TRANSPORTATION RESEARCH BOARD

Straight to Recording for All:

Benefits of Computational Modeling for Geotechnical Engineers



Benefits of Computational Modeling for Geotechnical Engineers

Transportation Research Board Webinar

Lee Petersen, PhD, PE (CO IL MN MO VA)

Welcome and Introduction

- Welcome
- What is computational modeling?
 - * The process of using a computer program to solve the partial differential equations of:
 - Stress and strain (mechanics)
 - Seepage and groundwater
 - Heat transfer
- What are simplified or traditional methods?
 - Analysis methods adapted to limited computational capabilities



Speakers

- Lee Petersen, PhD, PE (5 states), M. ASCE, Principal Engineer, Itasca Consulting Group
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- Derrick Dasenbrock, PE, F. ASCE, Senior Geomechanics/LRFD Engineer, MnDOT
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- Varun, PhD, Senior Geomechanics Engineer, Itasca Consulting Group
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- Augusto Lucarelli, MS, Principal Engineer, Itasca Consulting Group
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Five Learning Objectives

- Review of traditional, simplified analysis methods, and compare with computational modeling methods
- Identify limitations associated with traditional or simplified analysis methods that could adversely impact predictions of stability and performance
- Learn the benefits of numerical modeling with respect to assumptions, effort, project complexity, and risk and reliability
- Understand the steps involved in formulating and implementing a computation model for a geotechnical engineering problem to those using traditional methods
- Learn the costs and benefits of using numerical modeling as compared to traditional and limit equilibrium analysis approaches



Derrick Dasenbrock, MnDOT

- Solving geotechnical design problems
 - DOT focus, value proposition
 - ❖ Benefits of the model, and the visualization
 - Overview of traditional methods: by hand, spreadsheet, software programs
- Numerical analysis tools:
 - Benefit from new field methods
 - More robust results
 - Accommodate complexity: strength, deformation, rate effects, permeability, reinforcement, structures
 - Beneficial graphics useful for communicating results to non-geotechnical engineers and project leaders



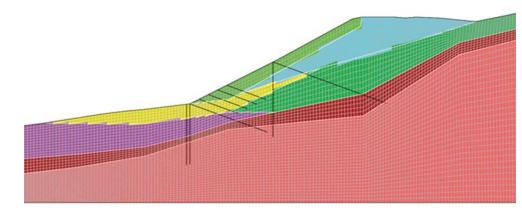
Derrick Dasenbrock, MnDOT

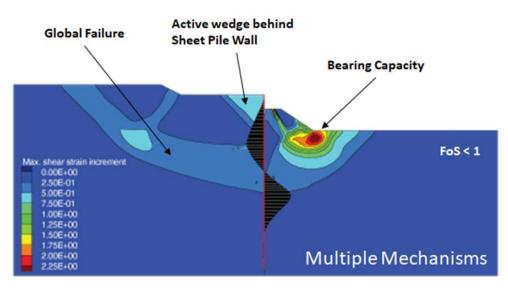
- Use cases for numerical analysis
 - Complex structures
 - Soil-structure interaction
 - Slope stability
 - Temporary shoring
- Four brief case histories



Varun, Itasca Consulting Group

- Getting started:
 - Need to choose the software and material behavior based on the problem
 - Use different soil models depending upon anticipated behavior, and static versus dynamic
 - Start simple and add complexity as needed
- Shear strength reduction method
- Comparison of LEM versus numerical methods
- Case histories:
 - Soil slope case history
 - Soil retention case history
- Pros and cons of computational modeling

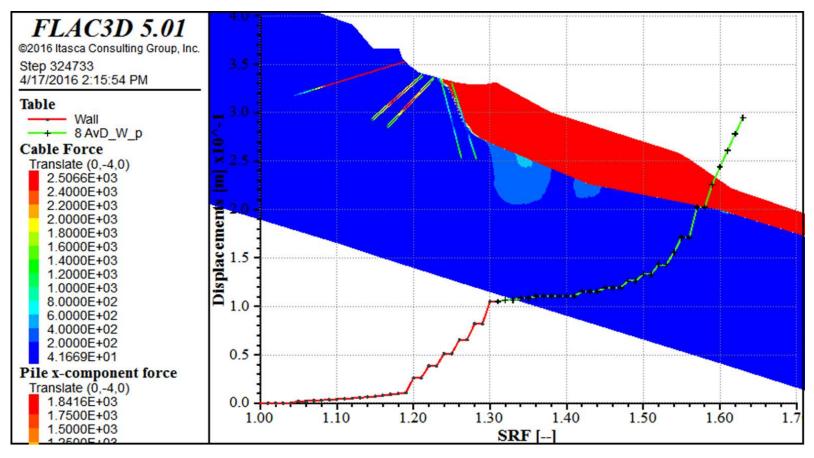






Augusto Lucarelli, Itasca Consulting Group

Fountain Slide emergency stabilization





Speakers

Key Objective Review

- Simplification, or unintended oversimplification, can often miss key mechanisms governing the problem
 - Examples by all three speakers
 - Multiple deformation mechanisms
 - LEM can't address deformable structures
 - Complex geometries
- Benefits of numerical modeling with respect to assumptions, effort, project complexity, and risk and reliability
 - Many fewer assumptions about problem layout, material behavior, etc.
 - * Additional effort may be minimal to substantial, depending upon problem complexity
 - If substantial effort is necessary, should question ability of simplified methods to address problem
 - * Risk and reliability are enhanced, because more problem considerations are included



Key Objective Review

- Steps involved in formulating and implementing a computation model
 - Design criteria and design constraints
 - Project geometry
 - Subsurface materials
 - Material behavior and properties
 - Initial structures and remedial measures
 - Sequence



"Costs" of Utilizing Computational Modeling

- Computational modeling, while more capable, is more complex
- "Costs" include:
 - Software
 - Staff training
 - ❖ Learning curve, and keeping staff skills current
 - ❖ Need more information, especially deformability information



Potentially Overlooked Advantages

- Applicability for complex sites and problems
- Revealing failure mechanisms that were not originally appreciated—including multiple or complex mechanisms
- Ability to use advanced exploration data, such as CPTu
- Ability to use advanced monitoring data including rate effects
- Using the output and visualizations to help describe risk for strength, permeability, and deformation problems



Better understanding of project risk/confidence for decision-making Evaluation of the effectiveness of proposed designs



Closing Remarks

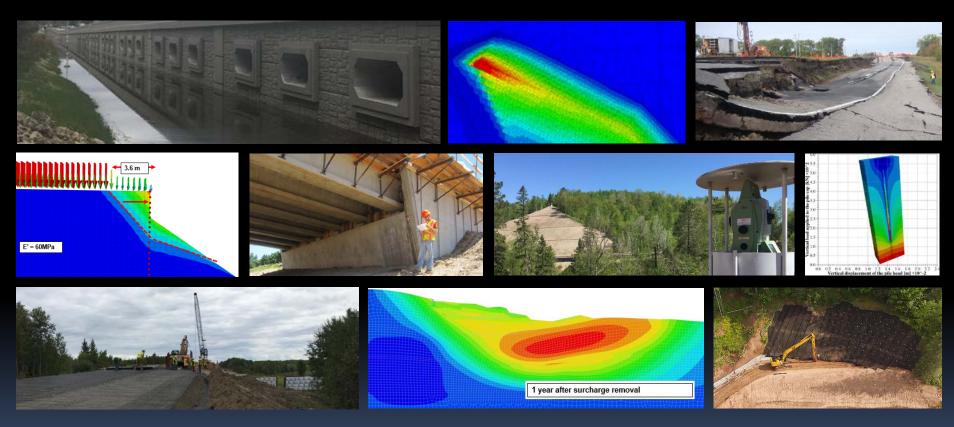
- Computational methods are a project investment
- The outcomes often lead directly to project cost and schedule impacts
- Either:
 - Finding problems and adapting design and construction accordingly
 - Finding that there are no problems
- Lead to an improved project outcome as compared to uncertainty surrounding geotechnical issues



Thank you for your attention!

Solving problems.

Geotechnical design problems encountered by State DOTs and how numerical modeling, analysis, and presentation enhance project value



Derrick Dasenbrock, P.E., F. ASCE Geomechanics/LRFD Engineer Minnesota DOT Office of Materials and Road Research



Common geotechnical design problems

Life cycle performance

- Strength (bearing capacity)
- Settlement
- Deformation and slope stability
- Reliability and extreme events
- Original design & reconstruction

Typical DOT features

- Bridges and walls
- Culverts and channels
- Embankments and slopes
- Tunnels
- Pavement and guardrail
- Signs and towers
- Buildings





Tools in the geotechnical toolkit

Hand methods

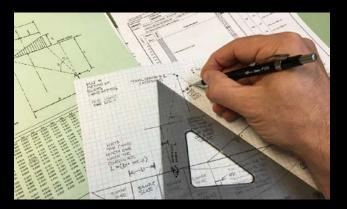
- Bearing capacity
- Settlement
- Slope stability

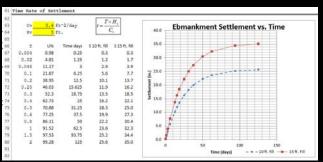
Spreadsheets

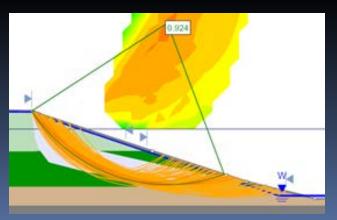
- Bearing capacity
- Settlement
- Slope stability

Software programs

- Bearing capacity
- Settlement
- Slope stability







Older (less complex) tools

Input

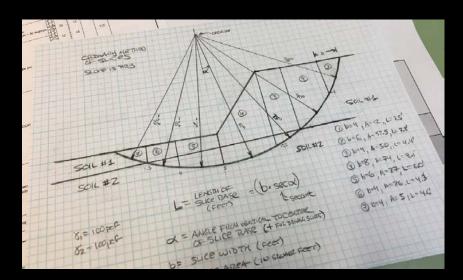
- Stratigraphy/geometry
- Material properties
- Water & loading

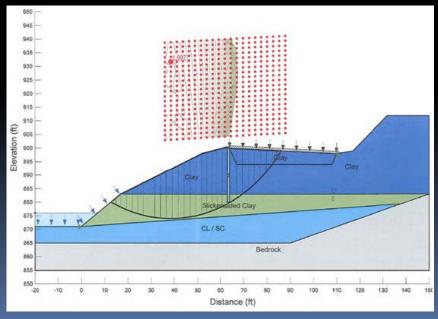
Methodology

- Nature/derivation of method
- Simplifying assumptions
- Geometric/function constraints

