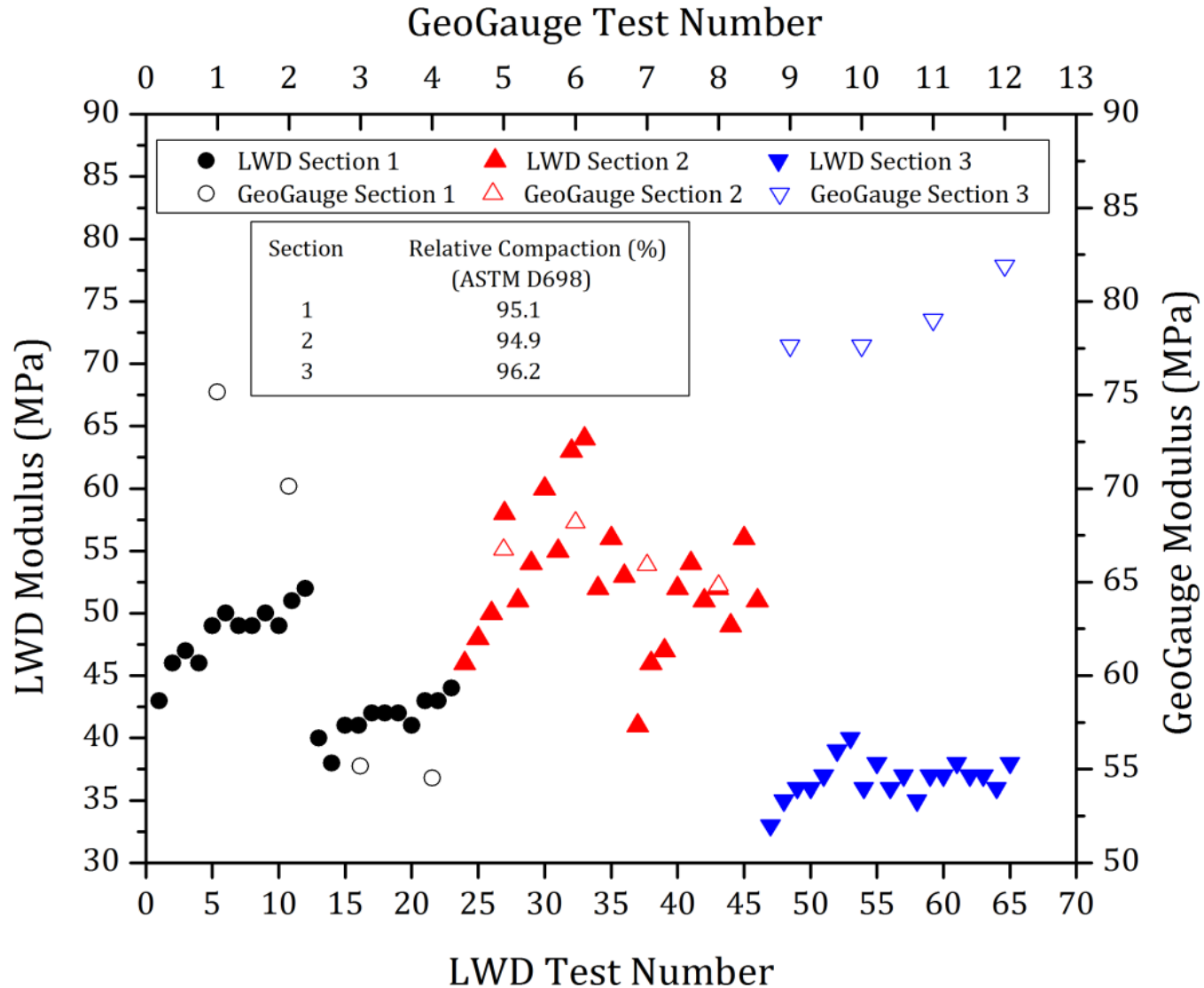
- ✓ Density is **not a required input** for Mechanistic-Empirical pavement design methods
- ✓ Resilient modulus ( $M_R$ ) is used as a key input
  - ✓ Using  $M_R$  for construction quality control may facilitate linkage with design methods



# Different Methods for In-Situ Modulus Measurement

Test Category	Underlying Principle	Corresponding Devices
<b>Surface Deformation</b>	<b>Static Load</b>	<ul style="list-style-type: none"> <li>• Benkelman Beam</li> <li>• Briaud Compaction Device (BCD, based on measuring the bending strain on a loading plate in contact with the ground)</li> </ul>
	<b>Steady State Vibratory</b>	<ul style="list-style-type: none"> <li>• Soil Stiffness Gauge (e.g. Humboldt GeoGauge™)</li> </ul>
	<b>Impact Load</b>	<ul style="list-style-type: none"> <li>• Falling Weight Deflectometer (FWD)</li> <li>• Portable Falling Weight Deflectometer (PFWD) or Light Weight Deflectometer (LWD)</li> </ul>
	<b>Sinusoidal Load</b>	<ul style="list-style-type: none"> <li>• Dynaflect</li> <li>• Road Rater</li> </ul>
	<b>Continuous Load</b>	<ul style="list-style-type: none"> <li>• Rolling Wheel Deflectometer (RWD)</li> </ul>
<b>Geophysical</b>	<b>Wave Propagation</b>	<ul style="list-style-type: none"> <li>• Ultrasonic Body Waves</li> <li>• Ultrasonic Surface Waves</li> <li>• Spectral Analysis of Surface Waves (SASW)</li> <li>• Multi-channel analysis of surface waves</li> <li>• Free-Free Resonant Column Tests</li> <li>• Seismic Pavement Analyzer (SPA)</li> <li>• Portable Seismic Pavement Analyzer (PSPA)</li> </ul>

# Need to know what we are measuring !!



Mishra et al. (2011)

# Modulus-Based Compaction Control

- ✓ Combine the aspects of in-place modulus measurement and construction quality control
  - ✓ Key issues to consider
    - ✓ Measurement Depth
    - ✓ Induced Stress State (In Relation to Strength)
    - ✓ Proper Algorithms for Layer Modulus Estimation
  - ✓ Ideal approach (Do not base on any one measurement!)
    - ✓ Density: Target Value  $\pm$  Tolerance
    - ✓ Moisture Content: Target Value  $\pm$  Tolerance
    - ✓ Layer Modulus: Target Value  $\pm$  Tolerance
- Compaction control using modulus only may not be feasible !**

# Development of Modulus-Based Compaction Control Specifications

*NCHRP Project 10-84*

## Requirements:

1. Should be based on field measures of the stiffness or modulus and moisture content
2. Should provide a single, straightforward, and well-defined method for determining stiffness or modulus that is compatible with a variety of earthwork and unbound aggregate design methodologies



# Development of Modulus-Based Compaction Control Specifications (2)

## Requirements:

*NCHRP Project 10-84*

3. Should directly account for the seasonal variation of the modulus of the compacted earthwork or unbound aggregate
4. Should use available models, devices, and methods
5. Should be founded on a comprehensive review of the current literature on the long-term behavior of various soils and unbound aggregates in terms of the principles of unsaturated soil mechanics

