

NATIONAL
ACADEMIES

Sciences
Engineering
Medicine

TRB TRANSPORTATION RESEARCH BOARD

TRB Webinar: Context-Based Classification in Roadway Planning and Design

September 7, 2023

2:00 – 3:30 PM



PDH Certification Information

1.5 Professional Development Hours (PDH) – see follow-up email

You must attend the entire webinar.

Questions? Contact Andie Pitchford at TRBwebinar@nas.edu

The Transportation Research Board has met the standards and requirements of the Registered Continuing Education Program. Credit earned on completion of this program will be reported to RCEP at RCEP.net. A certificate of completion will be issued to each participant. As such, it does not include content that may be deemed or construed to be an approval or endorsement by the RCEP.

ENGINEERING



REGISTERED CONTINUING EDUCATION PROGRAM

AICP Credit Information

1.5 American Institute of Certified Planners Certification Maintenance Credits

You must attend the entire webinar

Log into the American Planning Association website to claim your credits

Contact AICP, not TRB, with questions

Purpose Statement

This webinar will describe the experiences and lessons learned by various state transportation agencies that may be valuable to others who are seeking to implement context-based classification.

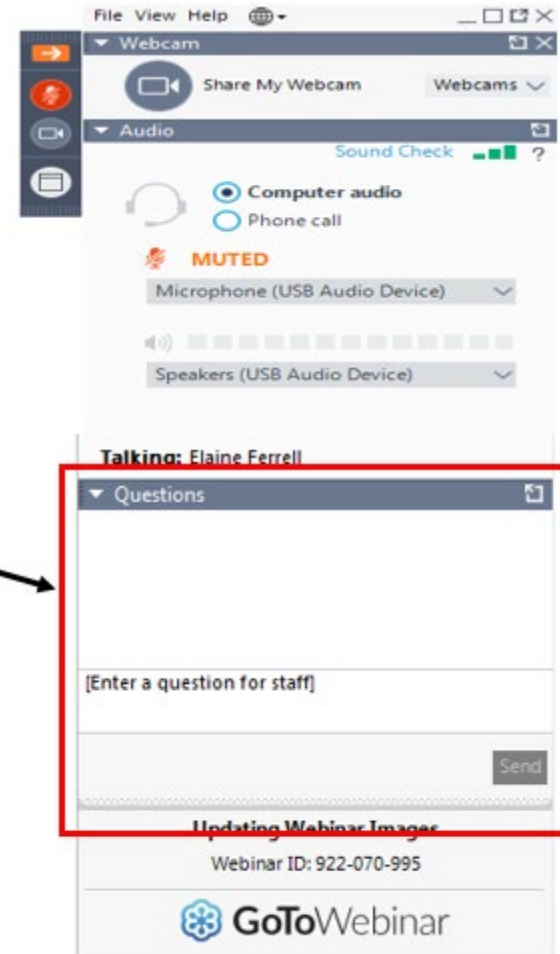
Learning Objectives

At the end of this webinar, you will be able to:

- Identify best practices for context-based classification of roadways
- Implement context-based classification within their own state

Questions and Answers

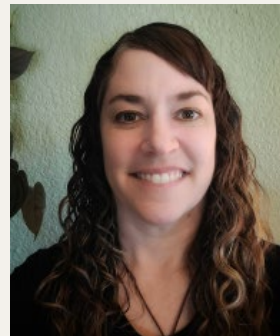
- Please type your questions into your webinar control panel
- We will read your questions out loud, and answer as many as time allows



Today's presenters



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NCHRP 20-68
“US Domestic Scan Program”

Domestic Scan 21-02

**“Leading Approaches to Implementing
Context-Based Classification of
Roadways in Planning and Design”**

Findings, Conclusions and Recommendations

Domestic Scan 21-02

Leading Approaches to Implementing Context-Based Classification of Roadways in Planning and Design

- This scan is being conducted as a part of NCHRP Project 20-68, the “U.S. Domestic Scan Program”
- The program was requested by the American Association of State Highway and Transportation Officials (AASHTO) Committee on Construction (SOC), with funding provided through the National Cooperative Highway Research Program (NCHRP)

NCHRP 20-68

U. S. Domestic Scan Program

- The Program is a multi year project conducting 3-4 scans per year.
- Each scan is selected by AASHTO and the NCHRP 20-68 Project Panel
- Each scan addresses a single technical topic of broad interest to many state departments of transportation and other agencies
- The purpose of each scan and of Project 20-68 as a whole is to accelerate beneficial innovation by:
 - facilitating information sharing and technology exchange among the states and other transportation agencies
 - identifying actionable items of common interest

NCHRP Panel's General Guidance to the Scan Team

Problem Statement

The AASHTO Green Book, Version 8 outlined context-based classifications, introducing a new set of land-use context classifications (i.e., rural, rural town, suburban, urban, and urban core). This creates a change in guidance for state transportation officials.

While it is necessary, this is a paradigm shift and is challenging for many agencies across the country and they would like more understanding regarding how to implement.

NCHRP Panel's General Guidance to the Scan Team

Scan Key Objectives

- Describe the experiences gained from leading states implementing context-based classification.
- Identify lessons learned that may be valuable to others who have not yet implemented context-based classification.

NCHRP Panel's General Guidance to the Scan Team

Additional Objectives

- Encourage a more uniform implementation of guidance across the country,
- Allow for a common language to develop nationwide,
- Promoting greater cooperation and sharing among practitioners,
- Provide information for the AASHTO Committee on Design to consider in the development of the next version of the Green Book.

Scan Team

Vaughn Nelson ---- Chair
Statewide Design Engineer
Utah Department of Transportation

Angelo Papastamos
Transportation Planning Manager
Utah Department of Transportation

Brad P. Foley
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James Kelley, P.E.
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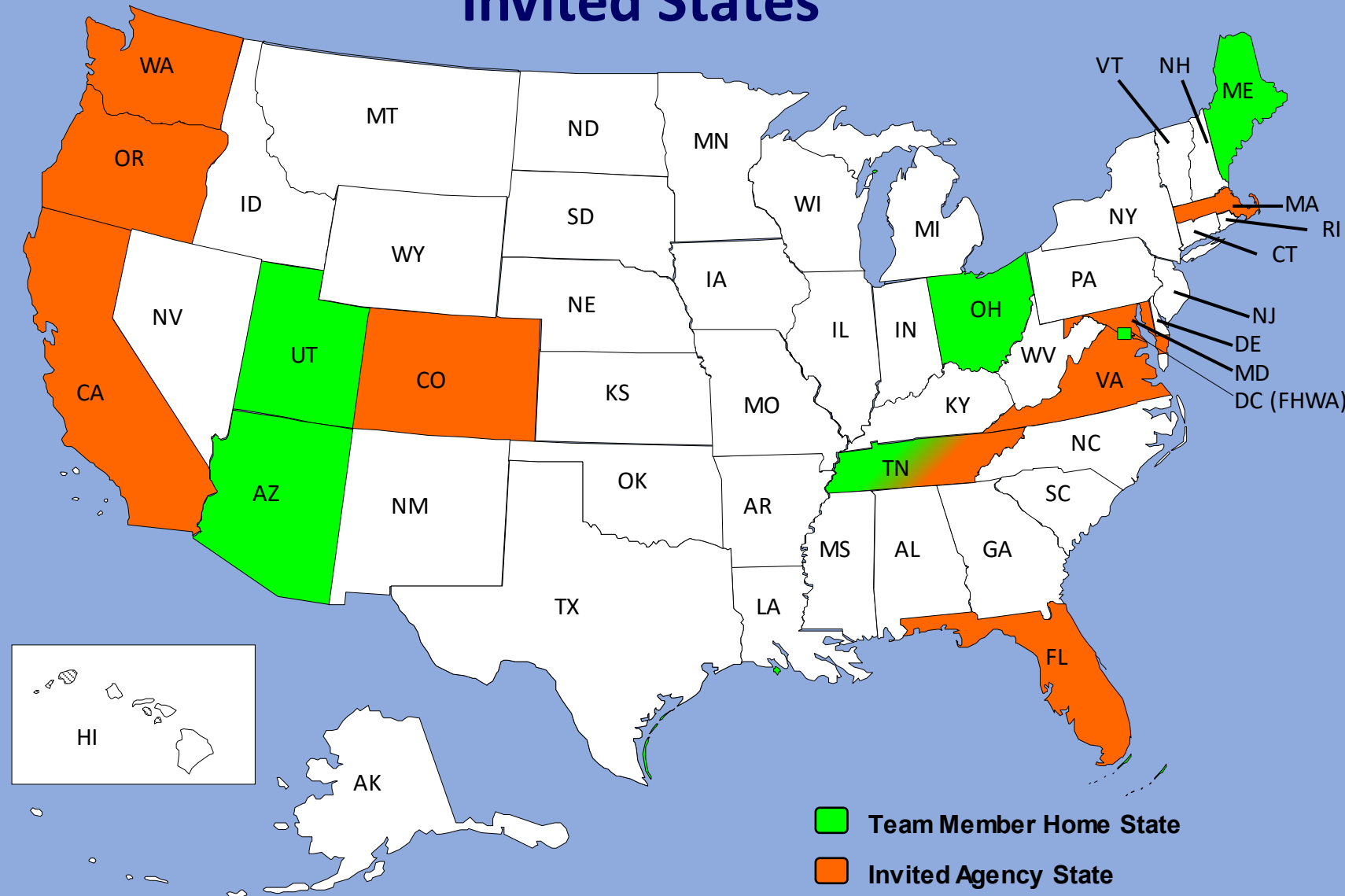
David L. Holstein
Retired Deputy Director
Ohio Department of Transportation

Michael J. DenBleyker, P.E.
Assistant State Engineer
Roadway Engineering Group
Arizona Department of Transportation

Elizabeth Hilton
Geometric Design Program Manager
Office of Infrastructure
Federal Highway Administration

Kim Clark – Subject Matter Expert
VIA Consulting

Domestic scan 21-02 Team Members' Home States and Invited States



Summary of Initial Findings

- Determining Context

- Strong leadership and direction set the tone for the success
- Multi-disciplined teams are necessary to ensure goals and outcomes are met.
- Inclusive collaboration assists in defining policies and projects that meet the needs of all users.
- AASHTO Green Book provides a starting point for classifying contexts

Summary of Initial Findings

- Developing a Context Framework
 - Context Classifications is a paradigm shift requiring effort and direction
 - Context classifications are best incorporated with an easily communicated process
 - Setting flexible design criteria for all users assists in the achieving desired outcomes
 - Flexibility needs to be encouraged for design and definition of standards

Summary of Initial Findings

- Implementing Context Classifications
 - Developing a consistent planning/design documentation process is better than set standards
 - Training and easy to use tools are needed to address major change and improve implementation

Recommendations

- Define Context Classifications
 - Work with leadership to gain high level support
 - Gather data that assists with defining the context
 - Collaborate with internal and external stakeholders
 - Define context classifications to meet state context and easily connect to AASHTO and meet the needs of the area.

Conclusions

- A "one size fits all" is difficult to define for implementation of context classification. Many agencies apply similar foundational philosophies.
- The findings of this domestic scan provide a wide range of actions that are working for those states that have implemented context classifications
- Very specific standards and actions have not been defined
- Most efforts are focused on taking a foundational approach and designing a process that works for the organizational context.

Recommendations

- Develop a Framework
 - Develop a process in a few different ways
 - Change current processes to make change easier
 - Create a bridging document
 - Develop a new process with a multi-disciplined team
 - Support viewing standards in a flexible way

Recommendations

- Implement Process for Context Classifications
 - Focus on documenting decisions
 - Develop training programs that will
 - Outline the contexts
 - Support engineering judgement,
 - Set expectations
 - Encourage collaboration.
 - Create tools to support change

Recommendations

- Additional National Studies and Recommendations
 - Need recommendations for defining and implementing design speed, posted speed, and target speed
 - Approach to slowing cars down
 - Recommend widths for lower speed including lane width and shoulder width

**Further information on this scan and the
NCHRP 20-68 “U.S. Domestic Scan Program”
is available at:**

<https://apps.trb.org/cmsfeed/TRBNetProjectDisplay.asp?ProjectID=1570>

Or

<http://www.domesticscan.org/>

Questions?



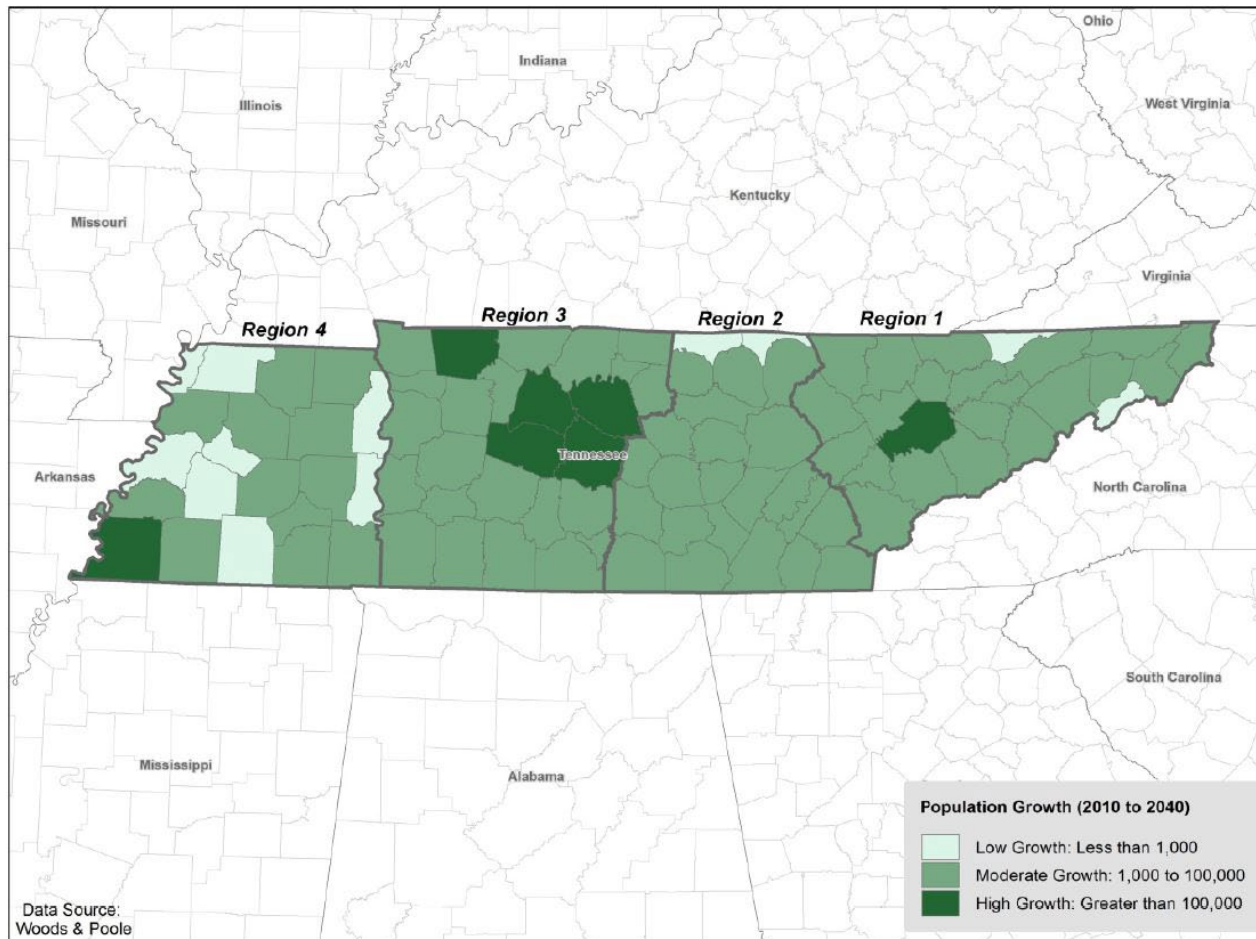
Context-Based Classification in Roadway Planning and Design

Using the scan findings as a guide to implementation in Tennessee

Using the scan findings as a guide to implementation in Tennessee

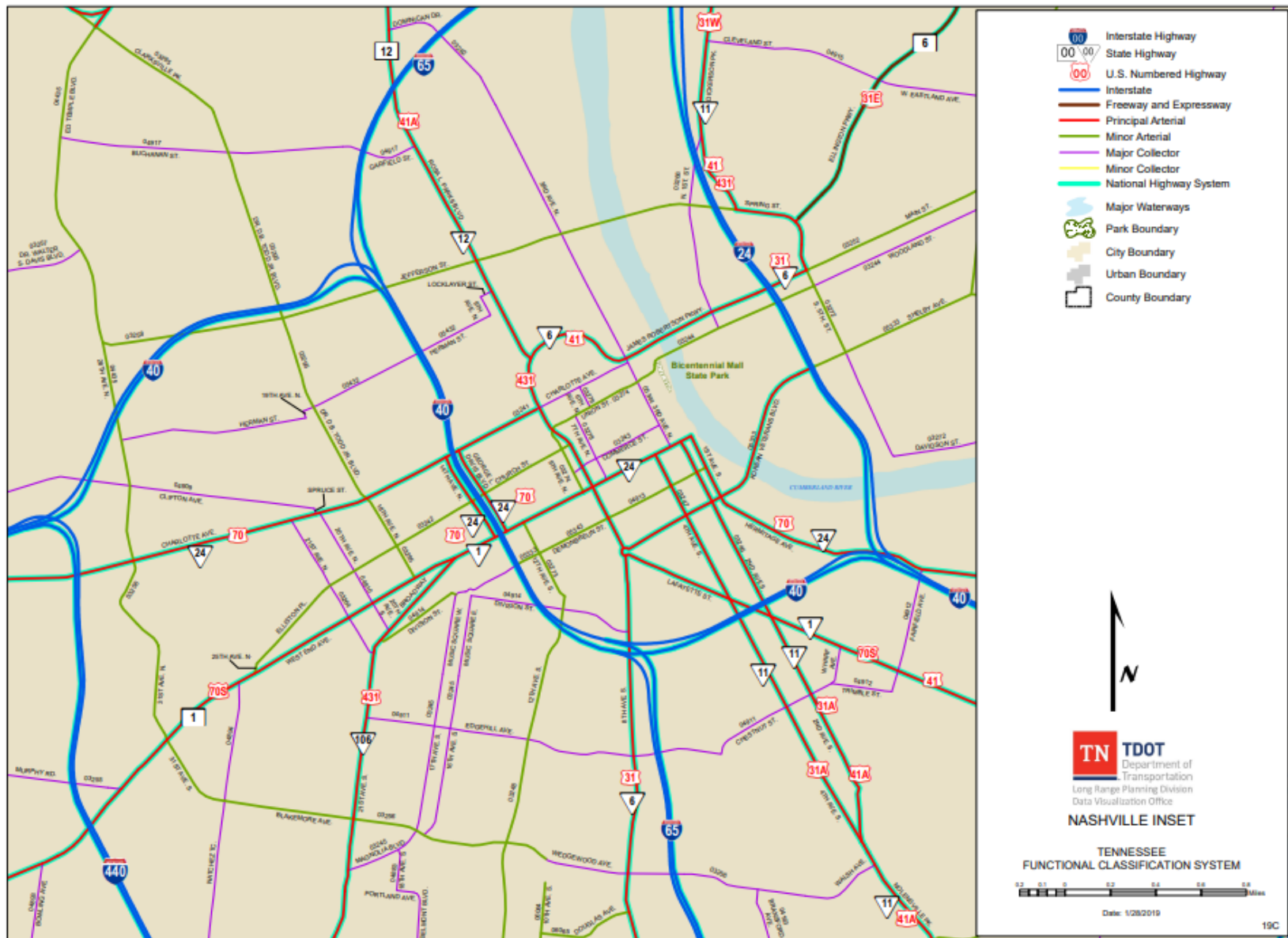
- WHY: Changing demographics, Safety, User Needs
- TDOT implementation time line: Pass, Present, Future
- Critical Elements of Implementation

WHY- Changing Demographics



By 2040, TN population expected to add over 2.1 million people. Over 70% of growth will occur in existing urban counties.