Operational

- Time & availability
- Talent/expertise
- Cost/expense





Newer numerical analysis tools

Benefit from new field methods

Improved spatial stratigraphy, water & properties from newer in-situ methods

Provide more robust results

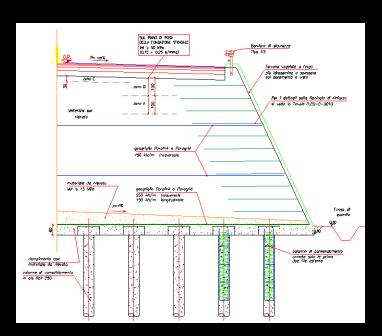
- Remove many constraints associated with simplified solutions
- Handle complexity + interaction better

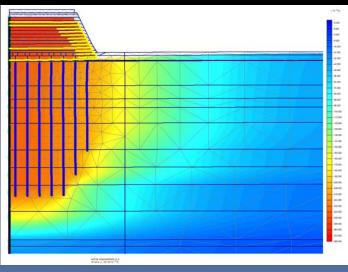
Include analysis of

 Strength, deformation, rate effects, permeability, reinforcement, structures

Depict variation in results

Graphics and visualizations improve comprehension of local/regional effects





Use cases for numerical analysis tools

Complex structures

 Multiple related support systems, staged construction or unusual geometry or discontinuities

Soil-structure interaction

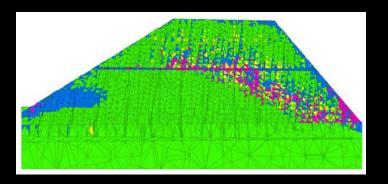
 Parametric studies based on field exploration and monitoring

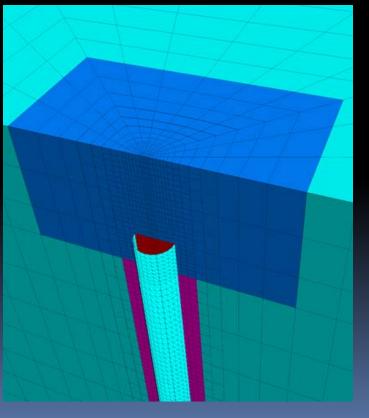
Slope stability

 Complex site character or the potential for multiple failure methods

Temporary shoring

 Deformation resulting from complex or non-standard geometry and/or staged construction





Complex structures:

Nine Mile Creek Causeway

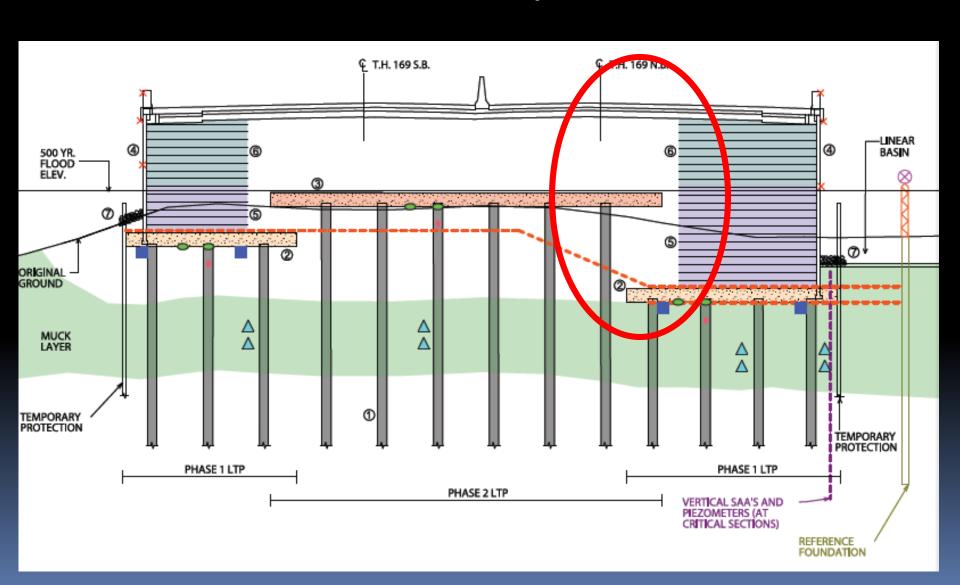
Controlled Modulus Column and MSE Wall Concept with 3 Platform Levels



Complex structures:

Nine Mile Creek Causeway

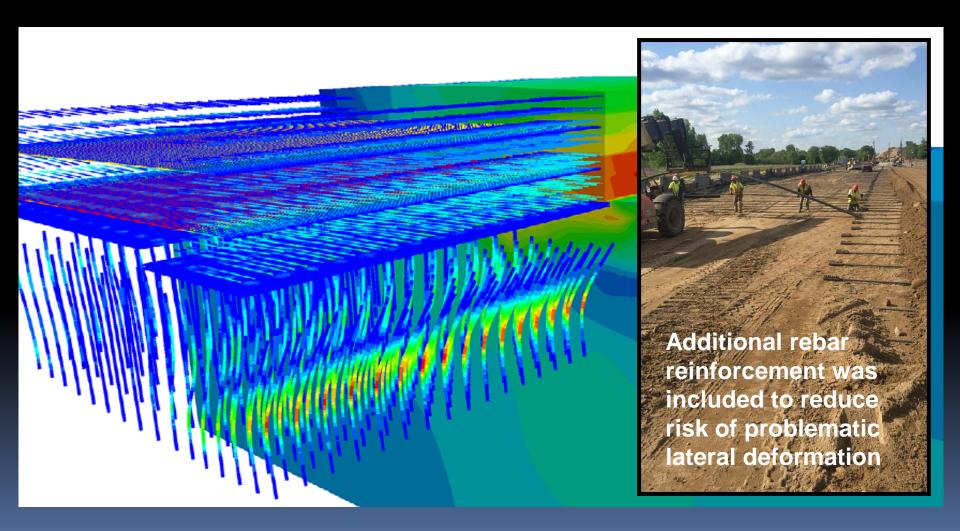
Controlled Modulus Column and MSE Wall Concept with 3 Platform Levels



Complex structures:

Nine Mile Creek Causeway

The 3-D computational model includes columns, load transfer platforms, MSE reinforcement, and additional internal reinforcement



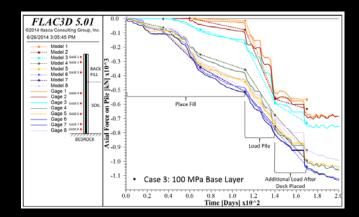
Soil structure interaction:

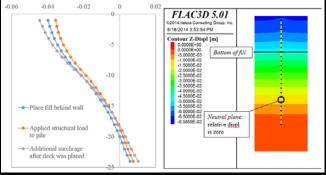
Evaluation of downdrag force on H-piles to rock

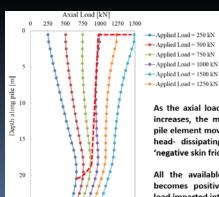












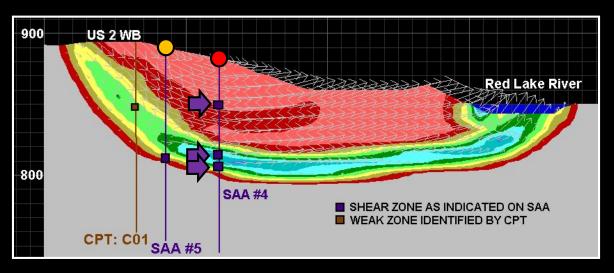
As the axial load applied at the pile head increases, the maximum axial load in the pile element moves upward toward the pile head- dissipating the observed effect of 'negative skin friction'.

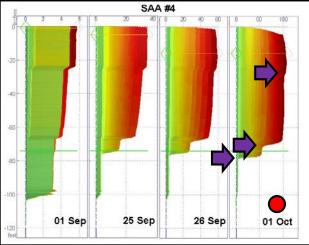
All the available friction along the pile becomes positive to support the added load imparted into the pile.

Slope stability:

US 2 roadway failure in Crookston, MN



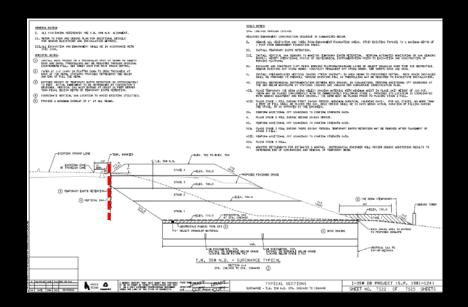


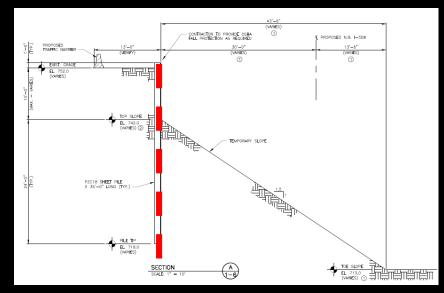




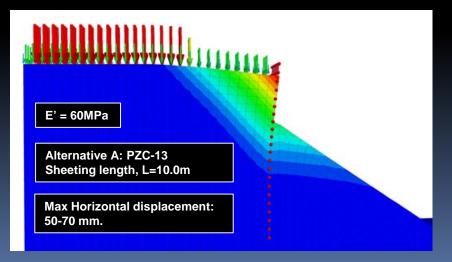
Temporary support and shoring:

I-35W embankment widening for new bridge construction









Costs/benefits: Numerical analysis tools

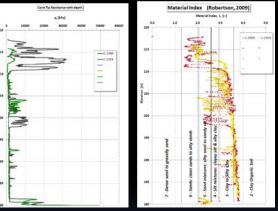
Costs

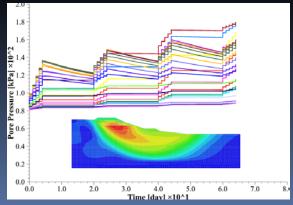
- As with all geotechnical computations and modeling: these tools require experience and familiarity to be correct, efficient and productive
- Software or consulting services may be comparatively expensive

Benefits

- Handles complexity better than other methods; results are often insightful/meaningful
- Able to relate strength, deformation, permeability, and time dependent behavior
- Excellent visualization, often showing a continuum of behavior
- Increases value of exploration + field monitoring
- Quality of the methods aid in decision making







Applications: Numerical analysis

Initial design

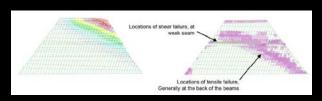
 Use with modern in-situ methods to provide design recommendations with high confidence (reduced risk)

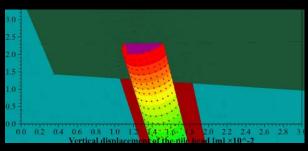
Independent verification

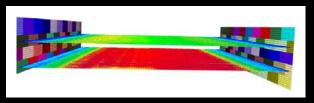
- Provides an important check for 'reasonableness' or 'appropriateness' of answers from simpler methods
- Internal or as part of independent design oversight (QC, QA, IQA)