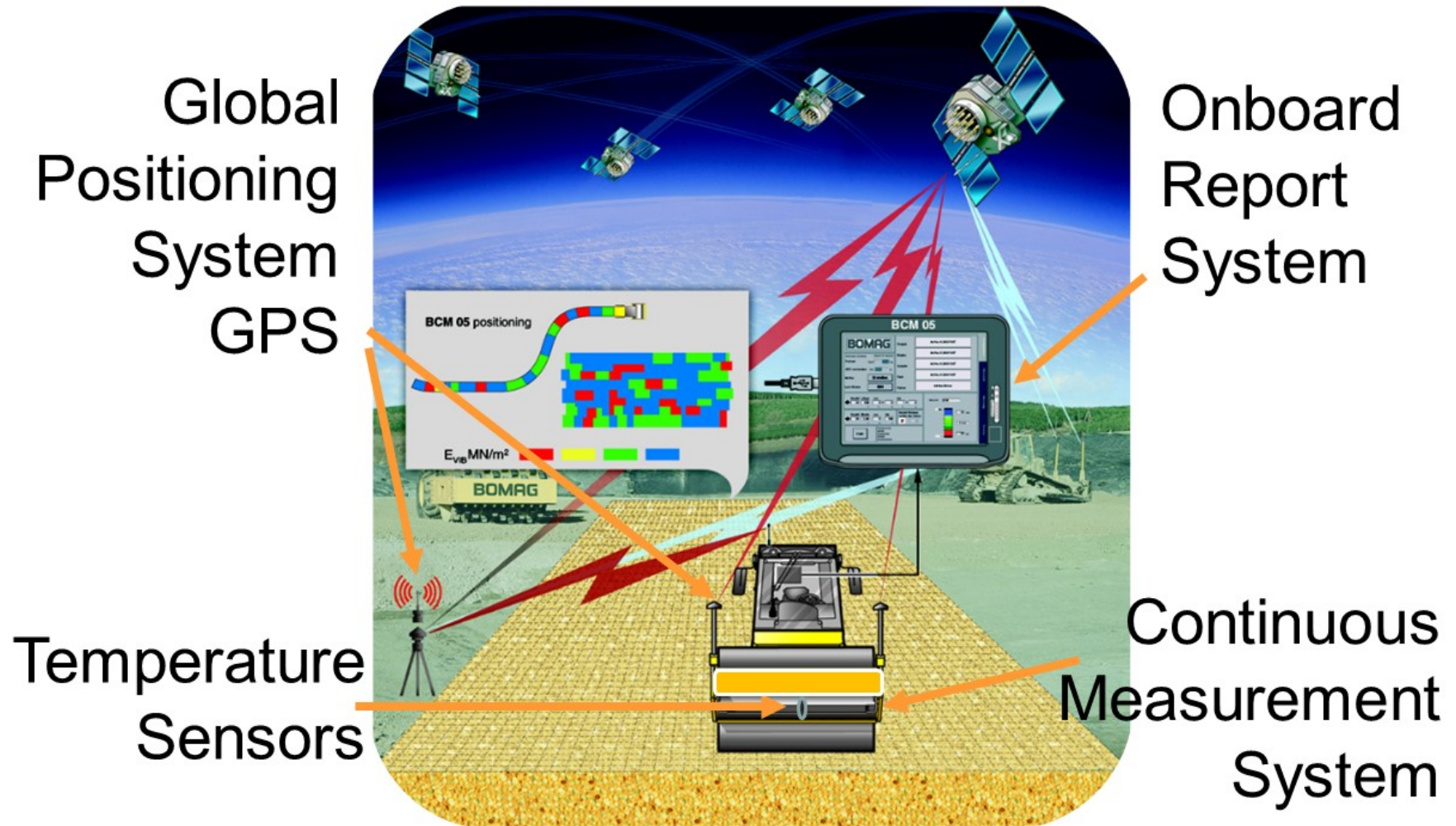


# Continuous & Intelligent Compaction of Granular Bases/Subbases

**George Chang**  
The Transtec Group



# Continuous Compaction Control (CCC) & Intelligent Compaction (IC)



Courtesy of Bomag



## Traditional Compaction Testing Method



**1 / 1,000,000**



## Compaction Testing and Coverage Mapping with AccuGrade



**100 %  
Coverage**

# Single Drum IC Rollers

Ammann-Case



Caterpillar



HAMM-Wirtgen



BOMAG



Dynapac-Atlas Copco

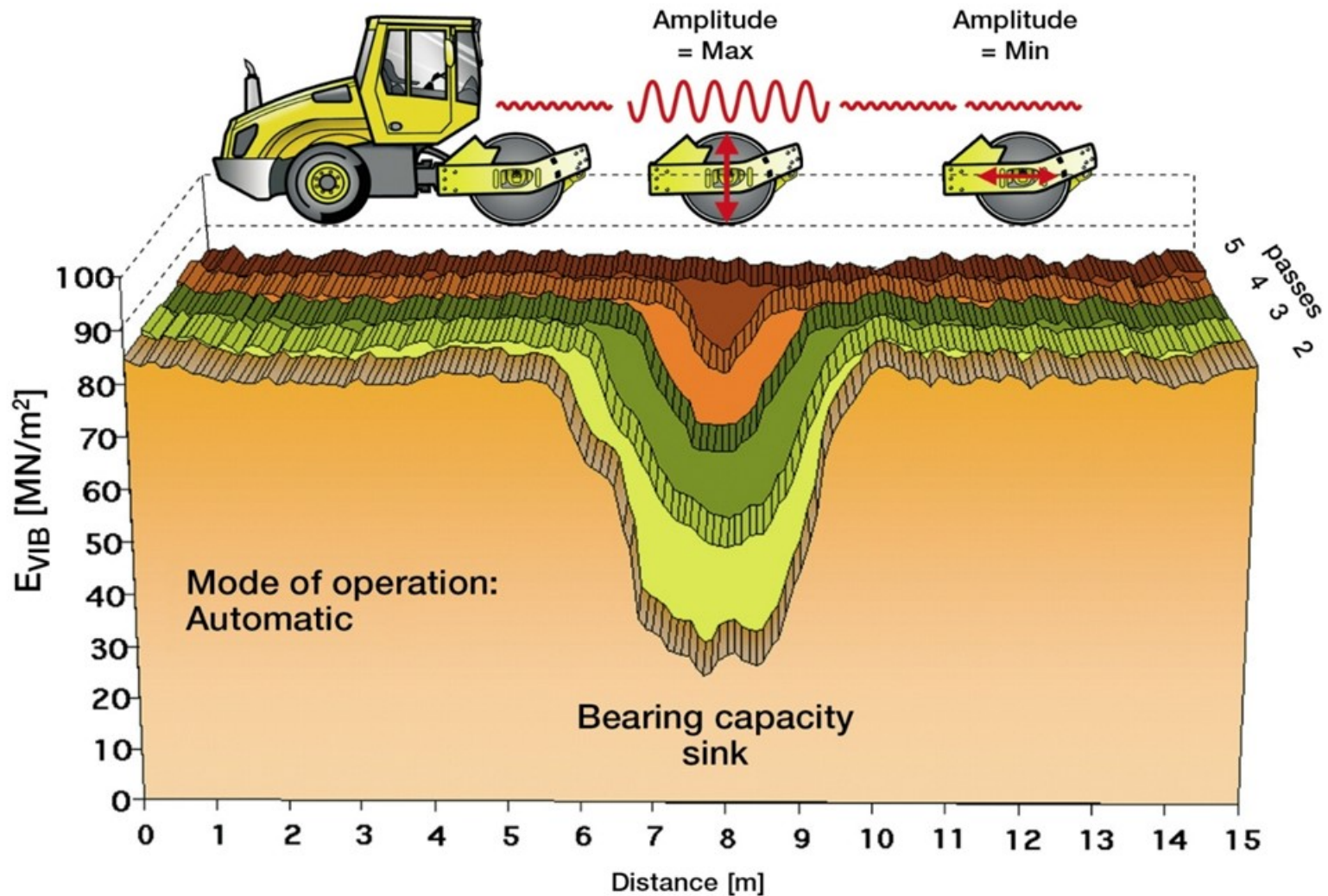


Sakai





# Auto-Feedback Control - AFC



Courtesy of Bomag

# Accelerometer Installation

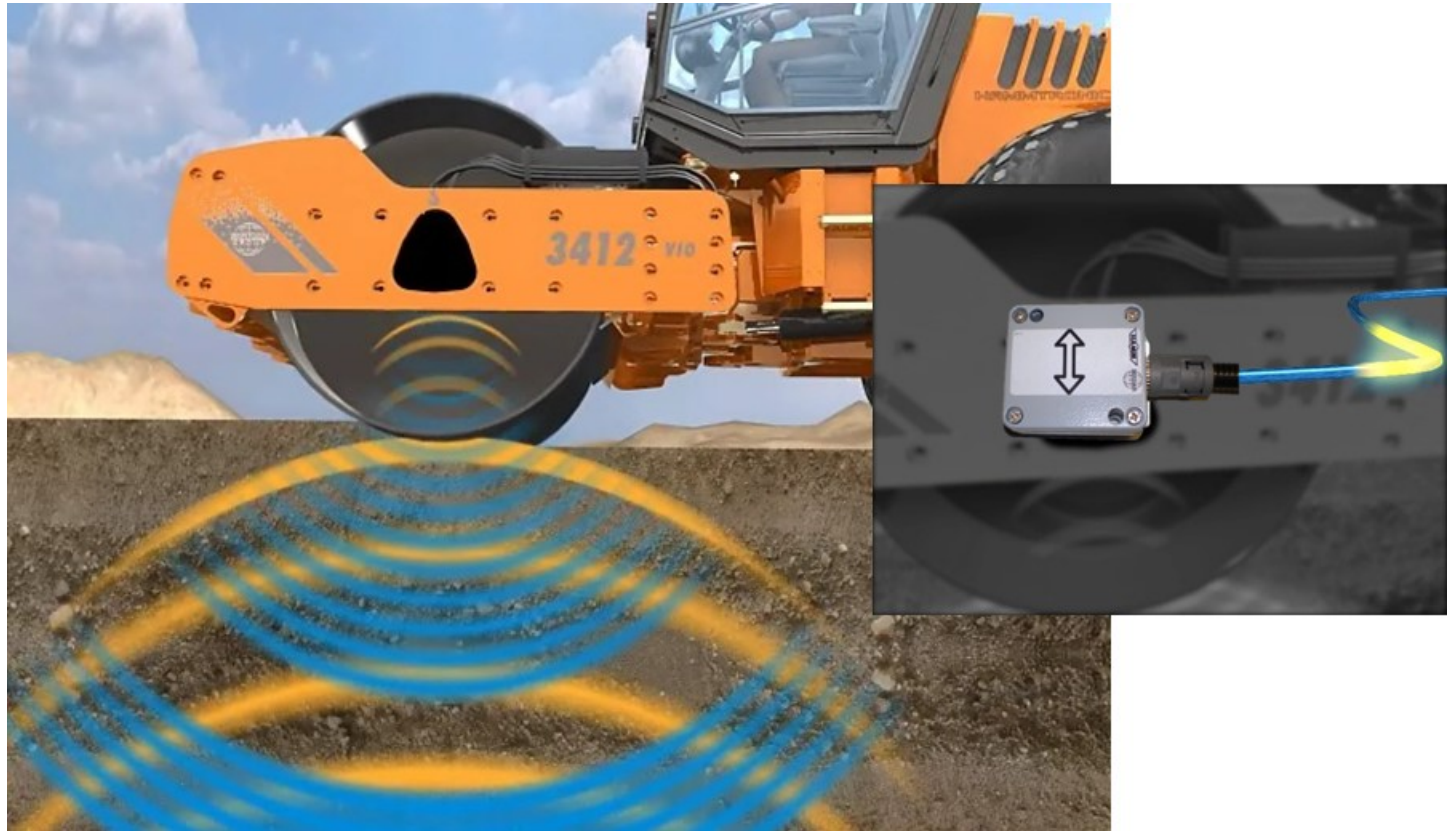




# ICMV

Intelligent Compaction Measurement Value

# Accelerometer-Based ICMV



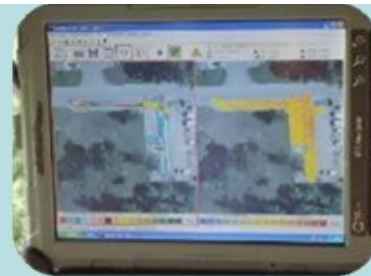