Functional Classification



GHSA projects 7,508 pedestrians were killed in traffic crashes in 2022, the highest number of pedestrian deaths since 1981.

[illegible]

SAFETY- INCREASE IN PEDESTRIAN FATALITIES

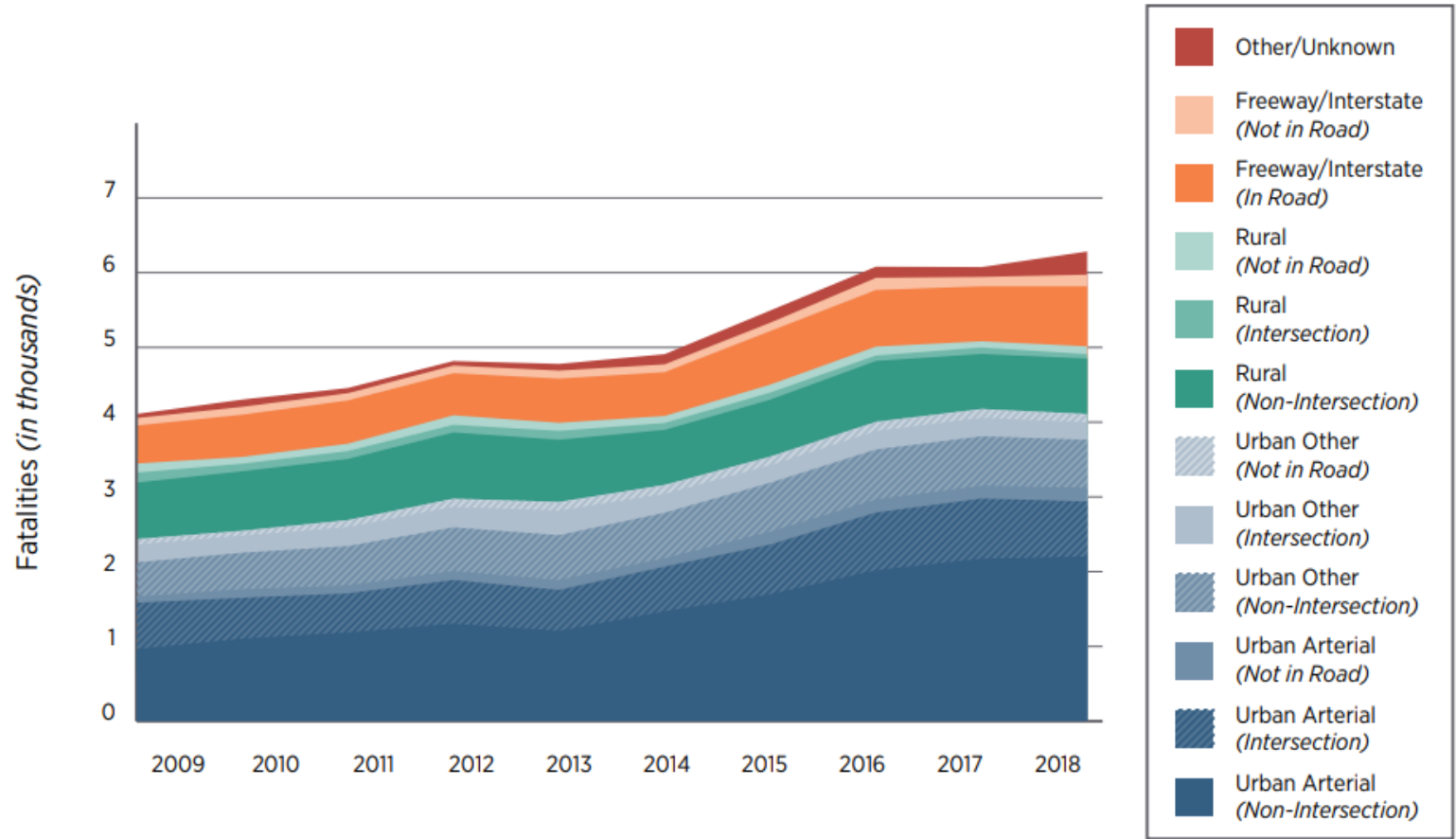
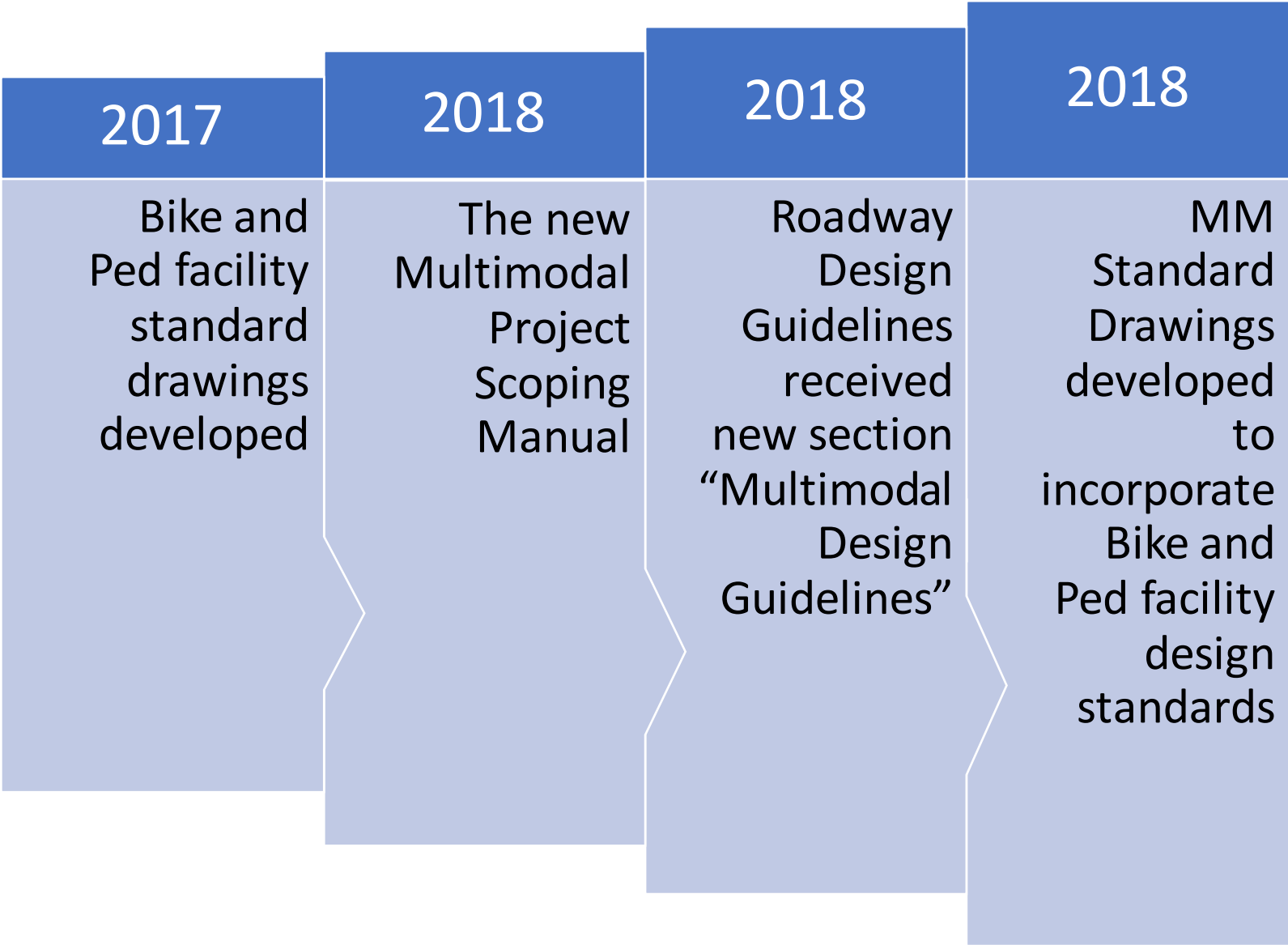


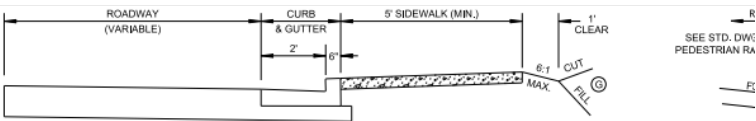
Figure 3. Pedestrian Pre-Crash Location on Roadway and Roadway Function Class, Fatally Injured Pedestrians, United States, 2009-2018.

Other=collectors & local streets. Rural=all rural roads except Interstate highways.
 Crosswalks at non-intersection locations are grouped with intersections.

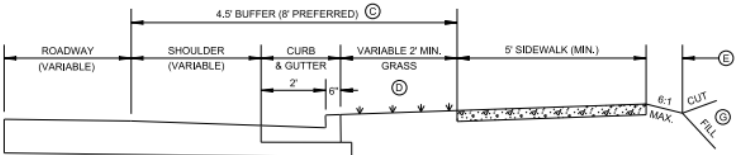
BEFORE Domestic Scan 21-02



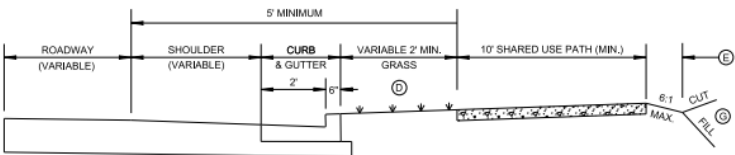
SIDEWALK AND SHARED USE PATH BUFFER REQUIREMENTS



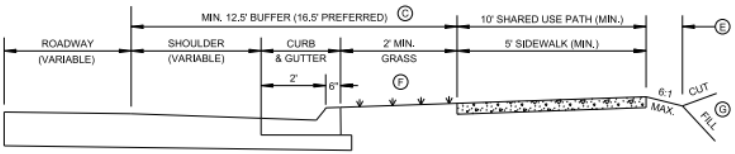
SIDEWALK ADJACENT TO CURB & GUTTER
POSTED SPEEDS ≤ 35 MPH (H)



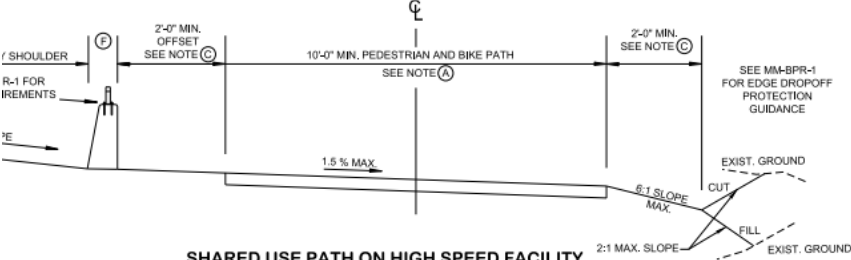
SIDEWALK W/ GRASS STRIP BEHIND CURB & GUTTER
POSTED SPEED ≤ 40 MPH (H)



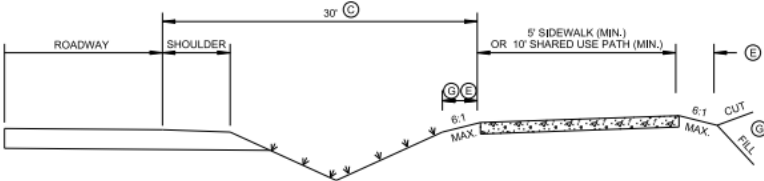
SHARED USE PATH W/ GRASS STRIP BEHIND CURB & GUTTER
POSTED SPEEDS ≤ 40 MPH (H)



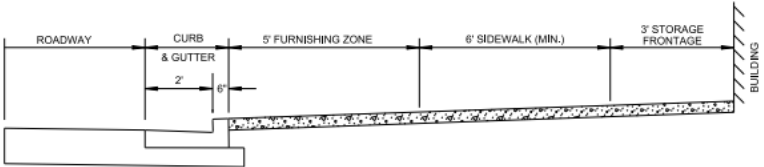
SIDEWALK OR SHARED USE PATH W/ GRASS STRIP BEHIND CURB & GUTTER
POSTED SPEEDS 45 TO 55 MPH (H)



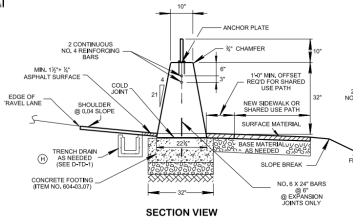
SHARED USE PATH ON HIGH SPEED FACILITY
WHEN MINIMUM BUFFER (12.5 FT) COULD NOT BE MAINTAINED
USE POSITIVE PROTECTION (K)



SIDEWALK OR SHARED USE PATH ON HIGH-SPEED FACILITY
RURAL HIGH SPEED ROADWAYS



SIDEWALK IN CENTRAL BUSINESS DISTRICT/COMMERCIAL AREA
POSTED SPEEDS ≤ 35 MPH (DESIGN SPEEDS ≤ 40 MPH)



TYPICAL CROSS-SECTION (K)
HIGH SPEED TRAVEL LANE (SPEED > 45 MPH)
BARRIER PLACEMENT TO PROTECT
NONMOTORIZED USERS

BIKE ACCOMMODATION DESIGN AND BIKE LANE BUFFER REQUIREMENTS (URBAN)

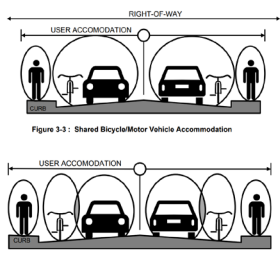
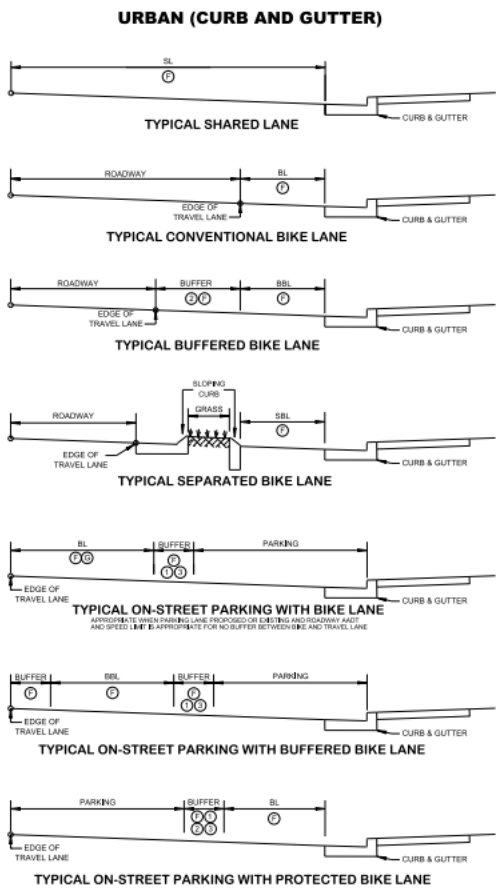
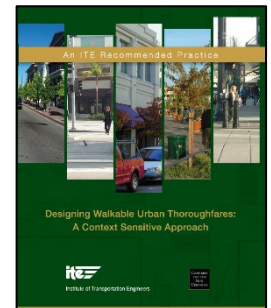
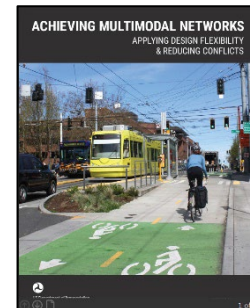
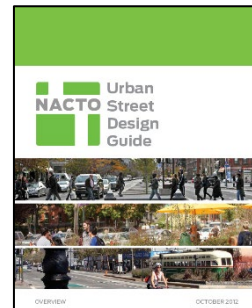
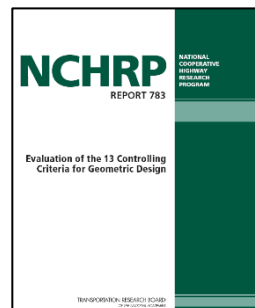
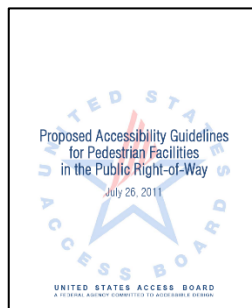


TABLE 1 (C)				
MINIMUM BICYCLE FACILITY GUIDANCE FOR URBAN (CURB AND GUTTER) CROSS SECTIONS				
ADT		< 2000	2,000 - 20,000	> 20,000
POSTED SPEED LIMITS (D)	≤ 35 MPH	SL	BL (4FT)	BL (4FT)
	40 MPH	BL (5 FT)	BL (5 FT) or BBL (4 FT)	BBL (4 FT) or SBL (5 FT)
	45 -55 MPH	BBL (4 FT) or SBL (4 FT)	BBL (4 FT) or SBL (4 FT)	BBL (4 FT) or SBL (4 FT)
	> 55 MPH	SBL	SBL	SBL
SL = SHARED LANE BBL = BUFFERED BIKE LANE SBL = SEPARATED BIKE LANE BL = CONVENTIONAL BIKE LANE				