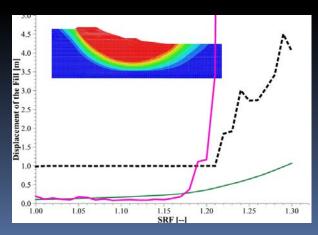
Forensic analysis*

 Provides the advantages of the method in critical situations





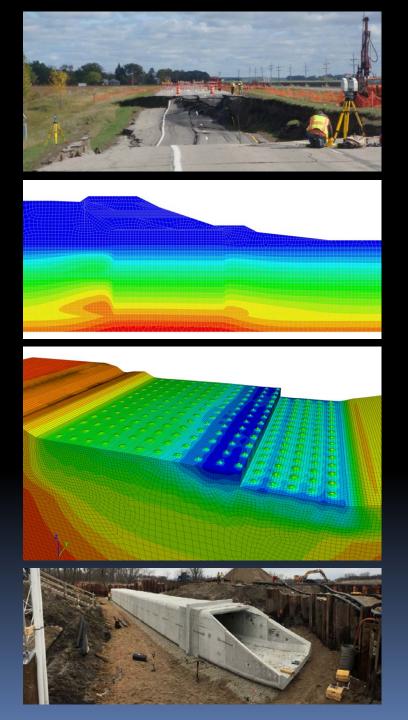




Adding project value

Implementation strategies

- Develop in-house expertise
- Use prequalification or other selection processes for contract modelling work (ongoing or on a project-specific basis)
- Apply in conjunction with risk registries for added confidence in selecting alternatives or use in construction as design validation
- Use case histories documenting results and project impact (cost, time, or other outcomes, such as averted problems, as a result of modeling findings) as a basis to adopt modern analysis methods



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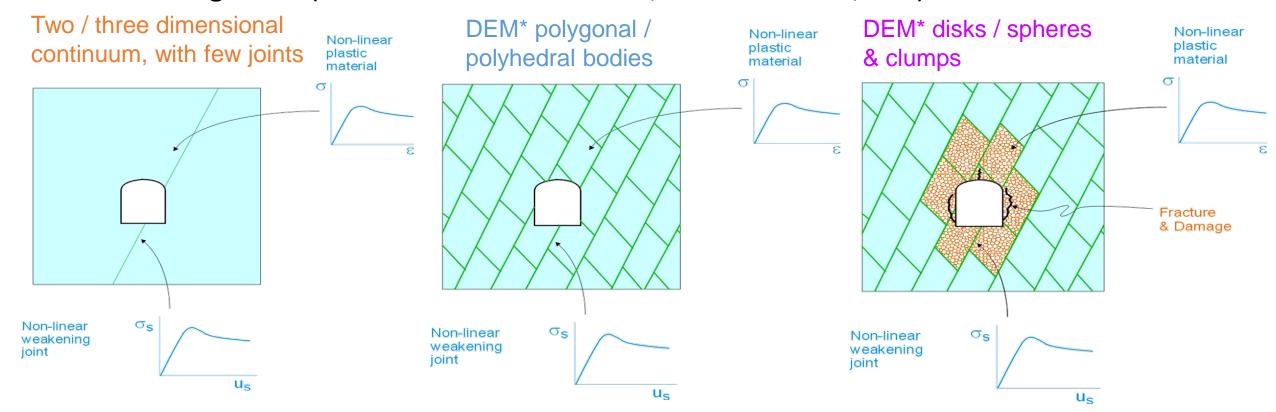
Benefits of Computational Modeling for Geotechnical Engineers

Presented by Varun (Senior Geomechanics Engineer), varun@itascacg.com

CIVIL • ENVIRONMENTAL • MINING • OIL & GAS • POWER GENERATION

SELECTING THE NUMERICAL TOOL

- What type of material are we trying to model? Different options available for different types of problems
 - Continuum (Finite Element Method, Finite Volume Method, Finite Difference, etc.)
 - Blocky (Distinct Element Method)
 - Fracture growth (Discrete Element Method, Extended FEM, etc.)

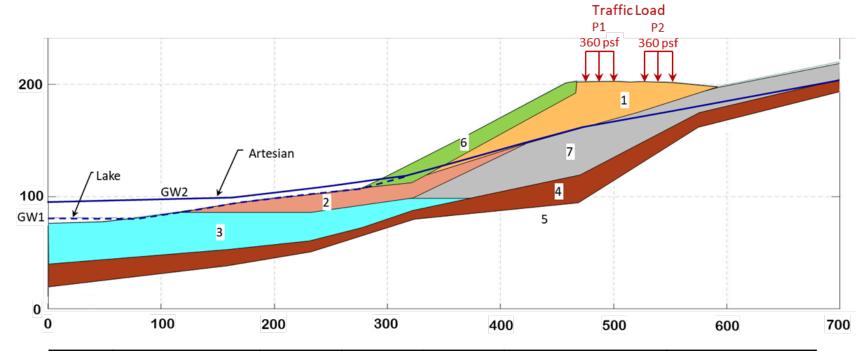


SELECTING THE CONSTITUTIVE MODEL

- What type of material behavior are we trying to capture? Increasing level of complexity can be included
 - Elasto-plastic with failure in shear or tension (Mohr Coulomb with tensile cut-off)
 - Elasto-plastic and a plane of weakness (Mohr Coulomb with ubiquitous joint)
 - Shear softening or hardening after failure (Mohr Coulomb with shear softening / hardening)
 - Modulus change as a function of shear strain (Plastic Hardening / Small strain modulus)
 - Permanent volume change as a function of increasing confining pressure (compaction) resulting in change of modulus and shear strength (Cam Clay)
 - Dynamic Loading (UBCSAND, PM4SAND, SANISAND)
 - Hysteretic damping and modulus reduction during loading, unloading and reloading
 - Pore pressure buildup due to volumetric compaction caused by cyclic loading
- Start simple and add complexity as needed. Simple models are easier to calibrate and often give a lot of insight. But do not oversimplify!

SLOPE STABILITY PROBLEM

- Goal: To support the roadway embankment
- Roadway loads are at P1 and P2
- Geometry, soil properties, water tables, and loads as provided
- Constraints:
 - Cannot violate the lake
 - Long-term Factor of Safety (FoS) of 1.5
- Assumptions:
 - Slope as is
 - 2D plane strain
 - Long-term strength is associated with drained conditions



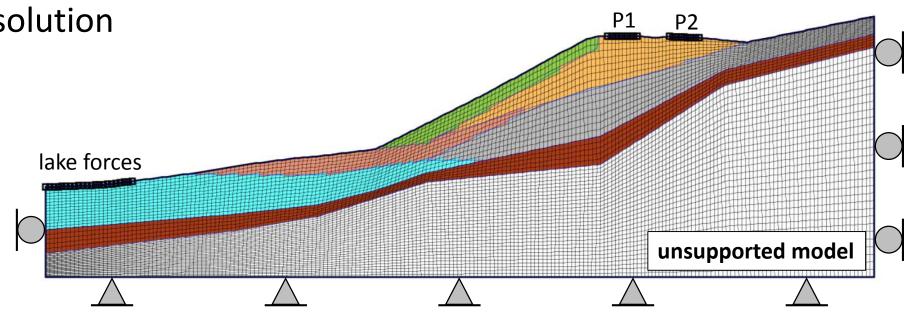
				Drained		ined	
Soil Layer	Soil Type	g _m (pcf)	g _{sat} (pcf)	s _u (psf)	c' (psf)	f' (°)	Phreatic Surface
1	Embankment Fill	120	125	(P31)	0	32	Lake (GW1)
2	Colluvial1	120	125	-	0	30	Lake (GW1)
3	Silt & Clay	117	120	500	0	25	Lake (GW1)
4	Sand & Gravel	125	130	-	0	36	Artesian (GW2)
5	Glacial Till	130	135	-	0	38	Lake (GW1)
6	Rockfill	130	135	-	0	45	Lake (GW1)
7	Colluvial2	120	125	-	0	34	Lake (GW1)

SOLUTION APPROACH

- Two-dimensional finite difference continuum software
- Mohr-Coulomb material model
- Effective stress/pore pressure
- Factor of Safety analysis using the Shear Strength Reduction (SSR) method
- Structural elements for ground reinforcement

Practicable support solution

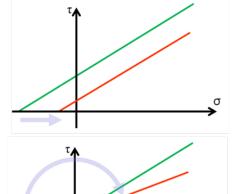
- Cost
- Installable
- Step-wise, iterative



SHEAR STRENGTH REDUCTION

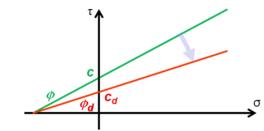
- ullet Progressively reduce shear strength (au) of materials to bring model to a metastable state
- Series of simulations done using trial values (F^{trial}) to reduce the cohesion (c) and friction angle (ϕ) until failure occurs
- May also reduce tensile strength and ground support strength properties
- For efficiency, a bracketing approach is used (stable and unstable states), and then this range is progressively reduced until the difference between the solutions falls below a tolerance

$$\tau = c + \sigma \cdot \tan(\phi)$$



- variable cohesion
- constant friction





- variable cohesion
- variable friction

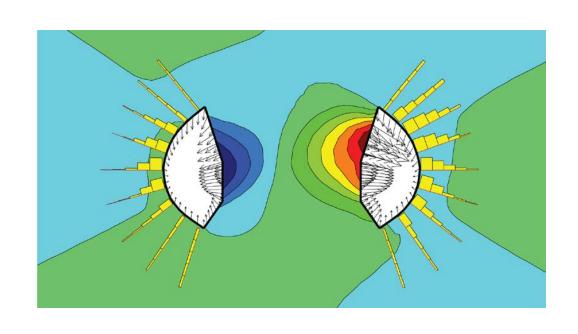
$$c^{trial} = \frac{1}{F^{trial}}c \quad \phi^{trial} = \tan^{-1}\left(\frac{\tan(\phi)}{F^{trial}}\right)$$

BENEFITS OF NUMERICAL MODELING

	Finite Element / Finite Volume / Finite Difference	Limit Equilibrium Method (LEM)
Equilibrium	Satisfied everywhere	Satisfied only for specific objects (slices)
Stresses	Computed everywhere using field equations	Computed approximately on certain surfaces
Deformation	Part of the solution	Not considered
Failure	Yield condition satisfied everywhere; failure surfaces develop "automatically" as conditions dictate	Failure allowed only on certain pre-defined surfaces; no check on yield condition elsewhere
Kinematics	The "mechanisms" that develop satisfy kinematic constraints	Kinematics are not considered – mechanisms may not be feasible

STRUCTURAL ELEMENTS

- Structural elements of arbitrary geometry and properties, and their interaction with a soil or rock, may be modeled to simulate ground support
- 3D effects of regularly spaced elements is accommodated by scaling their material properties in the out-of-plane direction
- Available structural elements:
 - Surface Support Elements (beams, liners, and support)
 - Shear Support Elements (cables and strips)
 - Shear and Normal Support (piles and rockbolts)
- Can accommodate large displacements
- Can fail, redistributing forces in the model
- Can be linked (tied) together
- Can be utilized in dynamic (seismic) simulations



