

TRANSPORTATION RESEARCH BOARD

# **TRB Webinar: Improving Pavement Geomaterial Performance with Unsaturated Soil Mechanics**

**August 3, 2021**

**2:00- 3:30 PM Eastern**

**@NASEMTRB  
#TRBwebinar**

# PDH Certification Information:

- 1.5 Professional Development Hours (PDH) – see follow-up email for instructions
- You must attend the entire webinar to be eligible to receive PDH credits
- Questions? Contact Beth Ewoldsen at [Bewoldsen@nas.edu](mailto:Bewoldsen@nas.edu)

**#TRBwebinar**

*The Transportation Research Board has met the standards and requirements of the Registered Continuing Education Providers Program. Credit earned on completion of this program will be reported to RCEP. A certificate of completion will be issued to participants that have registered and attended the entire session. As such, it does not include content that may be deemed or construed to be an approval or endorsement by RCEP.*



**REGISTERED CONTINUING EDUCATION PROGRAM**

# Learning Objectives

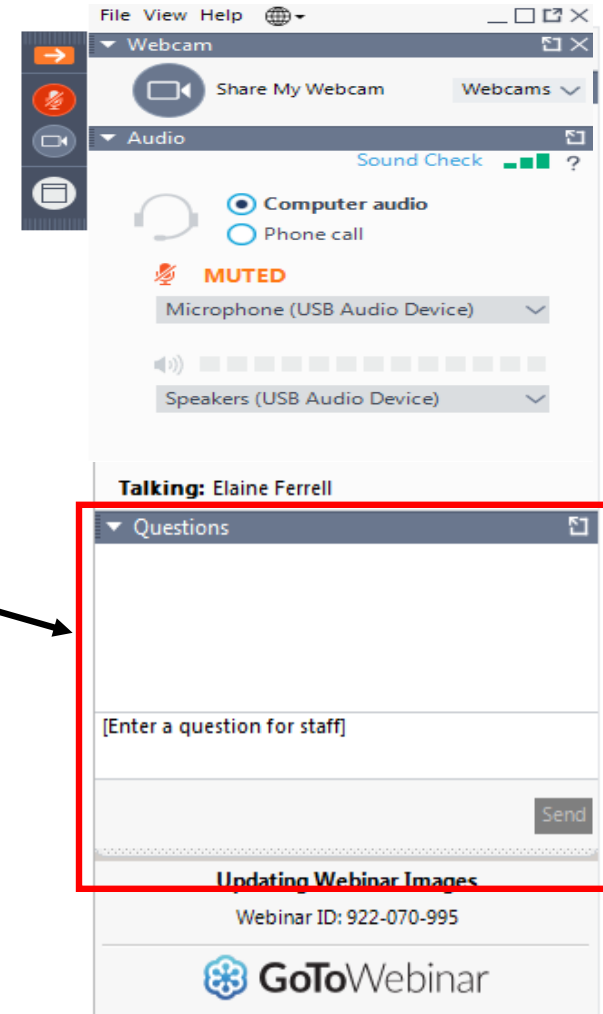
- Identify unsaturated geomaterial mechanisms
- Discuss the impact of moisture/matric suction on geomaterial performance
- Identify implementation options during pavement foundation design

**#TRBwebinar**



# Questions and Answers

- Please type your questions into your webinar control panel
- We will read your questions out loud, and answer as many as time allows



**#TRBwebinar**



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# Improving Pavement Geomaterial Performance with Unsaturated Soil Mechanics

Transportation Research Board

August 3, 2021

Sponsoring Committees

Aggregates (AKM80)

Mechanics & Drainage Saturated Unsaturated Geomaterials (AKG40)

# Upcoming Tech Transfer

## TRB Webinars

- Best Practices for Unsurfaced Road Evaluation and Rating  
August 25 (1pm eastern time)
- Light Weight Deflectometers for Construction Quality Assurance  
Date/time TBD

## Workshop during TRB Annual Meeting

- Environmental Product Declarations for Pavement Materials  
Date/time TBD

# Today's Agenda

## Thesis

The performance of flexible and rigid pavements is closely related to properties of the base, subbase and subgrade.

Introduction and Opportunities (5 minutes)

Principles of Unsaturated Soil Mechanics

Impact of Matric Suction on Geomaterial Performance

Influence of Moisture Content on Compaction Quality

Discussion (20 minutes)



# Opportunity to Address National Priorities

MAP-21, FAST and INVEST are federal laws and bills that require transportation investments to be based on performance based measured outcomes.

- Moving Ahead for Progress in the 21<sup>st</sup> Century (2012)
- Fixing America's Surface Transportation (2015)
- INVEST in America (2021)
- Performance Based Professional Standards
  - Federal Highway Administration
  - American Association of State Highway Transportation Officials
  - American Society of Civil Engineers

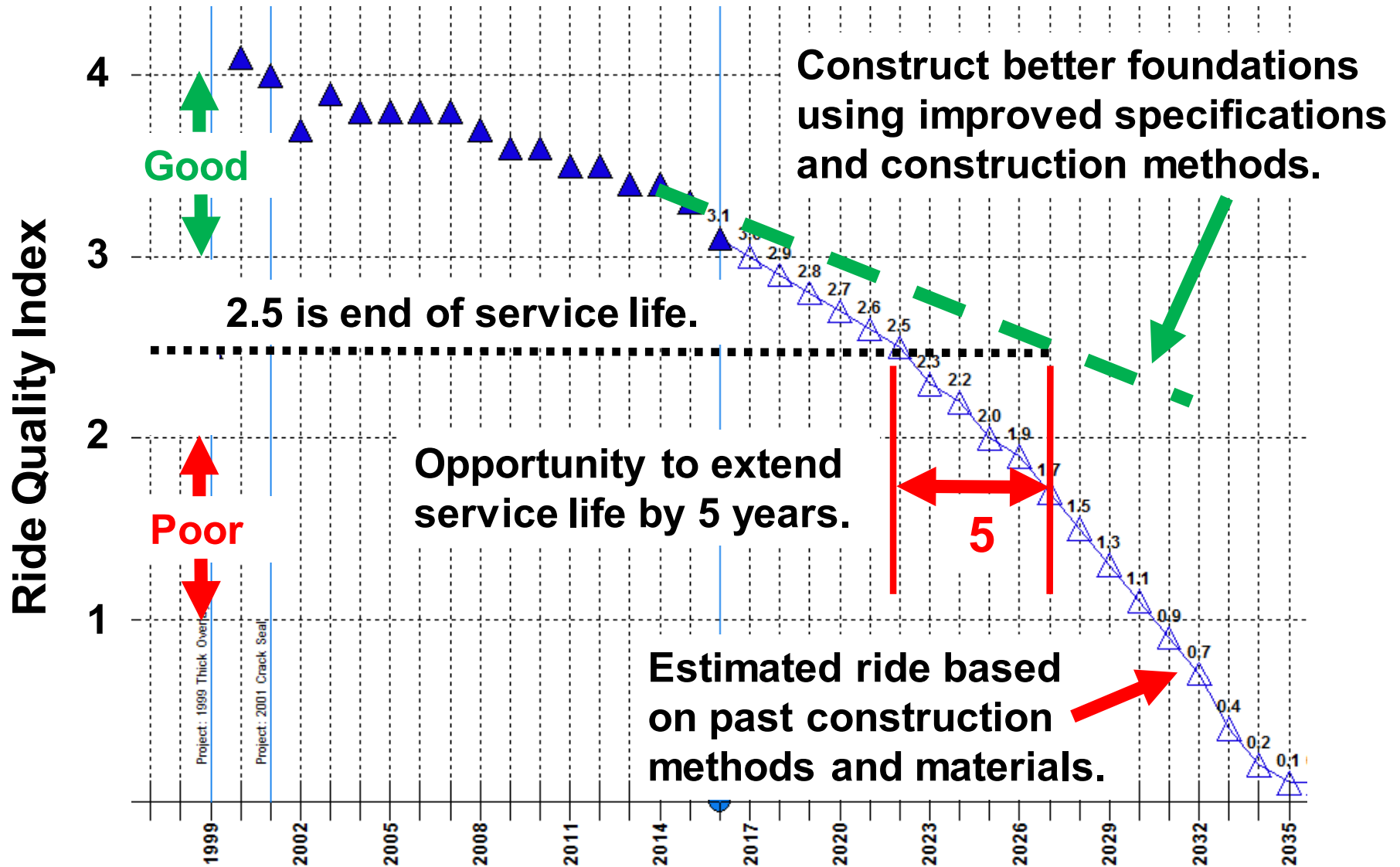
# Code of Federal Regulations FHWA 2012

A state asset management plan includes:

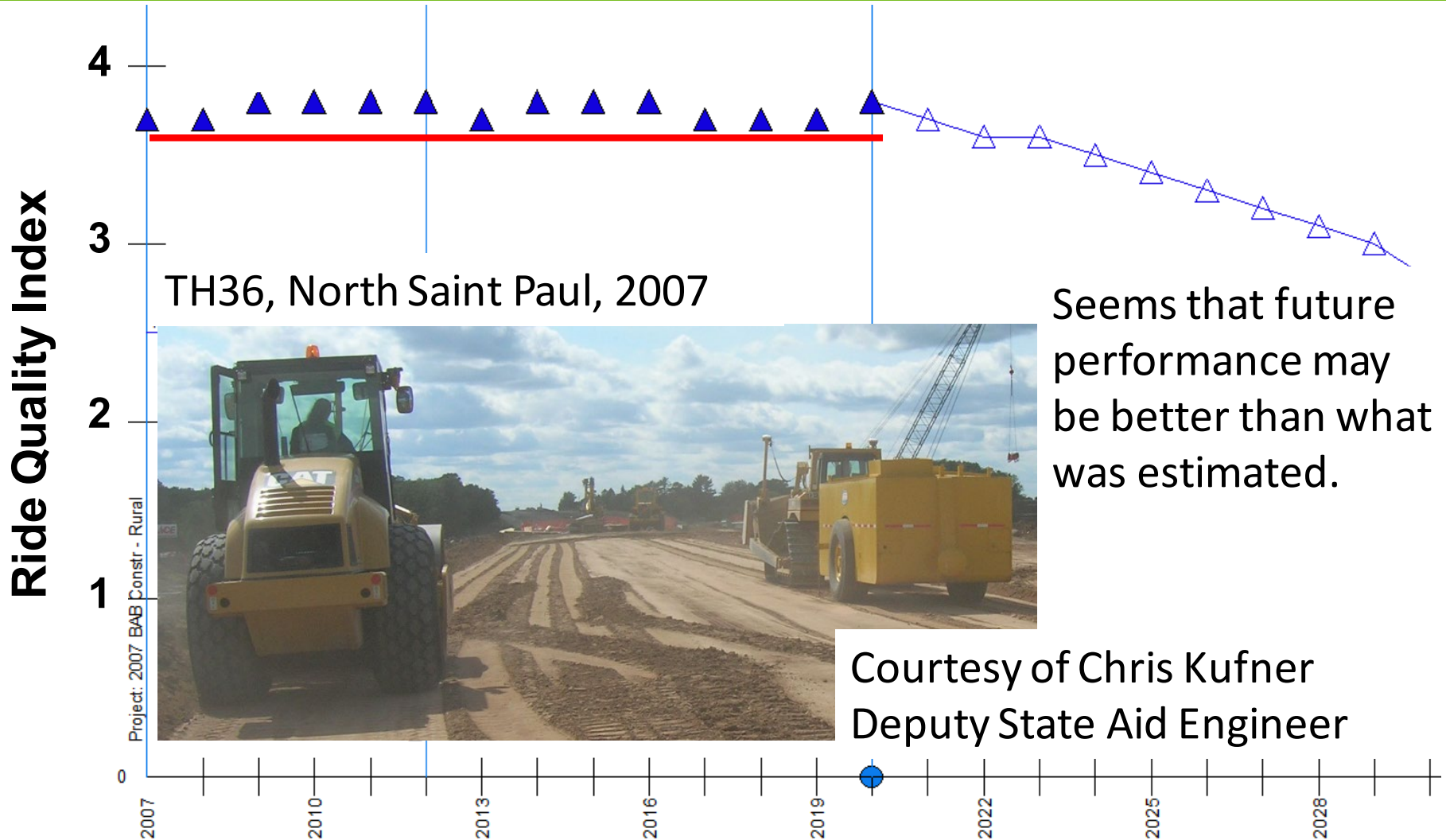
1. Summary of assets on NHS including condition;
2. Asset management objectives and measures;
3. Performance gap identification;
4. Lifecycle cost and risk management analysis;
5. Financial plan; and
6. Investment strategies.

23 U.S.C. 119(e)(4), MAP-21 § 1106

# Opportunity to Improve Condition



# Opportunity to Demonstrate Performance



# New Law in Minnesota 2021

Minnesota Statutes, 174.03, Subdivision 12.

Trunk highway performance, resiliency, and sustainability.

(a) The commissioner must implement performance measures and annual targets for the trunk highway system in order to construct resilient infrastructure, enhance the project selection for all transportation modes, improve economic security, and achieve the state transportation goals established in section 174.01.

(b) At a minimum, the transportation planning process must include:  
(1) an inventory of transportation assets, including but not limited to bridge, pavement, geotechnical, pedestrian, bicycle, and transit asset categories;

# Principles of Unsaturated Soil Mechanics

*TRB Webinar: Improving Pavement Geomaterial Performance  
with Unsaturated Soil Mechanics  
August 3, 2021*

**William J. Likos, PhD**

Gary Wendt Professor and Department Chair  
Department of Civil and Environmental Engineering  
University of Wisconsin-Madison

[likos@wisc.edu](mailto:likos@wisc.edu)

# What the &@#! Is Soil Suction and Why Should I Care?

*TRB Webinar: Applying Unsaturated Soil Mechanics to Improve  
Pavement Geomaterial Performance*

*August 3, 2021*

**William J. Likos, PhD**

Gary Wendt Professor and Department Chair  
Department of Civil and Environmental Engineering  
University of Wisconsin-Madison

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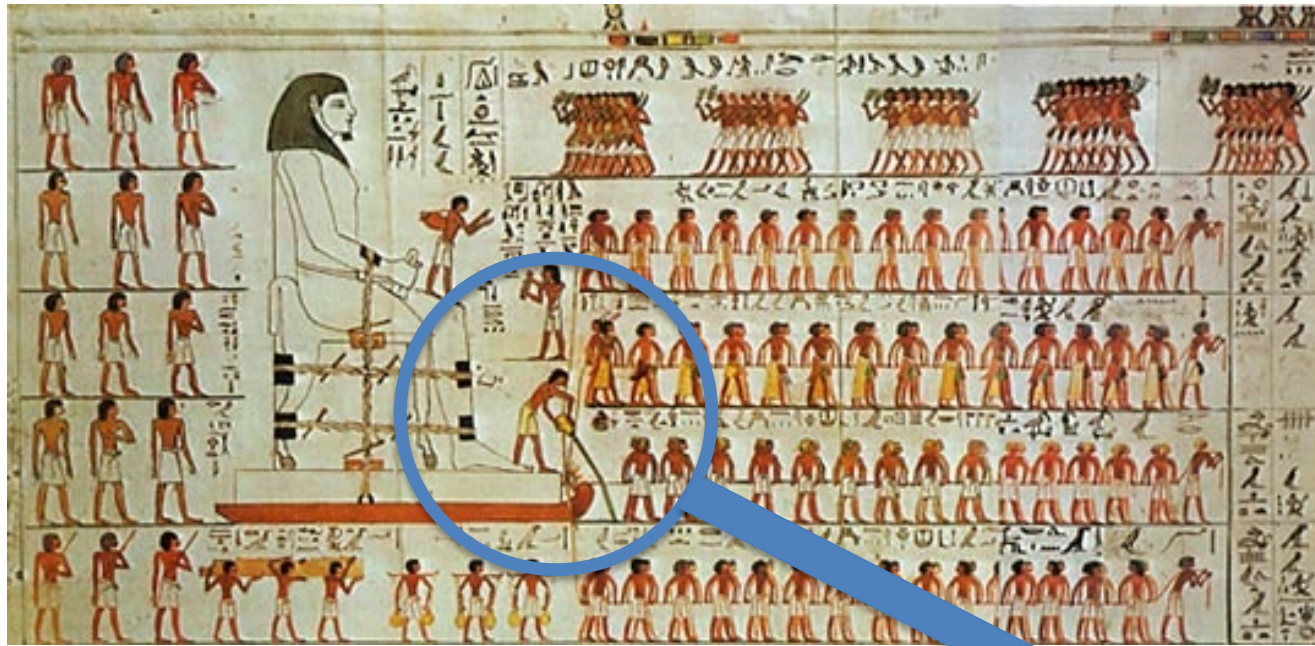


# Unsaturated Soil Mechanics and the Great Pyramids?





Wall painting from 1880 B.C. on the tomb of Djehutihotep in southeastern Egypt (Newberry, 1895).

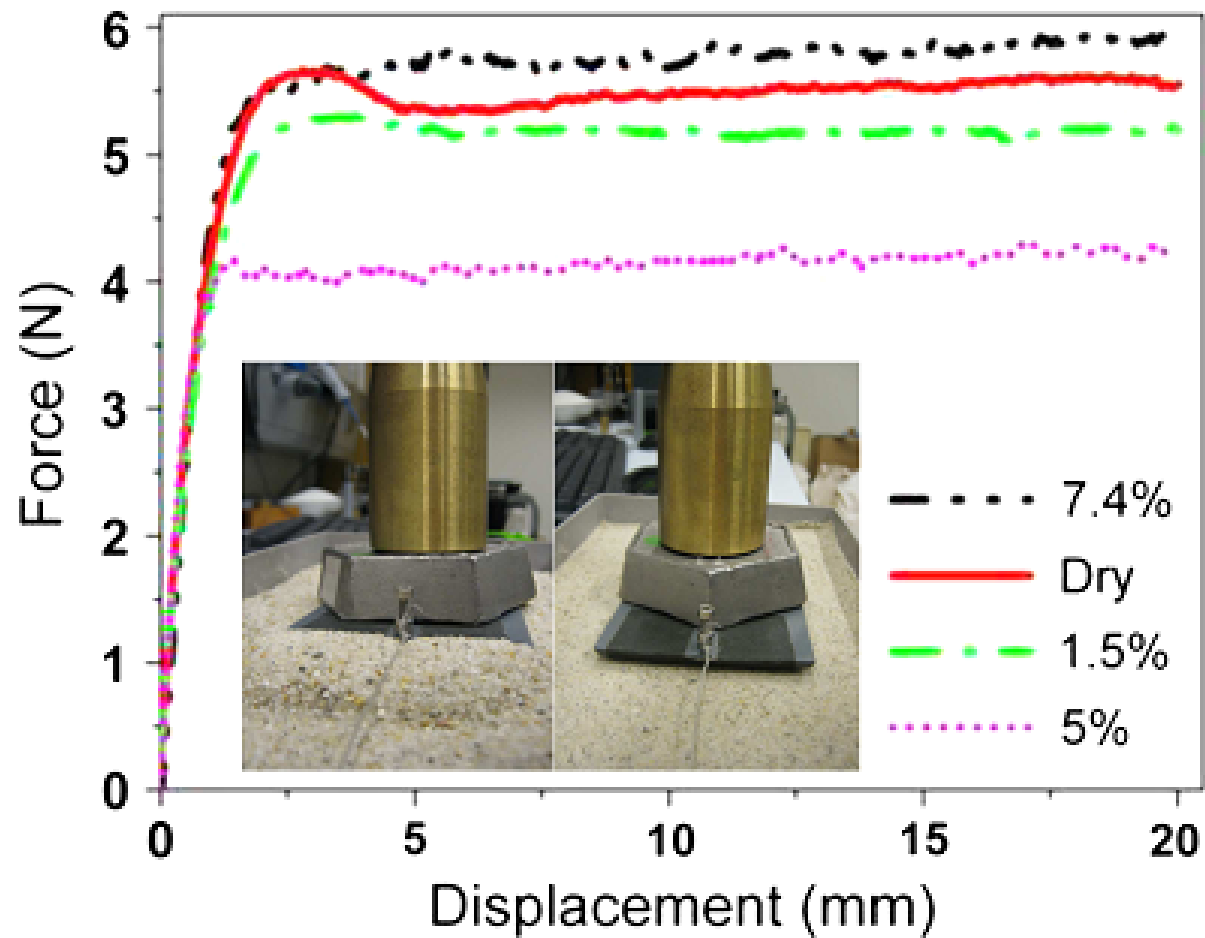


Colossal statue of Djehutihotep (7 m high) transported by 172 workers using ropes and a slide.



Water being poured in the path of the sled.

**Ritual or Unsaturated Soil Mechanics?**



(from Fall *et al.*, 2014, *Phys. Rev. Letters*, 112, 175502)

# *Topics for Discussion*

- What is an unsaturated soil?
- What are differences between saturated and unsat. soils?
- What is the relevance to pavement performance?