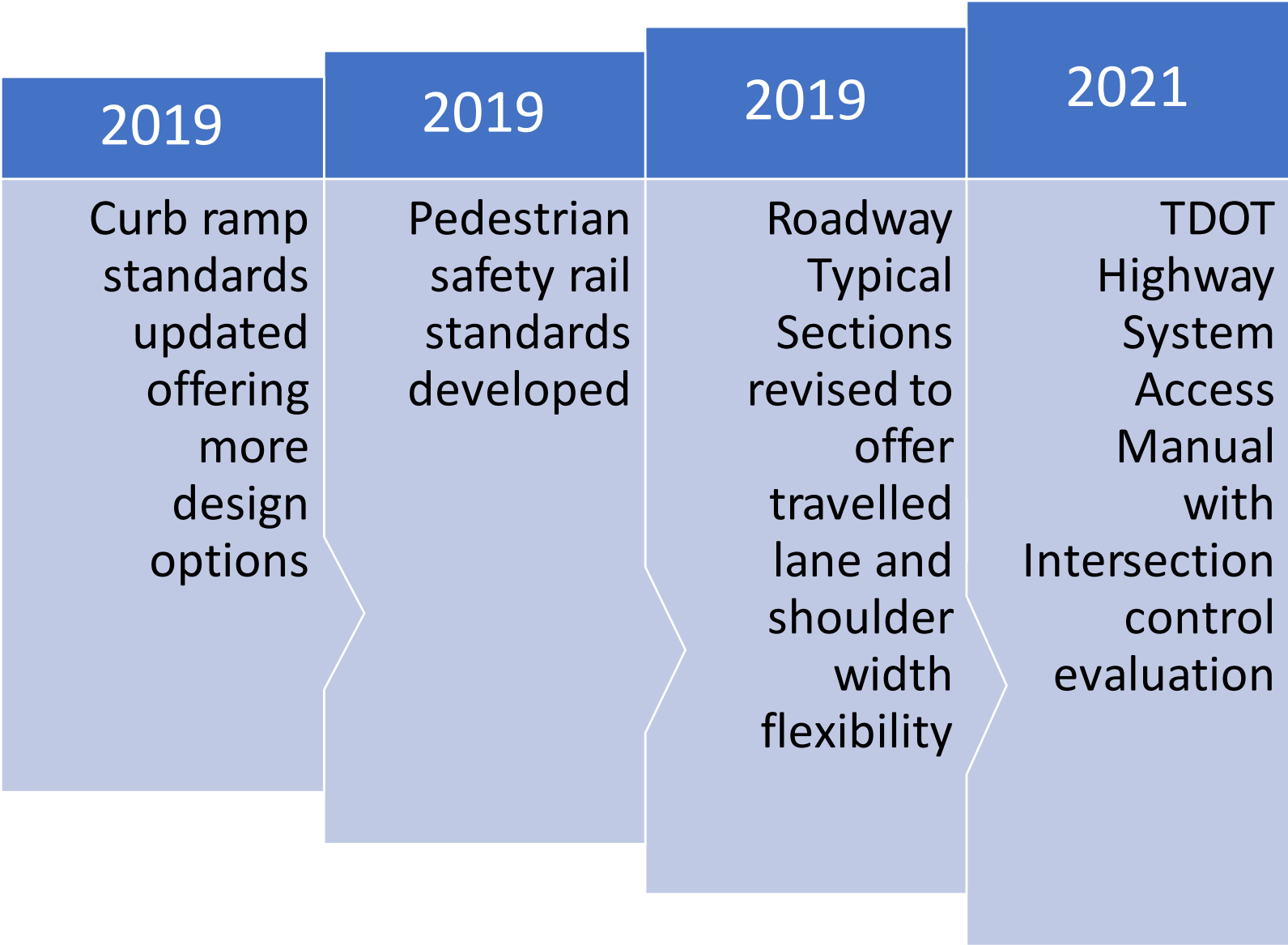
TABLE 3 (C)				
MINIMUM BIKE LANE BUFFER REQUIREMENTS				
ADT		< 2000	2,000 - 20,000	> 20,000
POSTED SPEED LIMITS (D)	40 MPH	2 FT	2 FT	3 FT
	45 -55 MPH	3 FT	3 FT	3 FT
	> 55 MPH	SEPERATED BIKE LANE REQUIRED		

Multimodal Project Scoping Manual

- 160 Pages of national best practices
- Over 40 source documents
- Guidance from US Access Board, FHWA, AASHTO, NACTO, NCHRP, ITE, US EPA, internal TDOT sources, and other state and city DOTs
- Target audience is those involved in project initiation and scoping



BEFORE Domestic Scan 21-02



URBAN COLLECTOR

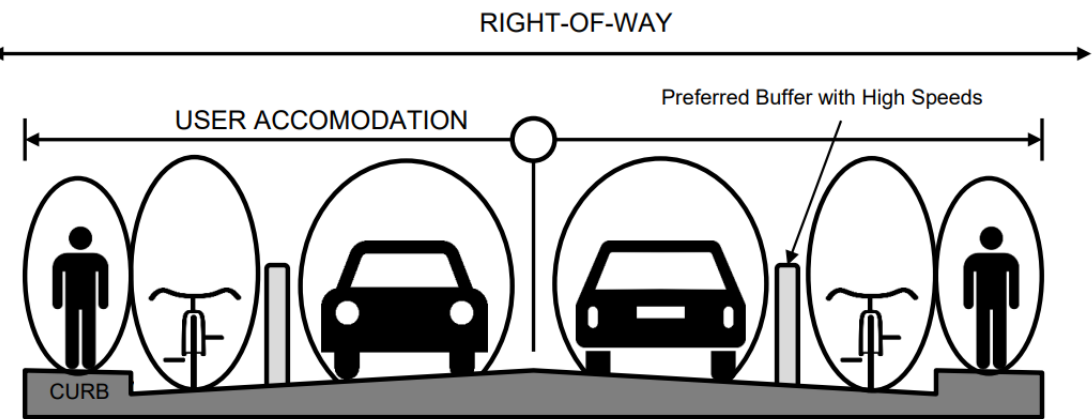
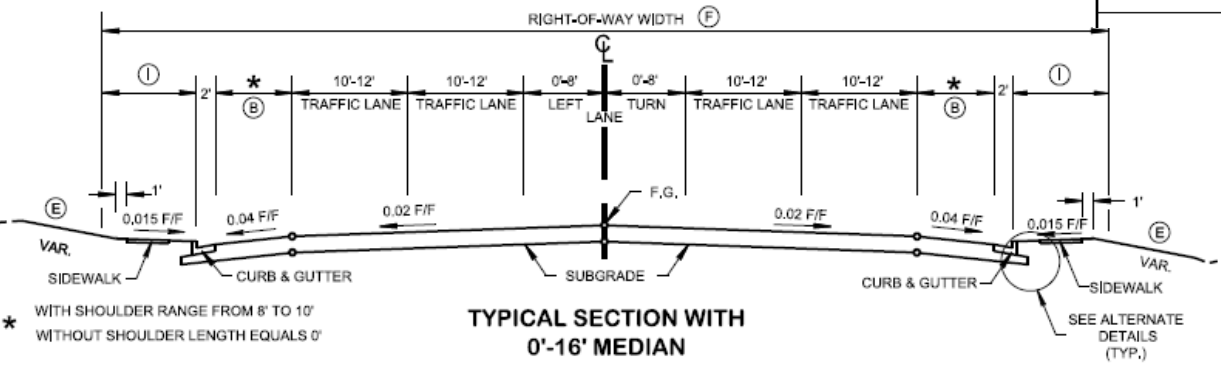


Figure 3-1: Separate Accommodation for All Users

TABLE II COLLECTOR URBAN STREETS - DESIGN STANDARDS									(K)
DESIGN STANDARDS (FOR GIVEN DESIGN SPEED)		DESIGN SPEEDS (MPH)							
		20	25	30	35	40	45	50	
MINIMUM WIDTH OF TRAVELED WAY IN (FT.) (SEE PAGE 6-16) (C) (M) (N) (D)		22	22	24	24	24	24	24	SEE P
MAXIMUM GRADES % FOR URBAN AND URBAN CORE	LEVEL TERRAIN	9	9	9	9	9	6	6	SEE P
	ROLLING TERRAIN	12	10	9	9	8	8	7	
	MOUNTAINOUS TERRAIN	14	11	10	10	10	10	9	
MINIMUM STOPPING SIGHT DISTANCE (FT.)		115	155	200	250	305	360	425	SEE P
MINIMUM "K" VALUE	CREST VERTICAL CURVE	7	12	19	29	44	61	84	
	SAG VERTICAL CURVE	17	26	37	49	64	79	96	
DESIGN PASSING SIGHT DISTANCE (FT.)		400	450	500	550	600	700	800	SEE P
MINIMUM "K" VALUE	PASSING SIGHT DISTANCE FOR CREST VERTICAL CURVE	57	72	89	108	129	175	229	

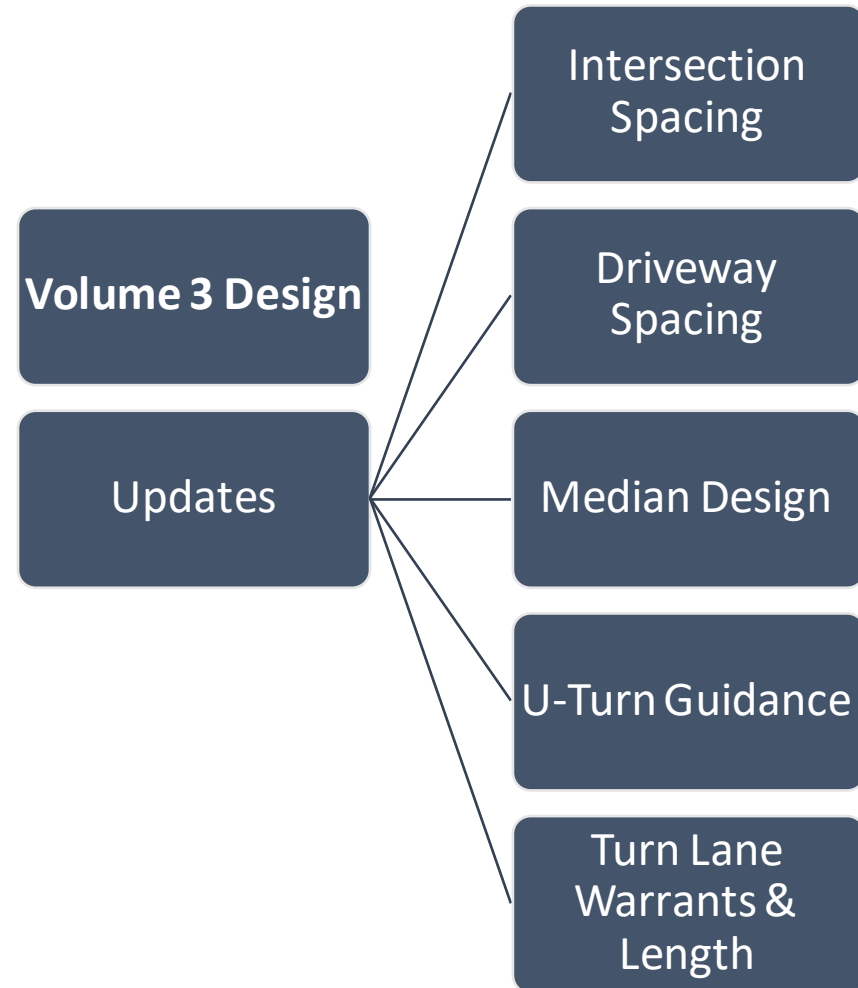
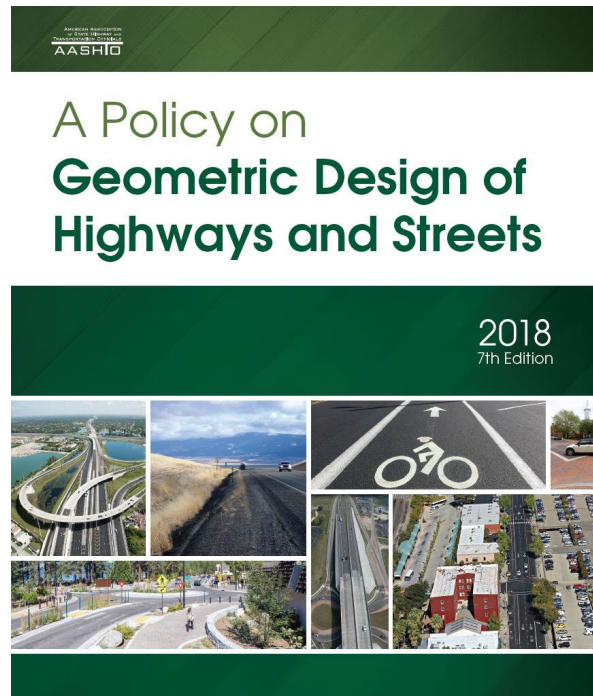
FOR SUPERELEVATION SEE STANDARD DRAWINGS RD11-SE SERIES



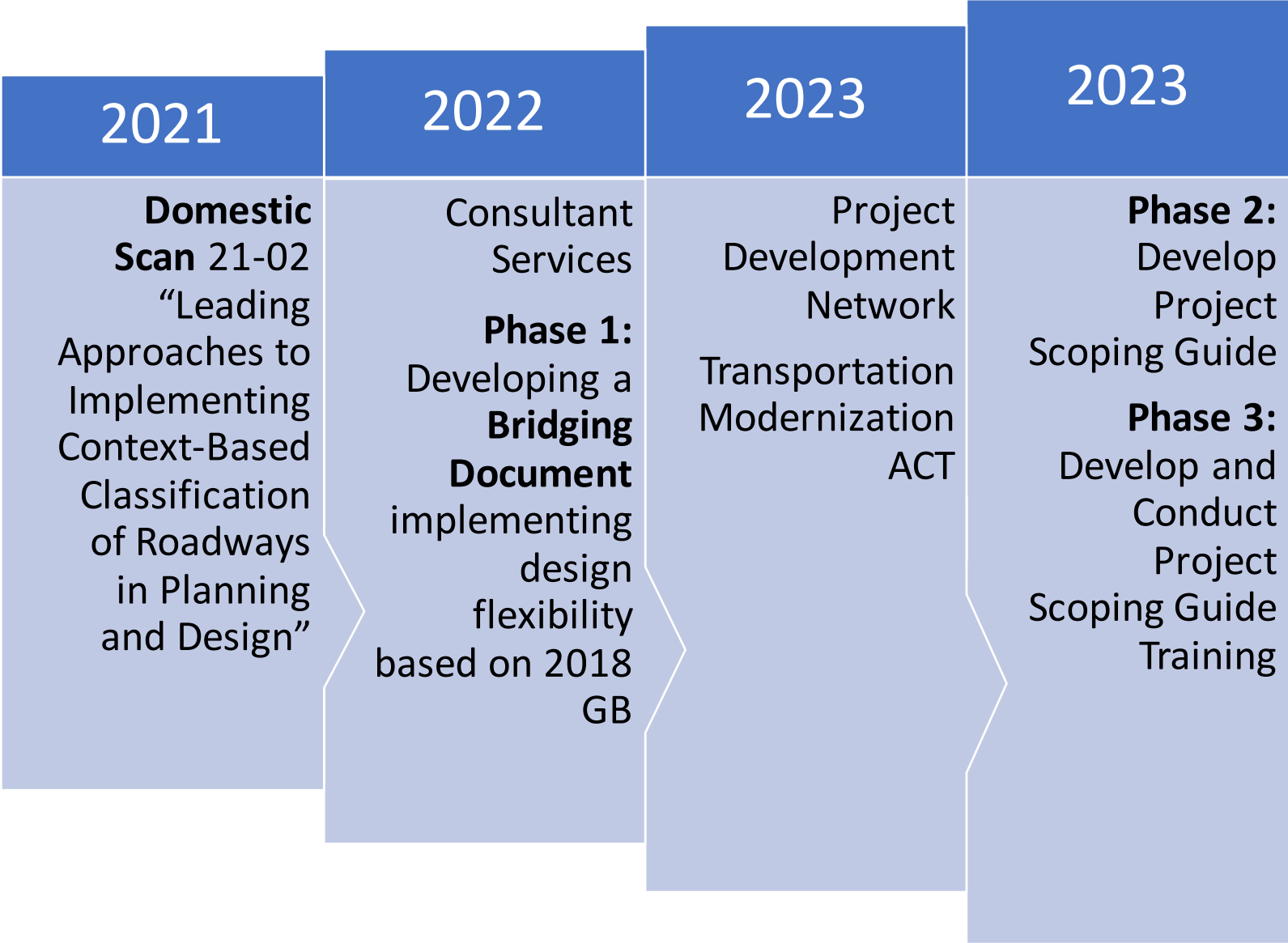
Access Management – Land Use Connection

HSAM Volume 3 Design

- Updates to TDOT's Design Criteria
- Coordination with new 2018 AASHTO "Greenbook"
- Implement some elements of TRB's Access Management Manual 2nd Edition

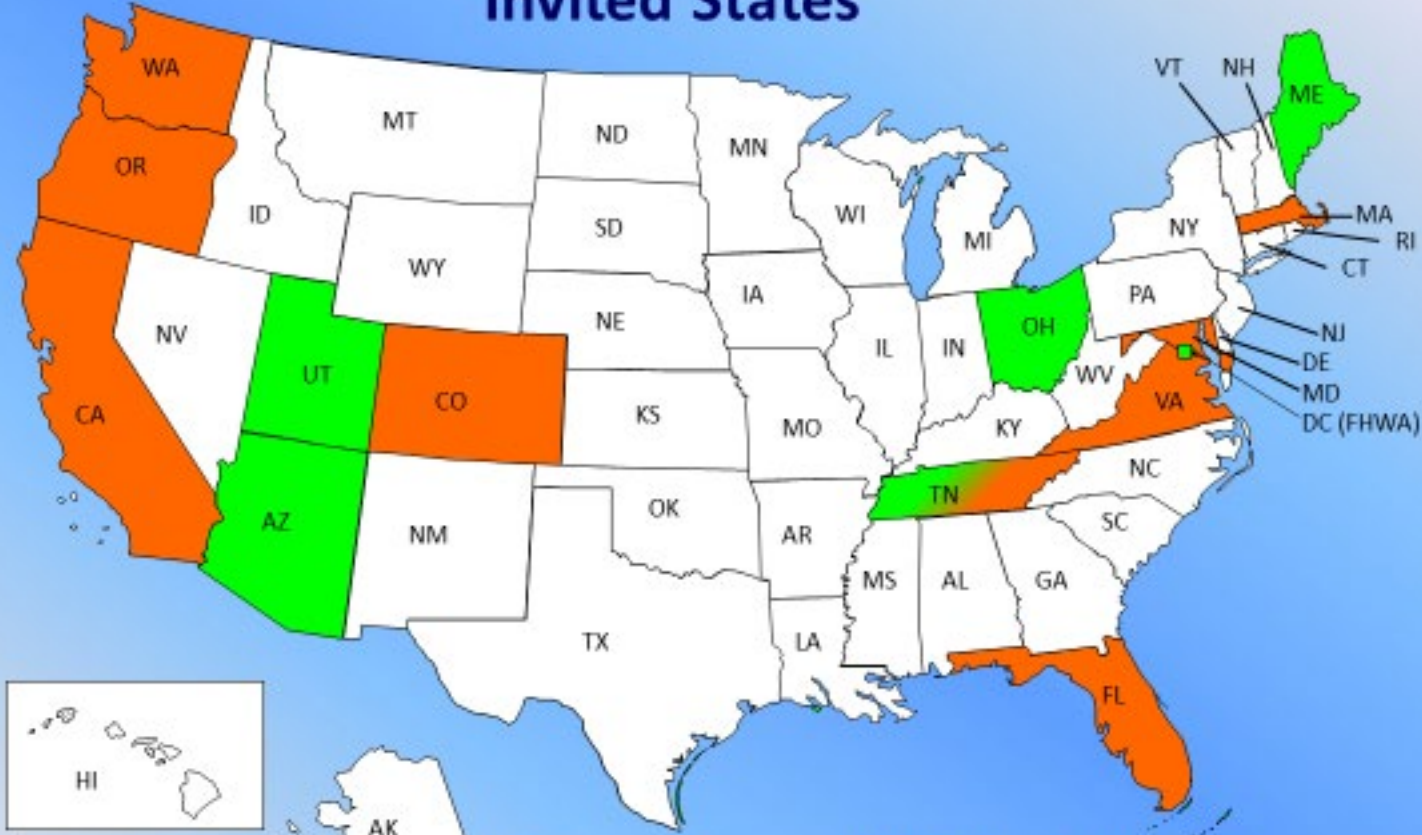


AFTER Domestic Scan 21-02



Domestic Scan 21-02

Domestic scan 21-02 Team Members' Home States and Invited States



Domestic Scan 21-02 Findings

- **“Leading Approaches to Implementing Context-Based Classification of Roadways in Planning and Design”**
- Developing a consistent planning/design documentation process is better than set standards
- Multi-disciplined teams are necessary to ensure goals and outcomes are met.
- The findings of this domestic scan provide a wide range of actions that are working for those states that have implemented context classifications
- Context Classifications is a paradigm shift requiring effort and direction

Domestic Scan 21-02 Recommendations

- Develop a new process with a multi-disciplined team
- Create a **bridging document**
- Outline information to be documented in a consistent form
- Support viewing standards in a flexible way
- Create tools to support change

Transportation Modernization Act

Transportation Modernization Act: What's Next?