# Various ICMVs



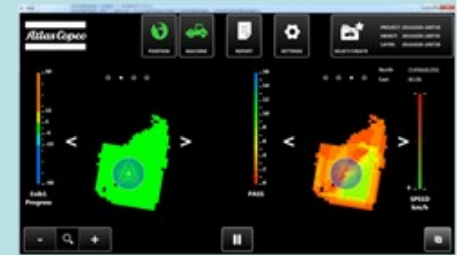
CMV Level 1



CCV Level 1



HMTV Level 1



CMV,  $E_{VIB}$  Level 1 Level 3



$E_{VIB}$  Level 3



$k_b$  Level 3



CPMS-VCV Level 3



UIC—Fr,  $E_{est}$  Level 4

# Responses Measured

- Level 1 – Frequency response
  - Compaction Meter Value (CMV)
  - Stiffness increase causes increased vdrum vibration frequency
- Level 2 – Energy & Rolling Resistance
  - Machine Drive Power (MDP)
  - As layer becomes compacted less power is needed to move roller forward

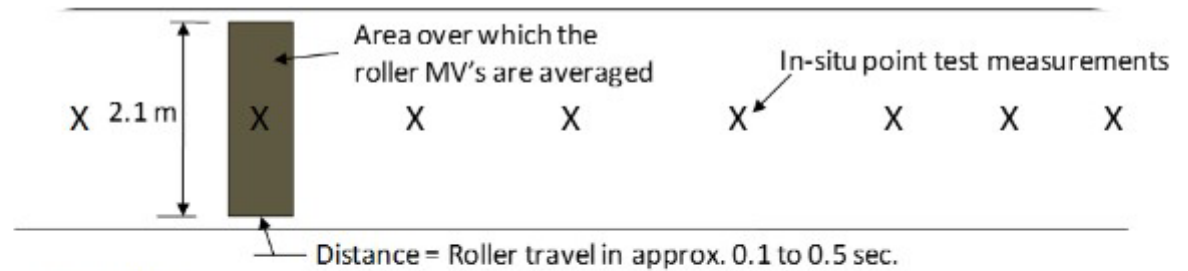


# Responses Measured

- Level 3 – Static Mechanistic Solutions
  - Vibration Modulus ( $E_{\text{vib}}$ )
  - More compacted layer absorbs more compaction energy
- Level 4 – Layer Mechanical Properties
  - work in progress!