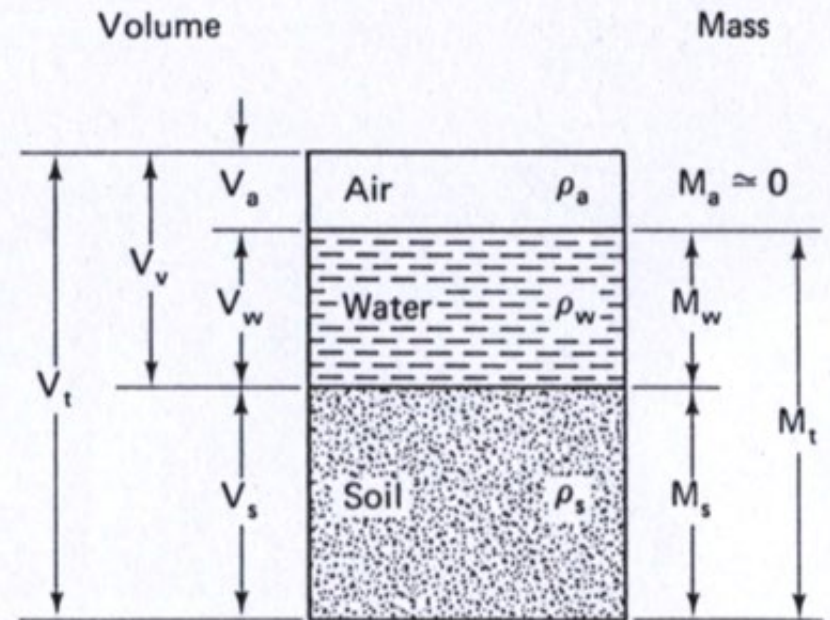
# Soil is a multiphase system

**S = Solids**

**W = Water**

**A = Air**

**Relative amount of each phase will affect behavior**



**“block diagram”**

# Saturated Soil

- *2-Phase System*

- *Pore Fluid Pressure,  $u_w$*

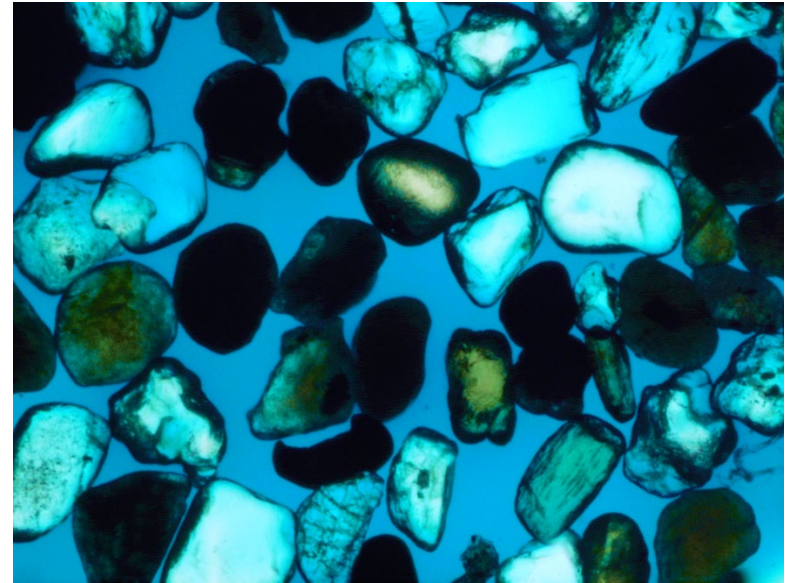
$$u_w (+)$$

- *Volumetric Water Content,  $\theta$*

$$\theta = V_w/V_t = V_v/V_t = n$$

- *Degree of Saturation,  $S$*

$$S = V_w/V_v = 1.0 (100\%)$$



- *Conductivity (hydraulic, thermal) is constant at constant state (volume, temp.)*



# Unsaturated Soil

- 3-Phase System

- Pore Fluid Pressure,  $u_w$  and  $u_a$

$$u_a = 0 \text{ (atmospheric)}$$

$$u_w < u_a$$

$$\psi = u_a - u_w \text{ (matric suction)}$$

- Volumetric Water Content,  $\theta$

$$\theta = f(\psi), 0 < \theta < n$$

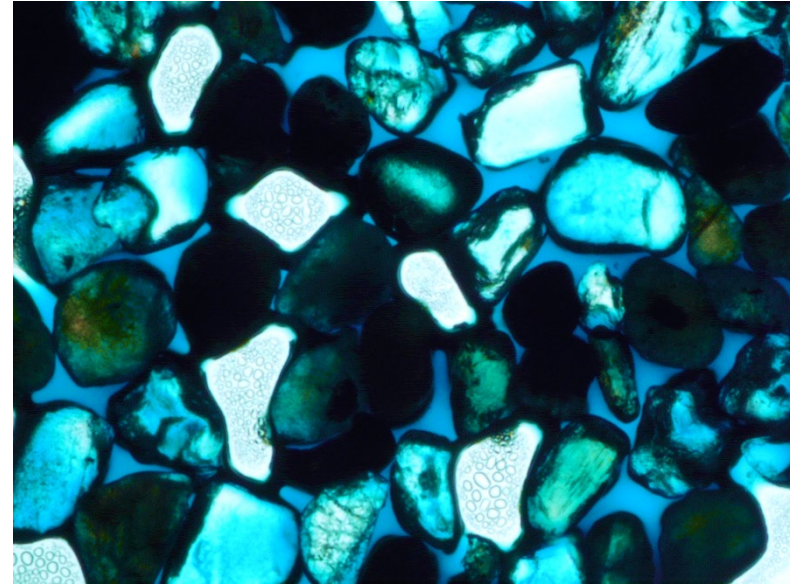
- Degree of Saturation,  $S$

$$S = f(\psi), 0 < S < 1.0$$

- Hydraulic Conductivity,  $k$

$$k = f(\theta)$$

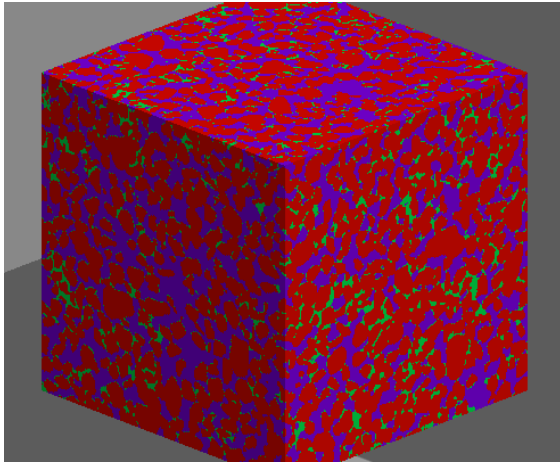
or  $k = f(\psi)$



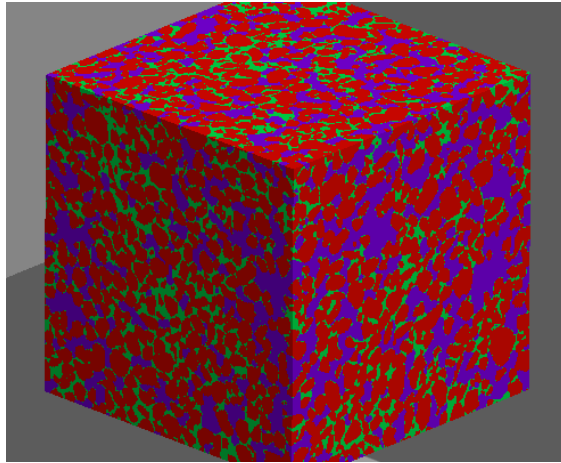
Soil-water characteristic curve  
(SWCC)

Hydraulic conductivity function  
(HCF)

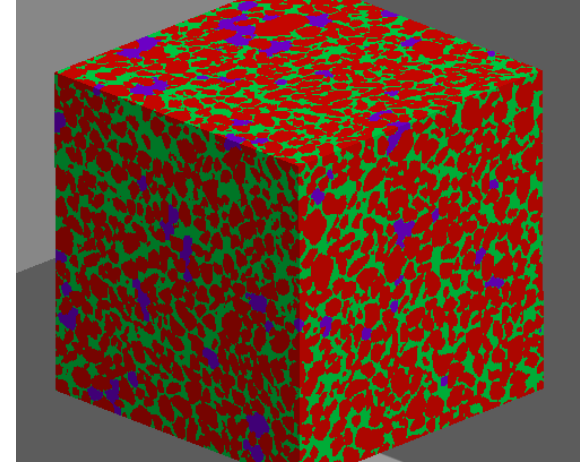
$S \sim 20\%$



$S \sim 40\%$



$S \sim 80\%$



Hydraulic Conductivity

Thermal Conductivity

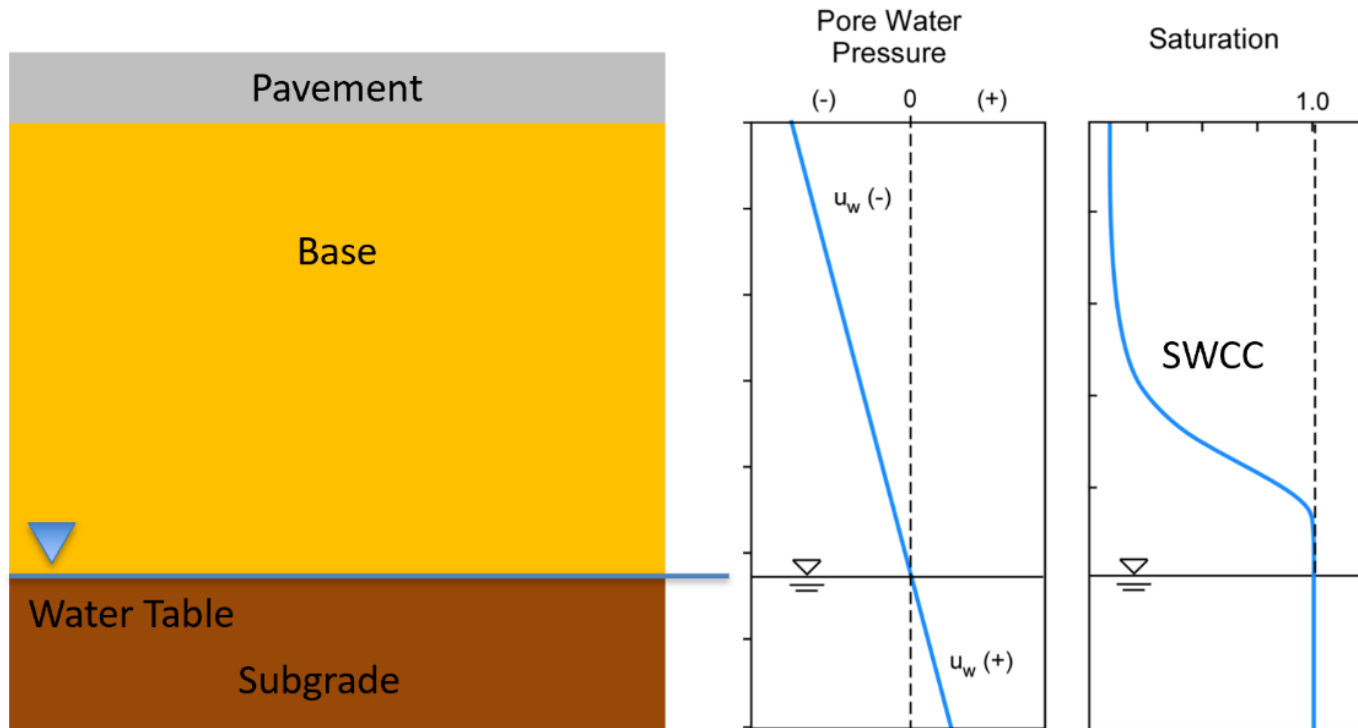
Strength and Compressibility ?

?

?

# Unsaturated Soil Mechanics and Pavement Performance

- 1) Material Properties (Permeability, Water Retention Characteristics)
- 2) Pavement System Design (Layering, Slope, Drainage Boundaries)
- 3) Environmental Conditions (Precipitation, Temperature)





# Qualitative Rating System for Base Drainability [NRRA TPF-5(341)]

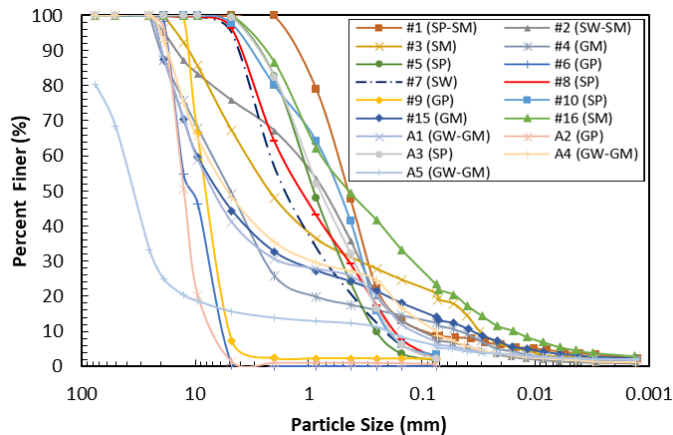
- Measured  $K_{sat}$  and SWCCs for representative base materials
- Established correlations between grain size properties,  $K_{sat}$  & SWCCs
- Proposed rating system to qualify base material drainage performance

## 16 Base Materials

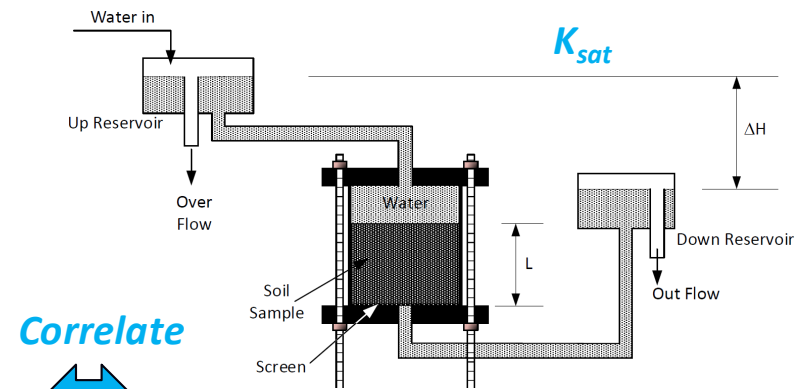


MnDOT  
MoDOT  
WisDOT

## Grain Size Distribution



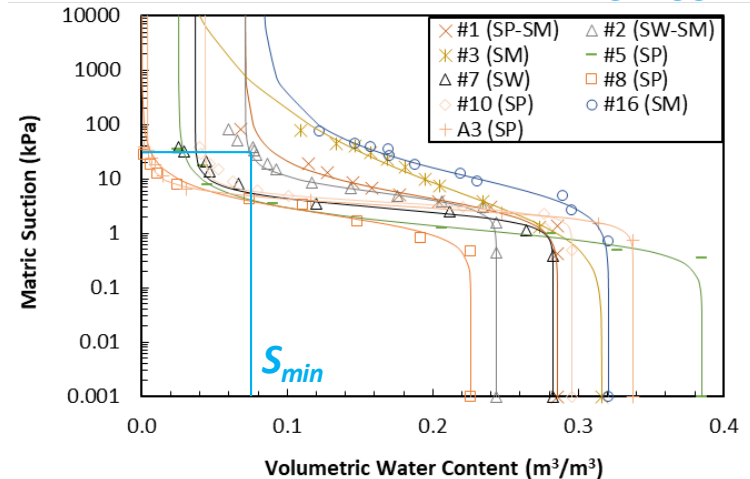
$D_{10}$   $D_{30}$   $C_u$



Correlate

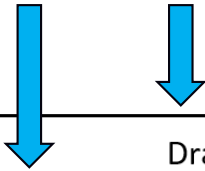


## SWCC



# Drainability Performance Criteria: $K_{sat} > 0.35 \text{ cm/s}$ , $S_{min} < 0.10$

Estimated from Grain Size Distribution



Drainability Assessment

Sample	$K_{sat}$ (cm/s)	Minimum Saturation	$K_{sat}$ Criterion	$S_{min}$ Criterion	Overall Rating
#1 (SP-SM)	0.017	0.13	Poor	Excellent	Marginal
#2 (SW-SM)	0.021	0.35	Marginal	Excellent	Marginal
#3 (SM)	0.021	(1.0)	Marginal	Poor	Poor-Marginal
#4 (GM)	0.167	(1.0)	Marginal	Poor	Poor-Marginal
#5 (SP)	0.032	0.08	Poor	Excellent	Marginal
#6 (GP)	0.523	0.00	Excellent	Excellent	Excellent
#7 (SW)	0.045	0.22	Marginal	Excellent	Marg - Excellent
#8 (SP)	0.027	0.25	Marginal	Excellent	Marg - Excellent
#9 (GP)	0.447	0.00	Excellent	Excellent	Excellent
#10 (SP)	0.019	0.05	Marginal	Excellent	Marg - Excellent
#15 (GM)	0.005	(1.0)	Poor	Poor	Poor
#16 (SM)	0.120	0.78	Marginal	Marginal	Marginal
A1 (GW-GM)	0.023	(1.0)	Marginal	Marginal	Marginal
A2 (GP)	0.060	0.01	Marginal	Excellent	Marg - Excellent
A3 (SP)	0.017	0.12	Poor	Excellent	Marginal
A4 (GW-GM)	0.021	(1.0)	Marginal	Marginal	Marginal

#6 (GP)



#15 (GM)



# Impact of Moisture/Matric Suction on Geomaterial Performance

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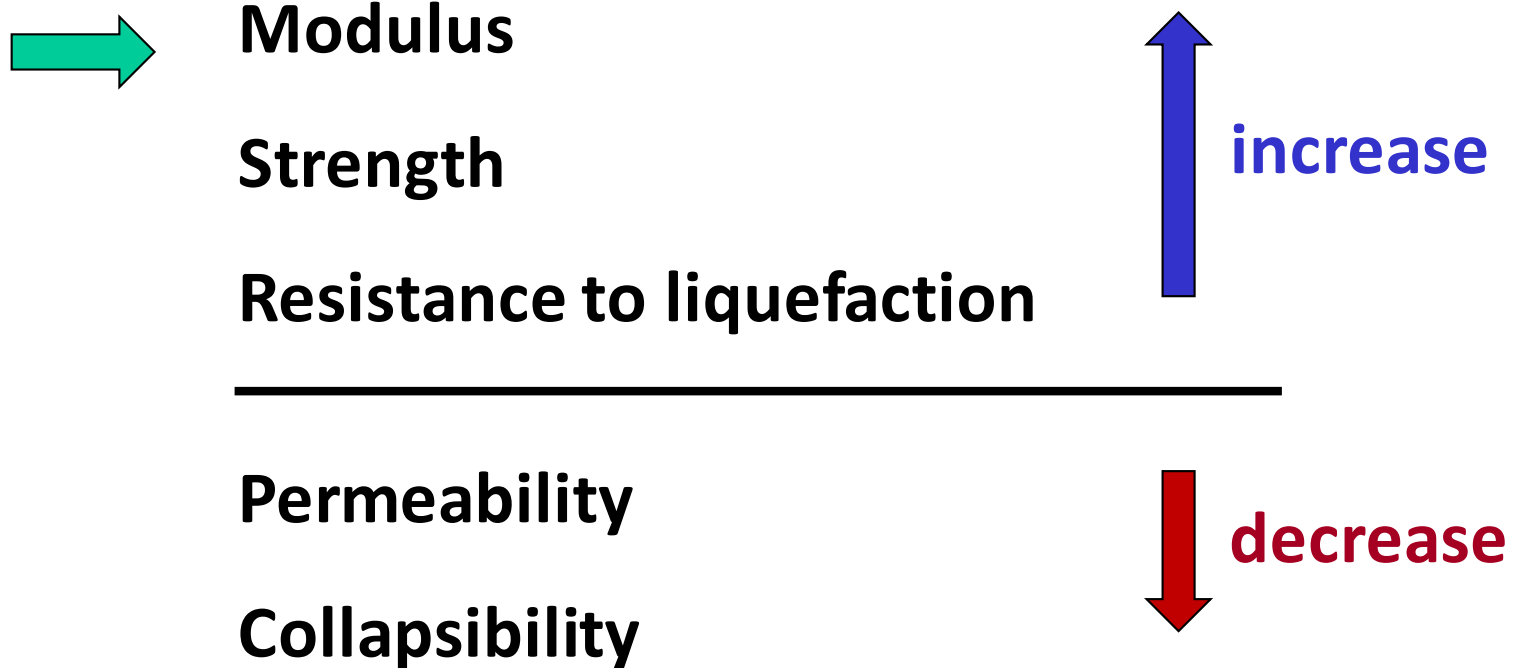
**Bora Cetin, PhD**  
Associate Professor  
Department of Civil and Environmental Engineering  
Michigan State University  
[cetinbor@msu.edu](mailto:cetinbor@msu.edu)