The Transportation Modernization Act fundamentally changes how TDOT delivers projects and establishes a sustainable revenue source for the future.

Public-Private Partnerships (P3)



Partner with private sector to build **NEW** lanes on congested, urban highways



Frees up state funds for more projects in rural communities

Expand Alternative Delivery



70% faster delivery*



\$22 million cost savings*

Electric Vehicle (EV) Parity



Create parity between combustion engine vehicles and EVs



Allows TDOT to keep pace building and maintaining roads

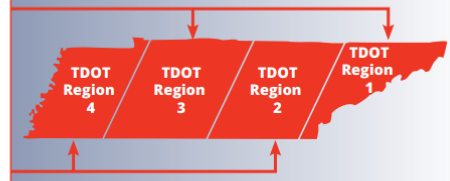
*TDOT's current alternative delivery program results

Transportation Modernization Fund

\$3 Billion Total
Allocated to State
Transportation
Projects

\$750 Million for EACH Region

- IMPROVE Act Acceleration
- Rural Interstate Widening
- Major Urban Congestion Projects
- Statewide Partnership Program Projects
- Safety and State of Good Repair Acceleration
- Economic Development Projects



Additional \$300 Million to the State Aid Program

15x
The amount
of funding
counties
receive in a
given year

Allows for greater
planning of large,
complex projects

Project Delivery Network (PDN)

Project Delivery Network (PDN)

Version 2.1 | May 2023

Integrated Program Delivery (IPD)

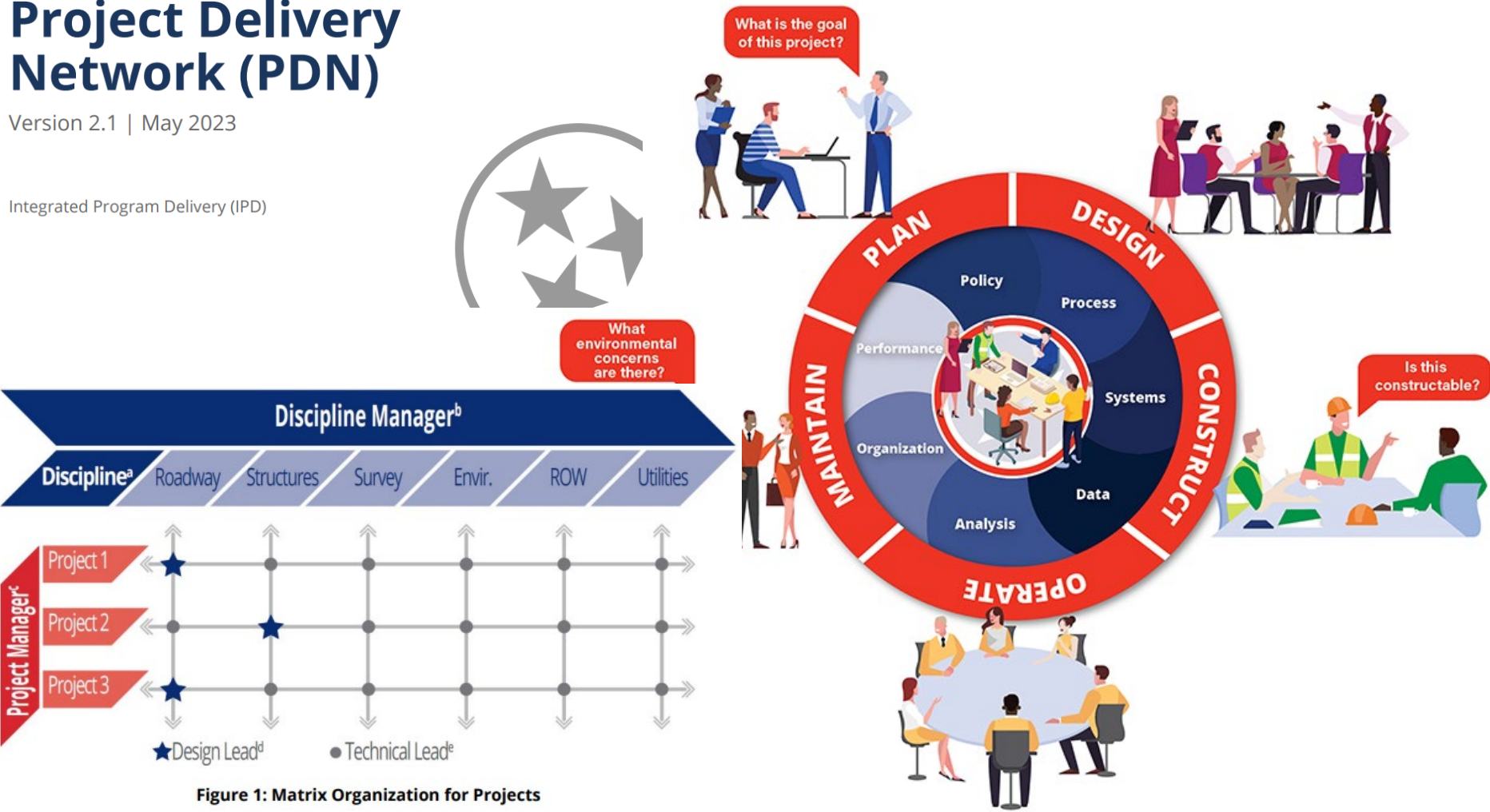
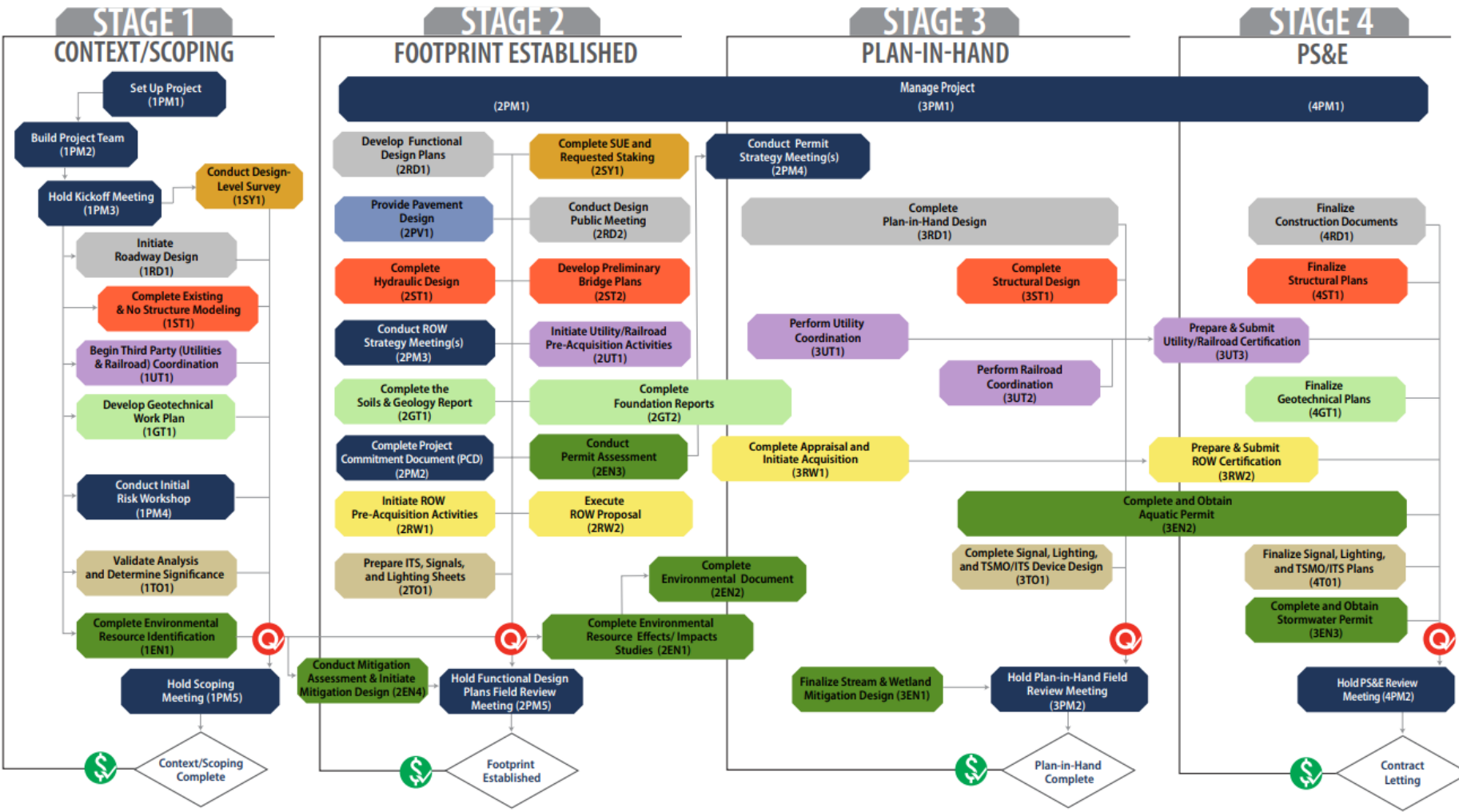


Figure 1: Matrix Organization for Projects

TDOT Project Delivery Network



DISCIPLINE LEGEND

ENVIRON	PAVEMENT	GEOTECH	ROADWAY	PROJECT MGT	ROW	STRUCTURES	SURVEY	TRAFFIC OPS	UTILITY	QUALITY CHECK	COST ESTIMATE CHECK
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TDOT Implementation PHASE 1

- Scope of Work for Developing a Bridging Document implementing design flexibility based on 2018 GB
- Establish Technical Working Group
- Review of Existing TDOT Documentation and Identify internal and external stakeholders conduct surveys.
- Identify White Paper Topics that support the development of the Bridging Document
- Bridging Document Annotated Outline

TDOT Implementation PHASE 1 (Completed)

- Developed Annotated Outline for “Project Scoping Guide” and five White Papers.

White Paper Topics:

- Identifying Context Classification
- Design Criteria for Each Context Classification
- Target Speed
- Performance-Based Design and Example Scenario
- Review of Roadway Standard Drawings

TDOT Implementation- PHASE 2-Current

Bridging Document → Project Scoping Guide

TDOT Implementation- PHASE 2-Current

- Project Scoping Guide Outline

1.0 Introduction and Overview

- 1.1 Purpose of the Guide.....
- 1.2 How to Use the Guide.....
- 1.3 TDOT Project Development Process.....
- 1.4 Key Terminology and Definitions.....
- 1.5 Tort Liability.....
- 1.6 Relevant Resources and Publications

2.0 Decision-Making Framework and Documentation

- 2.1 Performance-Based Design Approach
- 2.2 TDOT Design Decision-Making Framework.....
- 2.3 Establishing Project Goals and Performance Metrics
- 2.4 Concept Development
- 2.5 Evaluation and Selection.....
- 2.6 Design Phase.....
- 2.7 Documenting Design Decisions.....

3.0 Identifying Design Year Context

- 3.1 TDOT Context Classifications
- 3.2 Connection to other Roadway Classifications....
- 3.3 Documenting Context.....
- 3.4 Context Design Considerations

4.0 Multimodal Planning and Design

- 4.1 Policies and Legislation.....
- 4.2 Overview of Roadway Users
- 4.3 Pedestrian and Bicycle Safety and Quality of Service
- 4.4 Pedestrian Design
- 4.5 Bicycle Design
- 4.6 Shared-Use Paths.....
- 4.7 Transit Design
- 4.8 Additional Multimodal Features

5.0 Intersection Planning and Design

- 5.1 Intersection & Interchange Evaluation
- 5.2 Intersection Context Considerations
- 5.3 Pedestrian and Bicycle Safety Evaluation – 20 Flags Methodology ..

6.0 Context Design Guidance and Criteria

- 6.1 Target Speed
- 6.2 Cross Section Realms
- 6.3 Cross Section Design Criteria
- 6.4 Selecting Design Values

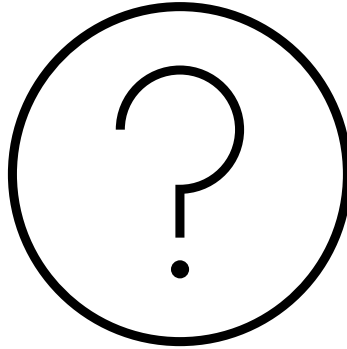
7.0 Case Studies

- 7.1 Case Study #1: Transition from Rural to Suburban Context
- 7.2 Case Study #2: *Additional example based ON TDOT Direction*

TDOT Implementation- PHASE 2-Next Year

- Phase 2: Complete
- Phase 3: Develop and Conduct Project Scoping Guide Training

Questions?



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How Place Type Informs Strategies & Standards

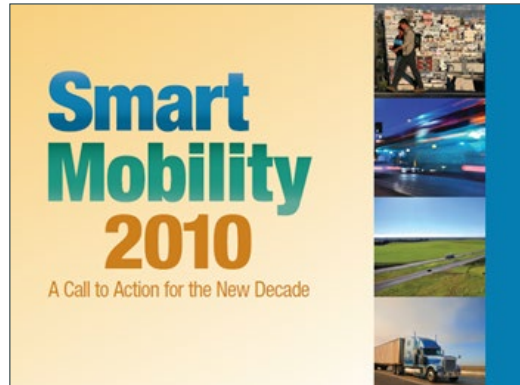
CALTRANS | DIVISION OF DESIGN | OFFICE OF COMPLETE STREETS



A network diagram with nodes and connecting lines, overlaid on a dark blue diagonal shape. The nodes are colored blue, orange, and light blue, and are connected by thin blue lines. The dark blue shape is a large, rounded rectangle that covers the bottom-left portion of the image.

Place Type in the Planning Process

Caltrans' Smart Mobility Framework (SMF)