# Factors that Influence Correlation

Footprint



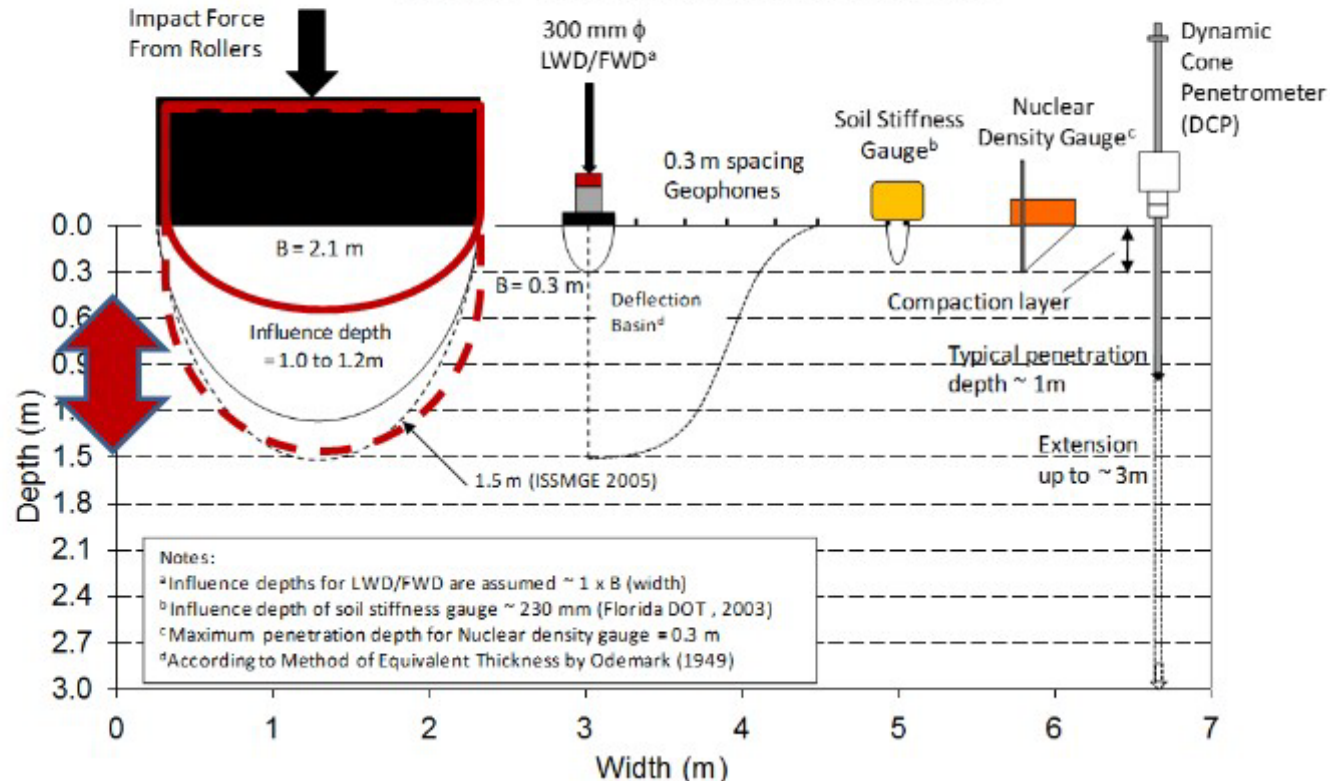
Depth

0.5 m

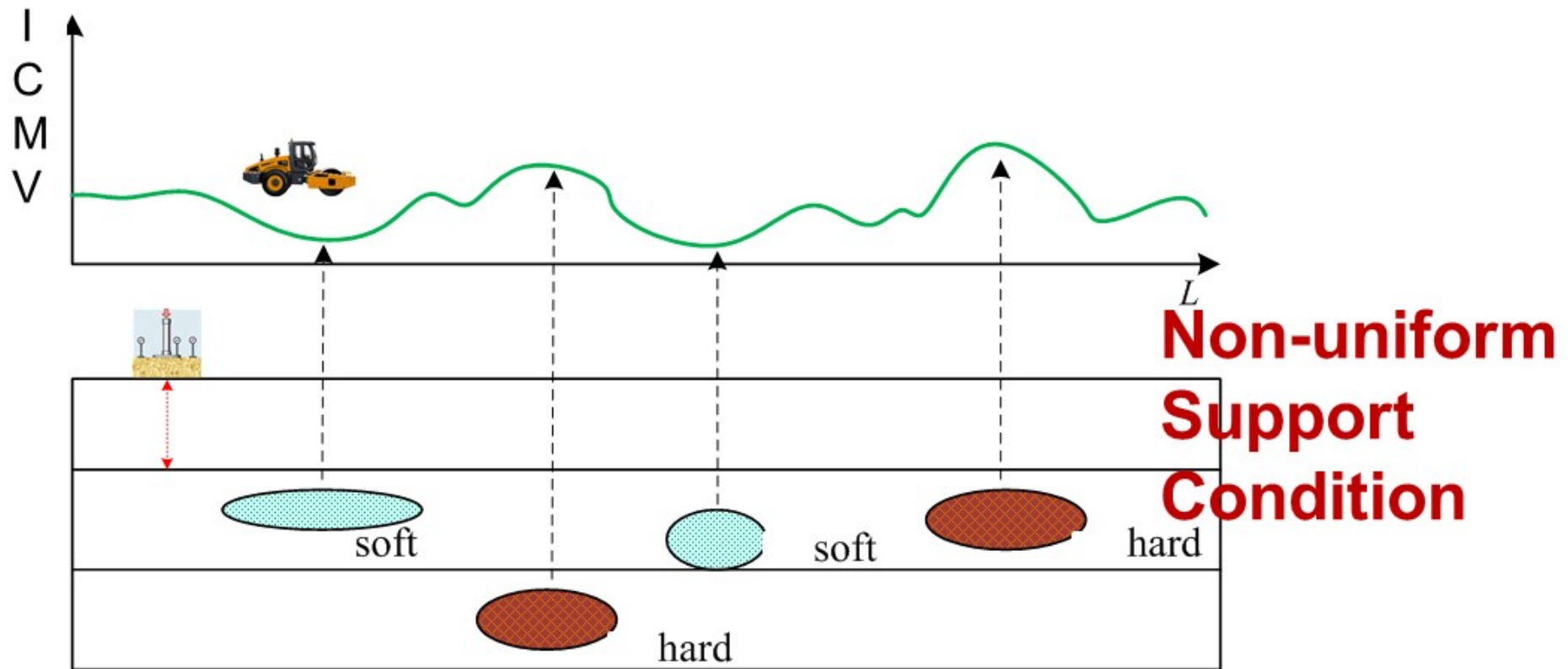
20 in.