# COMPACTION

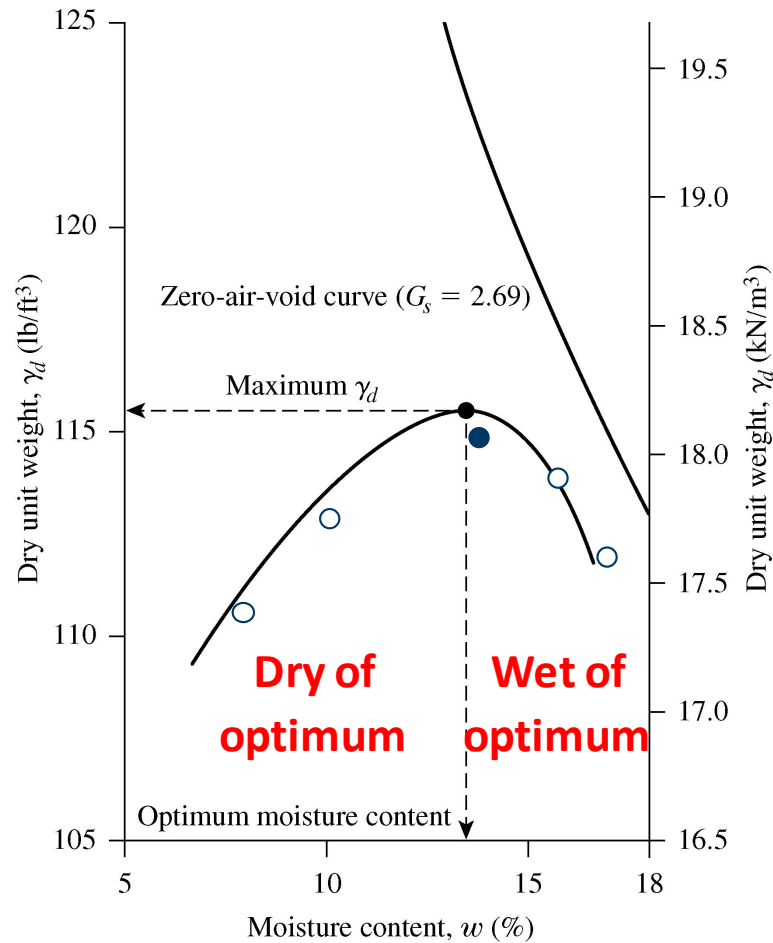
- Compaction is the densification of a soil by the use of mechanical energy
  - The process of expelling air from the soil
  - Improves strength
    - Increases bearing capacity of foundations
    - Increases stability of embankment slopes
  - Reduces compressibility
    - Decreases settlement of foundations
  - Reduces permeability

# Compaction Principles

- The basic principle of densification is the re-arrangement of particles into a denser state, which results in

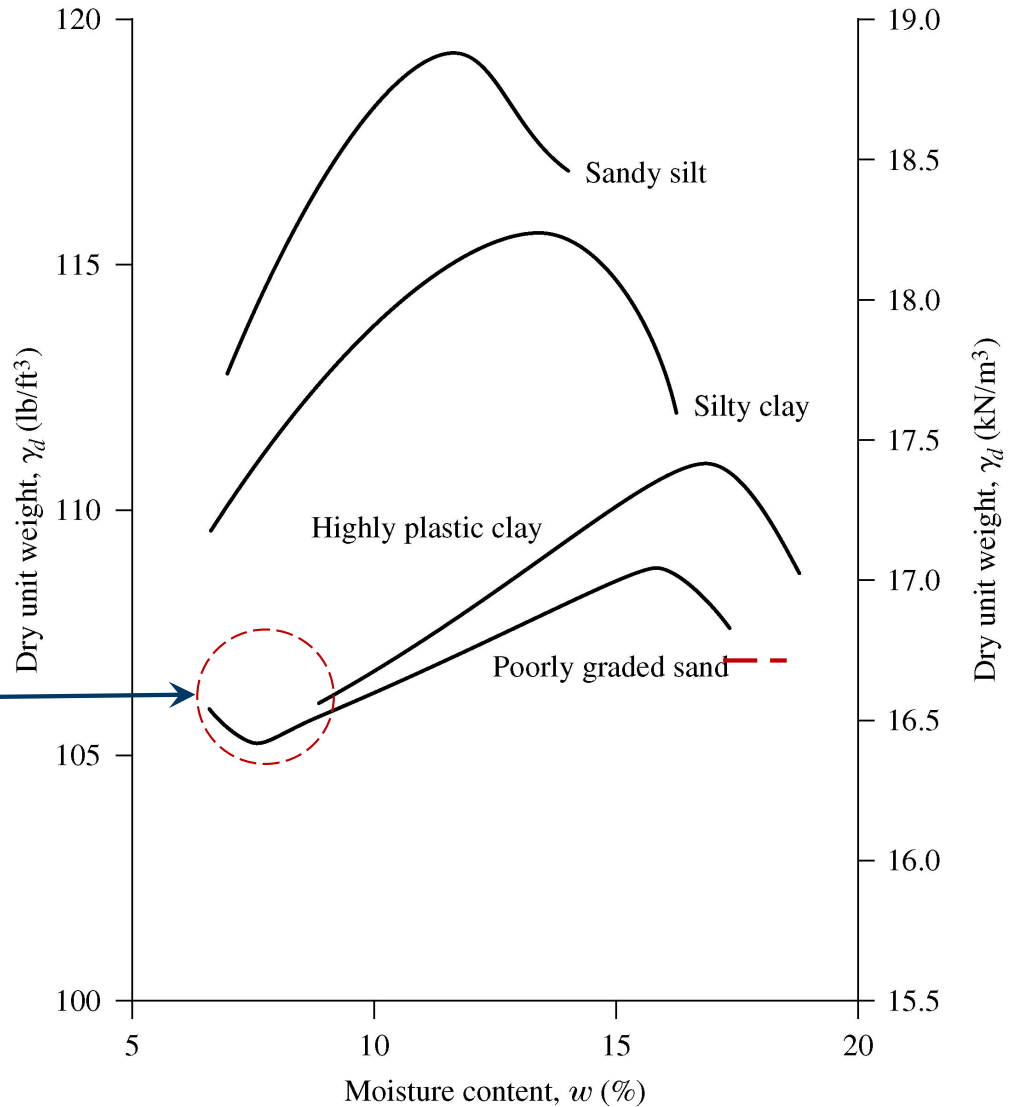


# Dry Unit Weight vs. Moisture Content Curve

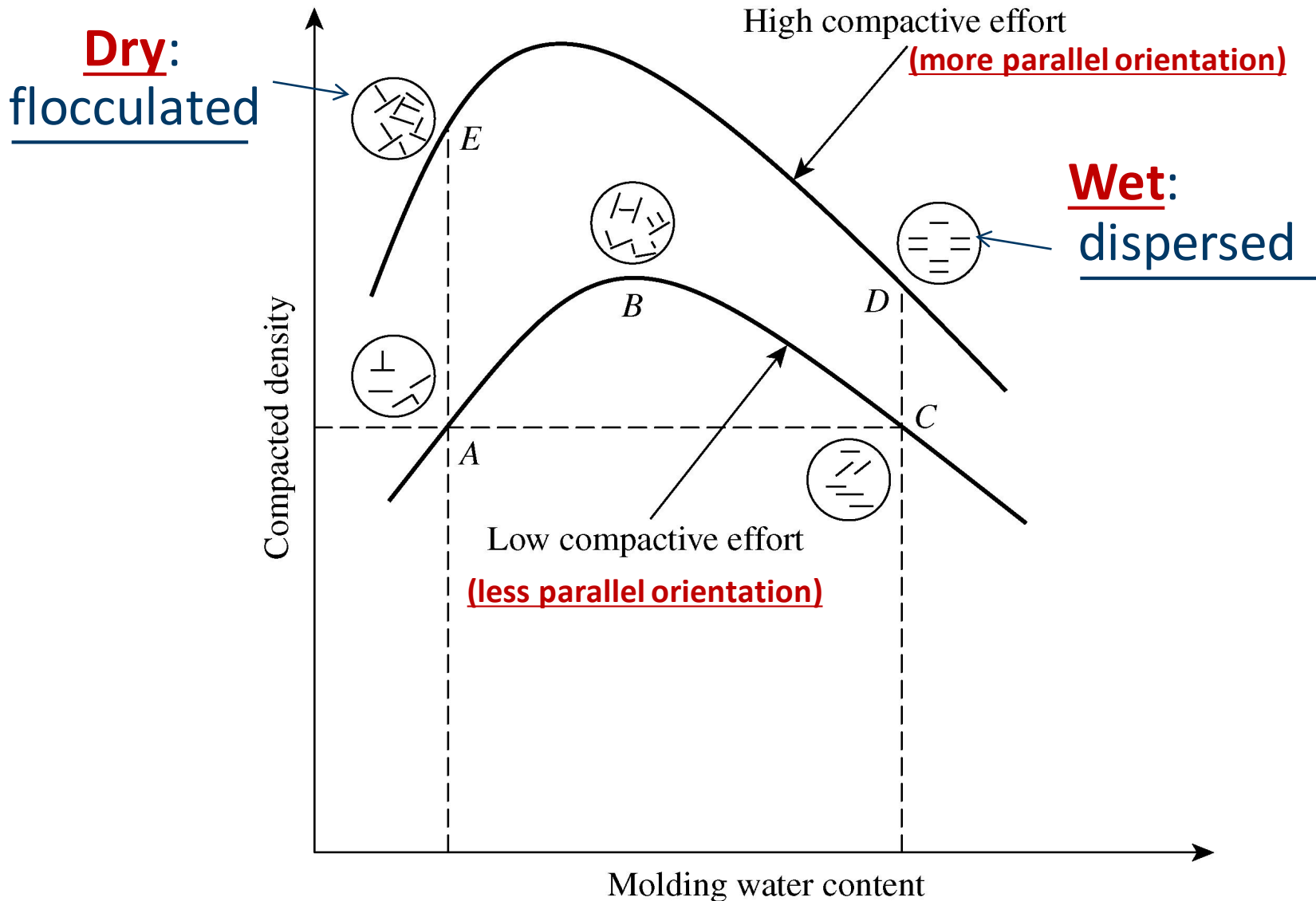


# Effect of Soil Type

Particle rearrangement inhibited due to capillary tension



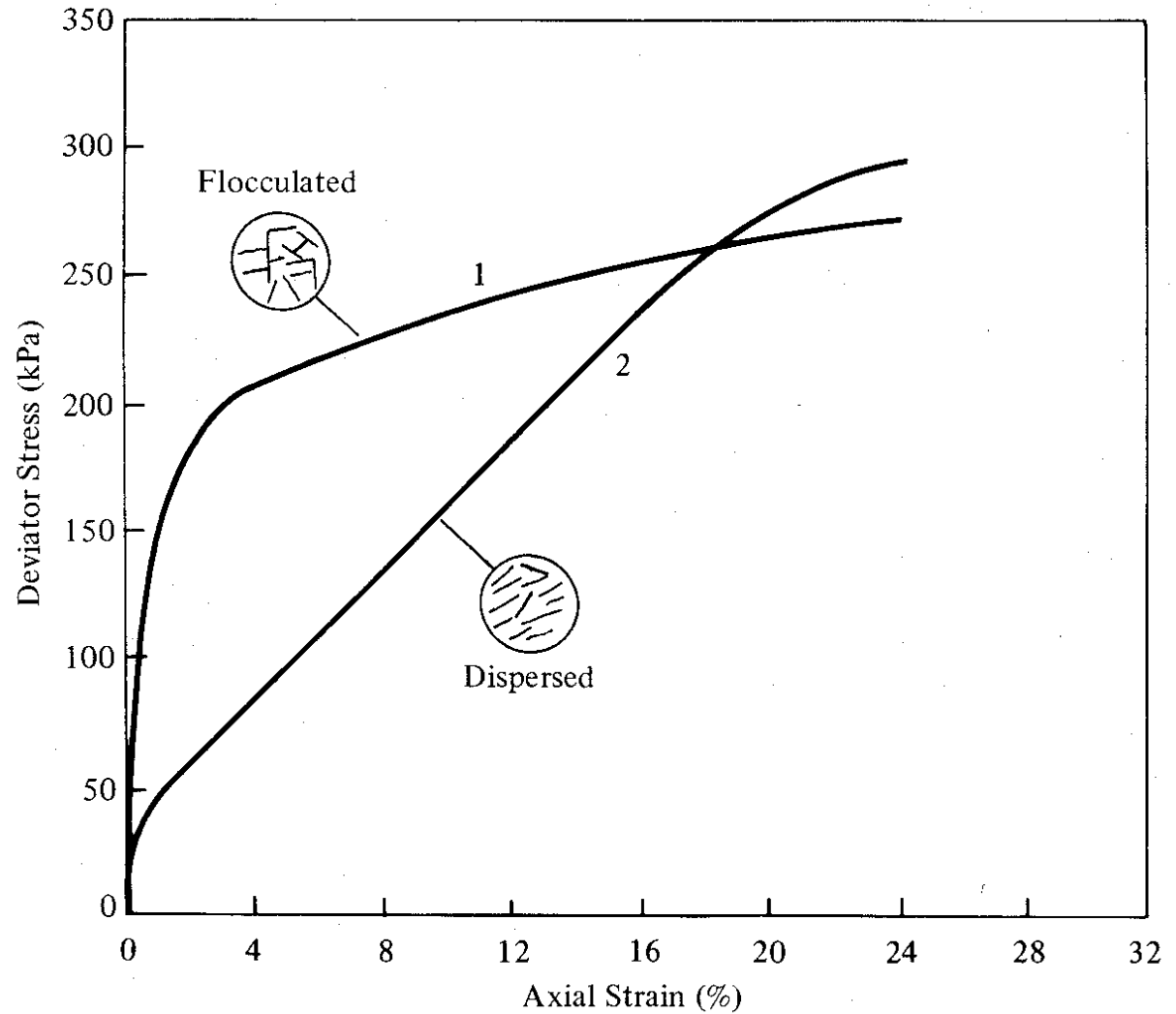
# STRUCTURE OF COMPACTED CLAY SUBGRADE WITH MOISTURE





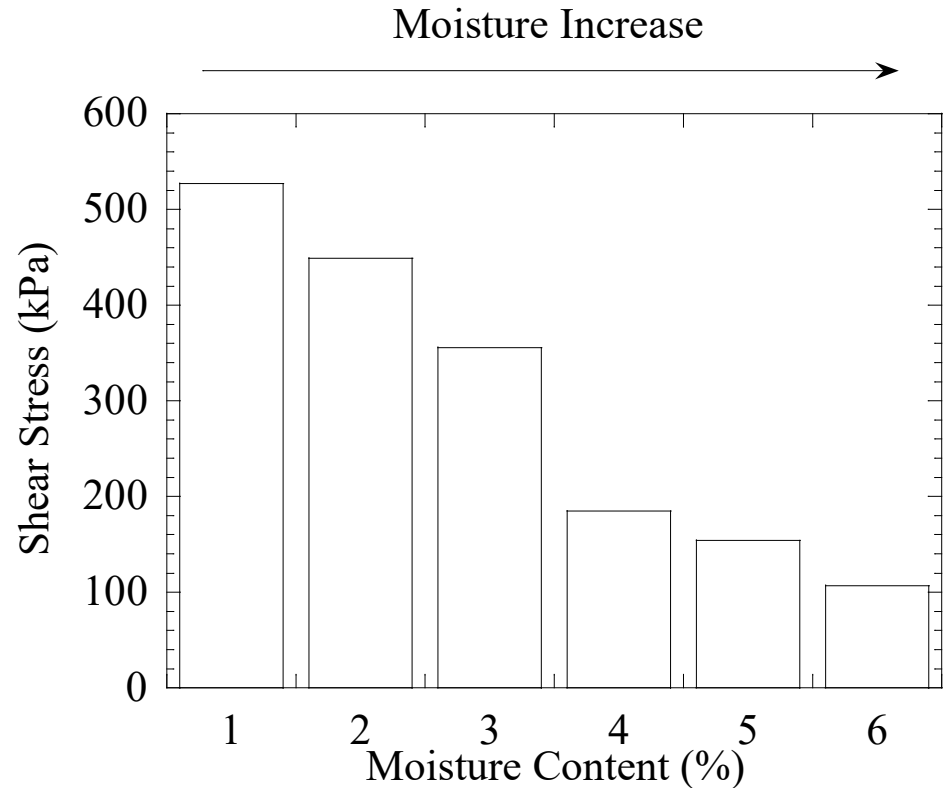
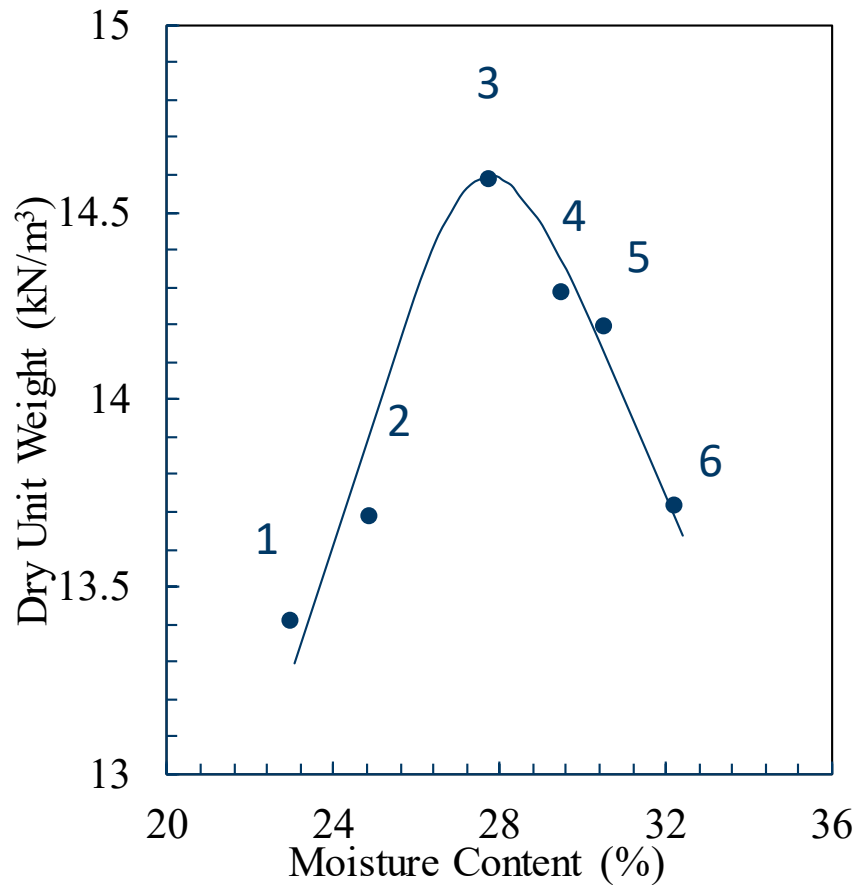
# Effect of Moisture on Soil Properties

## Stress-Strain and strength



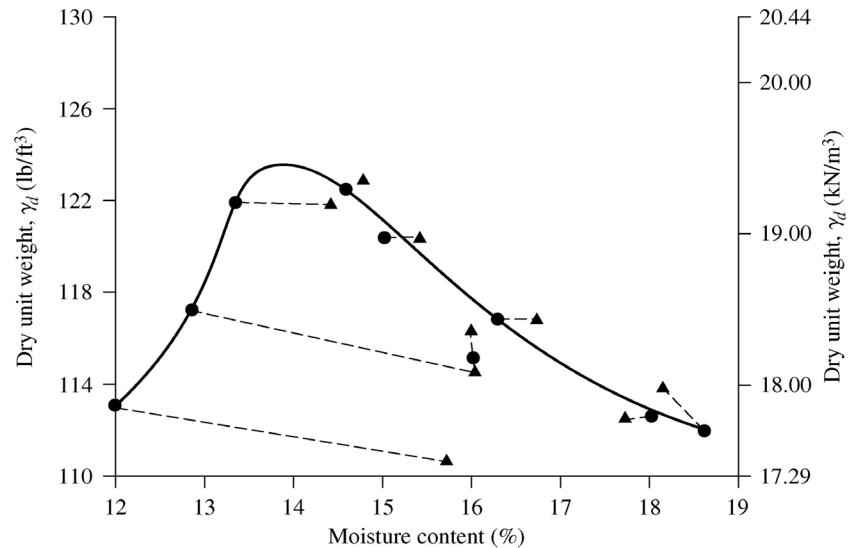
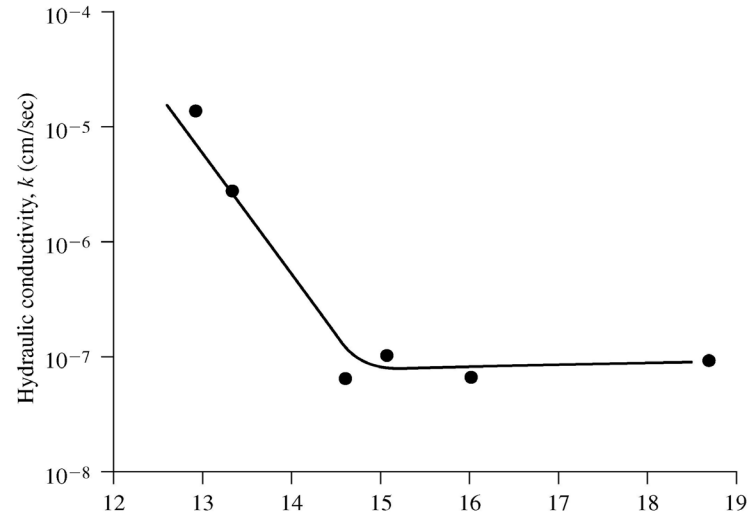
# Effect of Moisture on Soil Properties