2010

**Smart Mobility
2010**



2020

Smart Mobility
Framework (SMF)
Guide



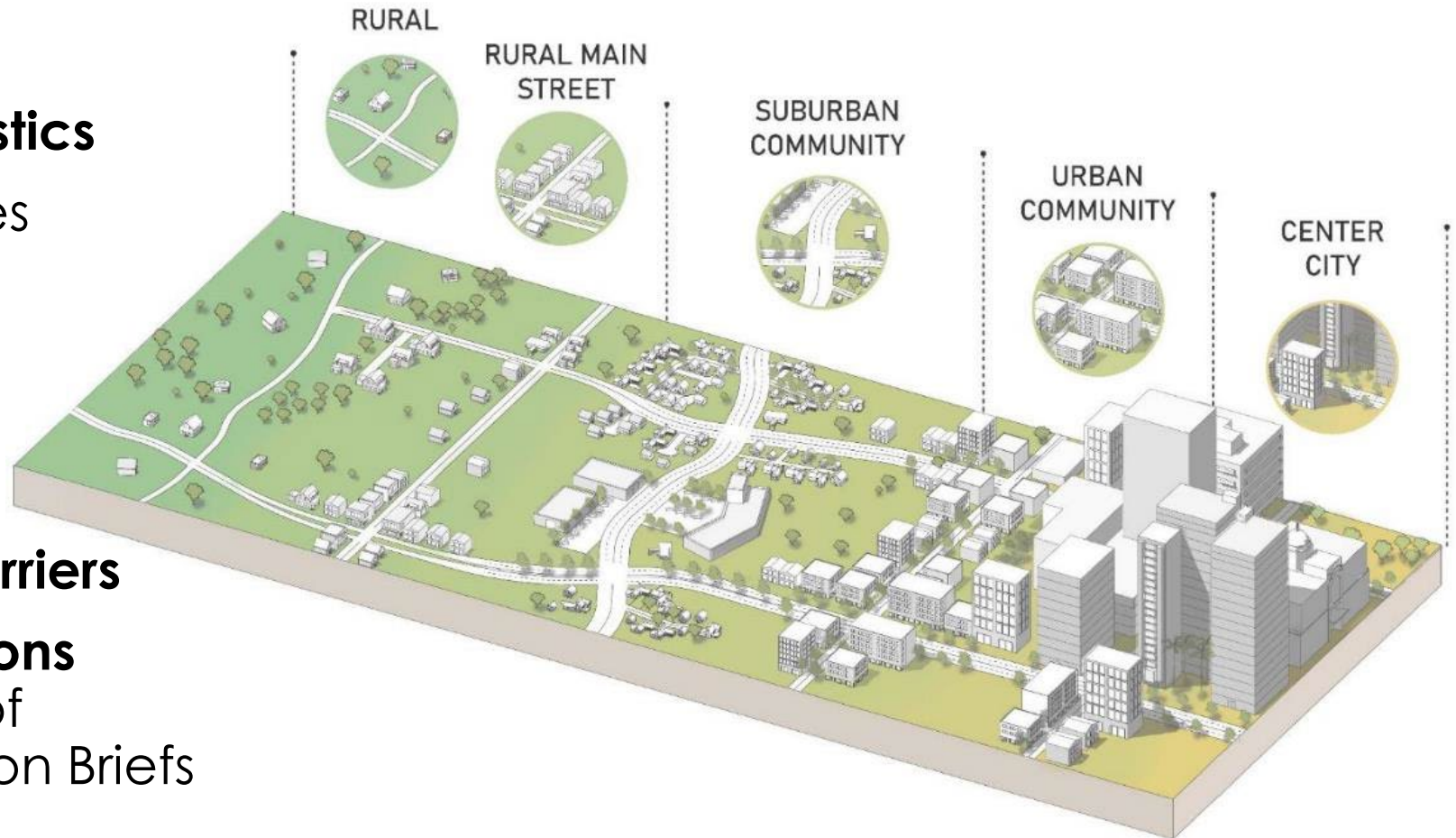
2022

Smart Mobility
Framework (SMF)
**Implementation Guide
& Mapping Application**

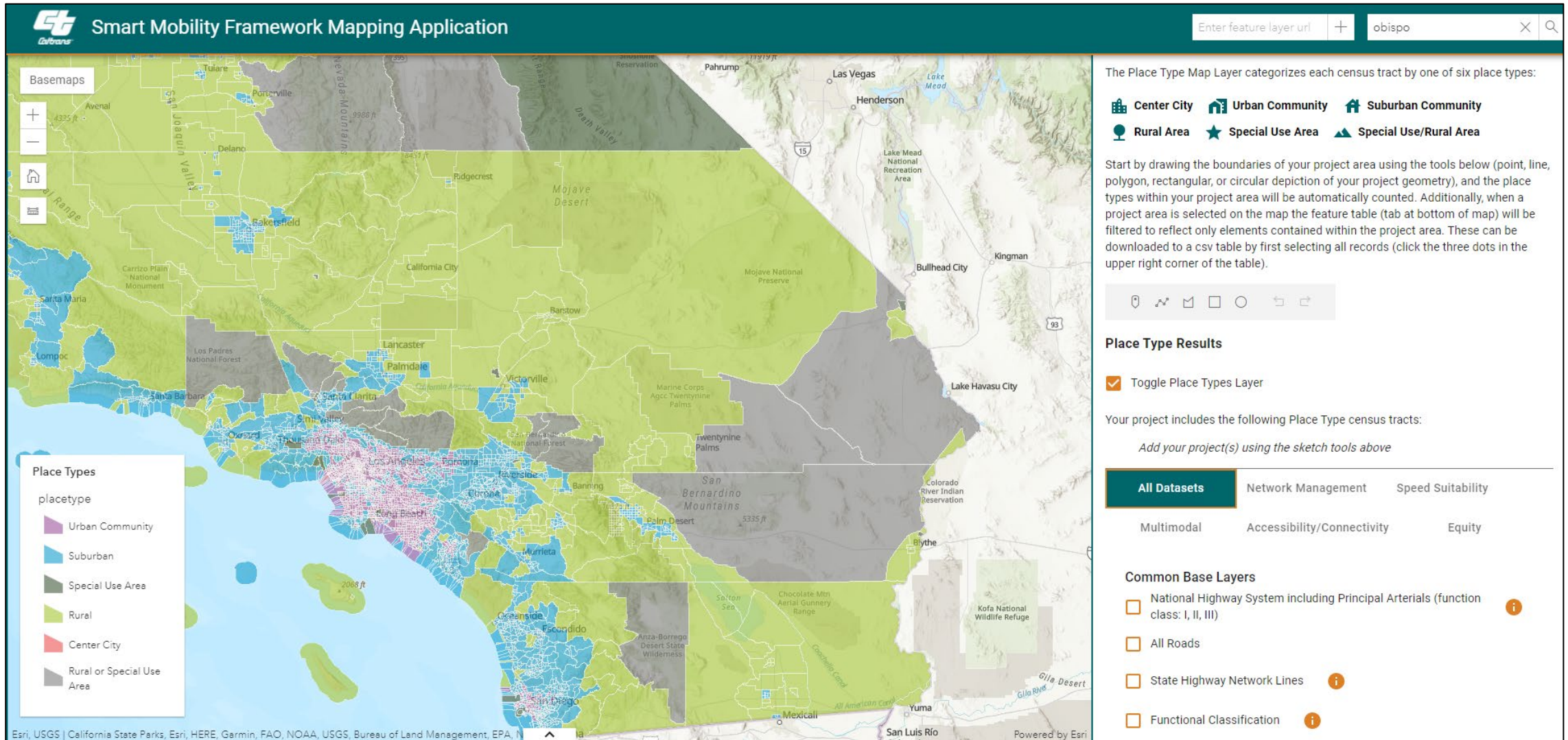
Place Types for Project Planning

Smart Mobility Guides Explain

- Place Type **Characteristics**
- **Evolution** of Place Types
- Mobility **Issues and Opportunities**
- Smart Mobility **Vision** for Place Type
- Typical Operational **Barriers**
- Links to Potential **Solutions** to barriers in the form of Strategy Implementation Briefs



SMF 2022 Mapping Application [link](#)





Barriers + Strategies: City Centers/Urban Communities

Multimodal

Barrier to Smart Mobility Vision

Strategy Implementation Briefs

Limiting ROW for bike and pedestrian facilities.

- Reconfigure Roadway Cross Section
- Reduce Lane Width
- Widen Roadway Cross Section

Skewed on/off ramps create operational concerns for bicyclists and pedestrians.

- Strategies to Accommodate Bicycle and Pedestrian Crossings at Highway Ramps

Broken or disconnected sidewalks present barriers to pedestrians and people with mobility challenges.

- Add or Reconstruct Sidewalks
- Improve Pedestrian Zone
- Add Curb Extensions


Obstructions, such as poles or utility boxes can restrict movement on sidewalks, especially for people with mobility challenges.

- Add or Widen Sidewalks
- Improve Pedestrian Zone
- Relocate Obstruction

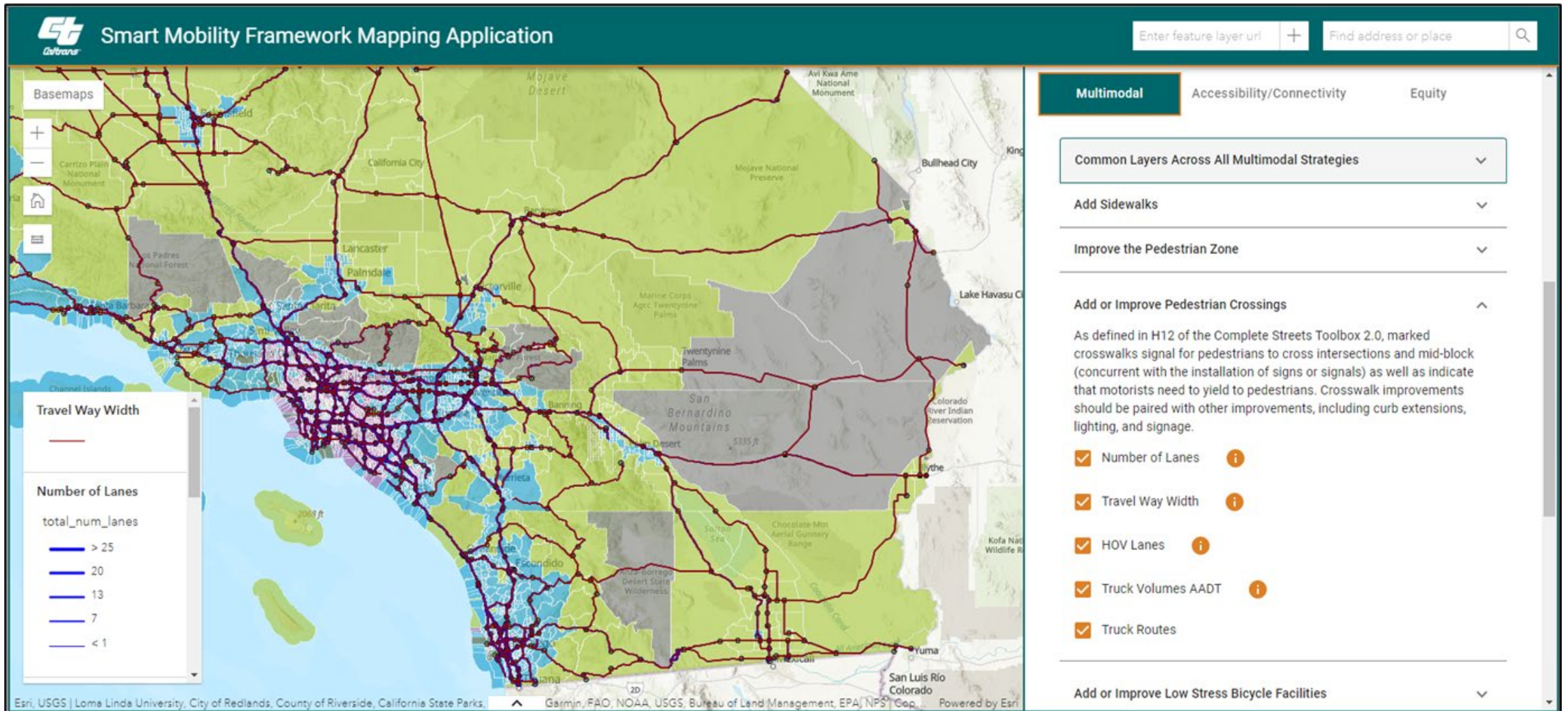
Strategy Implementation Briefs

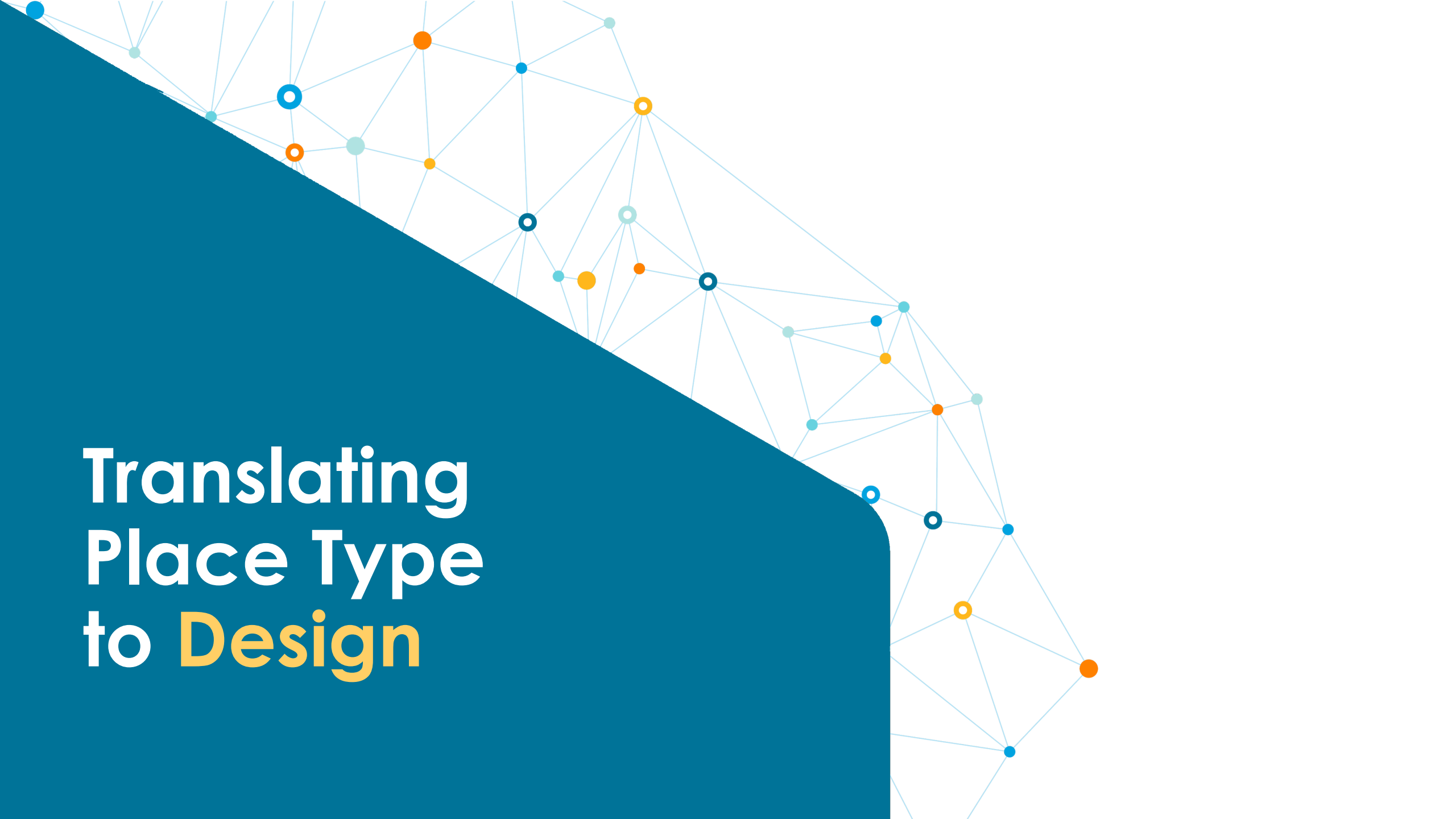
4-page briefs with guidance on each strategy

- Place type applicability
- Facility context
- Caltrans planning process
- Staff tips
- Data and metrics
- Annotated resources
- Examples

MULTIMODAL OPTIONS	SMART MOBILITY FRAMEWORK IMPLEMENTATION GUIDE
IMPROVE THE PEDESTRIAN ZONE	
Safe, comfortable, and convenient facilities for pedestrians can encourage walking and improve safety for pedestrians.	
PLACE TYPE APPLICABILITY <p>Considerations for Specific Place Types: In Center Cities and Urban Communities or other Place Types with retail and commercial or mixed-use buildings benefit from wider sidewalks and amenities to accommodate high volumes of pedestrians, pedestrian zones should include shade trees and street furniture such as lighting, benches, trash cans, and bus shelters. Remove obstructions to provide a clear path of travel (48" minimum, 60" – preferred), with curb bulb-outs to shorten crossing distances. Bioswales and site-appropriate paved materials (e.g., permeable pavements and warm mix asphalt) provide safety and aesthetic benefits in pedestrian zones and stormwater treatment. Pedestrian-scale lighting, signage, and high visibility crosswalks can improve pedestrian comfort and safety.</p> <p>Alternatives: If right of way is limited, prioritize ADA compliance.</p>	EXAMPLES  <p>Photo simulations for presentation purposes only - actual field conditions may vary.</p> <p>SR 99 / Live Oak Boulevard Improvements. Upgrade bicycle and pedestrian facilities, including completing gaps, enhancing wayfinding, and landscaping.</p>
FACILITY CONTEXT <p>Pedestrian zone improvements should be prioritized in these locations: School zones; Transit stops; Park and ride facilities; Roadways with a mix of land uses or are evolving into mixed-use neighborhoods; Roadways with destinations that attract pedestrians and bicyclists (e.g., parks, retail, employment centers, etc.); Roadways that connect two or more locations with destinations attractive to pedestrians within a one-mile walkshed. Roads without separate facilities for bicycles and other PMDs should consider adding facilities for these modes to avoid conflicts.</p>	

SMF 2022 Mapping Application [link](#)