STRUCTURAL ELEMENTS USED

CABLES

- Supports for which tensile capacity is important
- Can also fail in tension and compression, no flexural resistance
- Can be point-anchored or grouted so that the cable element develops forces along its length, resisting relative motion between cable and grid
- May be pre-tensioned, if desired
- Applications include:
 - rockbolts
 - cable bolts
 - tie-backs
 - anchors

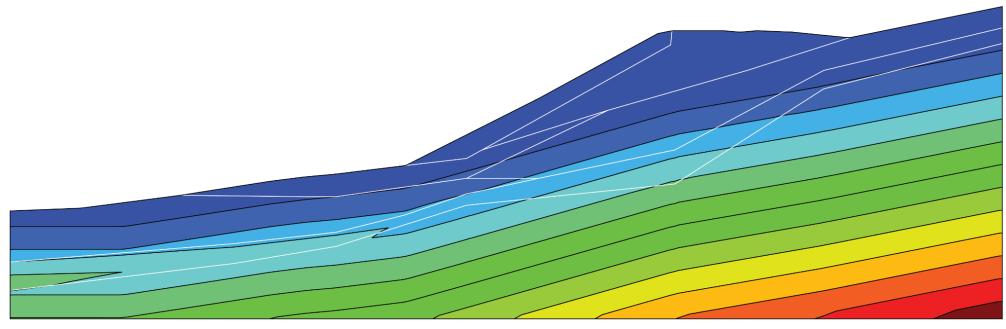
BEAMS

- Modeling of structural support in which bending resistance is important
- Linear axial displacement (cubic deflection)
- Axial peak and residual strengths
- Nodal behavior may also include plastic hinges
- Applications include:
 - sheet piles
 - support struts

PILES

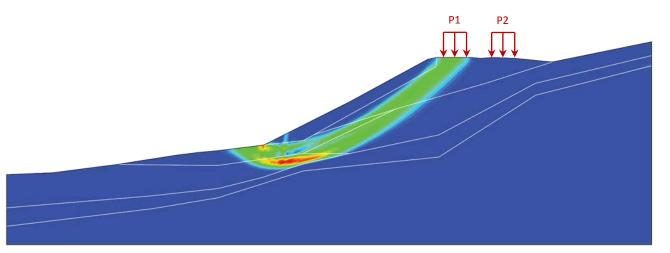
- Combines structural behavior of beams and medium/structure interaction of cables
- Can also develop frictional forces along its length, resisting relative normal motion between pile and grid
- Applications include:
 - Foundation piles
 - Stabilizing piles

PORE PRESSURE DISTRIBUTION



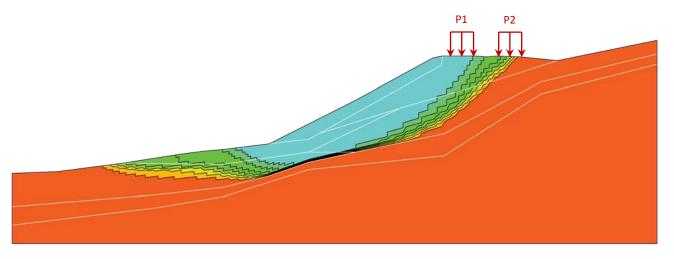
- The pore pressure distribution can be represented accurately including artesian conditions.
- Seepage problem can also be solved to determine phreatic surface if needed.

DRAINED CASE (UNSUPPORTED)



Maximum Shear Strain Increment

- Strains are derived from displacements
- Approximate slip plane
- FoS = 0.95



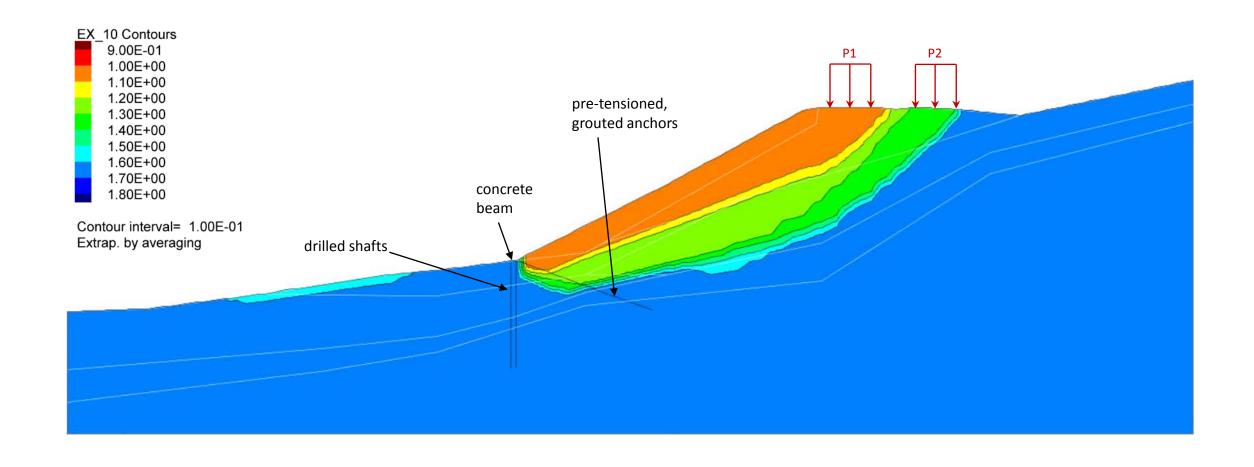
Factor of Safety Contours (Safety Map)

- Material property sensitivities
- Localization

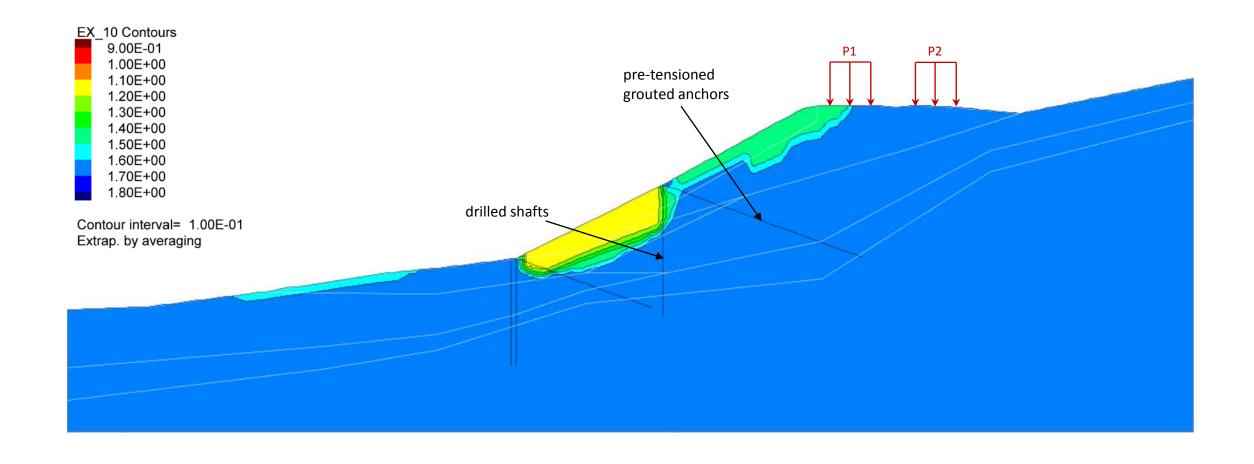
STABILIZATION SOLUTION

- Challenging problem
 - High FoS target
 - Slope and roadways exists (i.e., limits support options, installation more difficult)
 - Relatively weak soils
 - Global failure is relatively deep seated
- Lowering the water table was not considered for long-term solution (continuous pumping required)
- Ground support incrementally added
 - S1: Vertical drilled shafts / concrete beam with grouted, tensioned anchors
 - Modeled with beams, piles, and cables
 - S2: Vertical drilled shaft with grouted, tensioned anchors
 - Modeled with piles and cables
 - S3: Fiberglass soil nails (4 rows)
 - Modeled with cables