## Stress-Strain and strength



# Effect of Compaction on Soil Properties

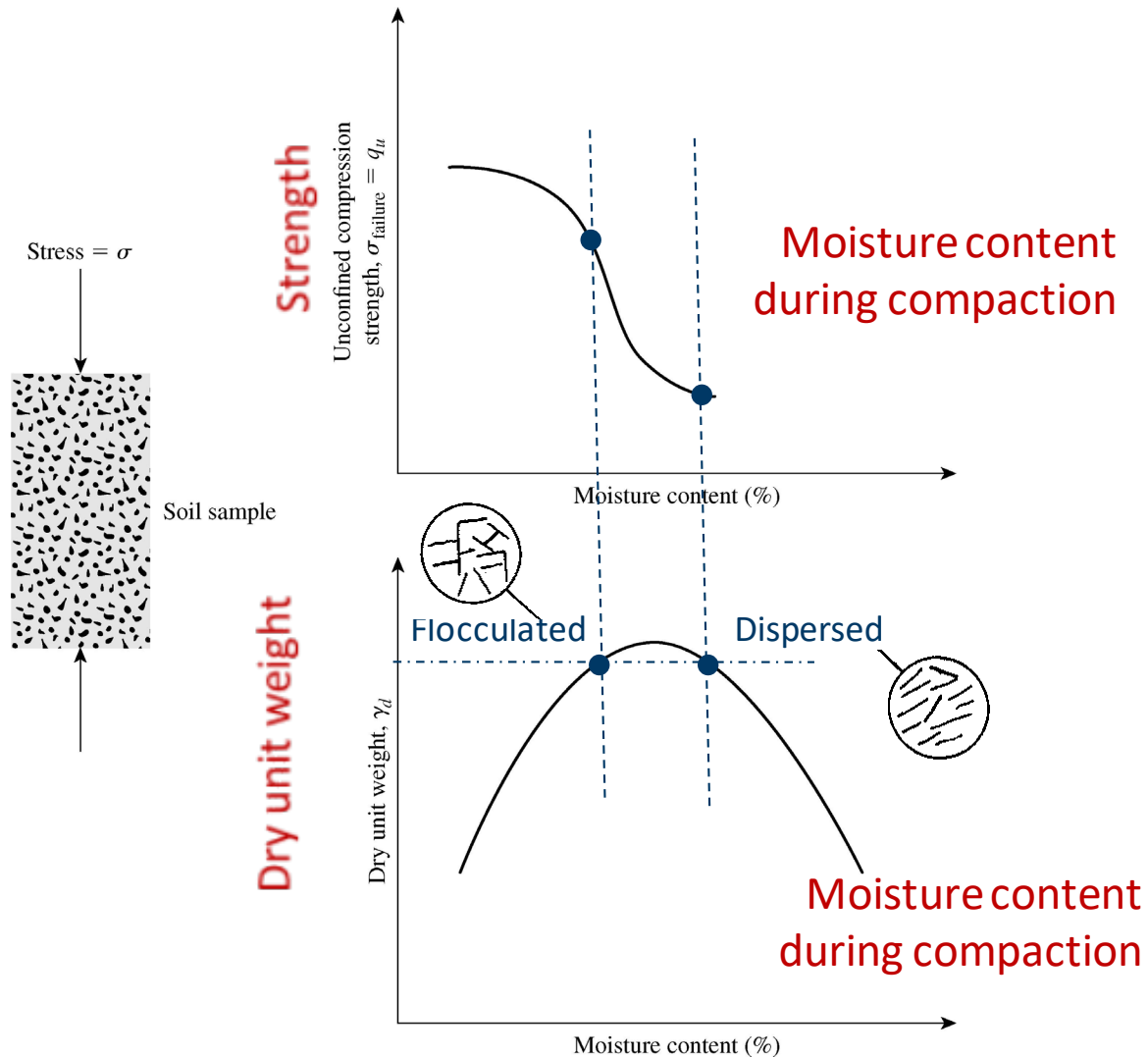
## Permeability



● --- ▲ Shows change in moisture and unit weight from permeation

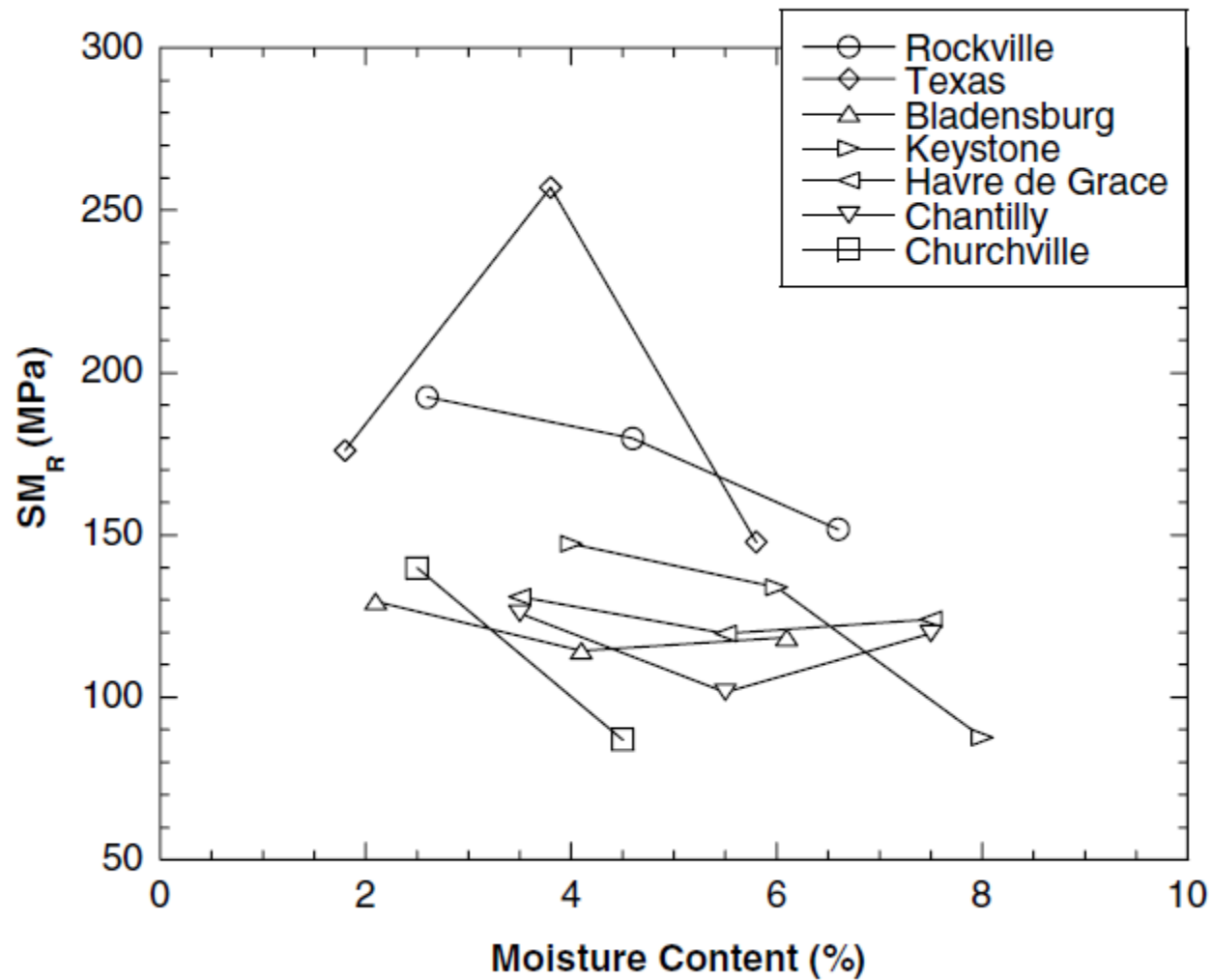
# Effect of Compaction on Soil Properties

## Strength of clayey soils



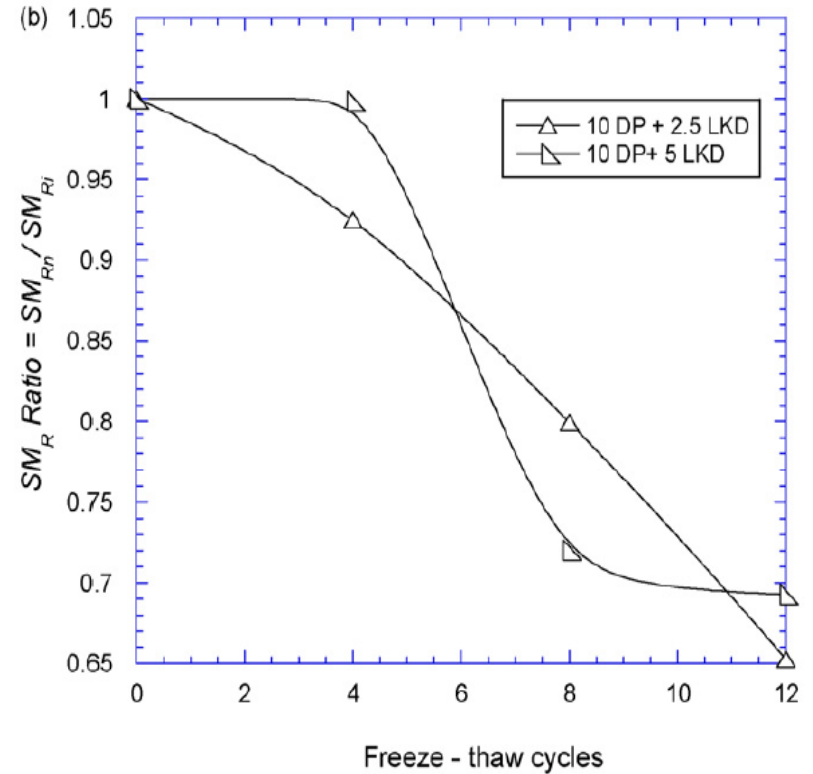
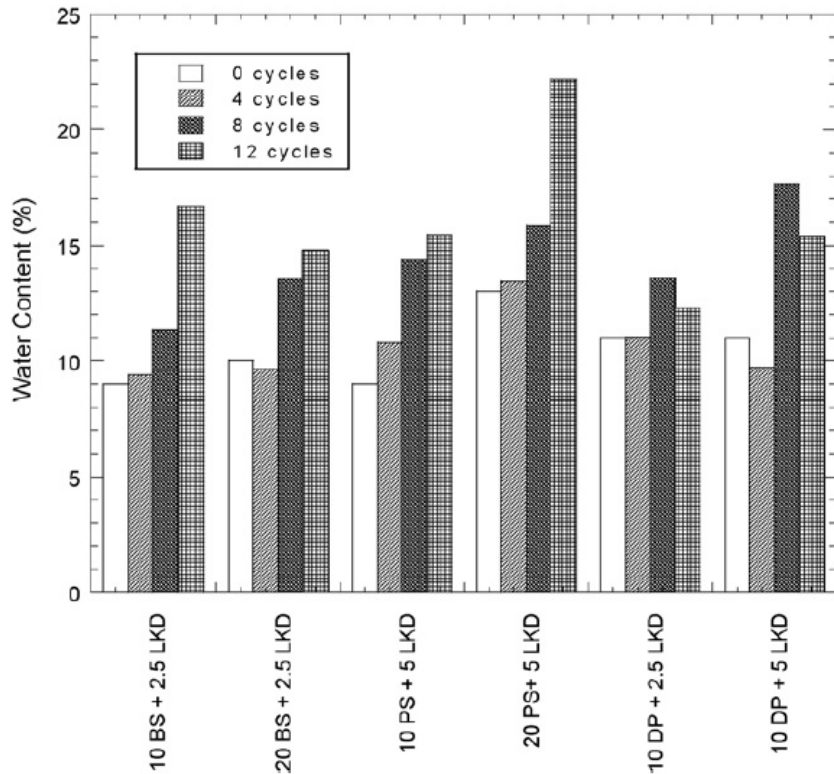
**Figure 5.18** Effect of compaction on the strength of clayey soils

# Effect of Moisture Content on Resilient Modulus of Granular Aggregate Base Materials



(Haider et al. 2014)

# Effect of Freeze-Thaw Cycles on Moisture/Resilient Modulus



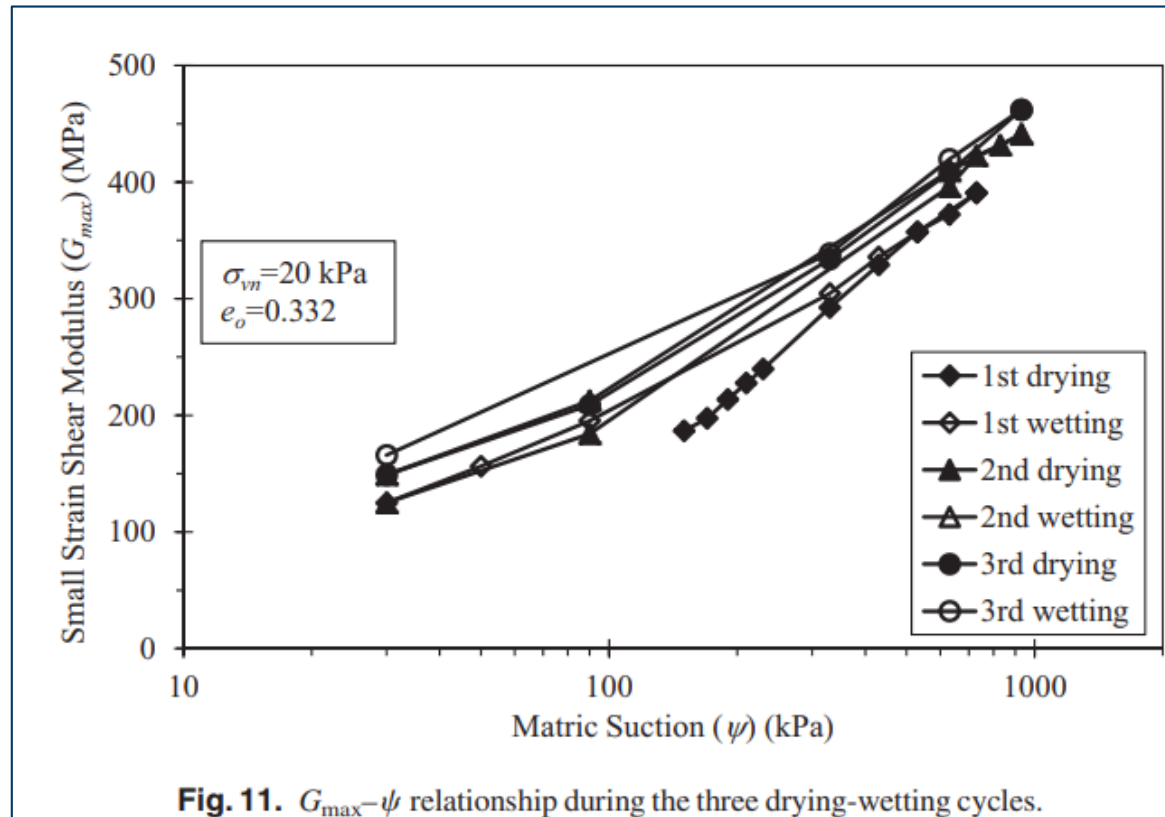
BS: Brandon Shores Power Plant Fly Ash  
 PS: Paul Smith Power Plant Fly Ash  
 DP: Dickerson Precipitator Plant Fly Ash  
 LKD: Lime Kiln Dust

(Cetin et al. 2010)

# Relationship between Matrix Suction and Stiffness

## Properties of Materials

- Effect of wetting and drying cycles on Maximum shear modulus

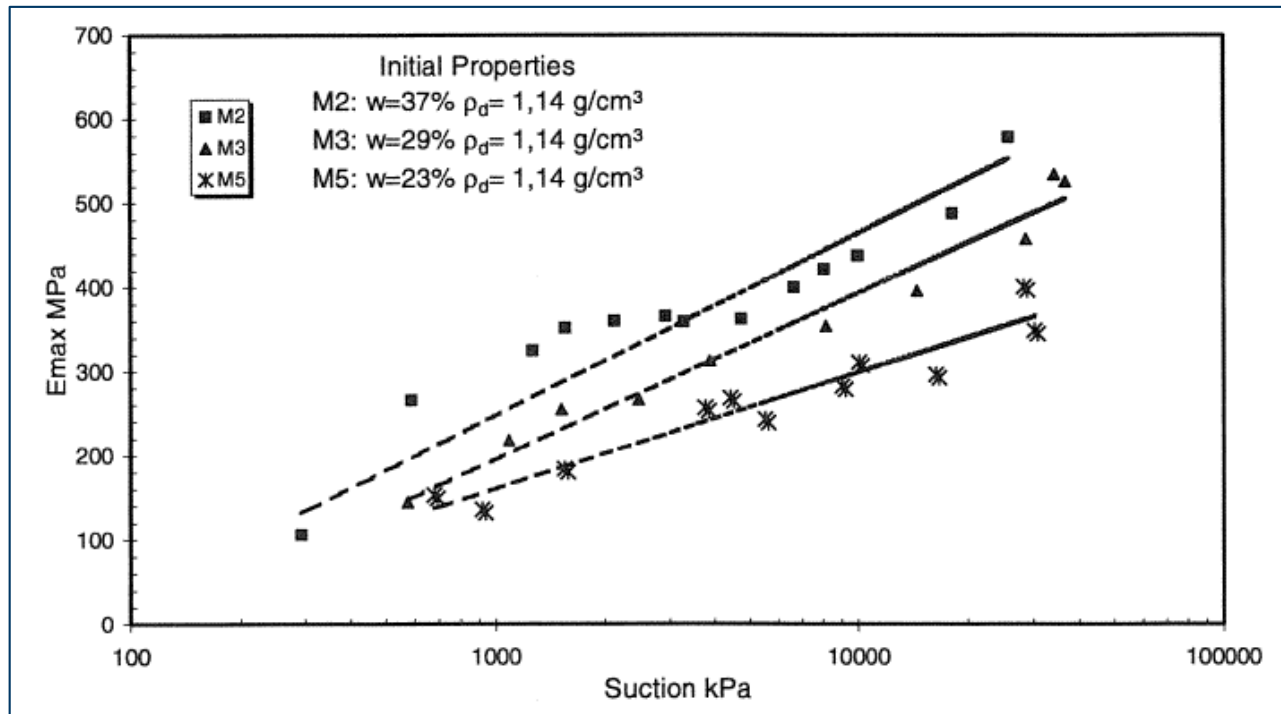


(Ngoc et al., 2019)

# Relationship between Matric Suction and Stiffness

## Properties of Materials

- Relationship between Young's Modulus and Matric Suction



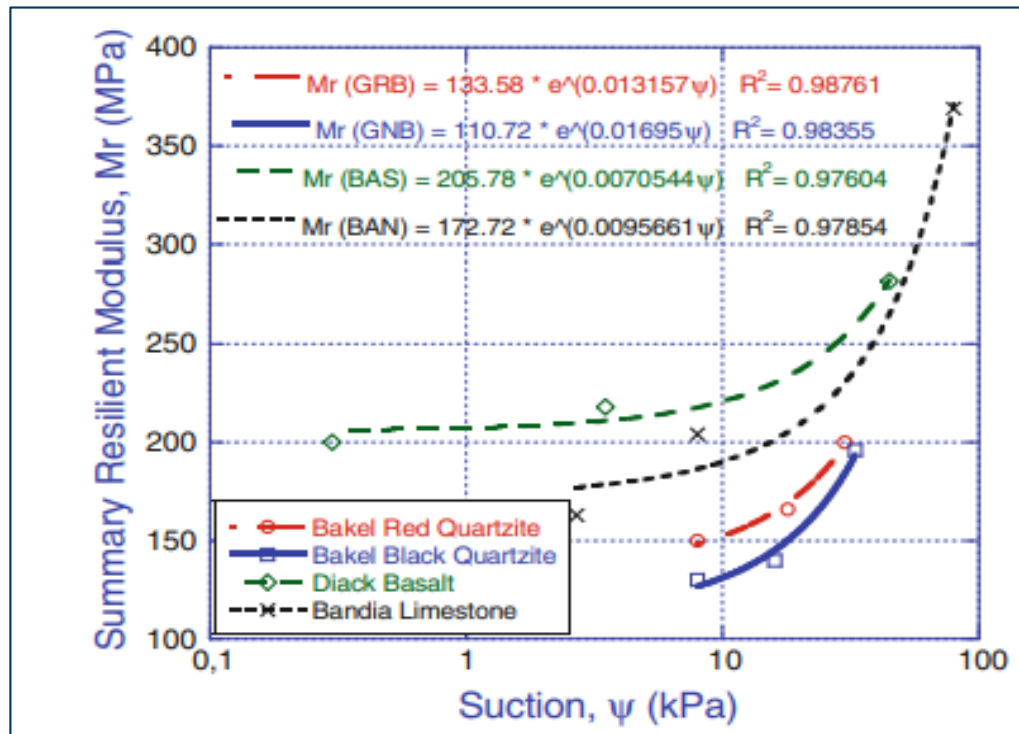
(Mendoza and Colmenares 2006)



# Relationship between Matrix Suction and Stiffness

## Properties of Materials

- Relationship between Resilient Modulus and Matrix Suction



(Ba et al. 2013)