Translating Place Type to **Design**



Evolution of Place Type Classifications

Neighborhood Types Developed for VMT Analysis for California Air Resources Board

Place Types Developed for Transportation Planning by Caltrans

Place Types Adapted for Transportation Design by Caltrans

Urban Core



Center City



City Center

Urban High Transit
Urban Low Transit

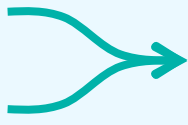


Urban Community

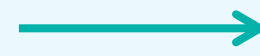


Urban Community

Suburban Multi-Family
Suburban Single Family



Suburban Community



Suburban Community

Rural



Rural Main Street



Rural Main Street

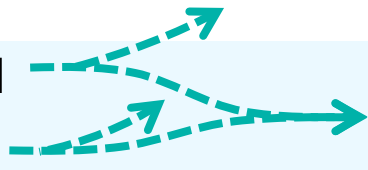
Rural



Transitional Area

Undeveloped Area

Preserved Land
Rural-in-Urban



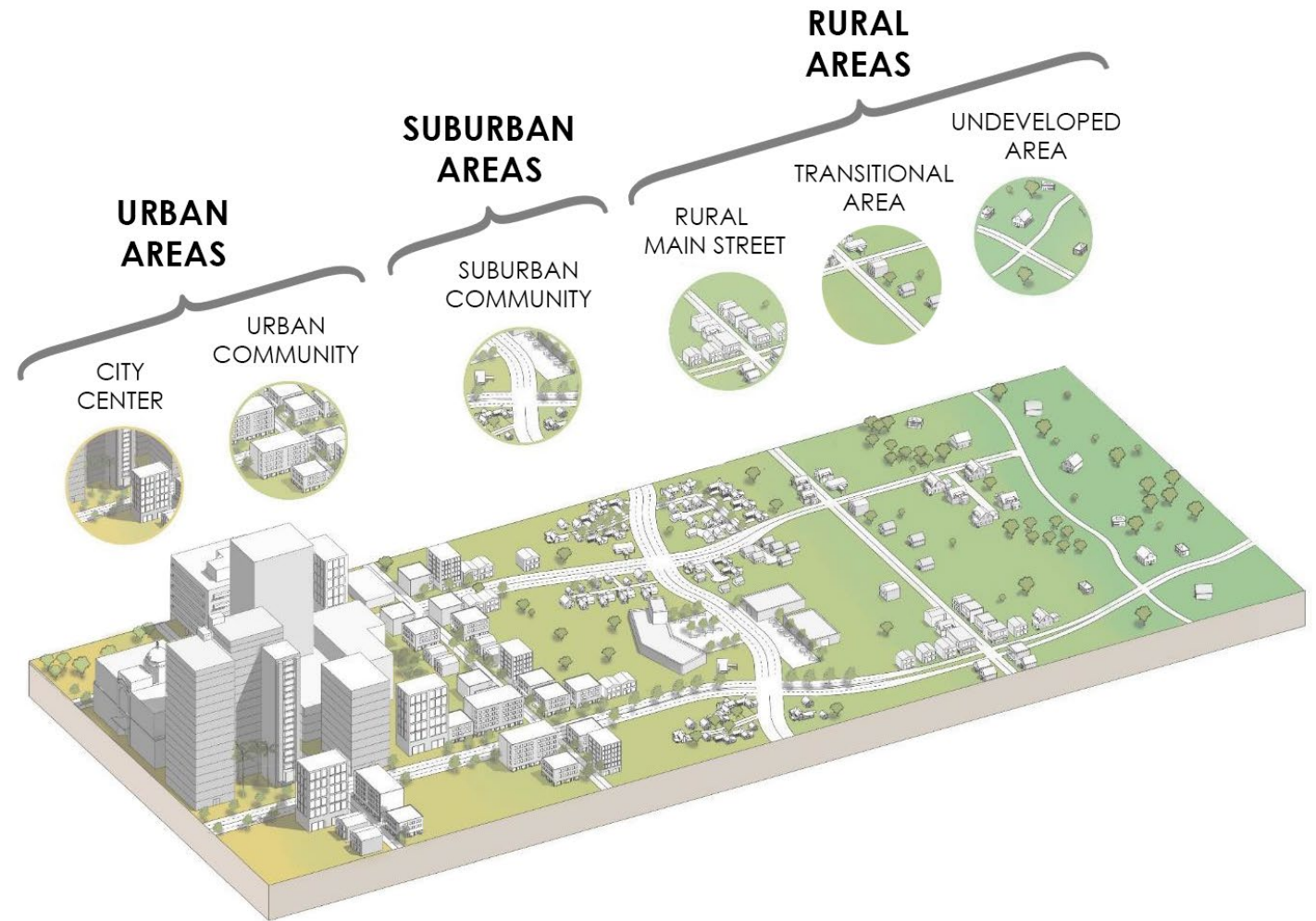
Special Use Area



Special Use Area

Place Types in the Highway Design Manual Update

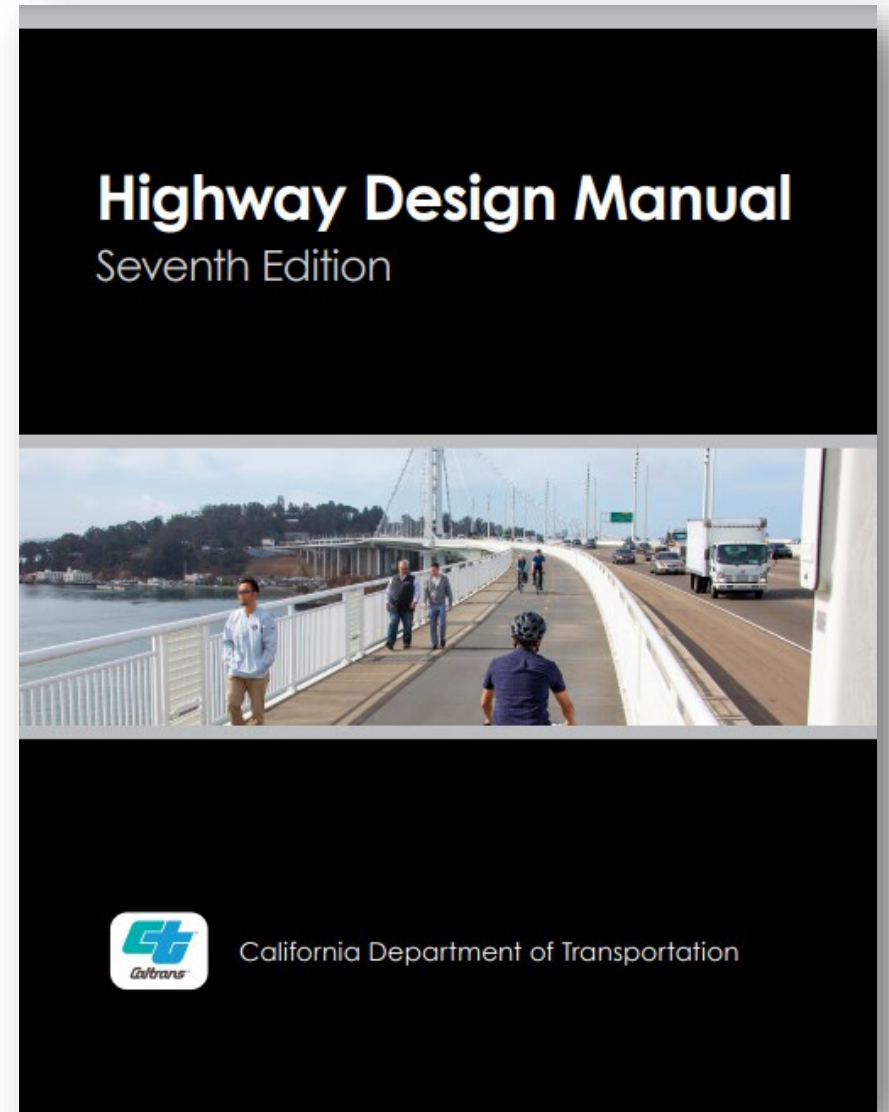
- **Urban Areas** frequently combined
- **Suburban Areas** maintained
- **Rural Areas** broken out to include Transitional Areas
- **Special Use Areas** maintained (not pictured)



Context in the HDM

2012 update

- Discussion of **Highway Context** to support context-sensitive design
- Complete Streets Updates to topics throughout the manual including
 - 11-foot lanes
 - Bulbouts
 - Pedestrian Refuge
 - Bike at Intersections and interchanges
 - Place Types





Defining Highway Context

Per California's Highway
Design Manual Topic 81

Context includes:

Place Type

Urban, Suburban, Rural,
Rural Main Street...

Facility Type

Functional Classification,
Interstate, State Route

Access Control

Controlled Access,
Conventional

Context can also include:

- Posted speed
- Operating (observed) speed
- ADT
- Truck ADT
- Oversize vehicles
- Number of lanes
- Climate
- Terrain
- Community values
- Multimodal network access



Example Design Standards Based on Context



Lane Width

- **Place Type**, Access Control, Posted Speed, Truck ADT



Shoulder Width

- Access Control, Presence of Parking/Bike Lane, Drainage



Sidewalk Width

- Facility Type, Multimodal Network Access, Adjacent Construction



Design Speed

- **Place Type**, Type of Highway, Access Control, Operating Speed, ADT, Terrain, Collision History, Multimodal Users



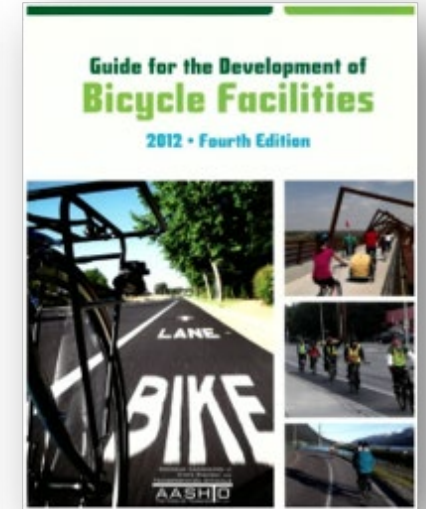
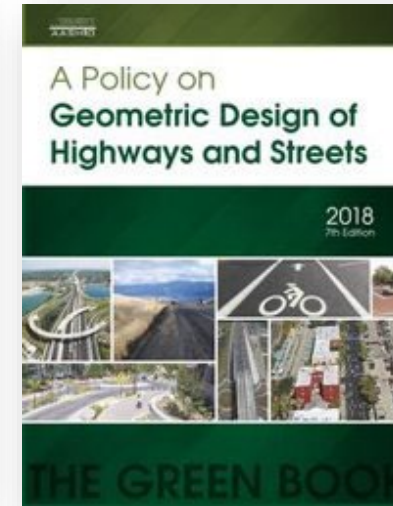
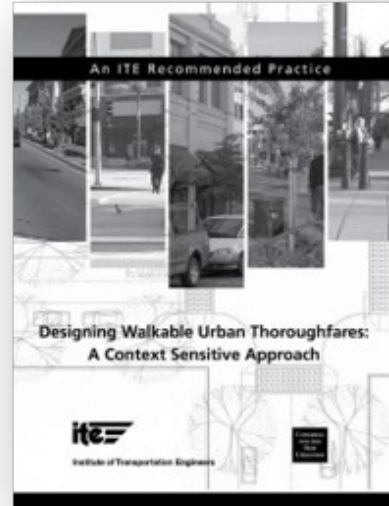
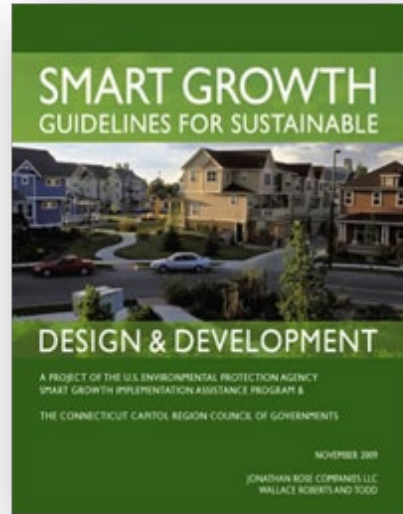
Horizontal Curve/Superelevation

- **Place Type**, Facility Type, Climate, Design Speed



Pedestrian Refuge Islands

- **Place Type**, Posted Speed, Number of Lanes



Design Flexibility

- encourages **design flexibility** for multimodal design
- supports early **collaboration** with local partners
- endorses use of **supplemental guidance**, such as those above



Design Standard Decision Document

- Documents design decisions that deviate from the standards in the Highway Design Manual
- Requires clear, compelling, objective justification with support or calculations
- Also required for projects by locals in State right-of-way

07 - LA - 105 - R0.5/R18.1
07 - LA - 110 - R13.8/R14.8
EA 07 31450
March 2021

Design Standard Decision Document


Prepared by:
Jeffery Fromhertz 3/30/2021
Jeffery Fromhertz, Project Engineer
WSP USA

Submitted by:
Richard Chiang 3/31/2021 (213) 269-0652
Richard Chiang, Design Oversight Senior Date Telephone

☒ Includes exceptions to District-delegated Design Standards (Section 2B)
☒ Concurred by:
☒ Approved by:
Asadour Terterian 04/01/2021 (213) 703-7642
Asadour Terterian, Chief Office of Design A Date Telephone

☒ Includes exceptions to Non-delegated Design Standards (Section 2A)
☐ Not Applicable:

Approved by:
Rebecca Mowry 04/01/2021 (916) 862-4088
Rebecca Mowry
Project Delivery Coordinator
Headquarters Division of Design Date Telephone

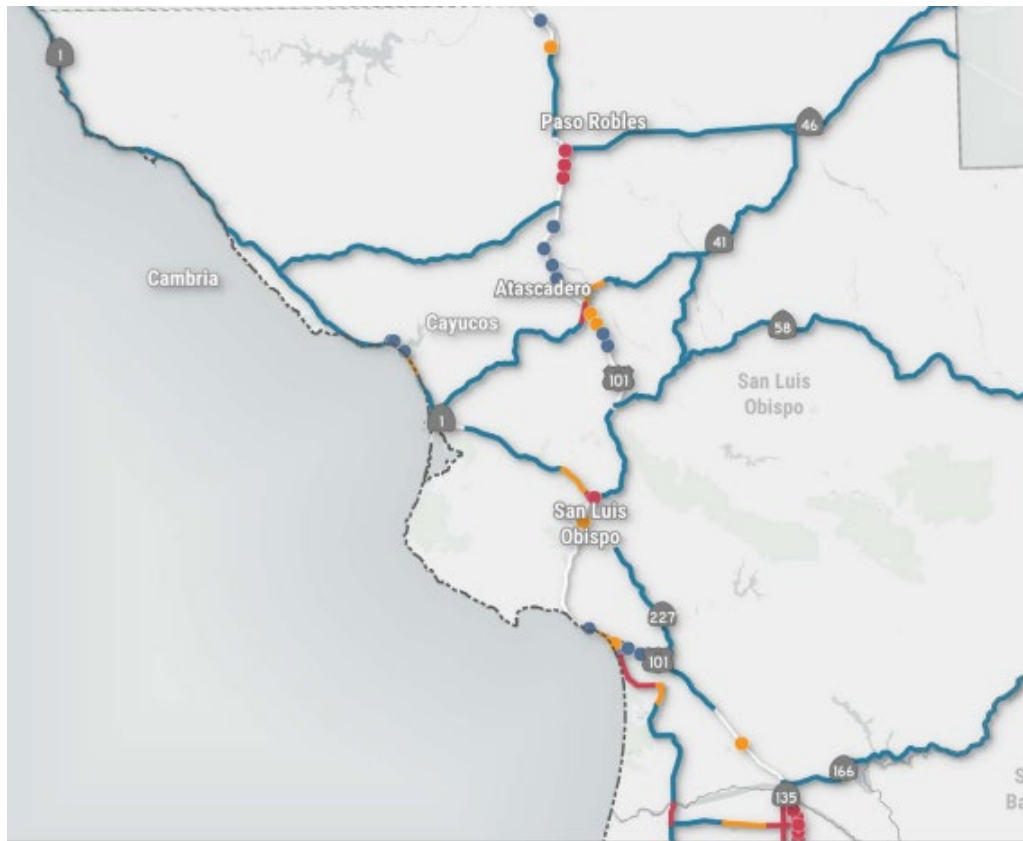


Director's Policy 37: Complete Streets

“...in locations with current and/or future pedestrian, bicycle, or transit needs, **all transportation projects funded or overseen by Caltrans will provide comfortable, convenient, and connected complete streets facilities for people walking, biking, and taking transit or passenger rail unless an exception is documented and approved.**”



Complete Streets Decision Document



Central
D5 Prioritized highway
segments and freeway
crossings

Highway segments Freeway crossings

- | | |
|----------|----------|
| — Tier 1 | ● Tier 1 |
| — Tier 2 | ● Tier 2 |
| — Tier 3 | ● Tier 3 |



0 5 10 mi

Data Source: Caltrans

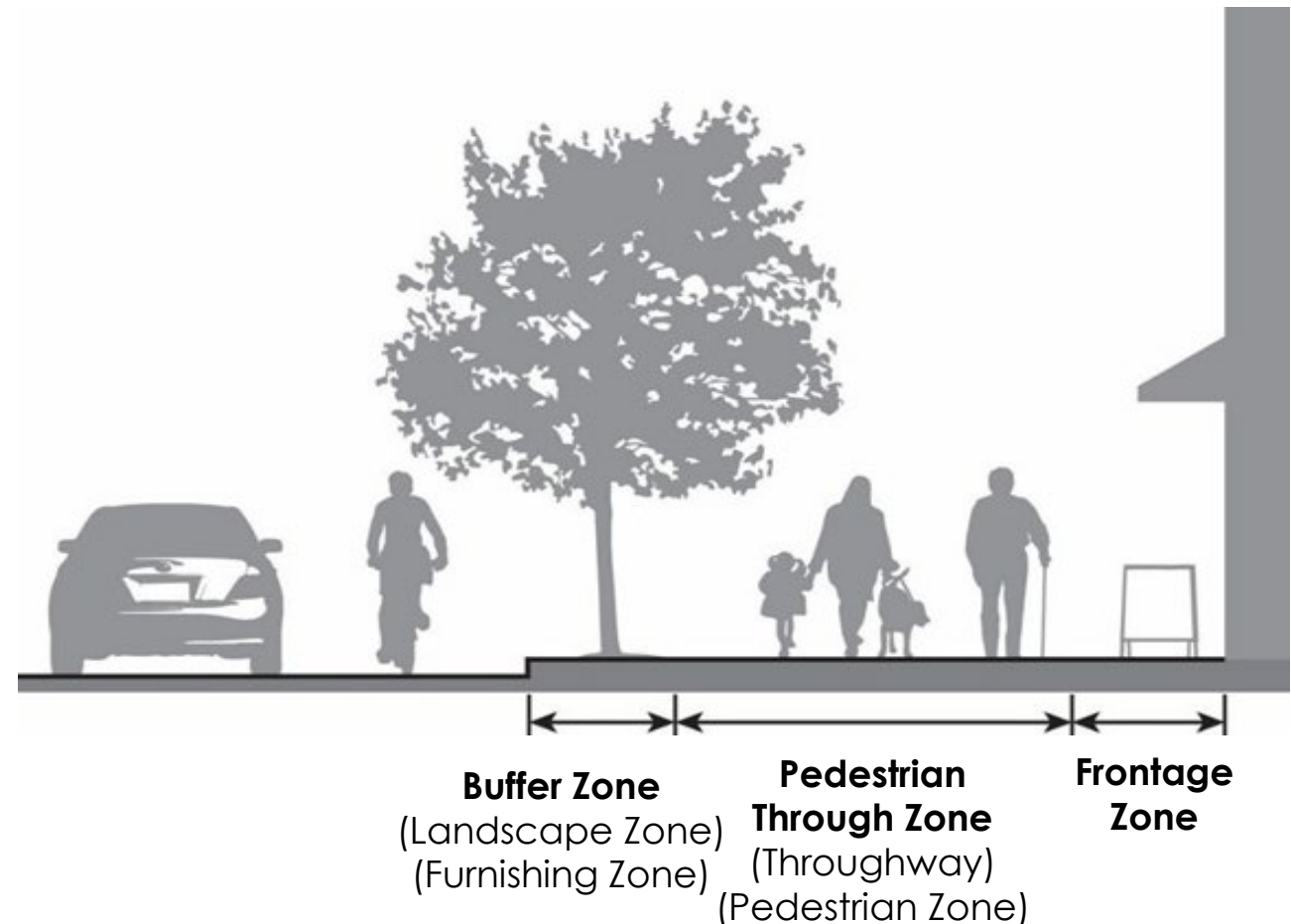
- Identify Complete Streets **needs** in the project corridor
- Document Complete Streets **scope** included in project
- Provide **justification** for any remaining unmet need
- Obtain Planning, Design, and **Director Approval**
- Scope **revisions** also require Director approval

Design Information Bulletin 94

Contextual Design Guidance for Complete Streets

Goals:

- **Comfortable** facilities
- **Prioritize space-efficient modes**
- Recommendations **beyond minimum** facilities
- **Streamline design** development with standards separate from the HDM