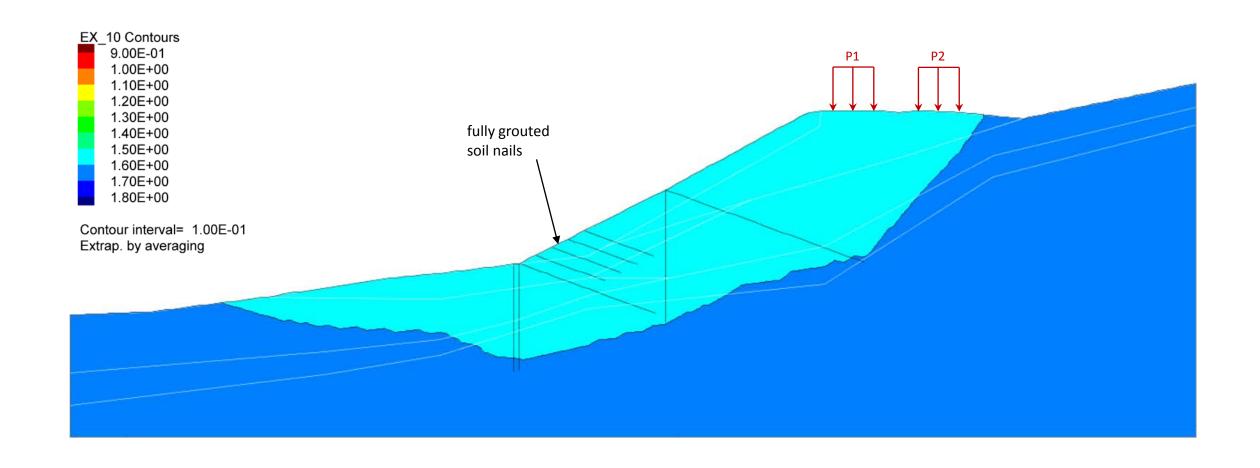
S1: DRILLED SHAFTS/ANCHORS



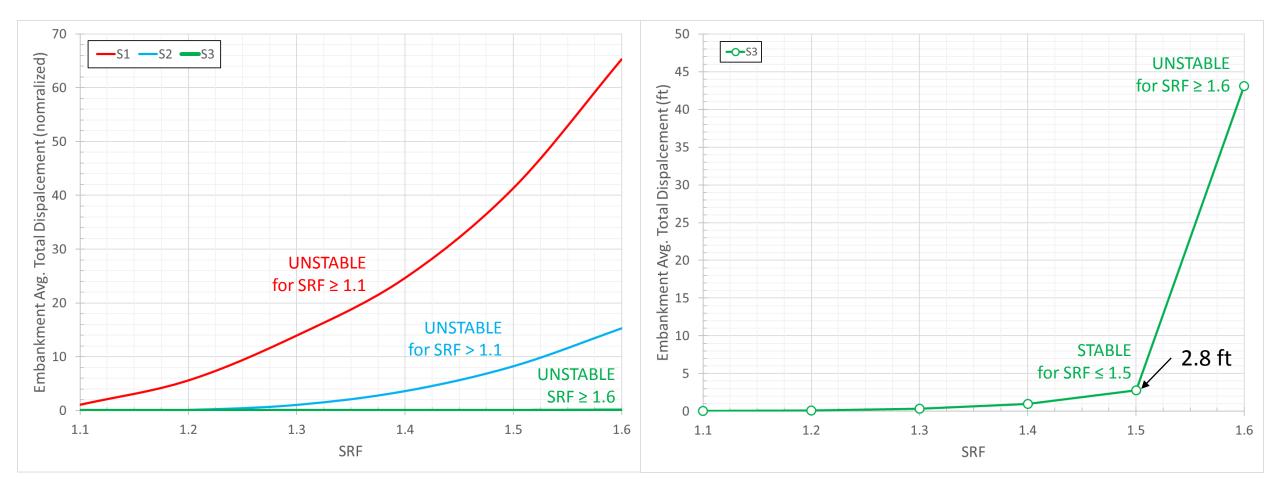
S2: DRILLED SHAFT/ANCHORS



S3: FIBERGLASS SOIL NAILS



GROUND SUPPORT EFFECTIVENESS

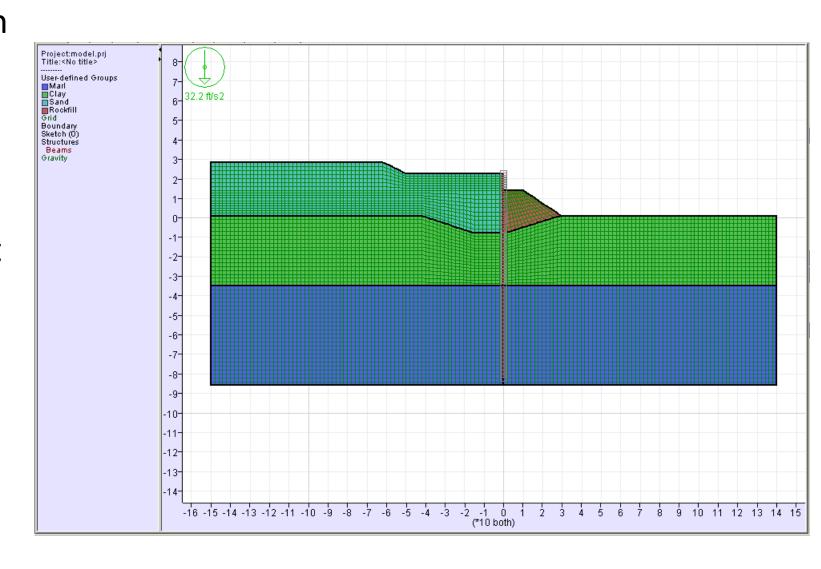


Monitoring displacements as strength is progressively reduced remains a useful indicator of stability because sometimes, Service Limit State may be more critical than the Ultimate Limit State.

EARTH RETAINING STRUCTURE

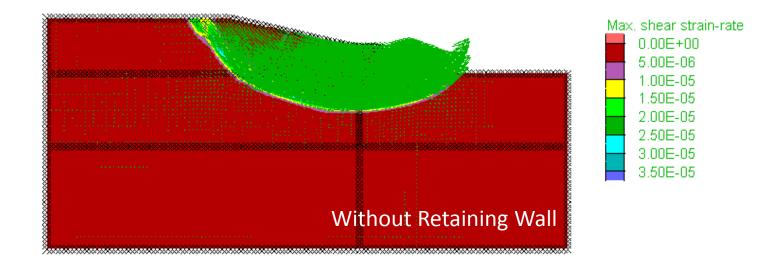
 Goal: To support the soil on the left using a sheetpile retaining wall (center)

 Evaluate different options including soil improvement or stronger / stiffer wall

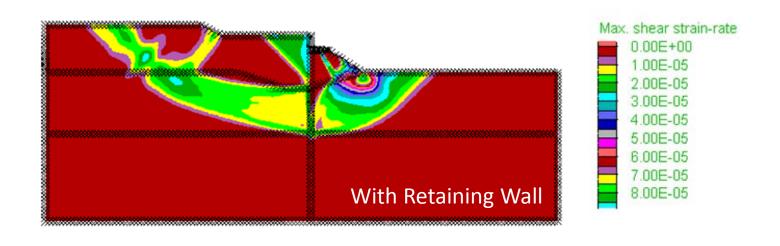


FACTOR OF SAFETY

- FoS without the sheet pile wall using shear strength reduction method = 0.40
 - Same as obtained using limit equilibrium method

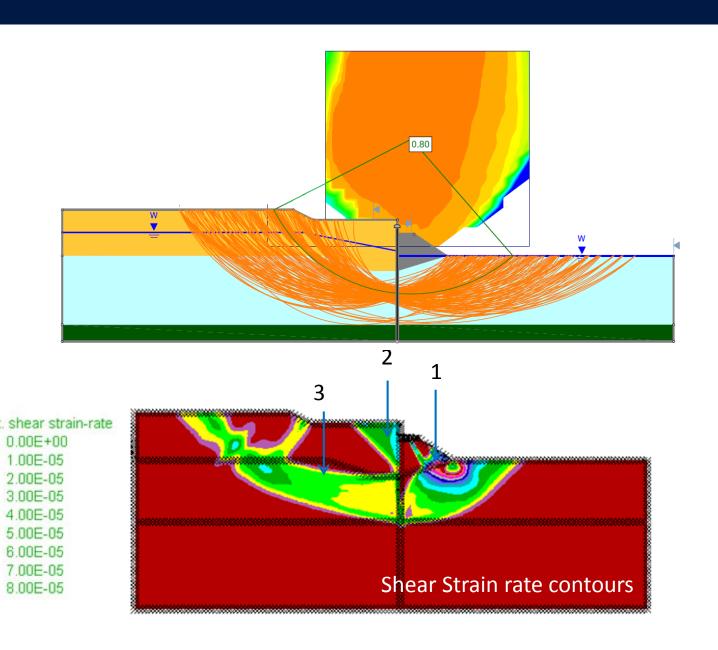


- FoS with the sheet pile wall using SSR = 0.45
 - LEM predicted 0.80



INTERACTING MECHANISMS

- Sheet pile wall was modeled explicitly in the SSR approach whereas LEM used a shear force distribution obtained using a subgrade reaction program
- LEM failed to capture the multiple failure mechanisms developing
 - Mode 1: Local slope failure through rockfill and clay
 - Mode 2: Active wedge failure behind sheetpile
 - Mode 3: Lower FoS for global deep seated failure due to reduced resistance caused by 1 and 2



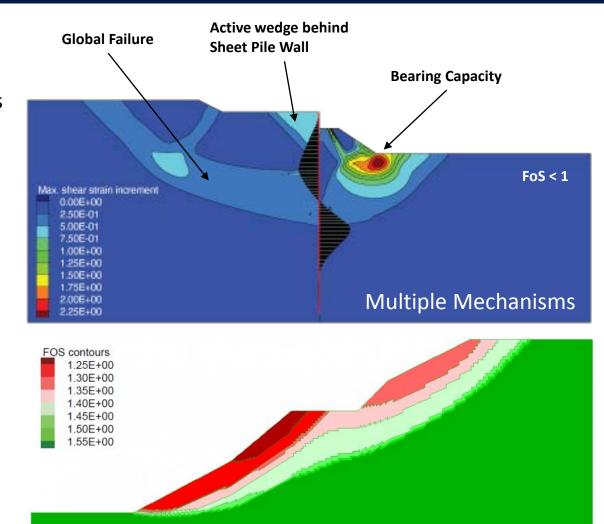
SHEAR STRENGTH REDUCTION

PROS

- Produces mechanics-based failure mechanisms:
 - Follows evolution of failure(s), including multiple mechanisms
 - Can model more complex ground behavior (e.g., stressdependent material behavior, soil saturation, groundwater flow)
 - Enhanced judgment from seeing realistic mechanisms
- Observe displacement progression
 - Deformations at the "failure state" are kinematically valid
 - Is the Service Limit State more critical than the Ultimate Limit State?
- Incorporate ground support:
 - Full soil-structure interaction (beams, sheet pile walls, piles, liners, anchors, etc.)
 - Structural reactions are not "wished-in-place"
 - Create a Safety Map (FoS contours)

CONS

 Solution time can take minutes to hours or more, increasing with grid refinement and 3D



Safety Map



Jet Grouting Applications for Earth Retaining Structures

31 August 2018

"De Lelli" underground park - Verona (Italy)

"Repubblica" underground park – Verona (Italy)

"GA Merlata" cut & cover tunnel – Highway A4 – Milan-Venice

Keller Fondazioni (Italian branch of Keller Group)

Presented by Augusto Lucarelli, Principal Engineer at ITASCA

General Outline



Underground park in Verona (Italy).

Excavation depth around 13 m. About 3000 m².

Soil is a mixture of gravel and sand with cobbles.

After many trials, the only practical solution was to realize a jet-grouting wall with anchors.



Overview of the Job Site During Construction







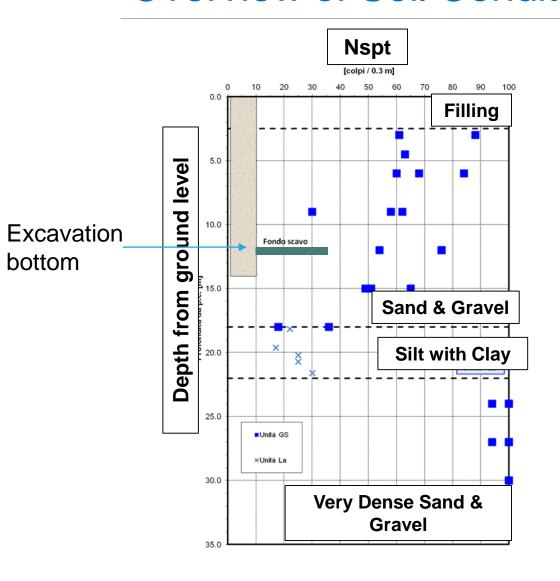


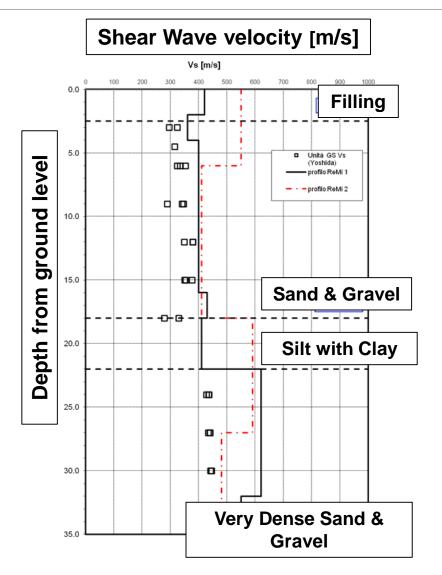
The quality of the Jet Grouting obtained was very good.