# Relationship between Matric Suction and Stiffness Properties of Materials

- Correlations between Resilient Modulus and Matric Suction

$$M_R/M_{ROPT} = 0.385 + 0.267 \log(\psi)$$

Ba et al., 2013

$$M_R = 142 + 16.9\psi$$

Ceratti et al., 2004

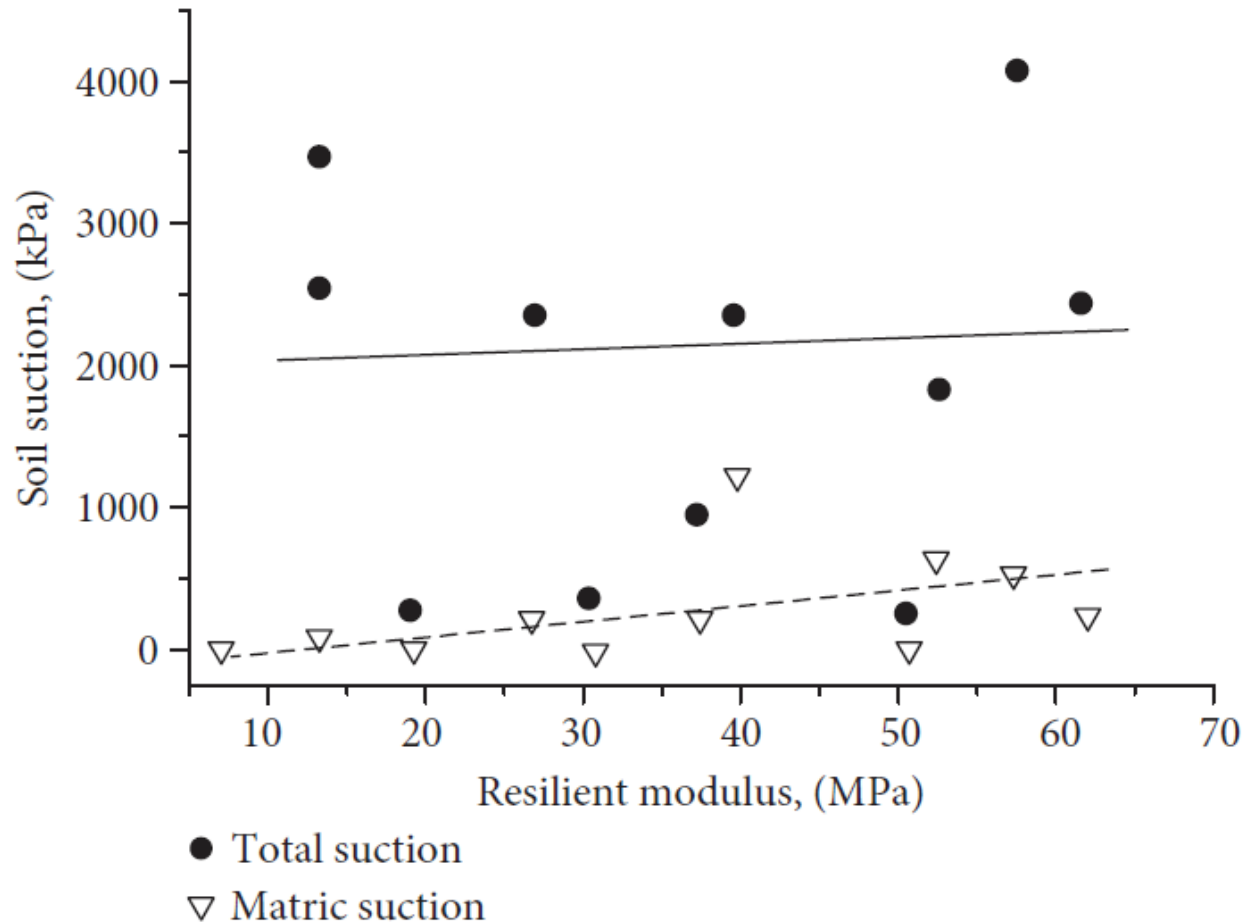
$$M_R = k_1 p_a \left( \frac{\theta_b}{p_a} \right)^{k_2} \left( k_4 + \frac{\tau_{oct}}{p_a} \right)^{k_3} + \alpha_1 \psi^{\beta_1}$$

Khoury et al., 2009

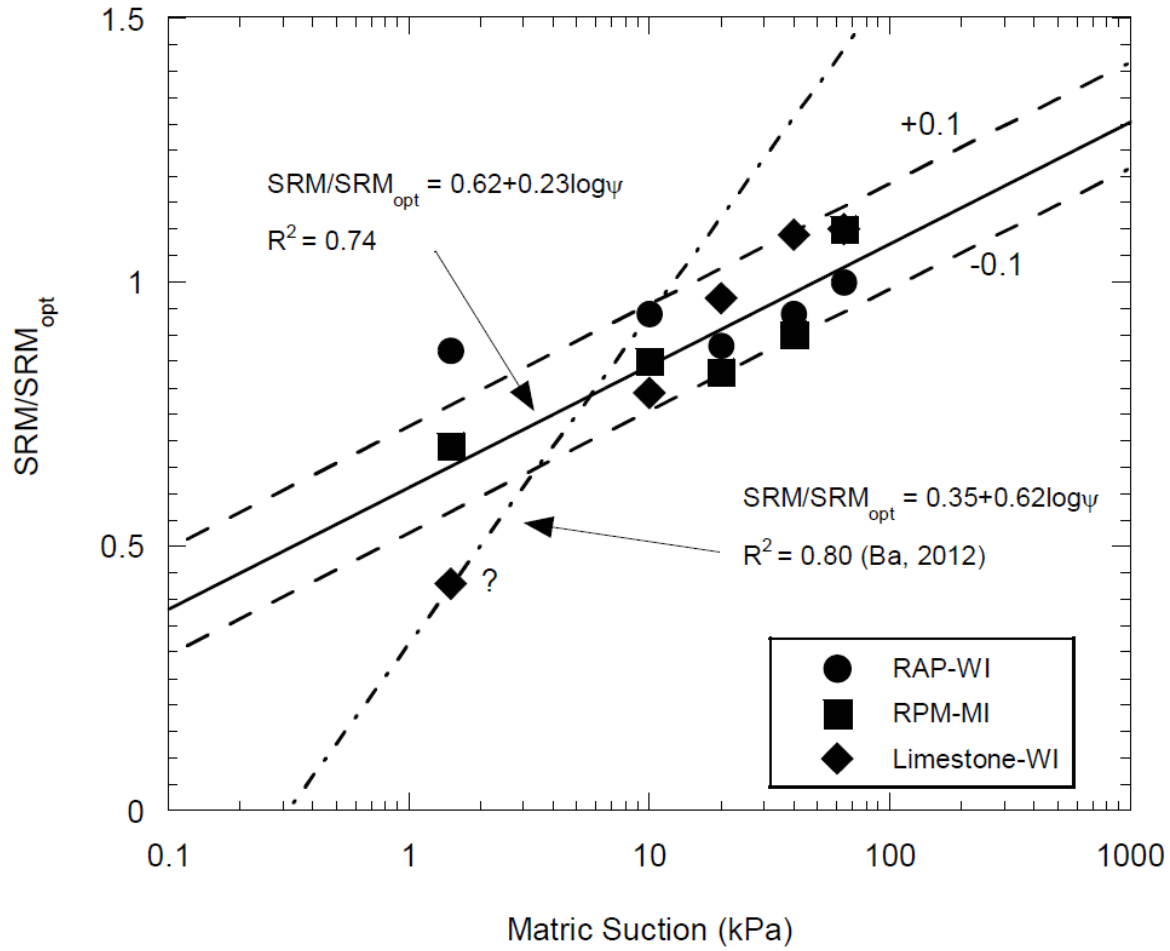
$$M_R = k_1 p_a \left( \frac{\theta_b - 3f\theta\psi}{p_a} \right)^{k_2} \left( \frac{\tau_{oct}}{p_a} \right)^{k_3}$$

Lytton, 1995

# Matric Suction - Modulus



# Matric Suction - Modulus



(Nokkaew et al. 2013)

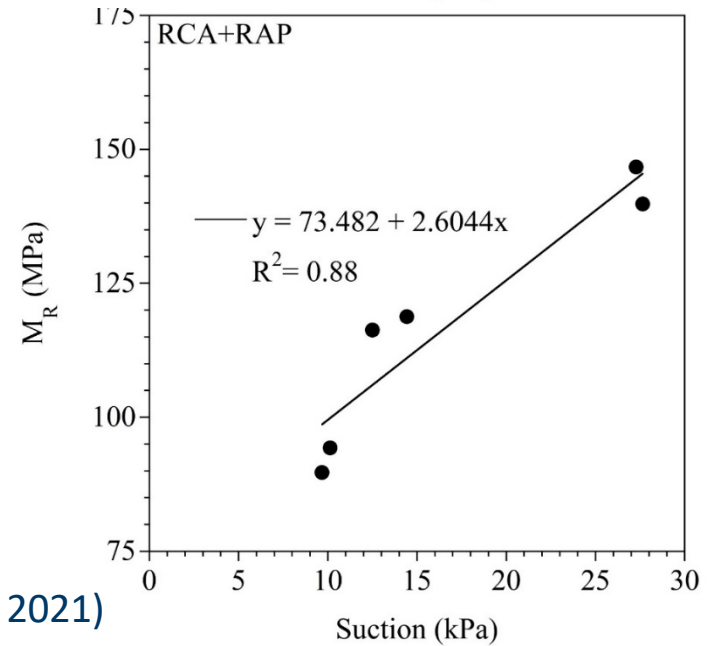
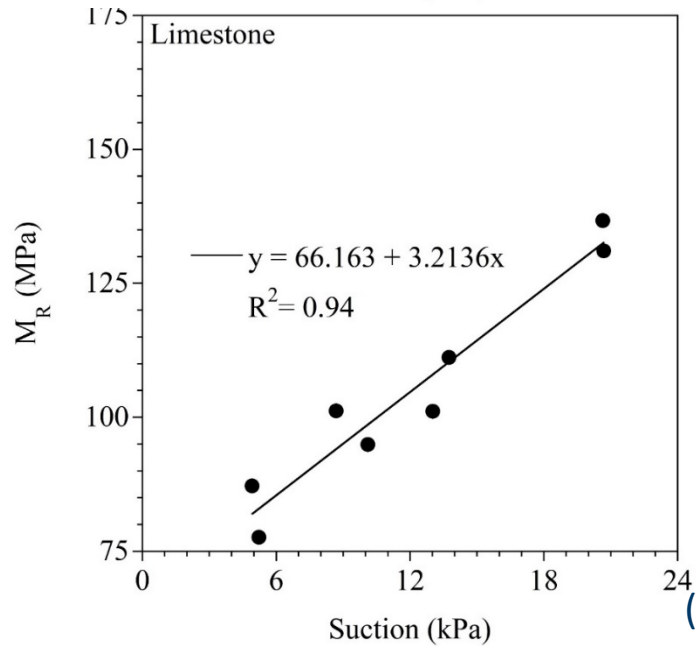
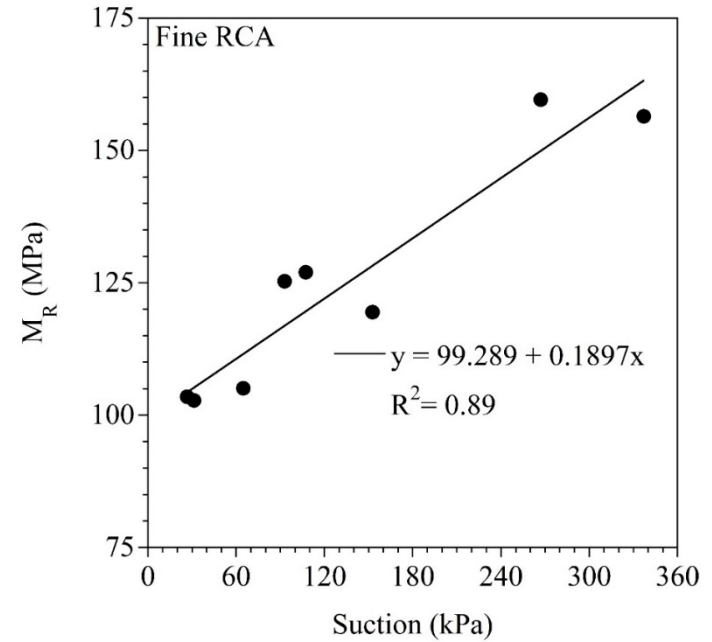
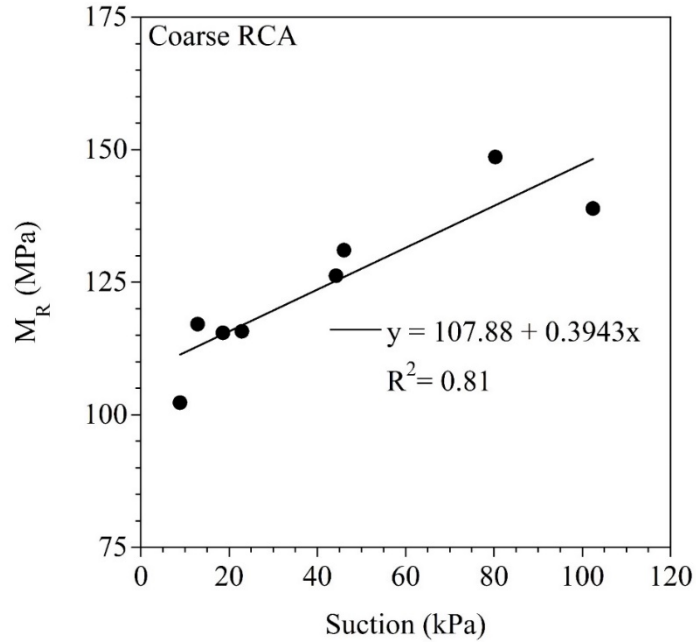
# Determining Pavement Design Criteria for Recycled Aggregate Base and Large Stone Subbase

Recycled Aggregate Base				Large Stone Subbase		Large Stone Subbase with Geosynthetics				
185	186	188	189	127	227	328	428	528	628	728
3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave	3.5 in Superpave
12 in Coarse RCA	12 in Fine RCA	12 in Limestone	12 in RCA+RAP	6 in Class 6 Aggregate	6 in Class 6 Aggregate	6 in Class 5Q Aggregate	6 in Class 5Q Aggregate	6 in Class 5Q Aggregate	6 in Class 5Q Aggregate	6 in Class 5Q Aggregate
3.5 in S. Granular Borrow	3.5 in S. Granular Borrow	3.5 in S. Granular Borrow	3.5 in S. Granular Borrow	18 in LSSB (1 lift)	18 in LSSB (1 lift)	9 in LSSB	9 in LSSB	9 in LSSB	9 in LSSB	9 in LSSB
Sand	Sand	Clay Loam	Clay Loam			TX	TX+GT	BX+GT	BX	
				Clay Loam	Clay Loam	Clay Loam	Clay Loam	Clay Loam	Clay Loam	Clay Loam

S. Granular Borrow = Select Granular Borrow

TX = Triaxial Geogrid  
 BX = Biaxial Geogrid  
 GT = Nonwoven Geotextile

# Matric Suction - Modulus

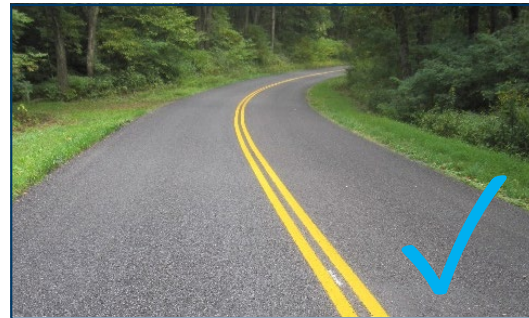


(Cetin et al. 2021)

# SUMMARY

- Determination of the Resilient Modulus for various **matric suctions / water contents / saturation degree** has a significant effect on the design process of long - lasting pavement structures.
  - The effect of various climate and traffic conditions
  - The moisture-sensitive models

## Improved Prediction of the Pavement Response





# SUMMARY

- In various engineering designs such as compacted subgrades and support fills for highways, railroads, airfields, parking lots, earthquake resistant structures and foundations the **Soil Modulus** is required.
- **Soil Modulus** ( $G_{\max}$ ,  $E$ ,  $M_R$ ) which represents the stiffness of geomaterials for different cases is related to **Matric Suction**.

**Knowing the Effect of Matric Suction will Increase the Accuracy of the Design of Engineering Structures!**

# Influence of moisture content on compaction quality for geomaterials

*TRB Webinar: Improving Pavement Geomaterial Performance with Unsaturated Soil Mechanics*

*August 3, 2021*

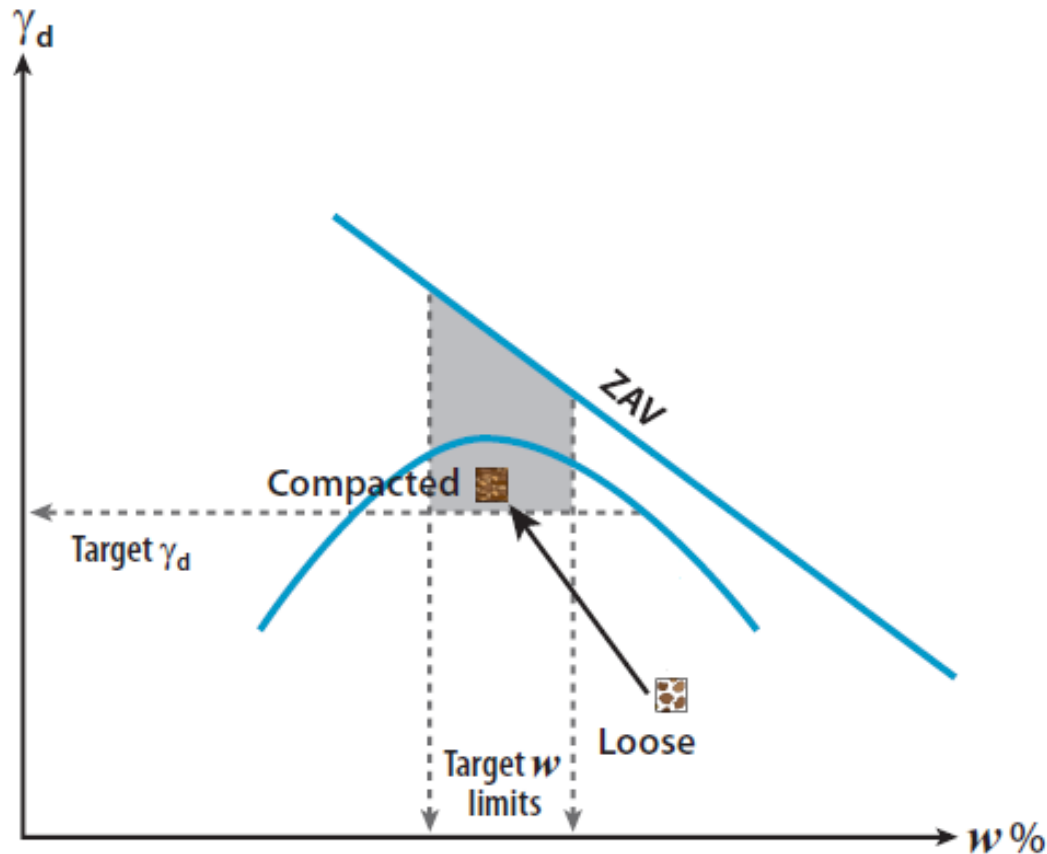
**David J. White, Ph.D., P.E.**

President and CEO

Ingios Geotechnics, inc.

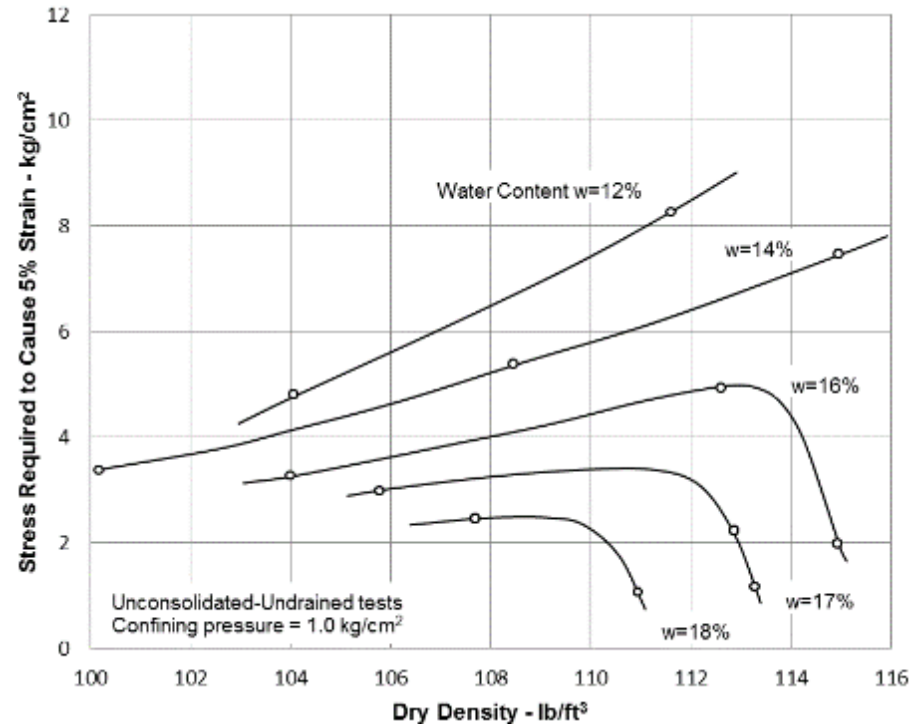
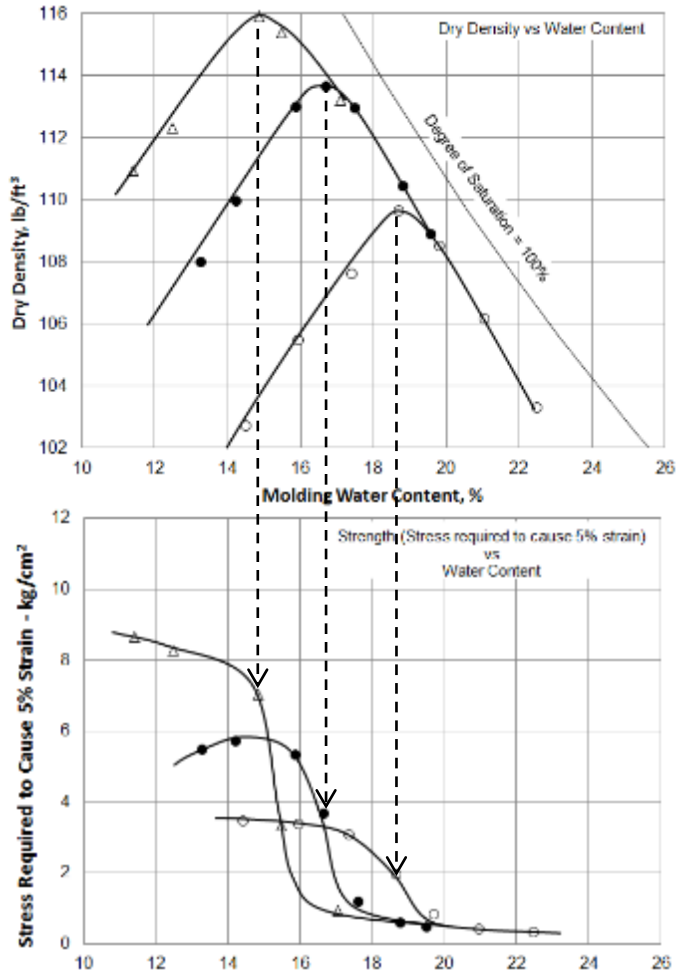
[david.white@ingios.com](mailto:david.white@ingios.com)

In practice, specifications for earthwork are fixated on Proctor compaction test results for QC/QA.