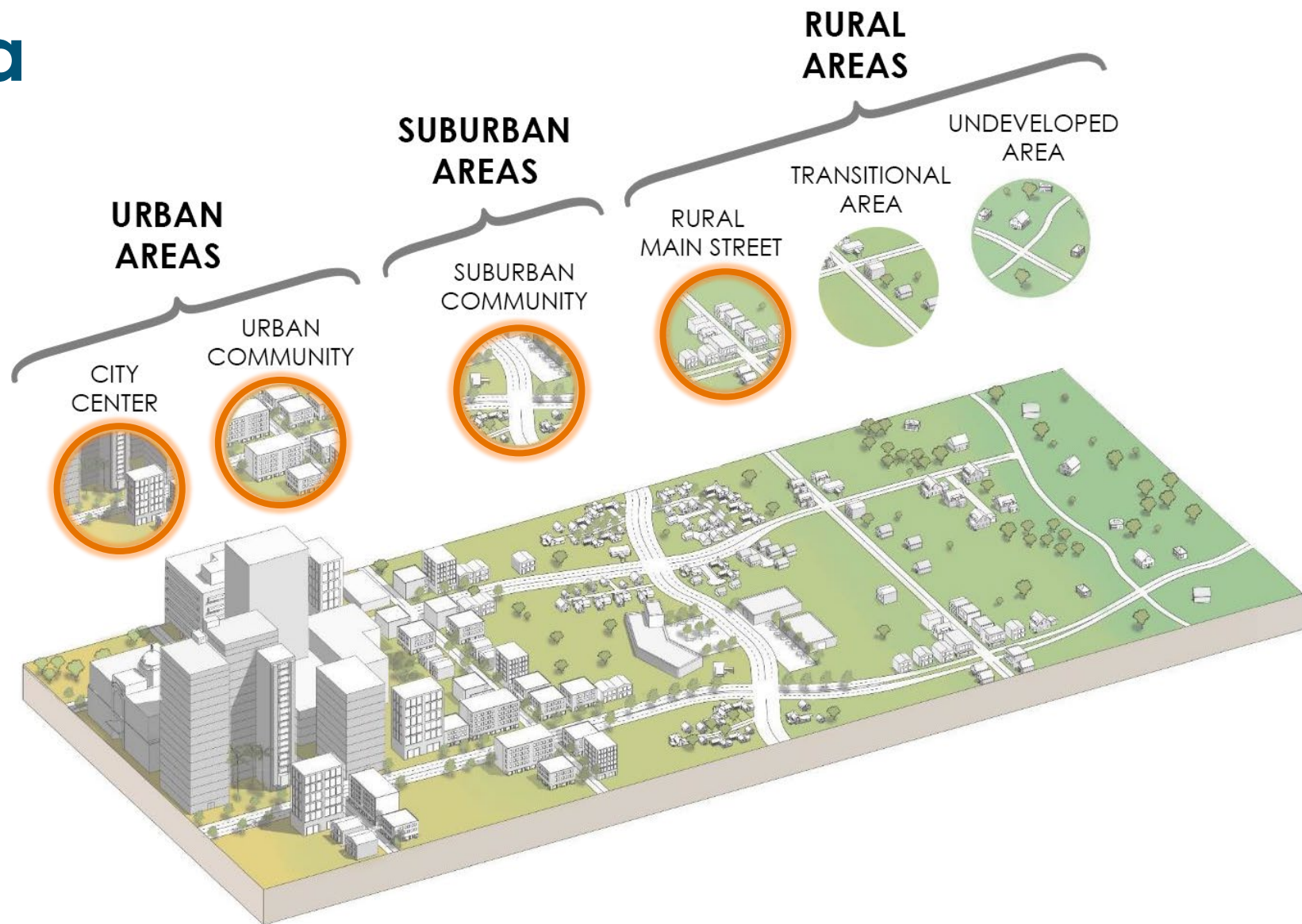


DIB-94 Complete Streets Context Criteria

☑ Urban Areas,
Suburban Areas,
and/or
Rural Main Streets

☑ 45 mph or less,
posted speed

☑ Complete Streets
facilities are being
provided



Contextual Criteria for Complete Streets Standards & Guidance



Speed

✓ **Guidance**

Proposed
Operating Speed
based on

Place Type



Sidewalks

✓ **Standards**
✓ **Guidance**

Sidewalk Zone
Widths based on

Place Type
Amenities

(street furniture, street
trees, bus shelters,
etc.)



Bike Facilities

✓ **Standards**
✓ **Guidance**

Type based on

Place Type
Posted Speed
Traffic Volume

Width based on
Adjacent Features



Lane Width

✓ **Standards**
✓ **Guidance**

Width based on

Place Type
**Proposed
Operating Speed**
Lane Type



Shoulder Width

✓ **Standards**
✓ **Guidance**

Width based on

Place Type
Adjacent Features
Drainage
Interchange
Evacuation



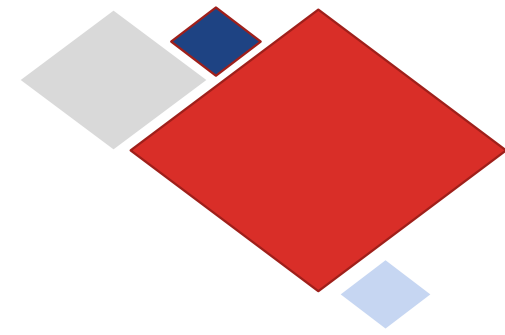
Lessons Learned



Using Place Type for Strategies and Standards

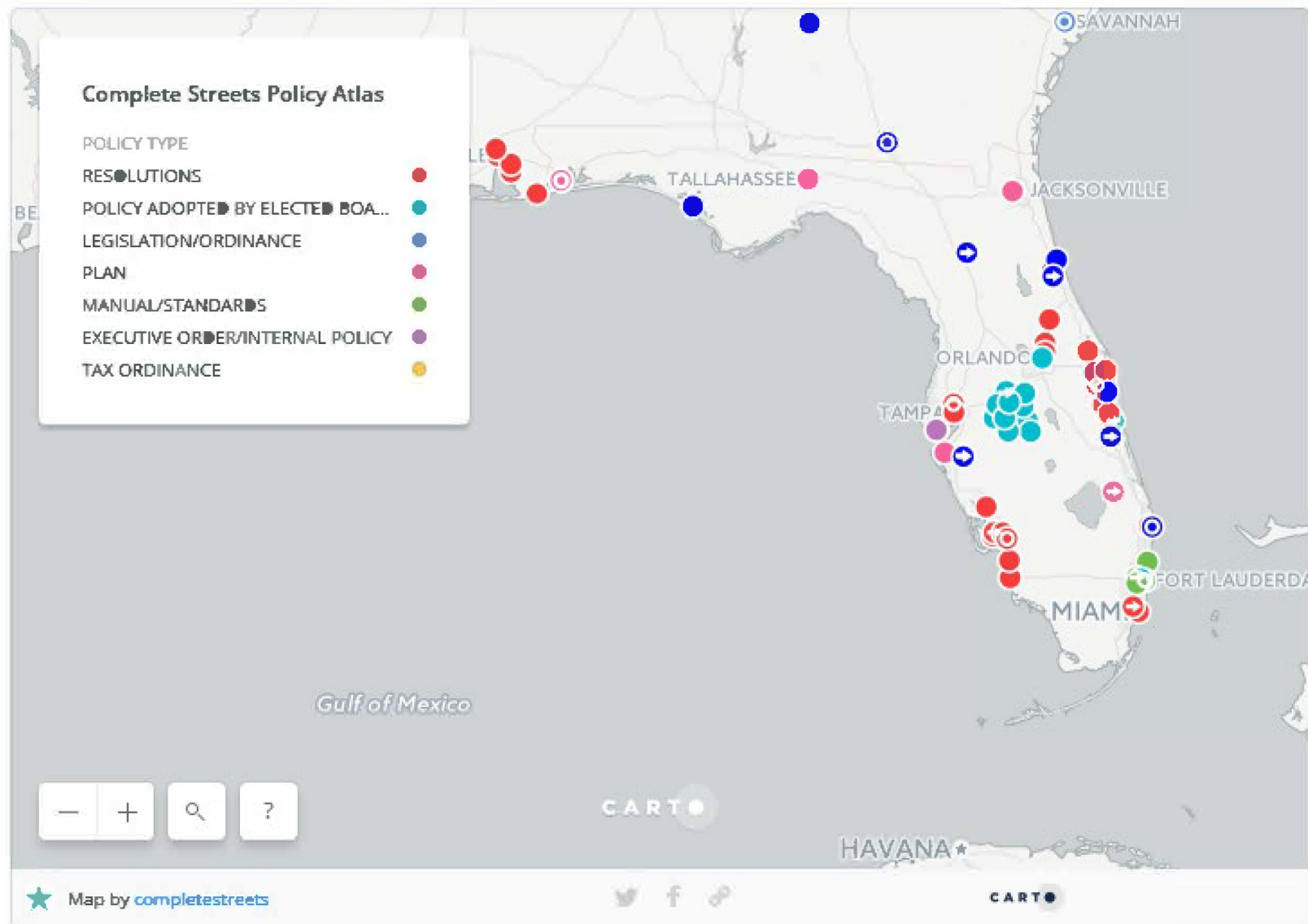
Lessons Learned

- **Context is more than Place Type**
- **Place Type is good for identifying typical barriers and solutions at the planning level and needed highway improvements**
- **You might not need to identify Place Types yourself**
 - Different Place Type categories serve different purposes
- **Design Standards need more context than Place Type**
 - (Speed is really important!)



FDOT CONTEXT CLASSIFICATION

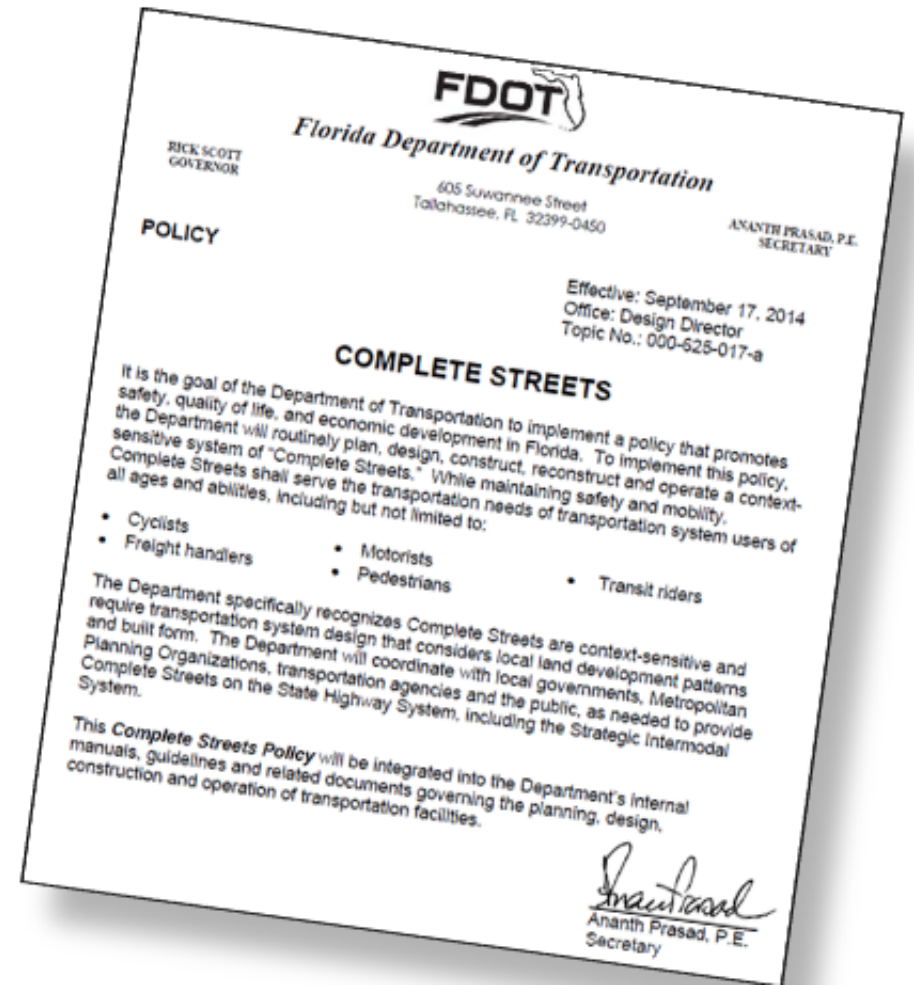
DeWayne Carver, AICP



FDOT Complete Streets Policy

- 100+ yrs. – select typical section elements based on needed capacity and urban/rural designation.
- 2018 – Provide a typical that serves all users and is in harmony with the context of adjacent properties.
- Safety, Quality of Life, Economic Development

**Policy adopted
in Sept 2014**



FDOT Context Classification

- Defines Approach, Process, Expectations, Best Practices
- Complete description of context classifications
- Preliminary done by GIS
- Project Level now done by hand





[Home](#)

[History](#)

[The Why](#)

[A 360° Approach](#) ▾

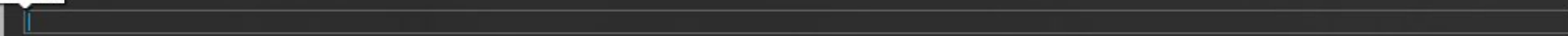
[Explorer Tool](#)

[Resources](#)

[Coordinators](#)



02:11

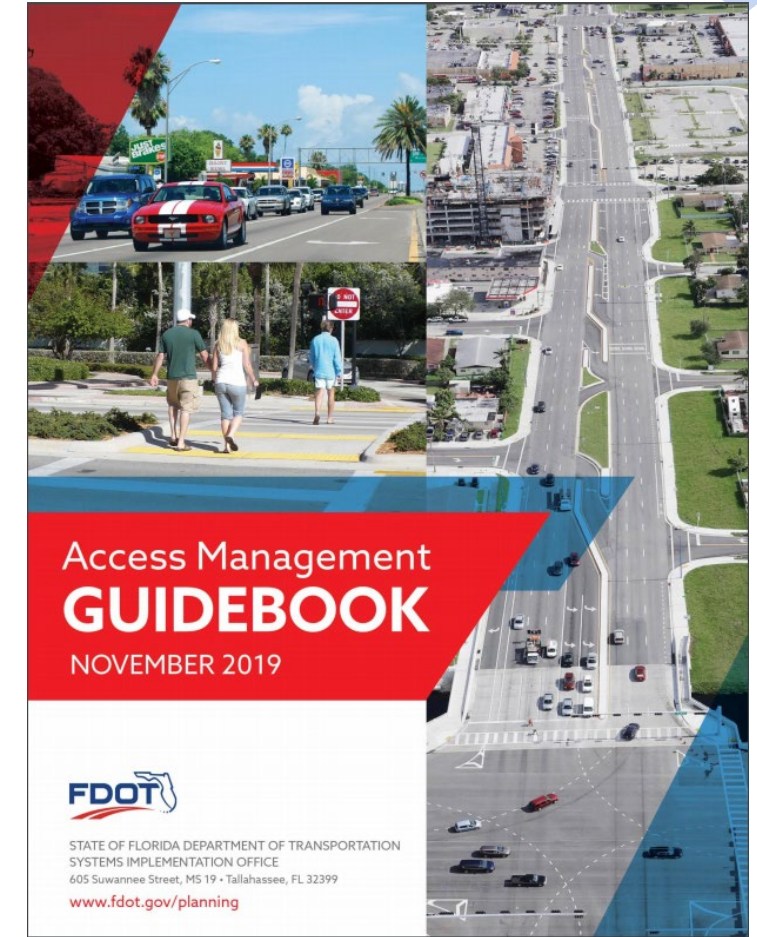
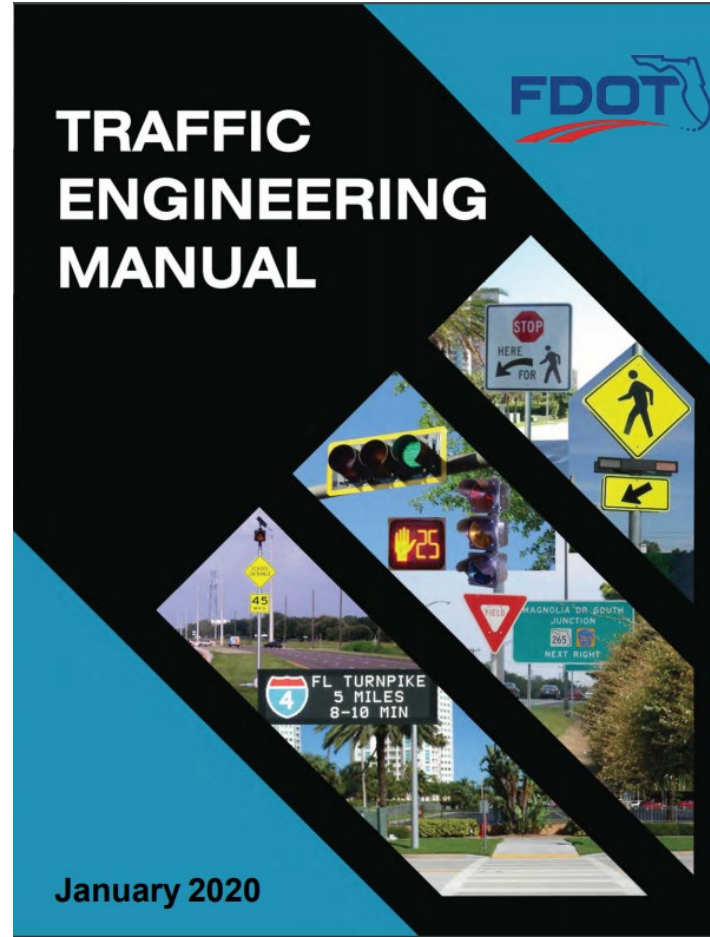
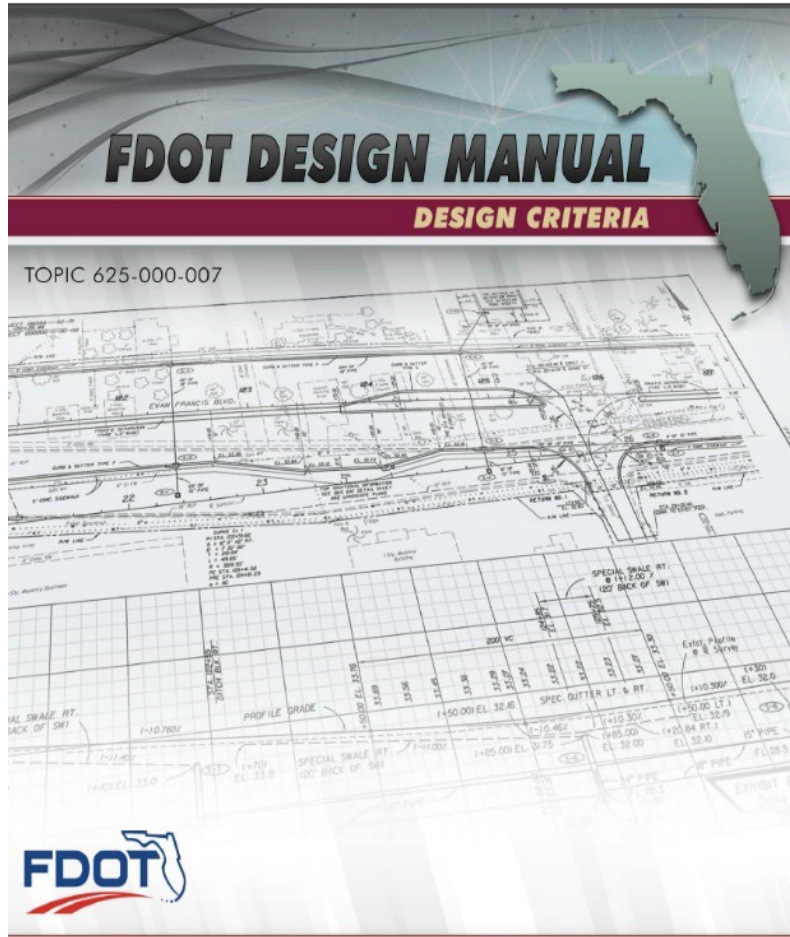
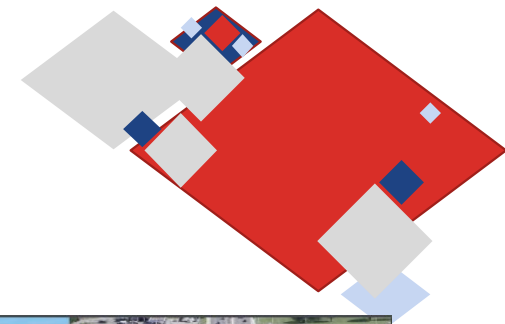


FDOT Design Manual (FDM)

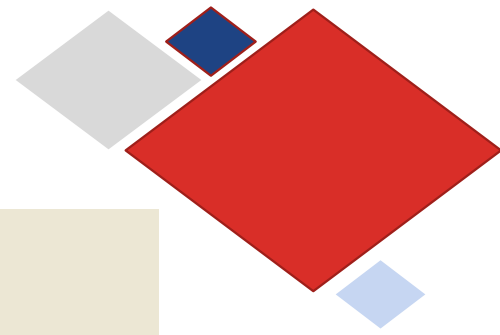
- Implemented January 1, 2018.
- Replaced Plans Preparation Manual
- 2022 edition now operational



HOW WE SHOULD DESIGN AND OPERATE OUR ROADWAY



What are the FDOT Context Classifications?