1.2 m

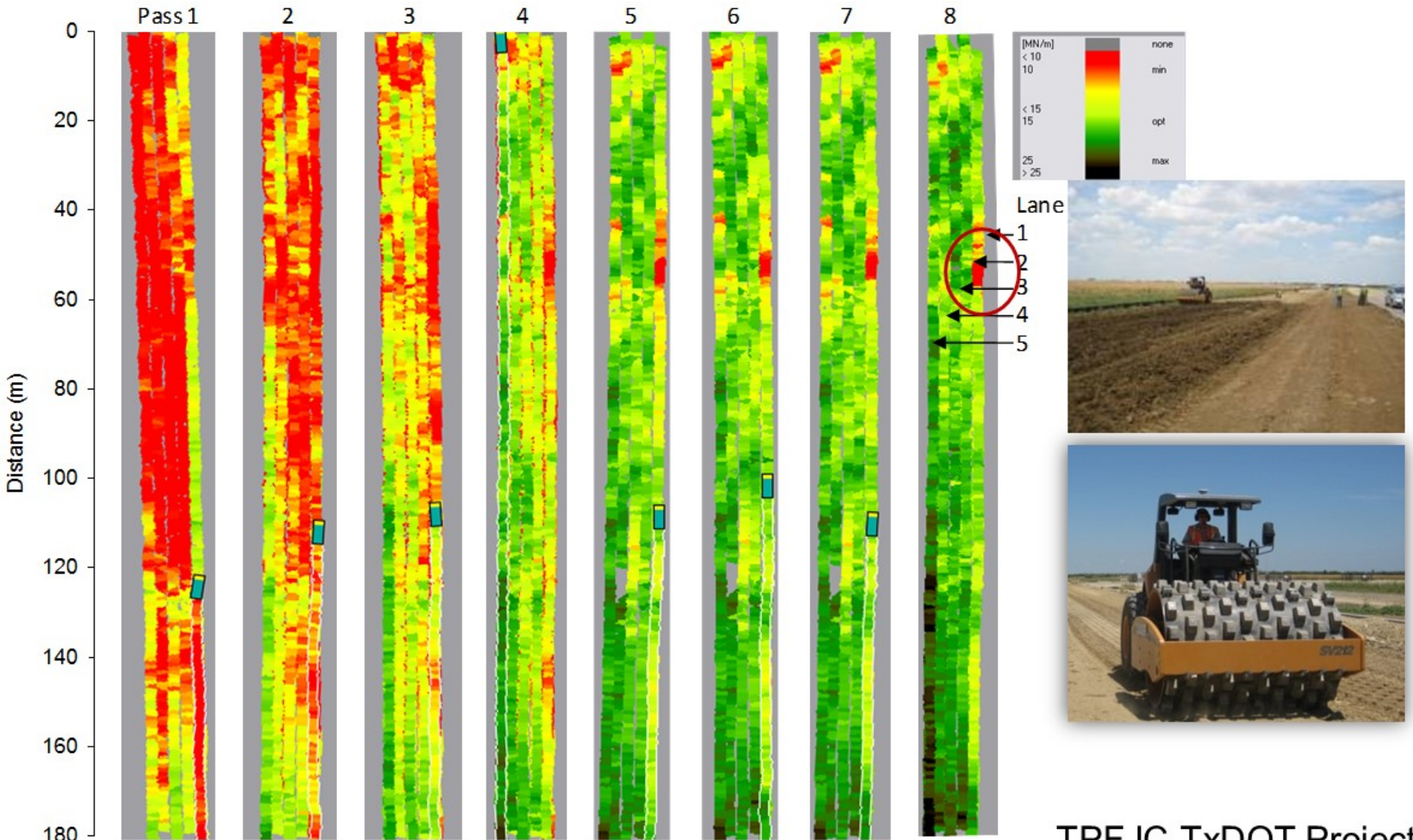
5 ft



# Factors that Influence Correlation (cont'd)

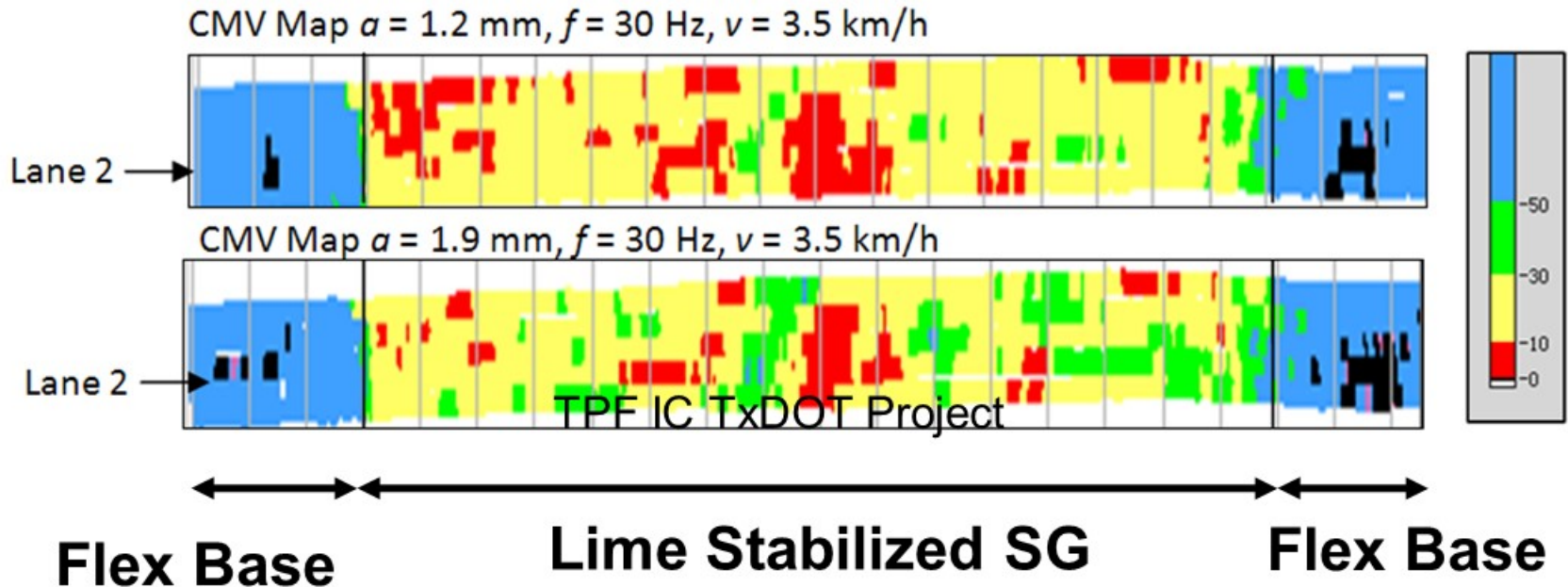