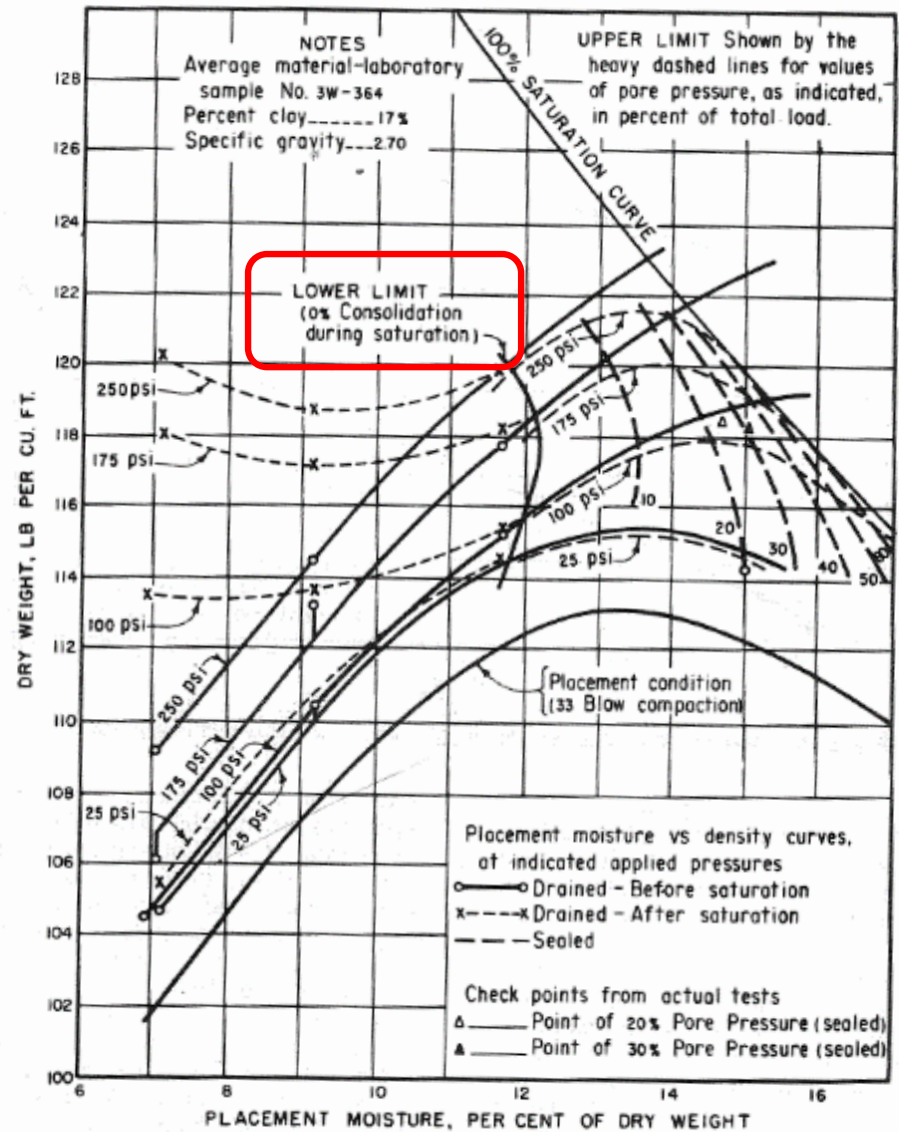
Relationship between moisture content and density of geomaterial  
(from White et al. 2010)

An important consideration for compacted materials is moisture content-strength-modulus relationship.



Seed et al.1960

Moisture content affects volume change as a function of overburden stresses.



Holtz (1948)

Fig. 8.16 Placement moisture control. (After Holtz, 1948.)

Compaction energy and moisture content change density  
 ~10% and strength/modulus ~500%.

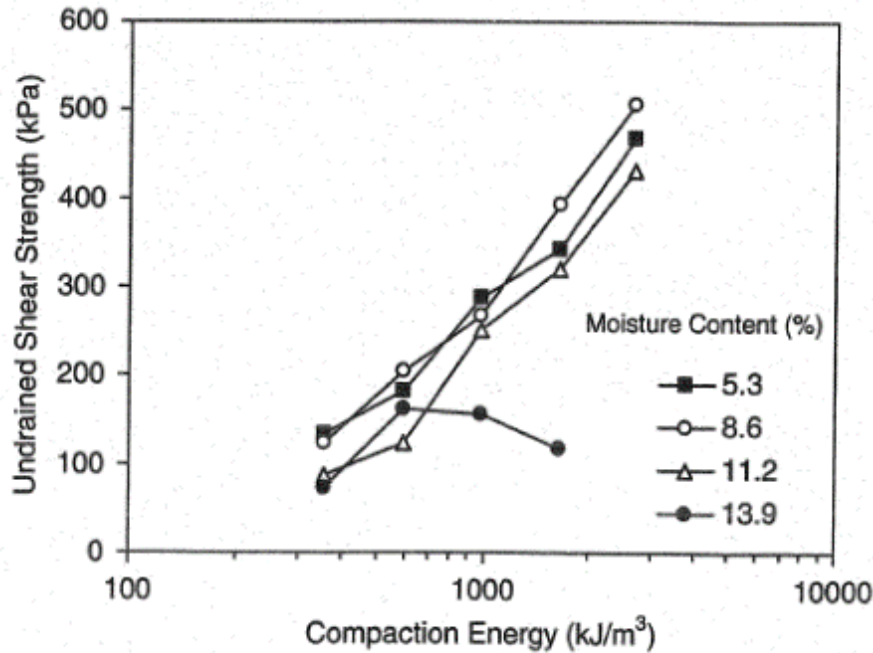


FIGURE 6 Semilogarithmic relationship between undrained shear strength and compaction energy as a function of water content.

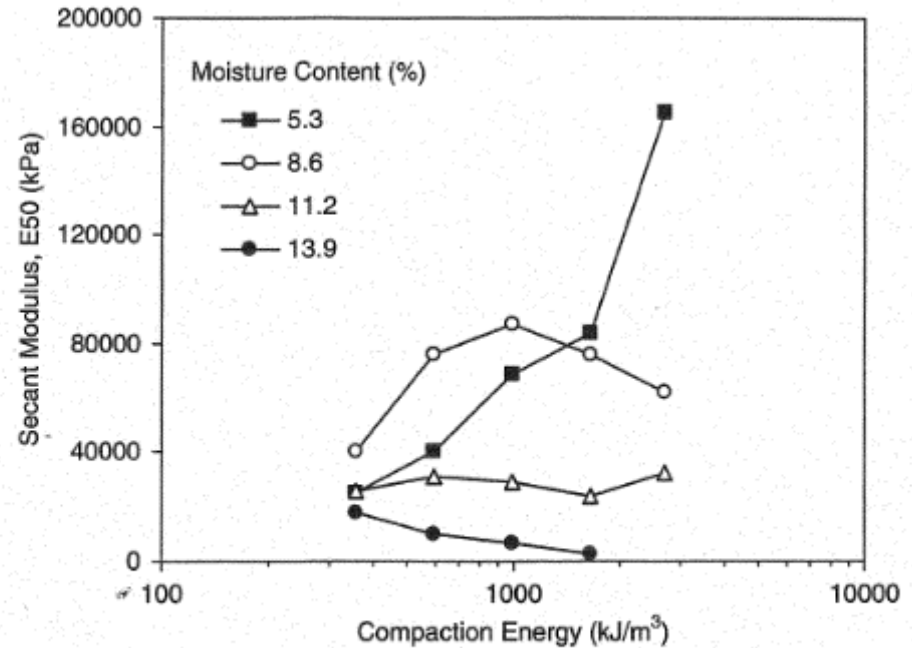
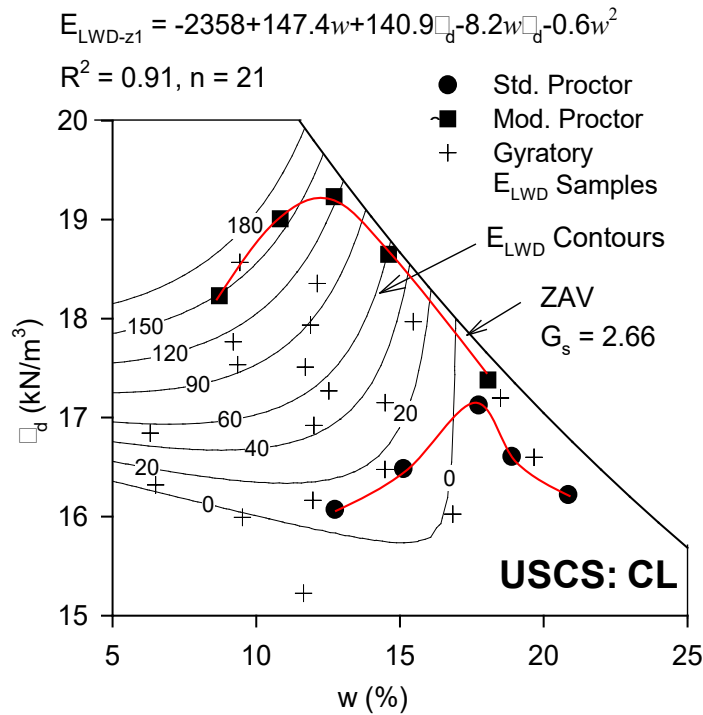


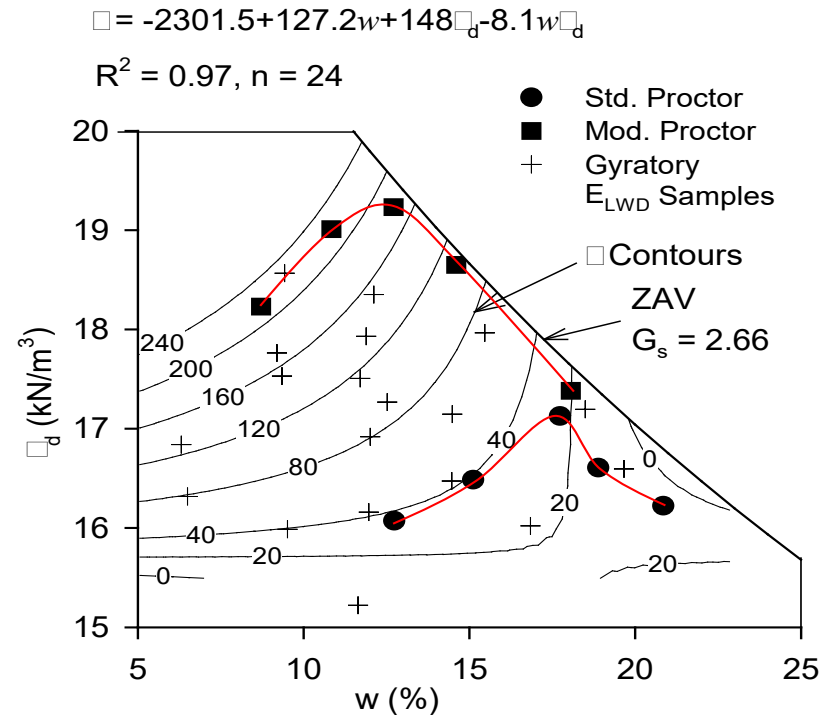
FIGURE 7 Semilogarithmic relationship between secant modulus and compaction energy as function of water content.

(White et al. 2005)

# Isobars overlain on M-D plots show changes in strength and stiffness.

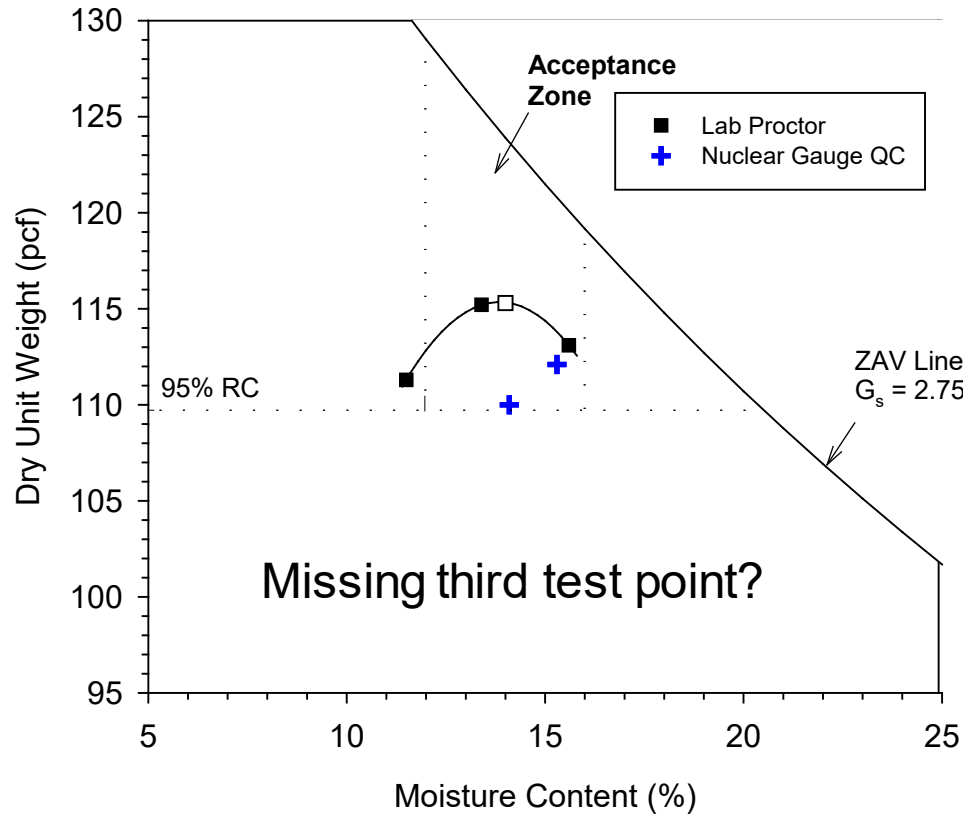


Elastic Modulus



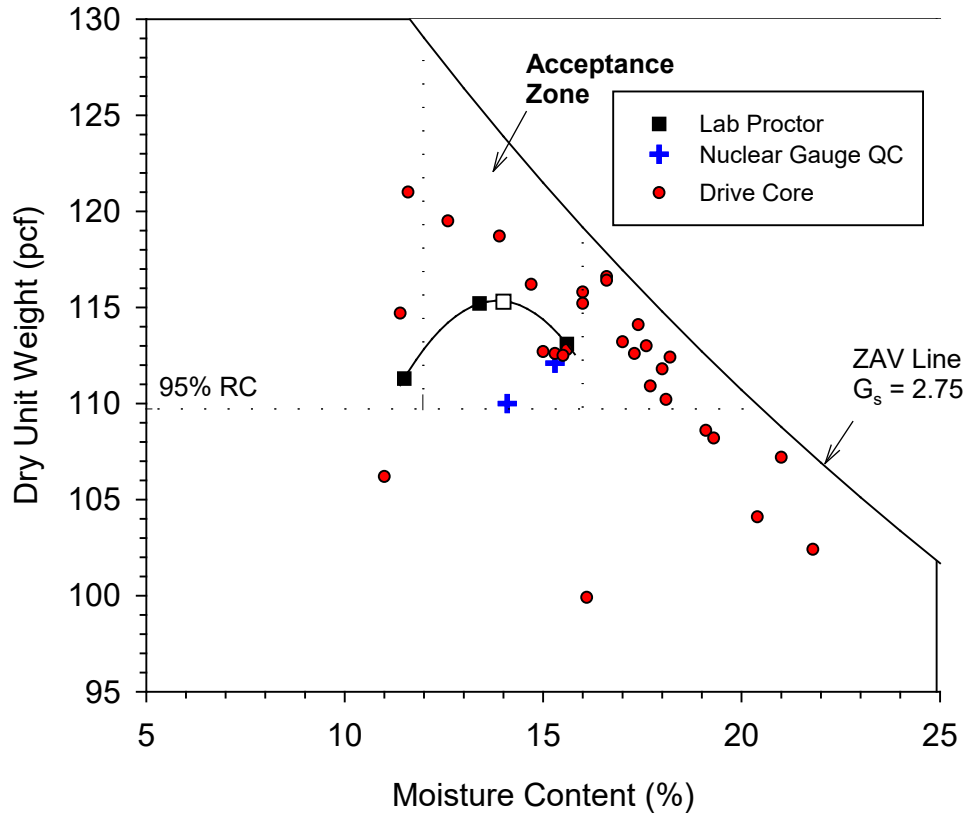
Shear Strength

# Traditional (limited) density-based specifications indicate bias during QC testing.

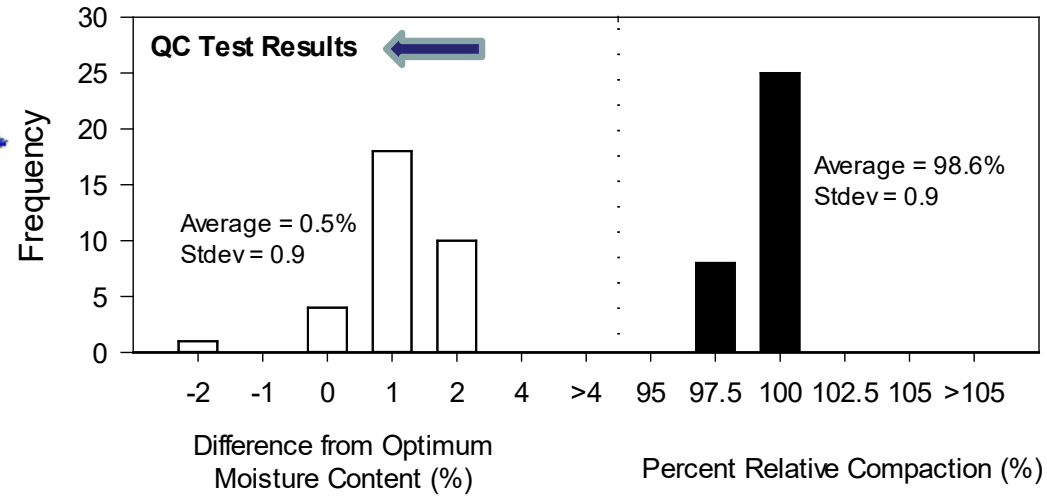
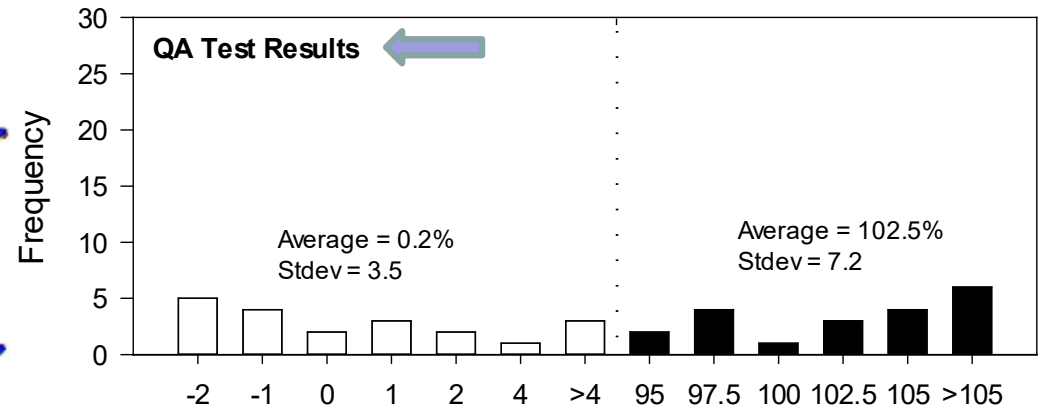
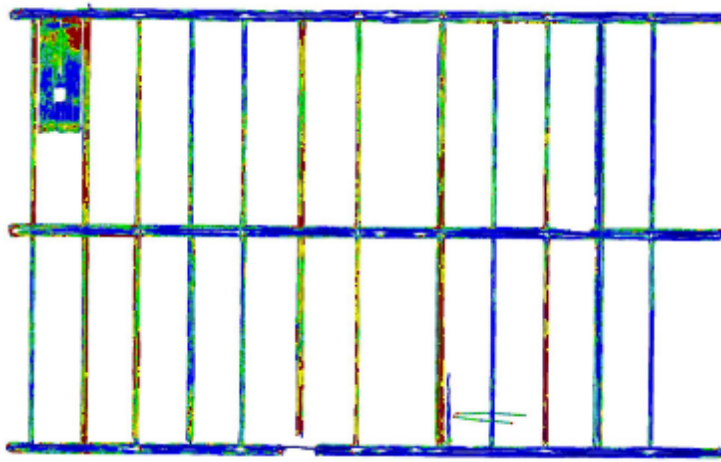




# Closer examination shows variability not captured with traditional testing.



QC/QA nuclear testing showed lack of reproducibility and did not capture the wide range in stiffness values measured.