UCS was about 15 MPa.



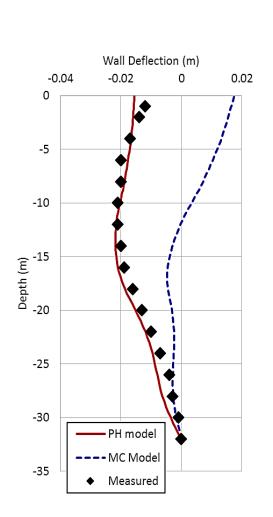
Overview of Soil Conditions

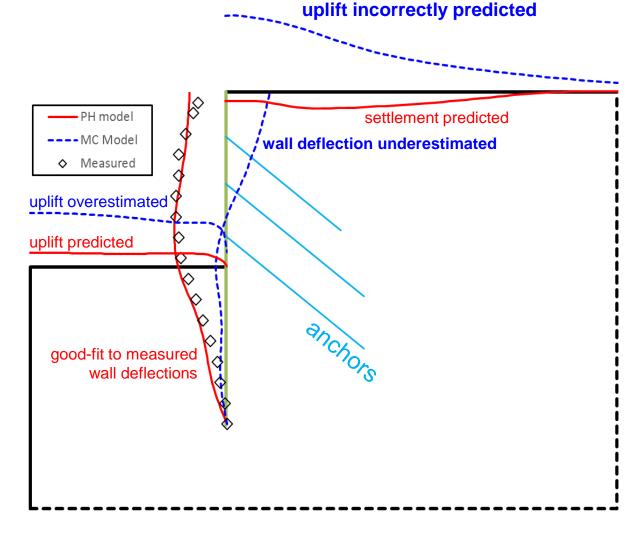






Plastic Hardening (PH) Constitutive Model: Why?





Unloading:

Generally, the MC model not The acceptable. stress path is much more complex than The loading. displacement field is generally nonrealistic. The stress resultants the support is underestimated most of the time.

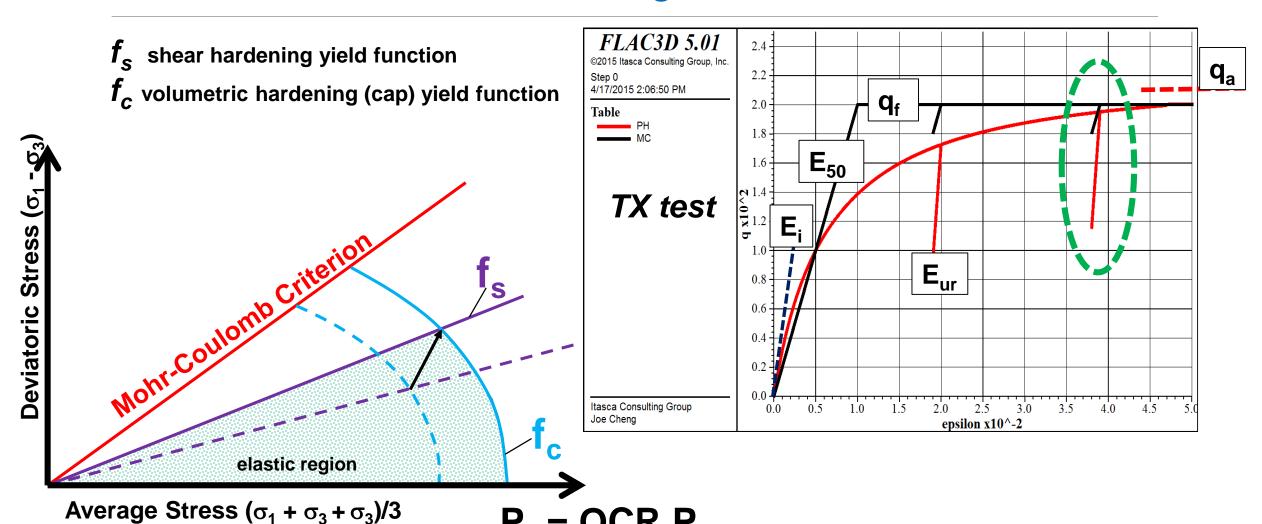


PH Constitutive Model: Background

- It is used worldwide and well known inside the civil geotechnical community.
- It has become a "standard" model for civil engineering design in many areas such excavations, foundations, tunneling, and soilstructure interaction in general.
- Several regulatory agencies, especially in Europe, require (or at least strongly endorse) this type of model for civil applications.
- It easy to calibrate and uses names and conventions of familiar properties.
- It is available in every software package under different names (such as FLAC3D/FLAC, PLAXIS, MIDAS, ZSOIL, PHASE2 etc.). Easy to compare results with other codes.
- More info at: http://www.itascacg.com/software/flac3d/flac3d-plastic-hardening-model

 Theory, calibration examples (from lab and in-situ tests), design examples.

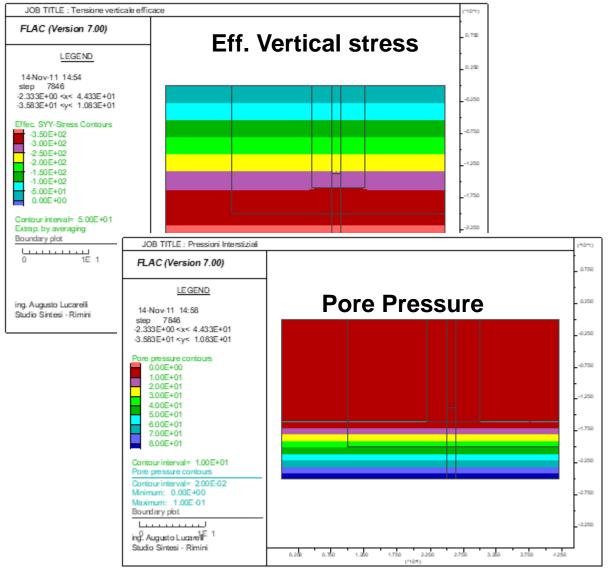
PH Constitutive Model: Background

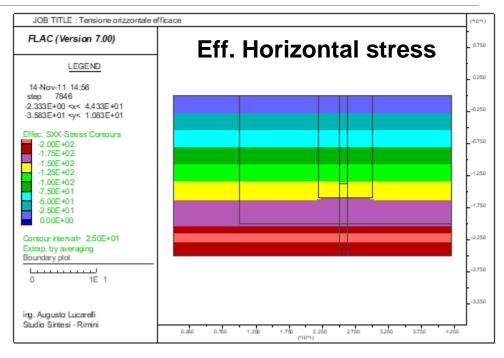


 $P_{n} = OCR P_{nc}$



Construction Stage Modeling: Stress Initialization

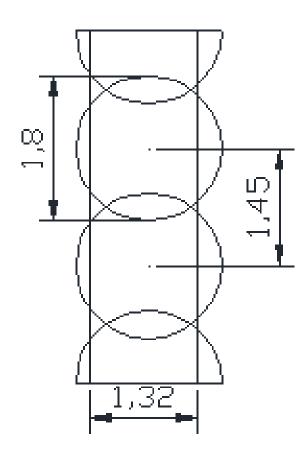




After the initial stress is in equilibrium, the PH model is activated. Properties can be given to each zones using tables.



Execution Phases: Jet Grouting Wall Activation



The jet wall has been modeled as a Mohr Coulomb material with the following parameters:

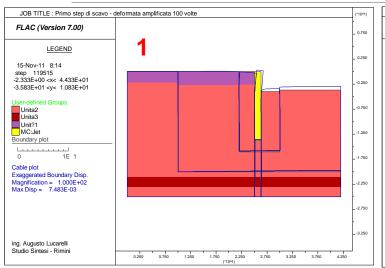
$\gamma = 15$	kN/m3	unit weight
c' = 250	kPa	cohesion
$\phi' = 38$	•	friction angle
E' = 1.0	GPa	elastic modulus
UCS = 4	Mpa	Unconfined Compressive strength

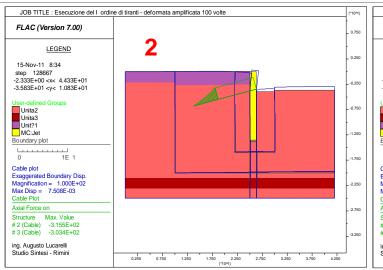
tensile strength = 0

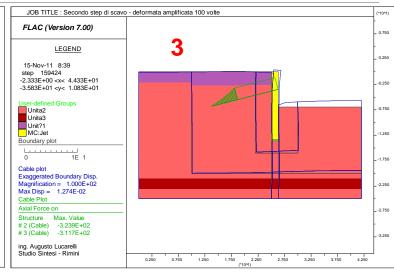
These are quite conservative parameters. In reality, the UCS obtained was about 15 MPa.

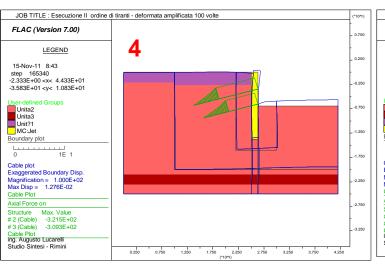


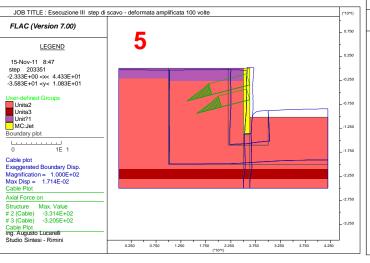
Execution Phases: Excavation and Anchors Activation