# Identify Weak Areas

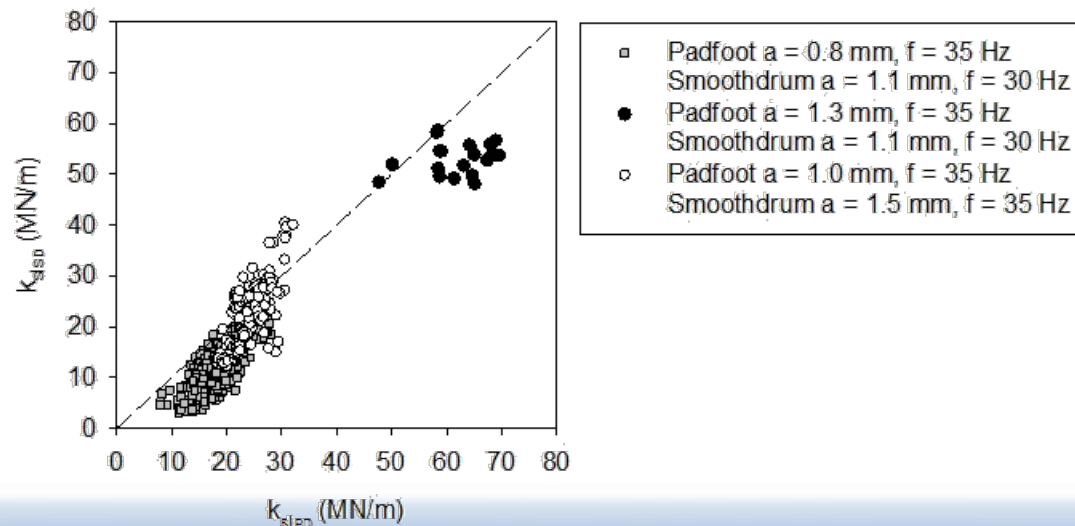
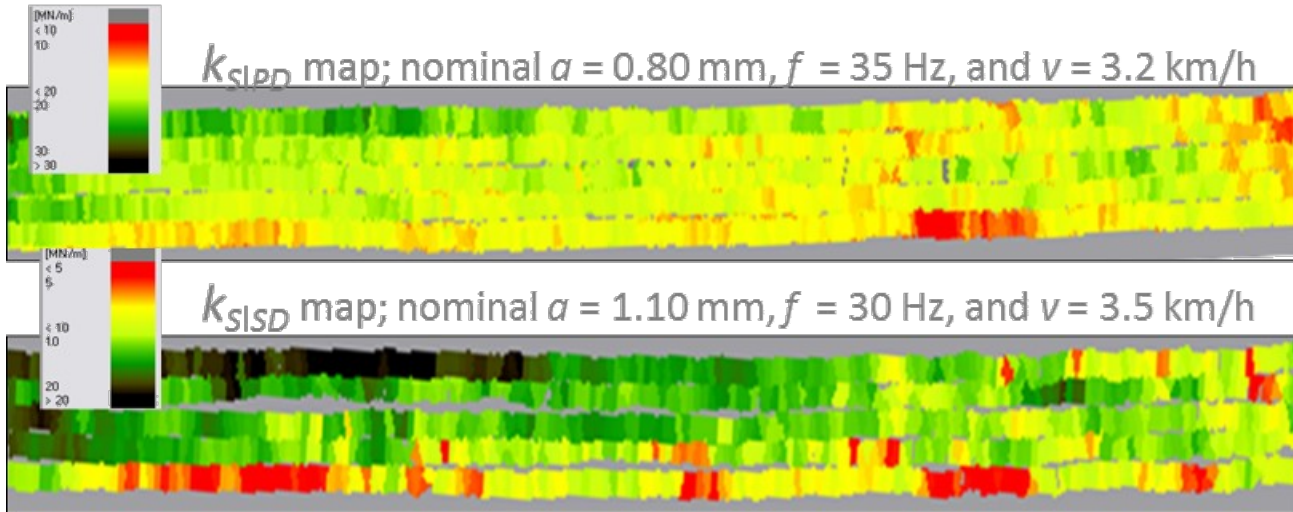