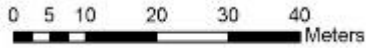


Source: White, D.J., Becker, P., Vennapusa, P., Dunn, M., and White, C. (2013). "Soil Stiffness Assessment of Stabilized Pavement Foundations." *Transportation Research Record, Journal of Transportation Research Board*, 2235, 99-109.

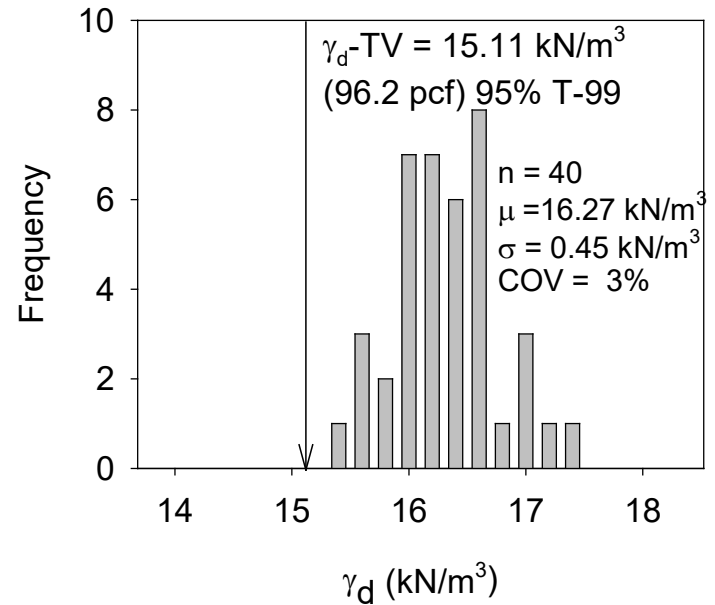
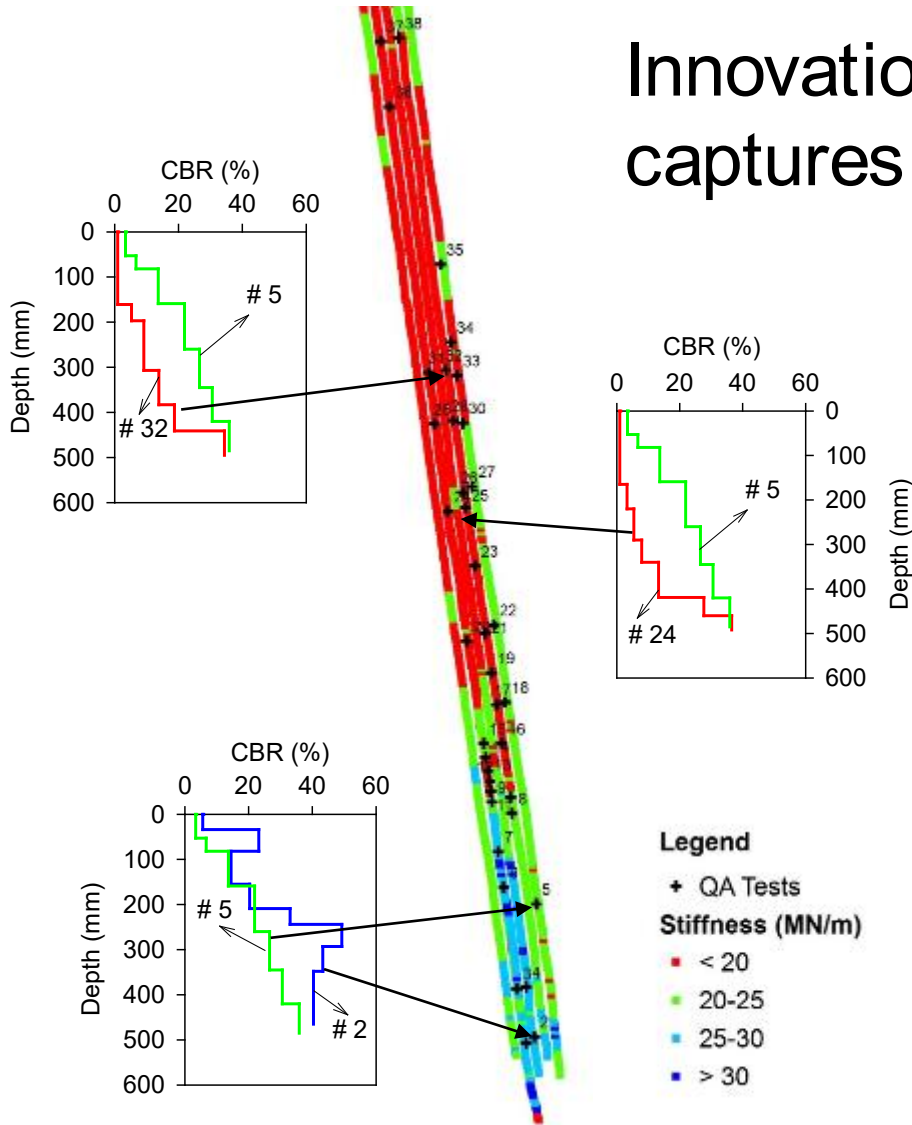
# Acknowledgment of problems is difficult...

— they are an essential part of experimentation and a prerequisite for innovation. **So don't worry.** —

(Harvard Business Review, 2014)



# Innovation: Geospatial mapping captures the “weak” spots.



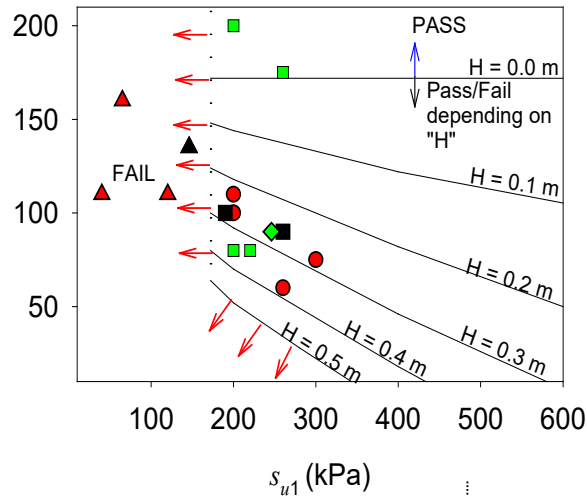
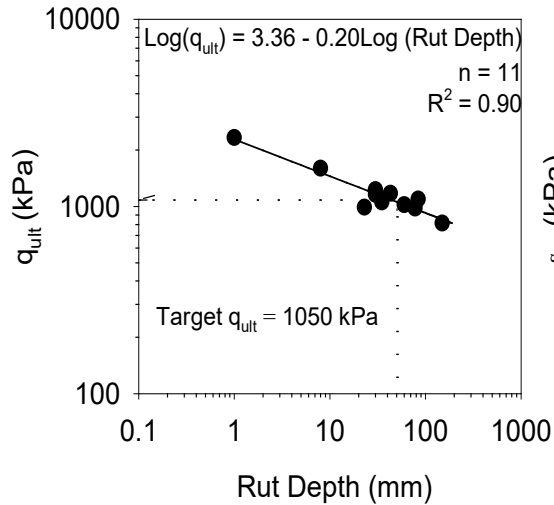
Source: NCHRP 676 Report

—The primary problem is not so much to determine the average conditions, as it is to make reasonably certain that possibly the most unfavorable conditions are known over a given area that may give rise to soft spots.—

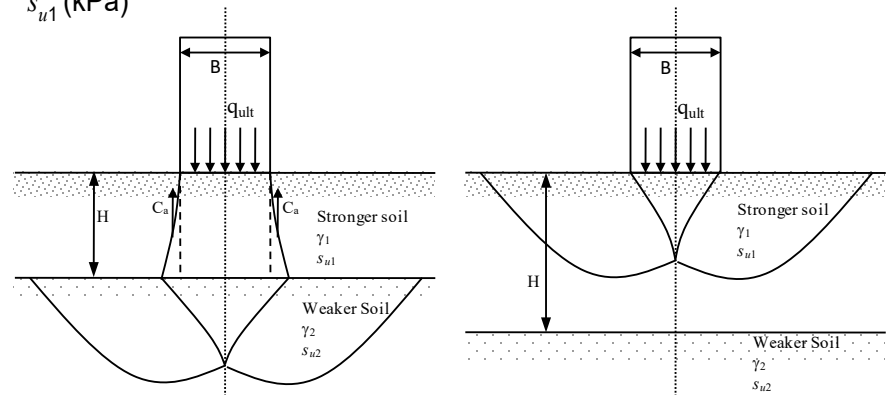
Donald M. Burmister (1948).



# “Weaker” underlying layers contribute to rutting on surface.



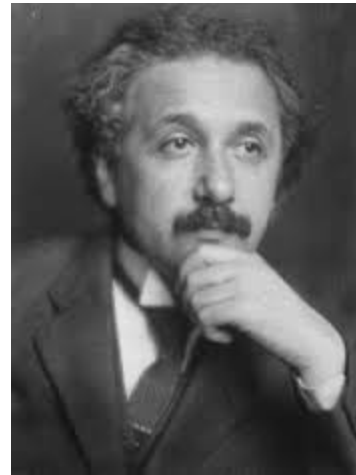
- TH60 Fail (Points 2, 3, and 8)
- TH60 Pass (Points 1, 4, 6, 7, 10, and 11)
- TH60 Pass (Points 5,9)  
 $q_{ult} < \text{target } q_{ult} \text{ (1050 kPa)}$
- ▲ TH 14 Fail (Points 1, 2, and 4)
- ◆ TH 14 Pass (Point 5)
- ▲ TH60 Pass (Point 3)  
 $q_{ult} < \text{target } q_{ult} \text{ (1050 kPa)}$



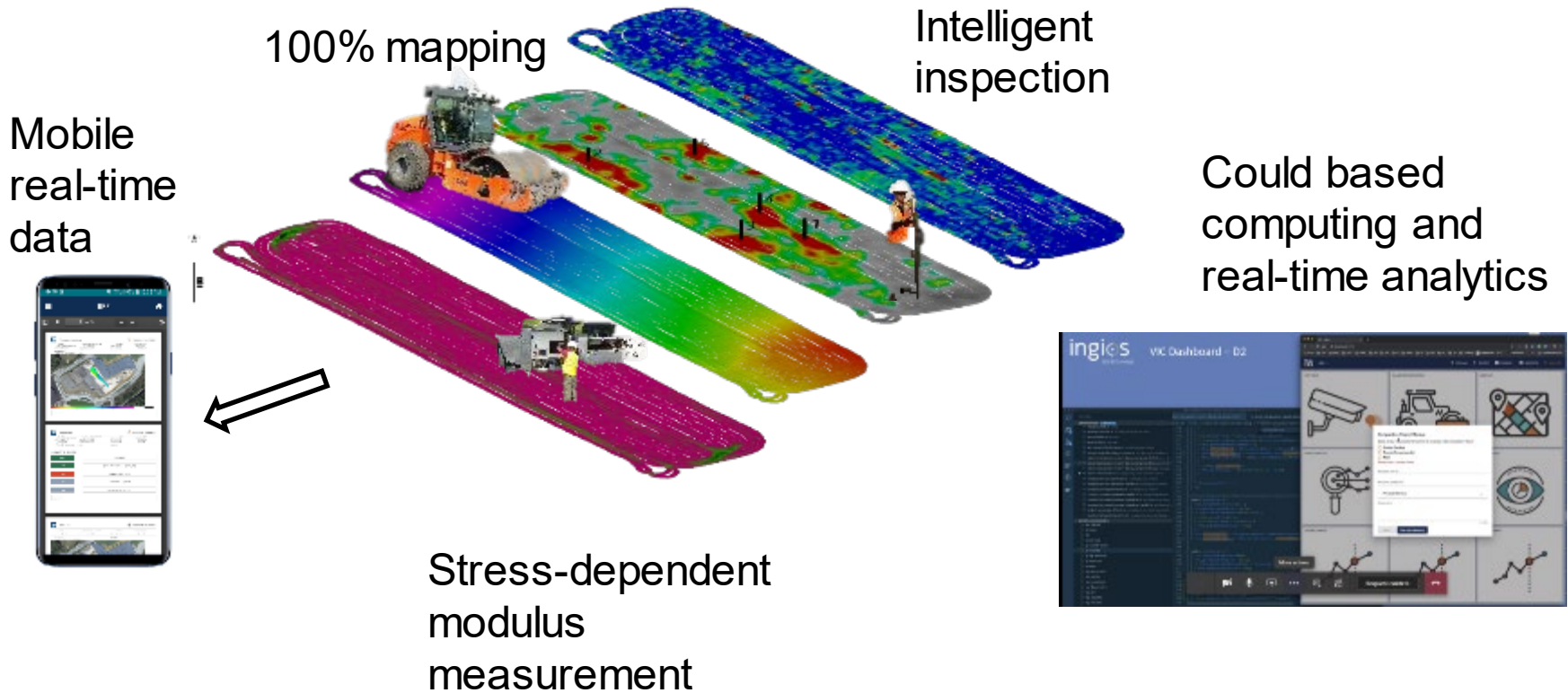
Source: White, D.J., Vennapusa, P., Gieselman, H., Johanson, L., and Siekmeier, J. (2009). "Alternatives to heavy test rolling for cohesive subgrade assessment," *8th Intl. Conf. on the Bearing Capacity of Roads, Railways, and Airfields (BCR'A'09)*, June 29 – July 2, Champaign, Illinois.

— Always make things as simple as possible, but not simpler —

Albert Einstein

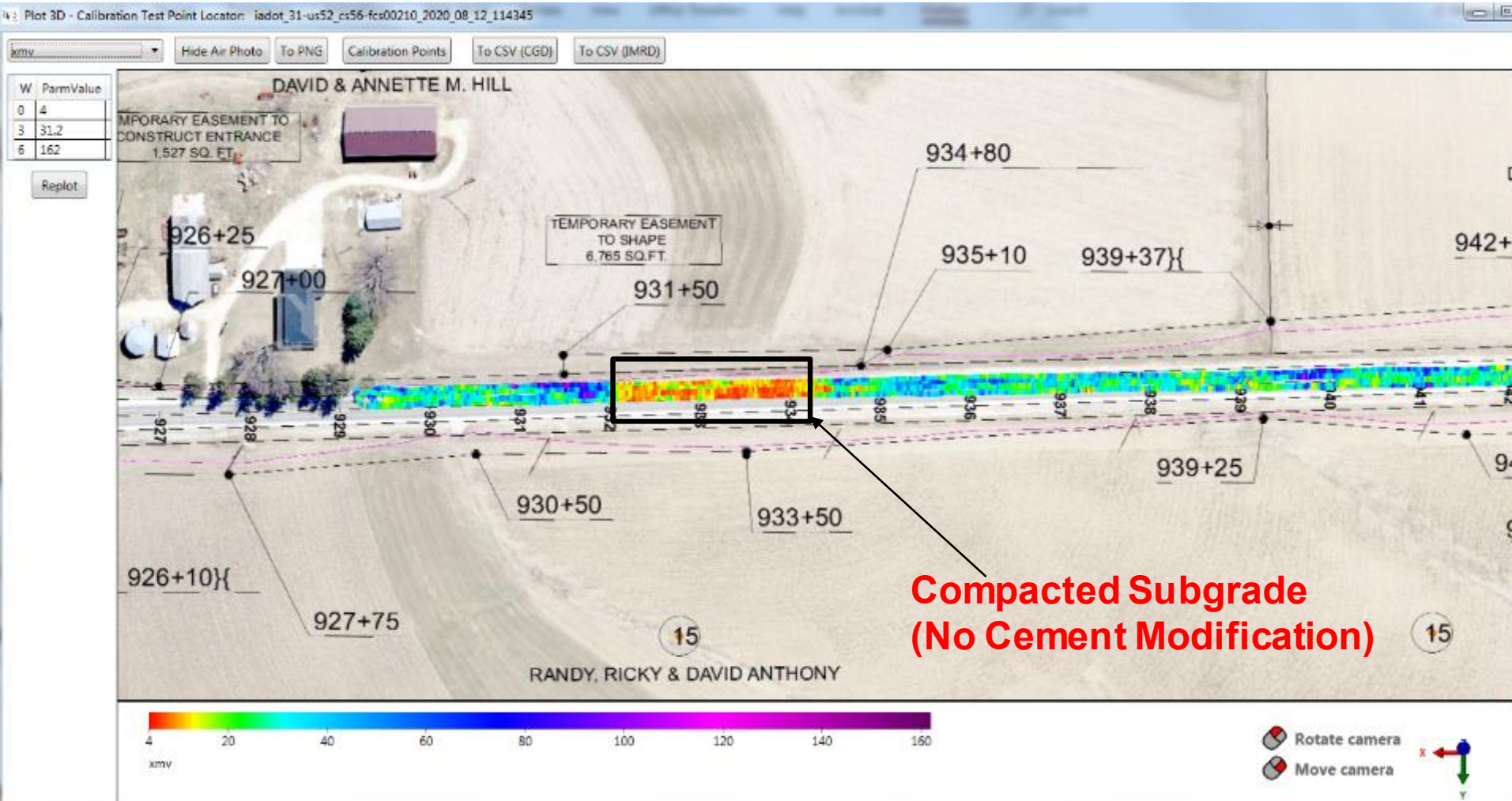


# Geospatial mapping technology is here and a game changer!





# Dubuque County, US52 (08/12/2020) – Cement Modified Subgrade



*Entire map area needed to be re-worked and remapped*

Plot - 3D - Blob Finder 3 - Legend: isowadet\_07-us020-72\_ca56b-pci01\_2021\_06\_11\_080208

k-value - delta - iscore   Hide Air Photo   Lower Bound   Upper Bound -75   Min. Area 1000   Poly Tol. 2    Color   Find Blobs V3   Fit To Data