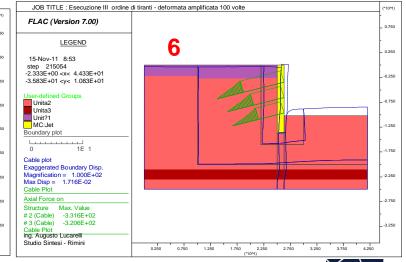












ing. Augusto Lucarelli Studio Sintesi - Rimini 0.750

0.250

-0.250

-0.750

1.250

-1.750

-2.250

0.250

-0.250

-0.750

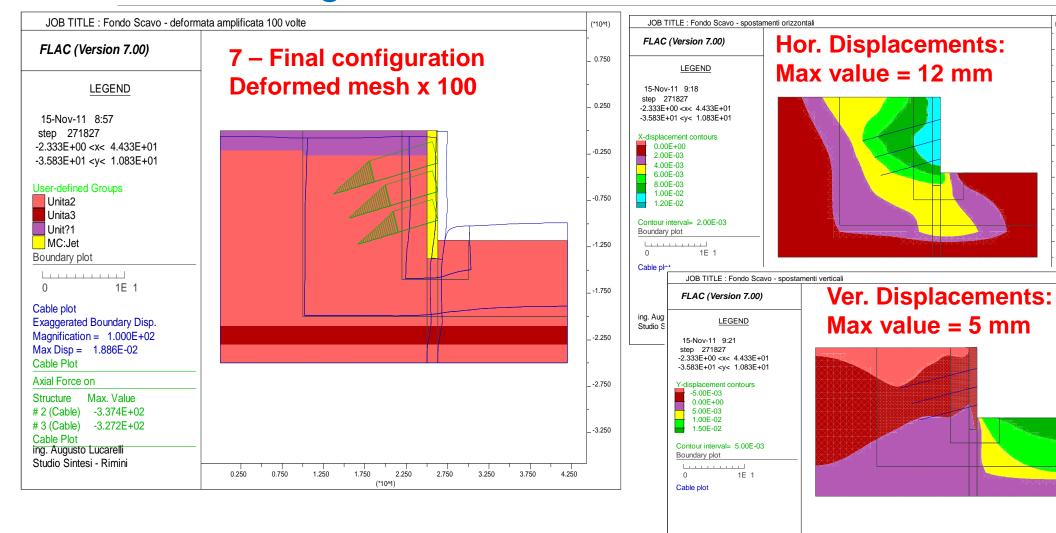
-1.250

-1.750

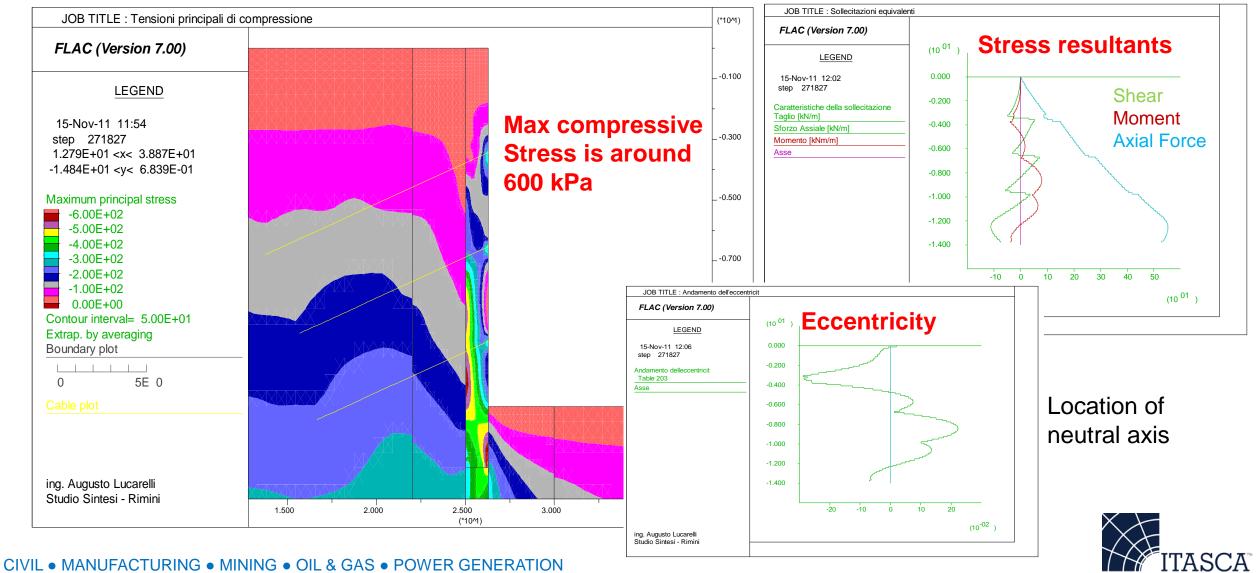
-2.250

-2.750

Final Configuration and Main Results



Stress Distribution in the Jet Wall



Global Stability Analysis: is a Safety Factor Number Satisfactory?

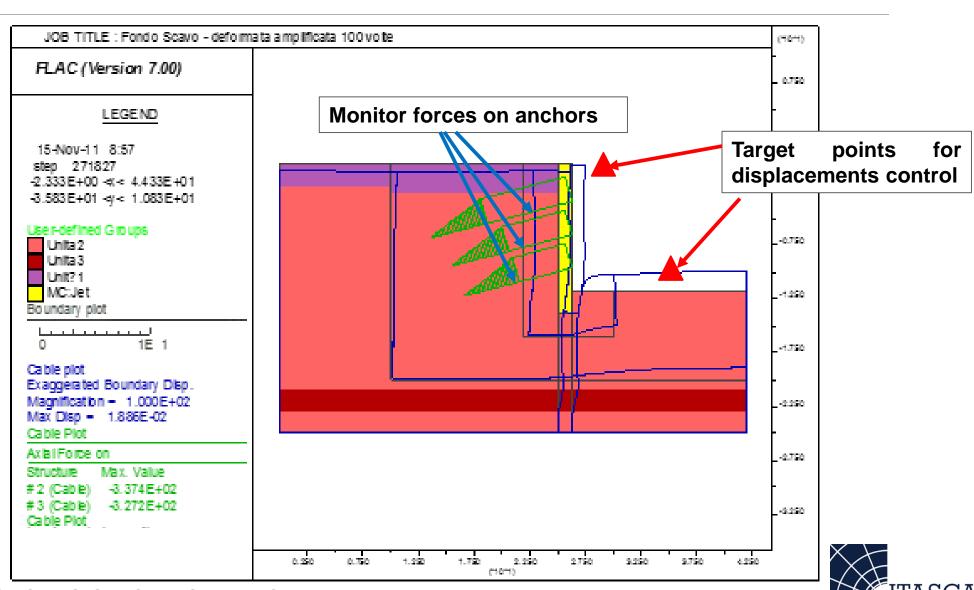
Strength Reduction Method (SRM) allows:

- Ability to monitor the structural behavior while strength properties are reduced.
- Tracking of structural elements stress resultant (force in anchors, bending moment, etc.).
- Hierarchical failure: do the structural elements have enough capacity? How does the structural elements capacity (stiffness and strength) influence the prevailing potential global mechanism?
- Observation of displacements developments; Service Limit State might be more critical than the Ultimate Limit State.
- Tracking of multiple failure mechanisms.
- LEM does not allow for a comprehensive understanding of the mechanical behavior; it requires assumptions on the forces that structural elements are exchanging with the soil mass.
- Possibility to conduct a local strength reduction for the structural element itself, or for a limited portion of the model, in order to analyze critical soil-structure interaction mechanisms (for example, bearing capacity, shear capacity, etc.).

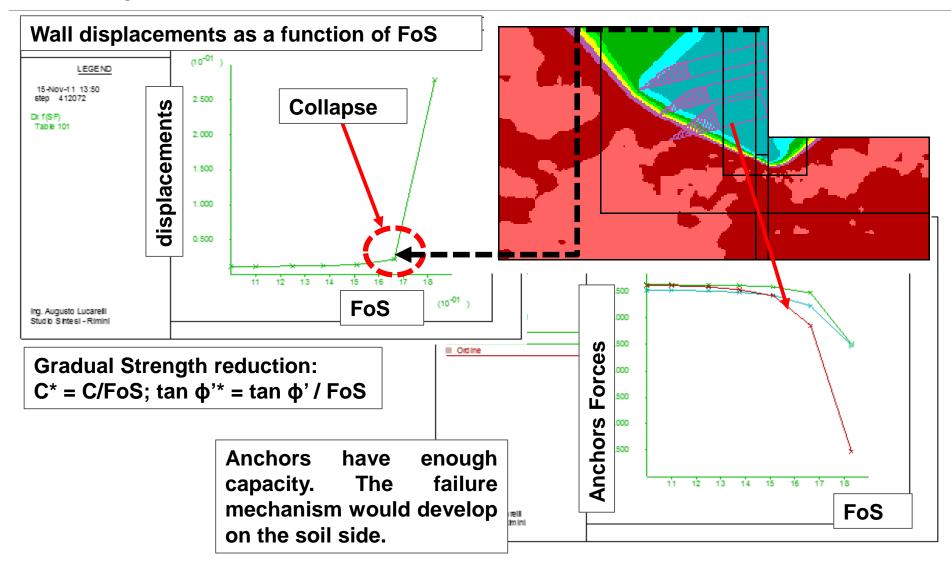
Example

Model configuration at the end of the excavation:

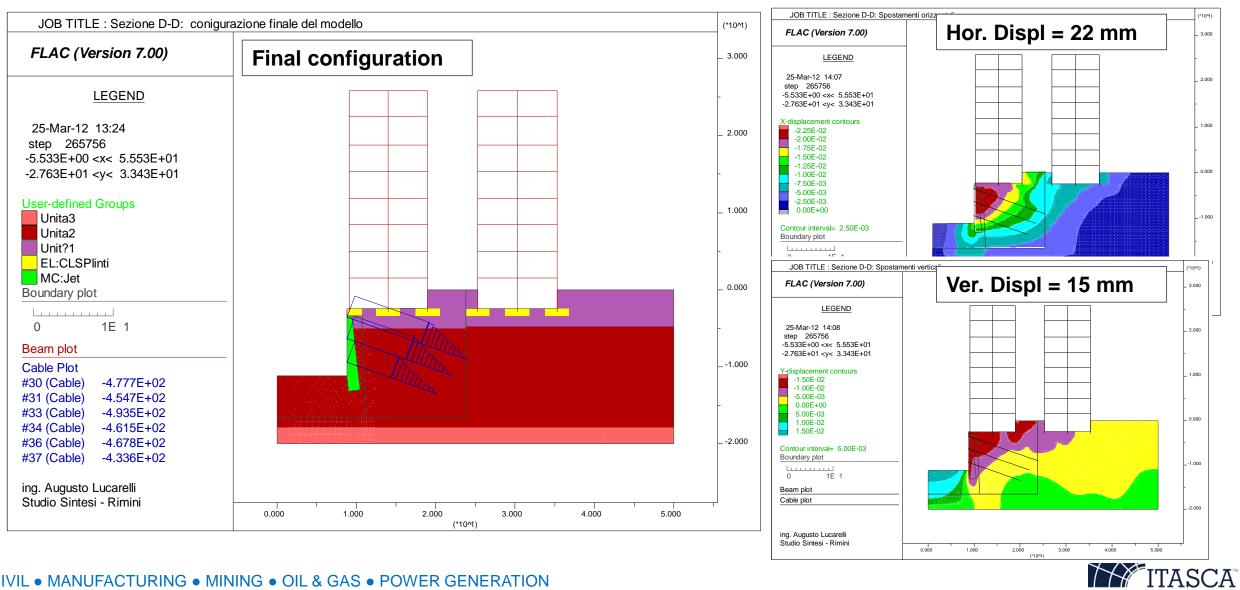
- a) Realistic stress is in place.
- b) No assumptions about structural element forces.
- c) Monitor the behavior of the structural elements and the soil mass while the properties are reduced until collapse is detected.