# Differentiate Materials



# Padfoot vs. Smooth Drum

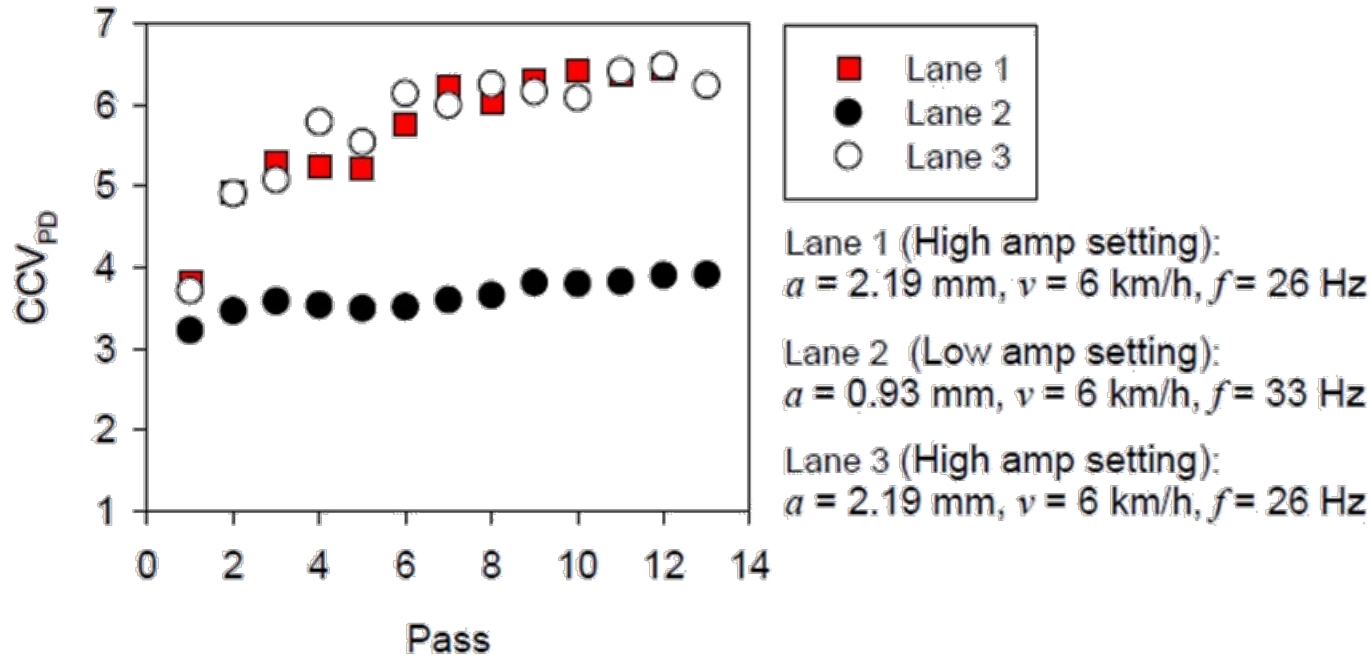


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Courtesy of Dr. David White

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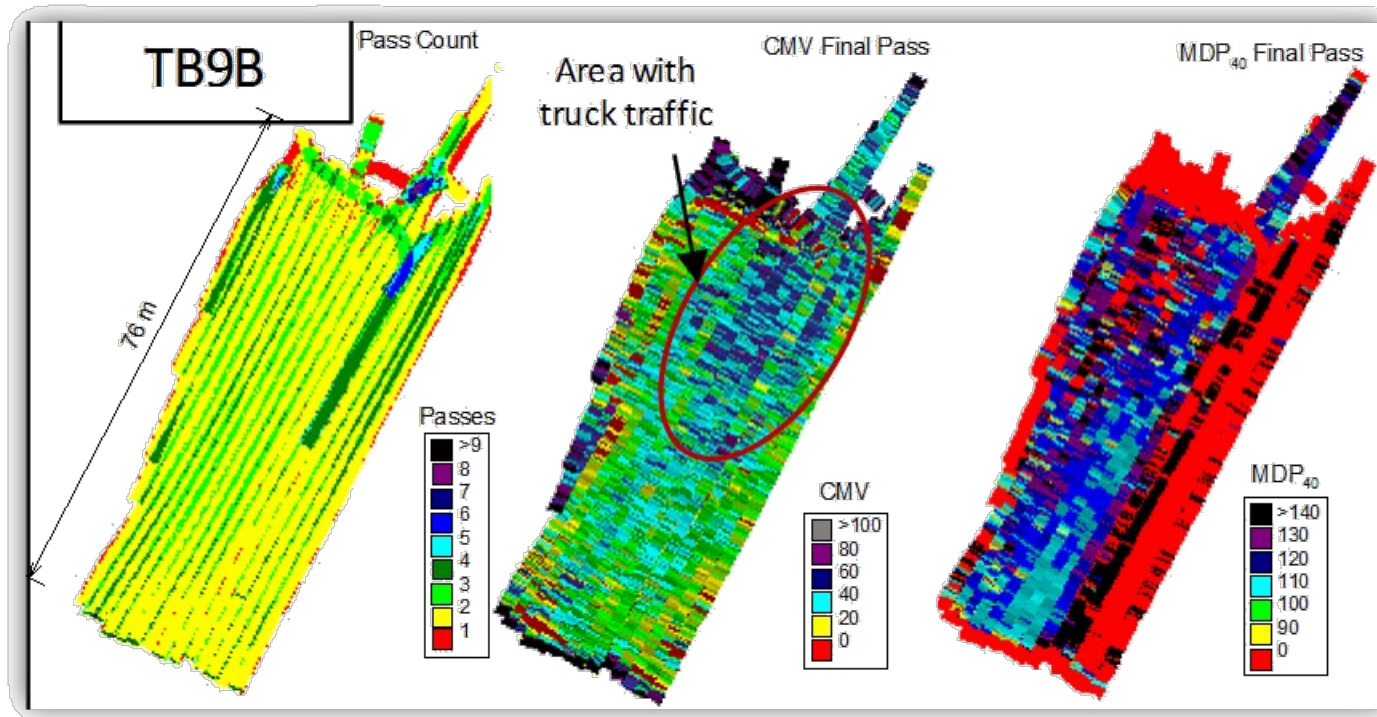
# Compaction Curves



TPF IC KSDOT Project



# Compaction due to Truck Traffic



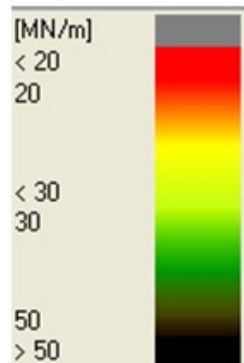
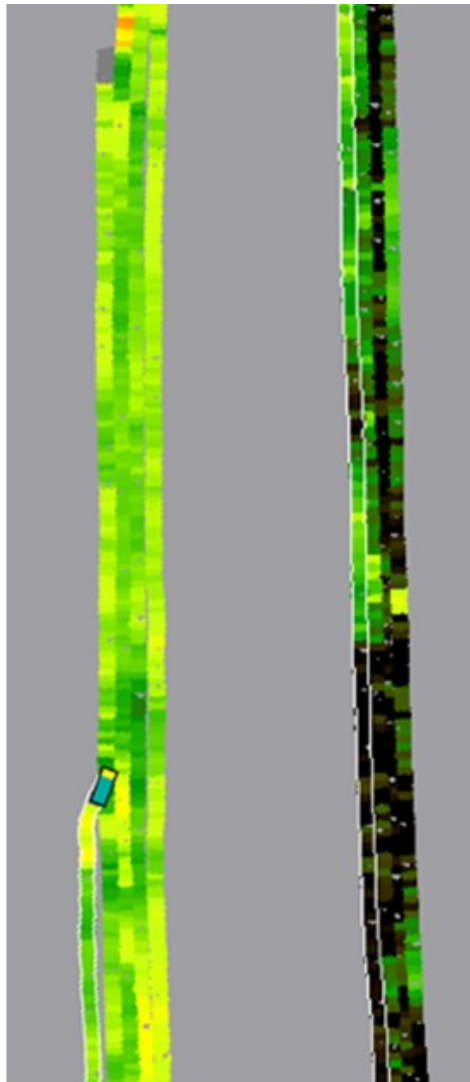
Courtesy of Dr. David White



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# Age Effects on Stabilized Base



Courtesy of Dr. David White



5 hours    2 days

TPF IC MSDOT Project

# Pre-Mapping Subbase



## Asphalt Compaction



HMA Map

Subbase Map

Reflection of  
hard spots on  
the HMA layer

HMA non-wearing  
course layer map  
 $a = 0.6 \text{ mm}$ ,  
 $f = 3000 \text{ vpm}$

Class 5 aggregate  
subbase layer map,  
 $a = 0.6 \text{ mm}$ ,  
 $f = 2500 \text{ vpm}$

Reflection of  
hard spots on  
the HMA layer

Reflection of  
soft spots on  
the HMA layer

0 5 10 20 30 40  
Meters

CCV	
0 - 3	Red
3 - 6	Dark Red
6 - 9	Light Red
9 - 12	Yellow
12 - 15	Light Green
15 - 18	Light Blue
18 - 21	Dark Blue
> 21	Dark Blue

**weak support**

# Premature Failure

Approximate location  
of subgrade section  
failed during test rolling  
(~ Sta. 134+00 to 144+00)

Approximate location  
of HA+MA non-wearing course  
layer failure due to construction  
traffic (~ Sta. 140+12 to 142+61)

HMA Map

Approximate location  
of subgrade section  
failed during test rolling  
(~ Sta. 134+00 to 144+00)