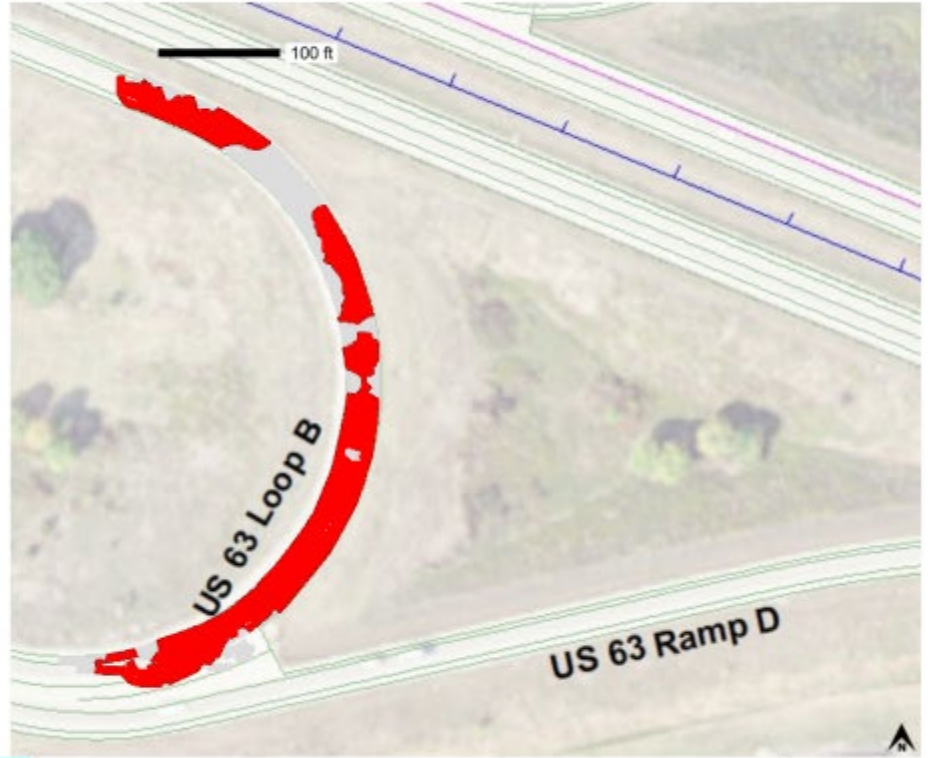
To PNG    Poly   To CSV   To json

Percent of Total Area  
72.84  
Total Blob Area  
15053

Clear Selection

#	area (ft^2)	#bp	#rbp	index	Avg.	Min.	Max.
1	10222	770	65	0	-78.66	-80	-75
2	2840	254	27	5	-78.07	-80	-75.01
3	1991	184	16	3	-78.13	-80	-75.01

blobs: k-value-delta-iscore

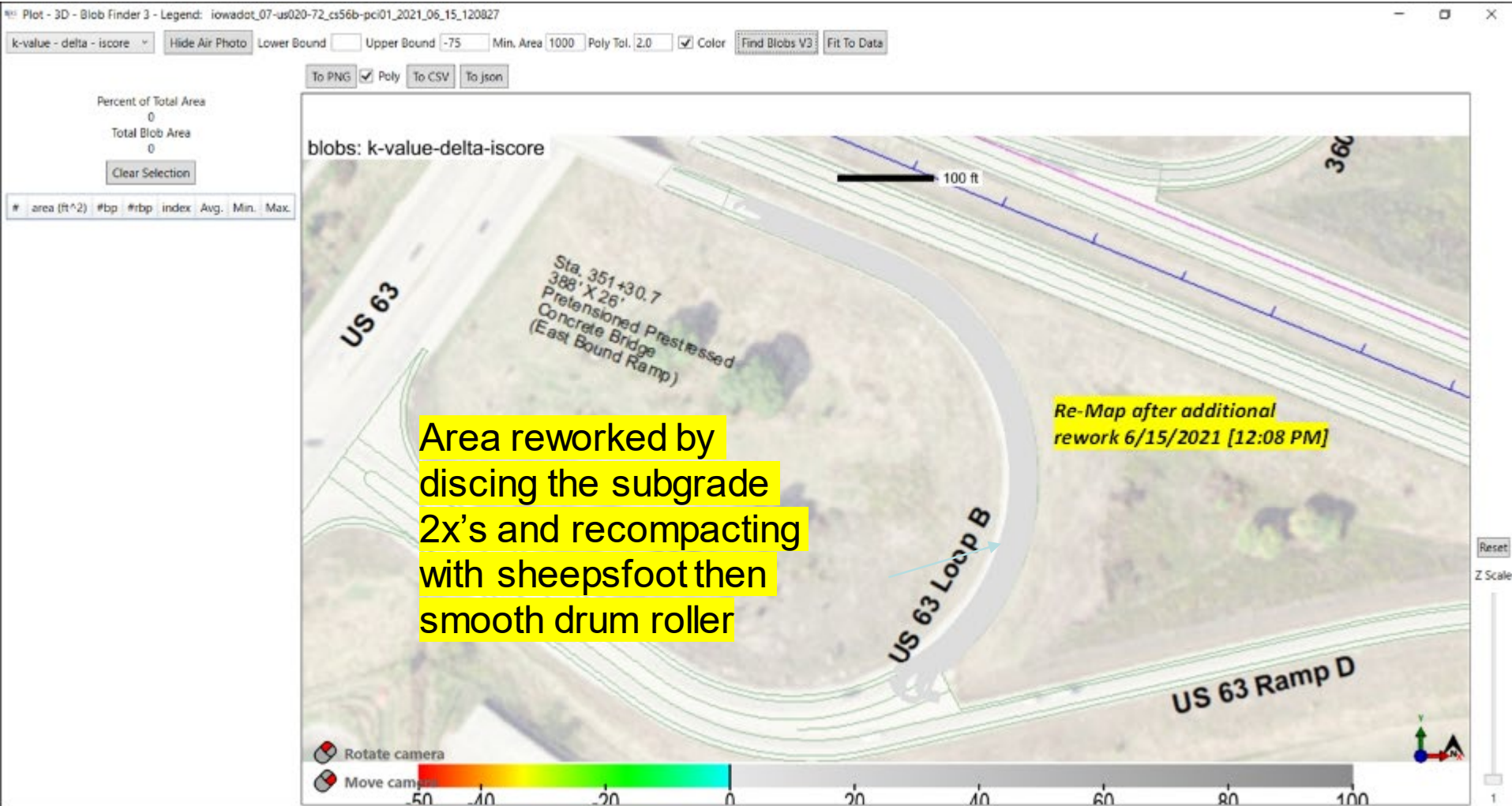


Rotate camera  
 Move camera

Reset

Z Scale

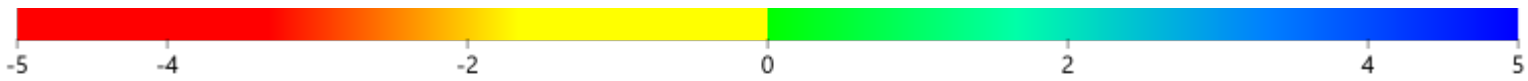
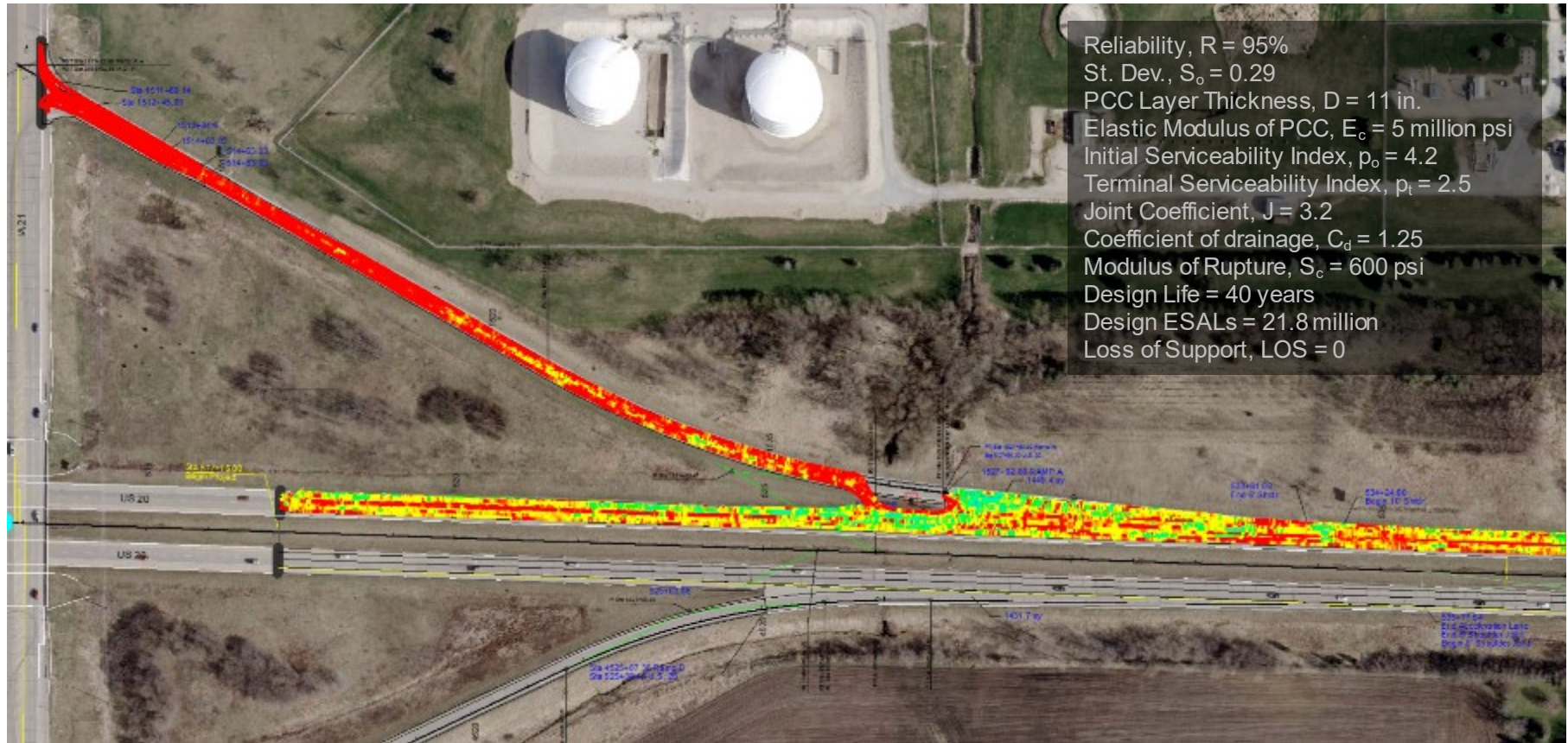
# Would this area have been reworked/improved with traditional spot testing?



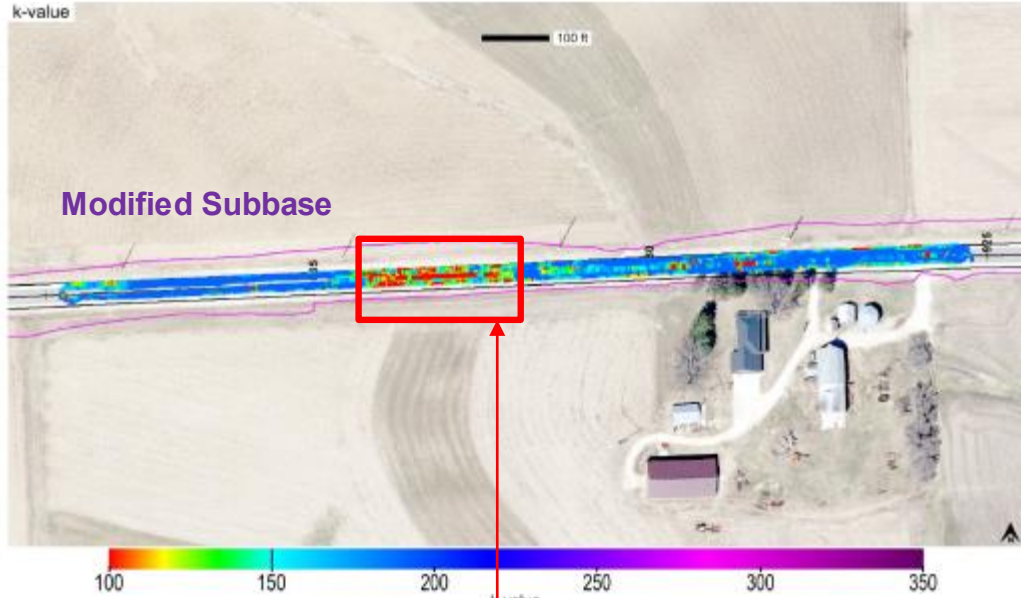


# Blackhawk County, US20 (09/05/2019) – Modified Subbase

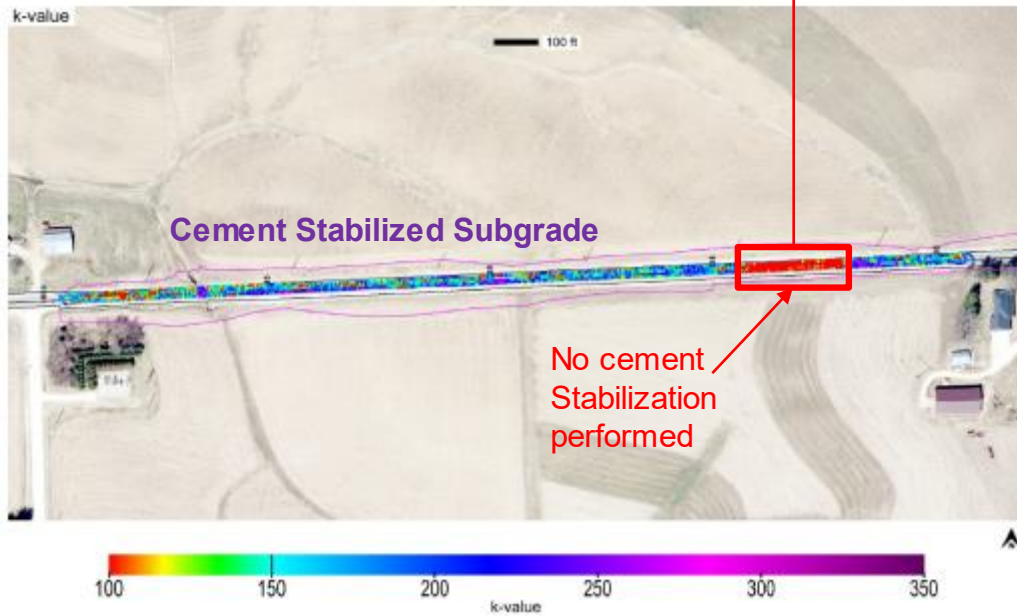
## Delta Design Life Map 1



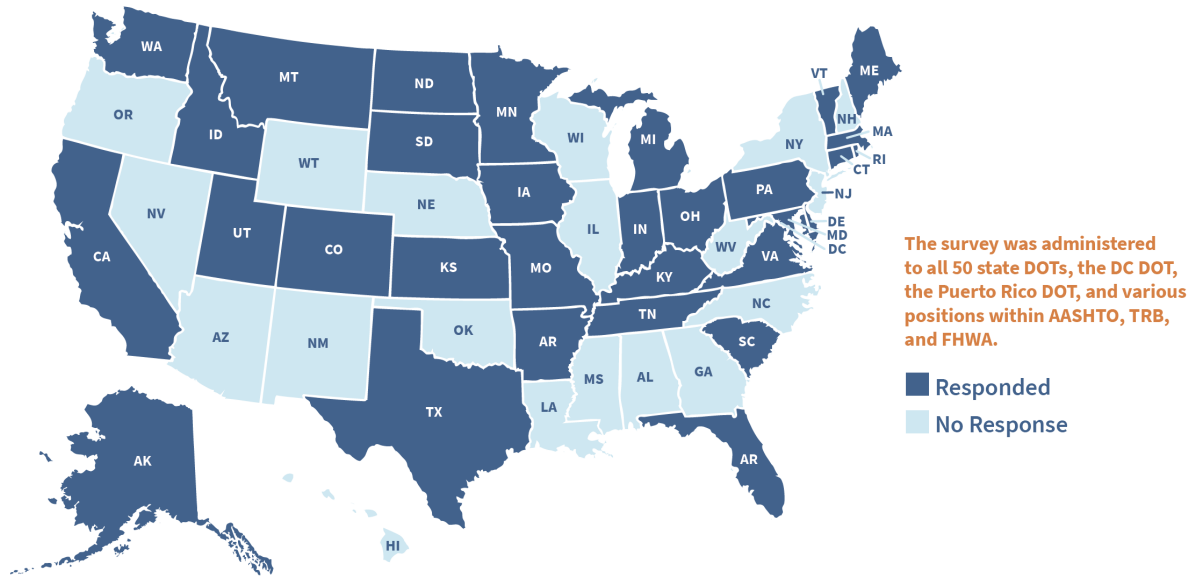
Design Life – delta (No LOS)



*“Weak” layers in the underlying subgrade reflect on the overlying subgrade.*



# National DOT Survey Findings | February 2021



## Of the 31 responding DOT agencies...

**97%** want more effective quality acceptance (QA) for pavement foundation construction.

**94%** want data reports to support field process control during foundation layer construction.

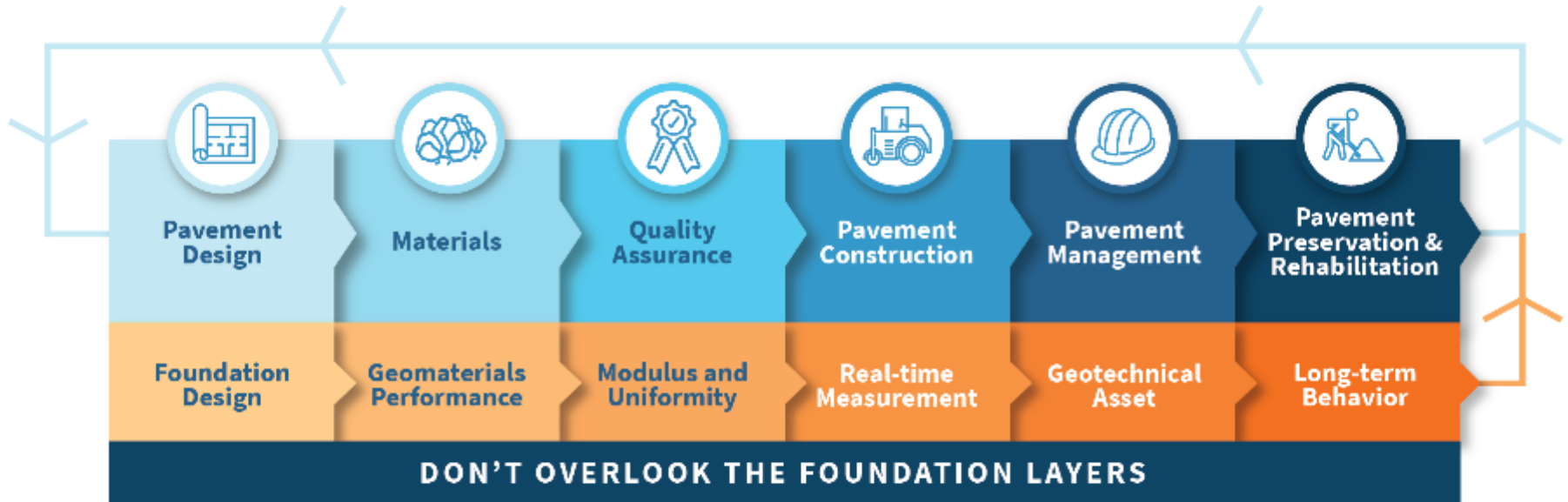
**94%** want to field verify the engineering properties used in pavement design of the various foundation layers.

**100%** are interested in learning more of Iowa DOT's AID implementation efforts to bring improved solutions to pavement foundation layers.

**97%** want real-time QA data to determine if design and specification requirements are being achieved.

**3%** Only 3% of DOT agencies have a quality acceptance parameter that directly measures pavement design requirements.

# Sustainable Pavement Systems



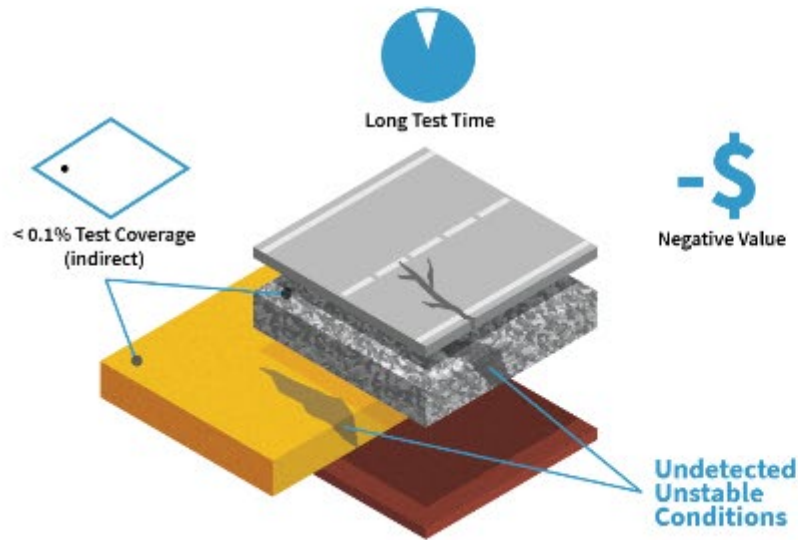
## Foundations are Critical to Performance *and* to Achieving Sustainable Pavements

The foundation layer is largely ignored in modern day road construction techniques. This results in shorter project life-spans and expensive maintenance and repair cycles in the millions of dollars. 95% of these defects can be detected and remediated in real-time during the initial construction process.

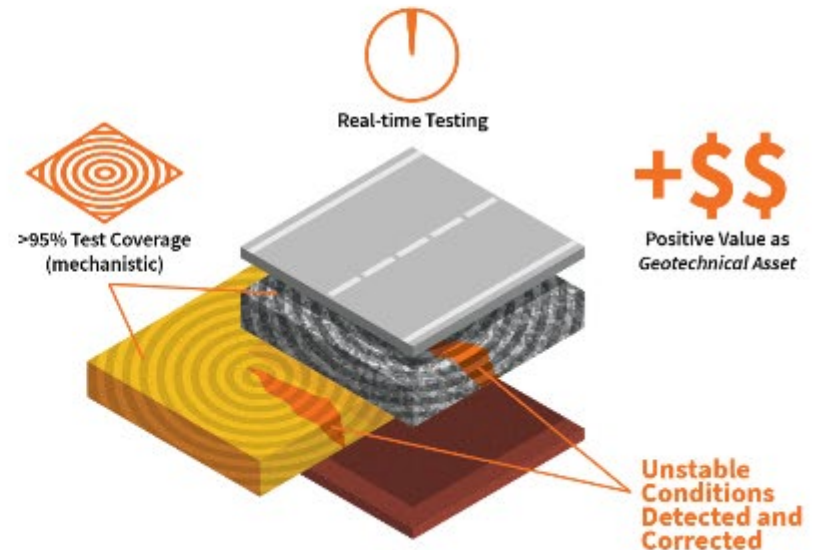


# Improved pavement foundations extend pavement life and decrease project costs over time.

**~40 year lifespan=**  
state of practice for pavement  
design life



**100+ year lifespan=**  
engineering requirement for  
sustainable pavement systems



**Thank you!**





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*Minnesota Department  
of Transportation*

# Other TRB events for you

- *August 25: Best Practices for Unsurfaced Road Evaluation and Rating*
- *August 26: Use and Design of Low-Density Cellular Concrete*

<https://www.nationalacademies.org/trb/events>

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- May provide a path to Standing Committee membership

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