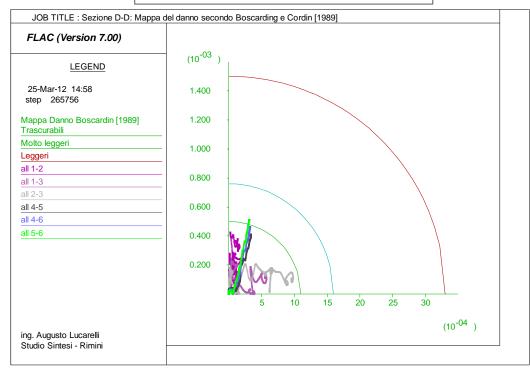
Example

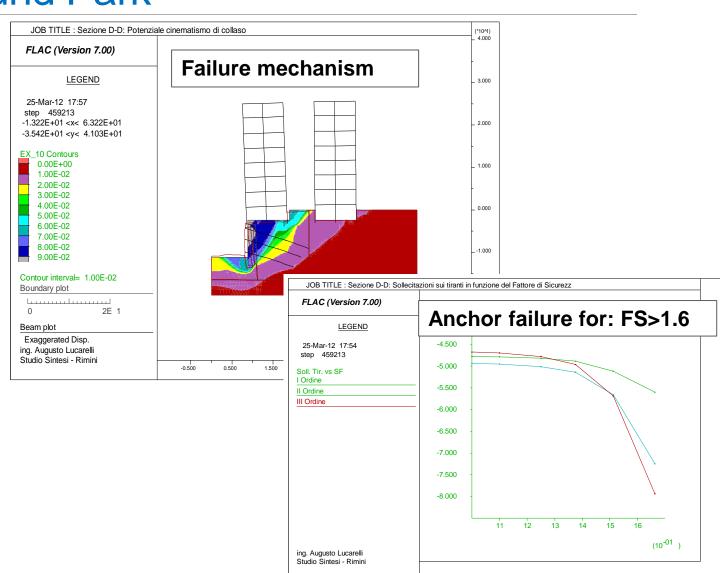






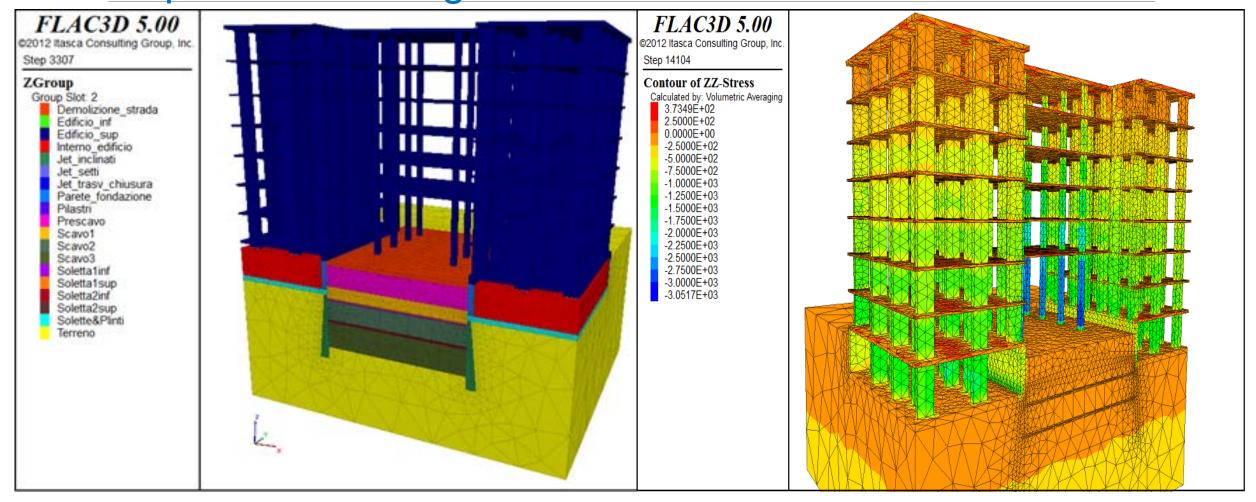
Potential damage chart



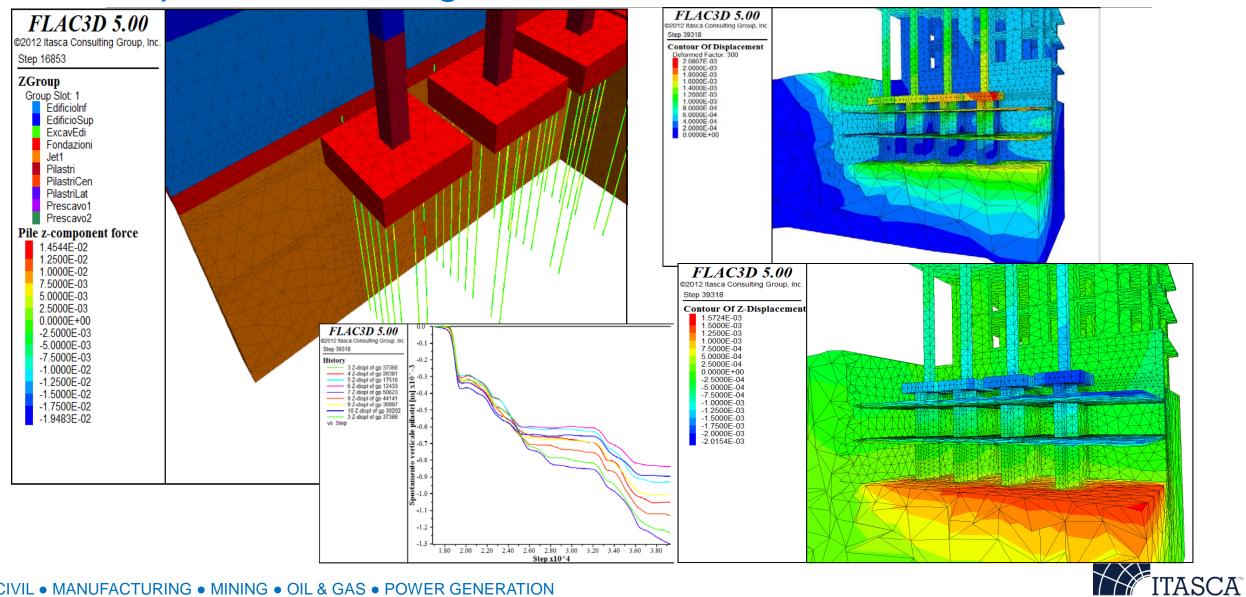


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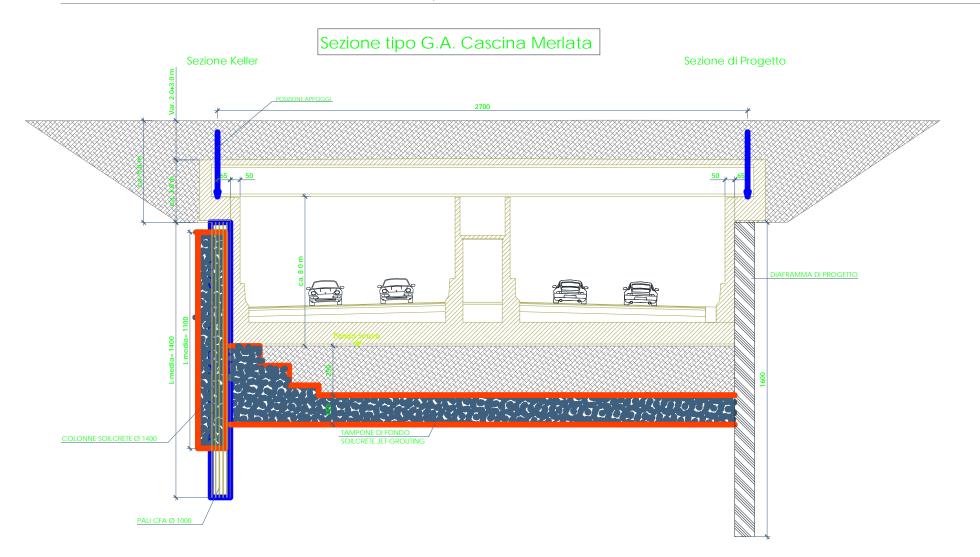


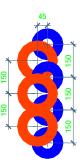






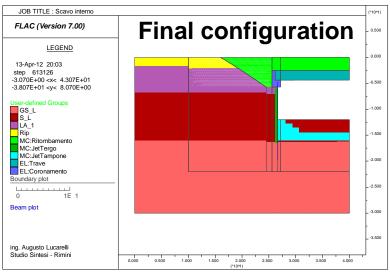
Cut & Cover Tunnel, GA Merlata

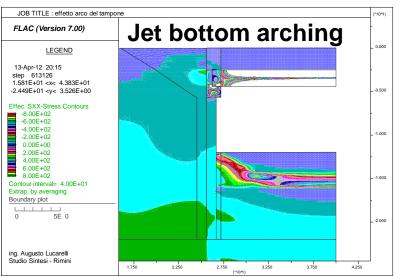


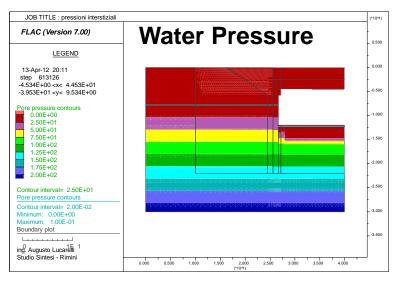


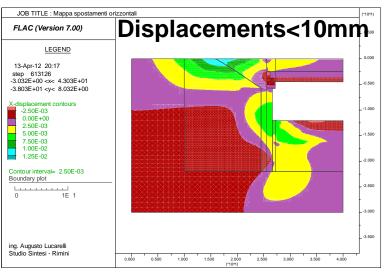


Results: Cut & Cover Tunnel, GA Merlata











Conclusions

- Jet grouting is a valuable and competitive alternative to traditional support systems.
- It is quite flexible and adaptable to many circumstances and soil conditions.
- Can be executed using relatively little equipment.
- It requires a highly skilled, experienced, and specialized contractor to guarantee highquality results.
- It is always recommended to execute a field trial test to calibrate the execution parameters and verify performances.
- Advanced numerical method has allowed for full Soil-Structure-Interaction including the evaluation of performance and Safety Factors.
- The Strength Reduction Method is highly recommended to better understand/evaluate the potential failure mechanism and the behavior of each component.



Thank you for your attention.

Questions?