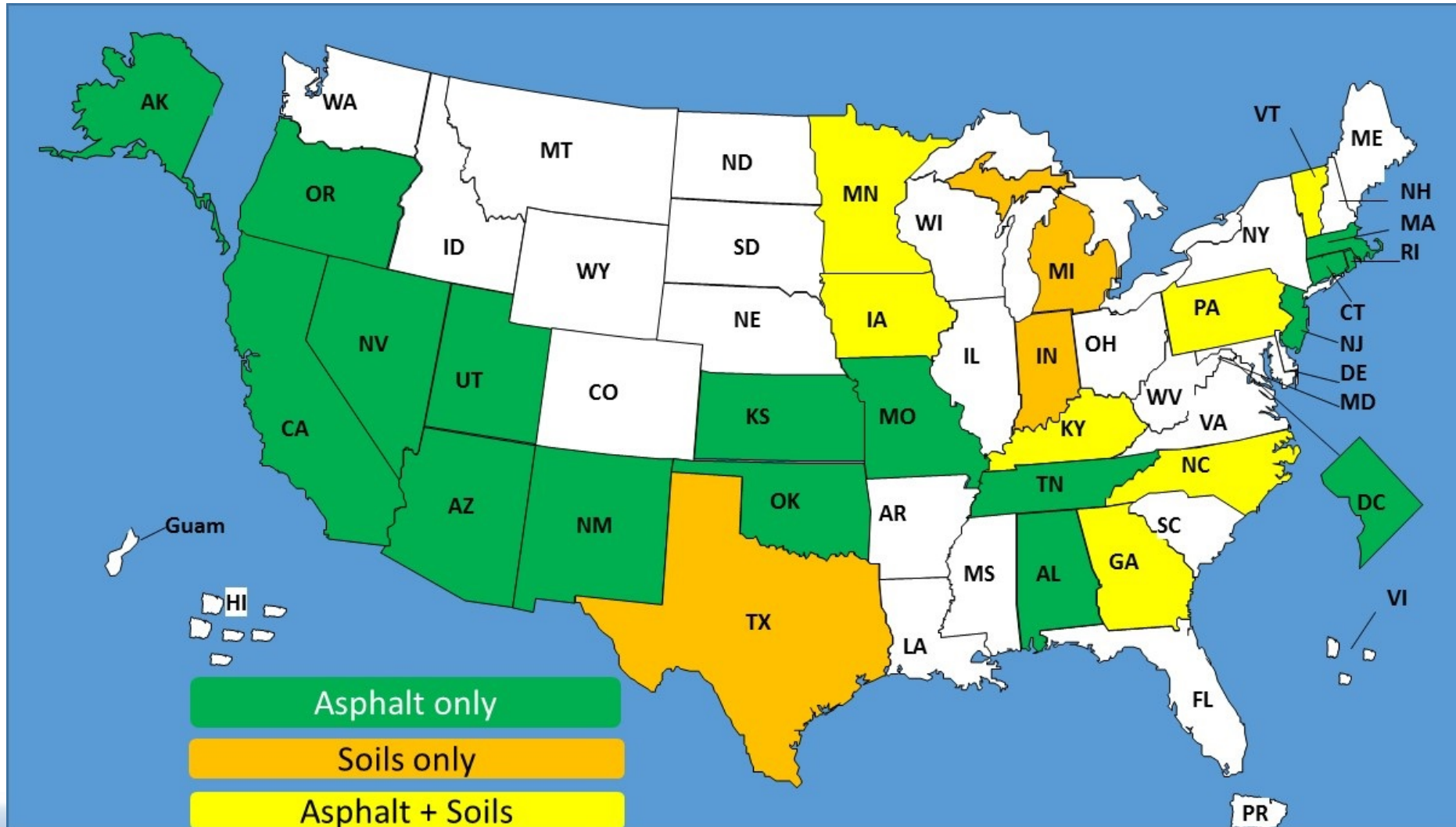
Approximate location  
of HA+MA non-wearing course  
layer failure due to construction  
traffic (~ Sta. 140+12 to 142+61)

Subbase  
Map



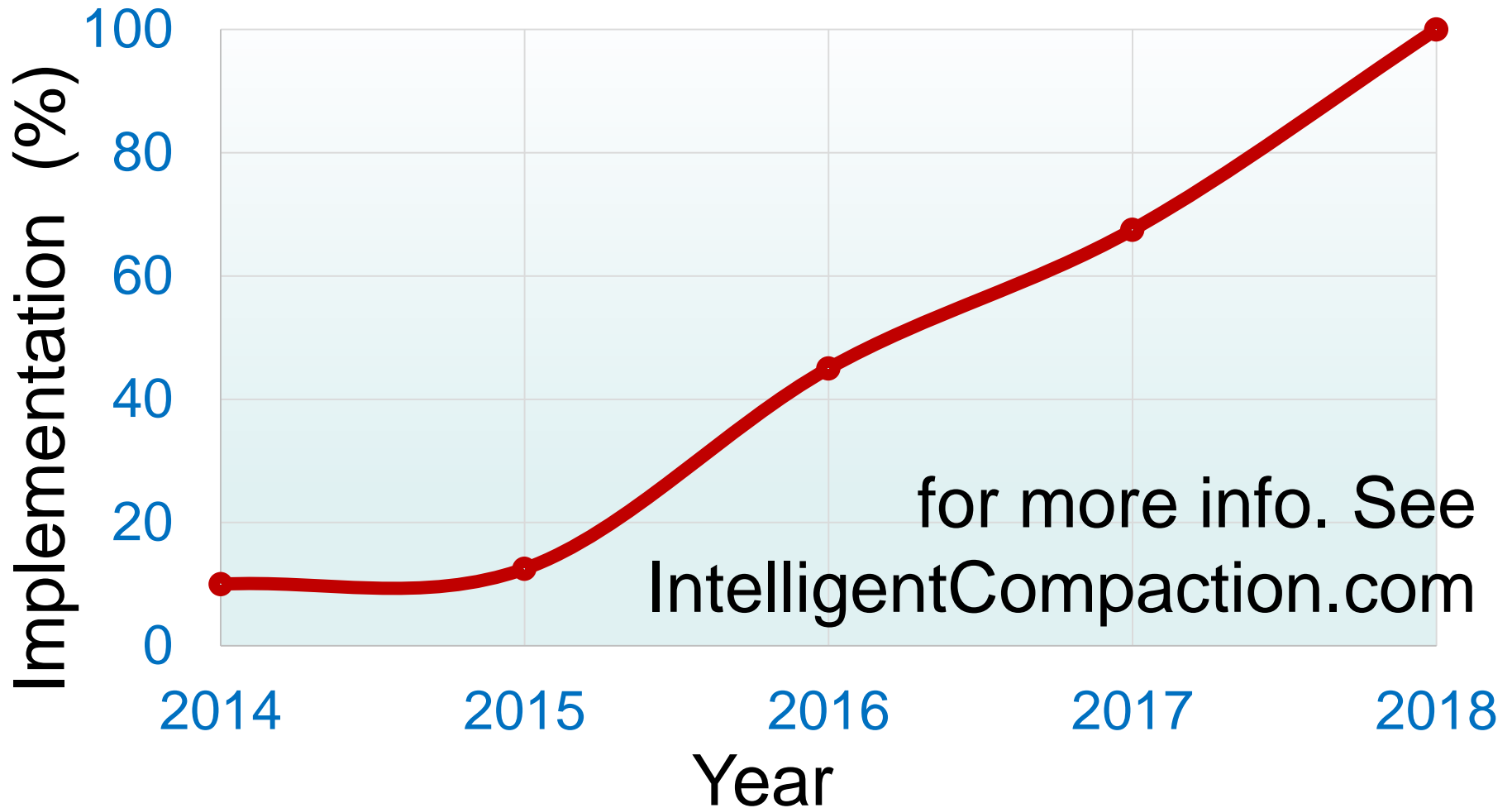


# US DOT IC Specification





# MnDOT's Implementation of ICC



# Question Time