CI-NATURAL

Lands preserved in a **natural or wilderness condition**, including lands unsuitable for settlement due to natural conditions. **Not intended for future development.**



C2-RURAL

Sparsely settled lands;
may include **agricultural**
land, grassland,
woodland, and wetlands.
Lands that **could be**
developed in the future.



C2T-RURAL TOWN

Small concentrations of **town area** immediately **surrounded by rural and natural areas**; includes many historic towns.



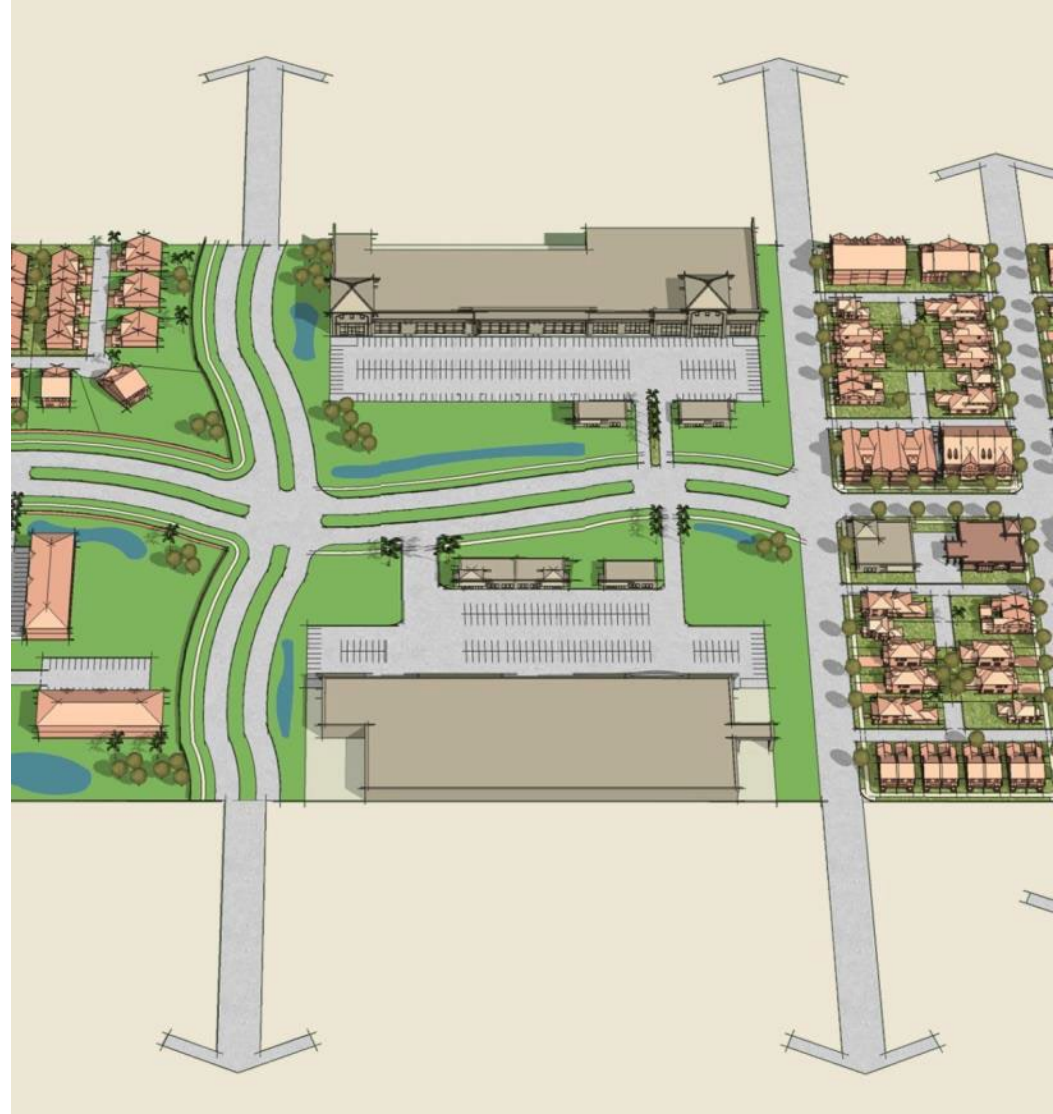
C3R-SUBURBAN RESIDENTIAL

Mostly **residential** uses within large blocks and a disconnected or **sparse roadway network**.



C3C-SUBURBAN COMMERCIAL

Mostly **non-residential** uses with **large building footprints** and **large parking lots** within large blocks and a disconnected or **sparse roadway network**.



C4-URBAN GENERAL

Mix of uses set within small blocks with a **well-connected roadway network**. The roadway network usually **connects to residential neighborhoods** immediately along the corridor or on the back side of blocks fronting the roadway.



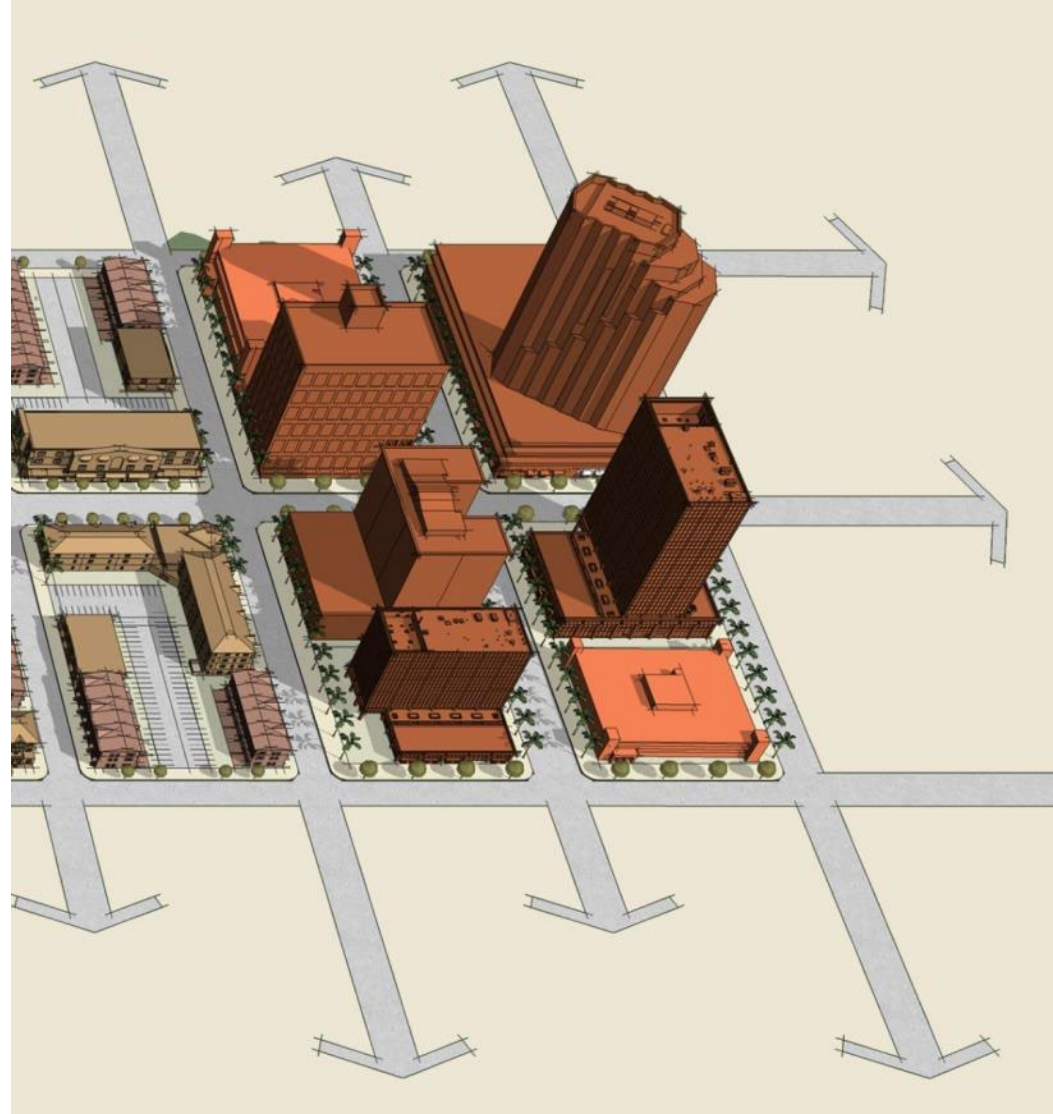
C5-URBAN CENTER

Mix of uses set within small blocks with a **well-connected roadway network**. Typically **concentrated around a few blocks** and identified as part of a **civic or economic center** of a community, town, or city.



C6-URBAN CORE

Areas with the **highest densities and building heights**, and within FDOT classified **Large Urbanized Areas** (population >1,000,000). Many are regional centers and destinations. Buildings have **mixed uses**, are **built up to the roadway**, and are within a **well-connected roadway network**.



SPECIAL DISTRICTS

- Areas that do not adhere to context classification measures
- Have a mix of users that can create unique travel patterns
- Examples:
 - University campuses
 - Airports
 - Rail yards
 - Ship yards
 - Freight distribution enters
 - Refineries
 - Sports complexes



University of Florida, Gainesville, FL



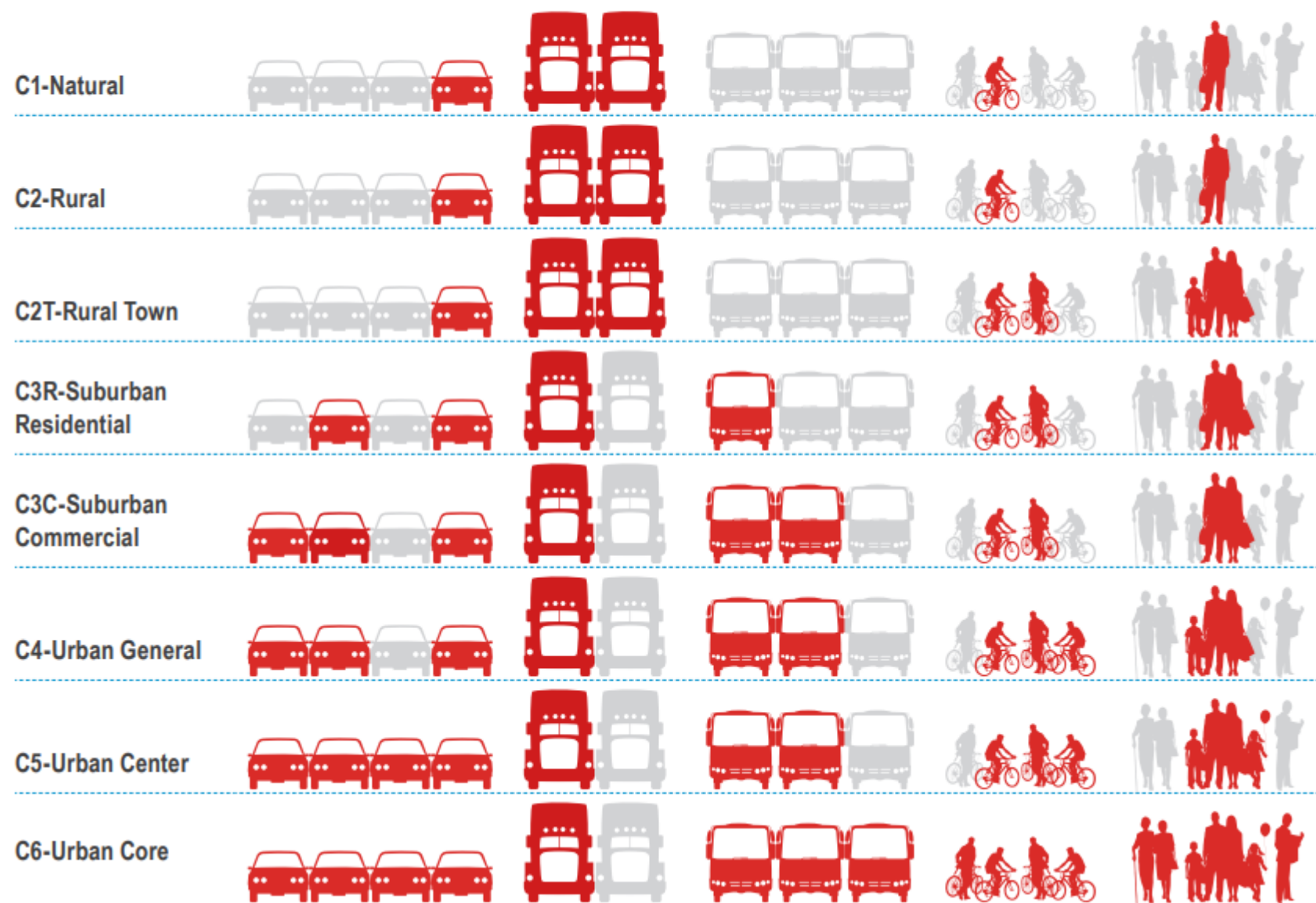
Port of Miami, Miami, FL

WHAT DOES CONTEXT CLASSIFICATION TELL YOU ABOUT ROADWAY USERS?

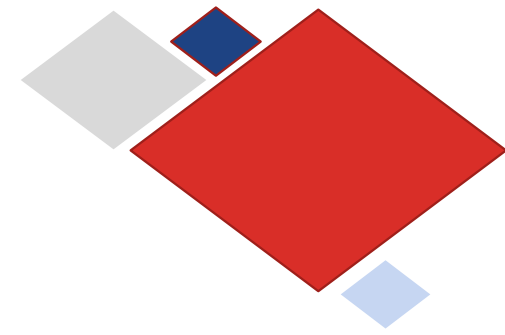


- Context classification informs planners and engineers about the type and intensity of users along various roadway segments.
 - For example, C4, C5, and C6 context classification will have higher number of pedestrians, bicyclists, and transit users than in a C1, C2, or C3 context classification. C2T will be similar to C4.

FIGURE 15 EXPECTED USER TYPES IN DIFFERENT CONTEXT CLASSIFICATIONS



CONTEXT CLASSIFICATION + TRANSPORTATION CHARACTERISTICS



Context Classification



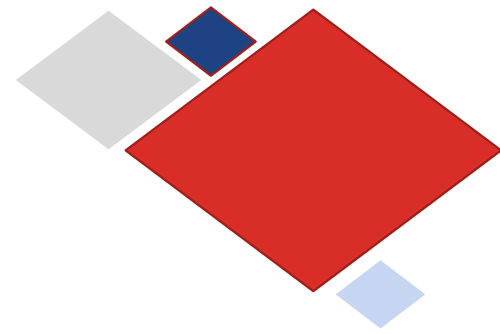
Transportation Characteristics

- **Roadway Users**
- **Regional and Local Travel Demand**
- **Challenges and Opportunities of Each Roadway User**

RELATIONSHIP TO FDOT DESIGN MANUAL

Creating Sense of Place and Quality of Life

- On-street parking – may be acceptable in C2T, C4, C5, and C6 if supported by codes and regulations
- Bulb-outs – not required, but may be applied in appropriate contexts
- Street Trees – not required, but lower speeds permit closer placement to face of curb. Clear sight triangles still apply.
- Sidewalks – 6' standard width, but wider in C5 and C6 where possible
- Application of FDM criteria also influenced by plans, codes, and regulations

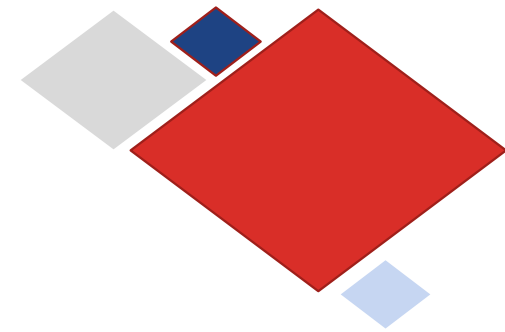


FDOT Design Manual (FDM)

- Sets Design Controls and Criteria
- New Construction and RRR
- “Should” v. “Must” v. Imperative Voice
- Limited “storytelling” so requires thought
- It’s a box of crayons, not a coloring book



FDOT Design Manual (FDM)



210.1.1 Criteria for RRR Projects

Criteria for RRR projects provided in this chapter are the minimum values allowed for roadway and structure elements to remain on the State Highway System without obtaining a Design Exception or Design Variation (see **FDM 122**). Existing project features are to meet new construction criteria when RRR criteria are not provided.

210.2 Lanes

Design criteria for lane widths and pavement slopes are given by lane type, design speed and context classification. Minimum travel, auxiliary, and two-way left-turn lane widths are provided in **Table 210.2.1**. Refer to **FDM 211** for ramp lane widths.

Two-way left turn lane widths (flush median) may be used on 3-lane and 5-lane typical sections with design speeds ≤ 40 mph. On new construction projects, flush medians are to include sections of raised or restrictive median and islands to enhance vehicular, bicycle, and pedestrian safety, improve traffic efficiency, and attain the standards of the Access Management Classification of that highway system. Sections of raised or restrictive median and islands are recommended on RRR projects.

Table 201.5.1 Design Speed

Limited Access Facilities (Interstates, Freeways, and Expressways)		
Area	Allowable Range (mph)	SIS Minimum (mph)
Rural and Urban	70	70
Urbanized	50-70	60
Arterials and Collectors		
Context Classification	Allowable Range (mph)	SIS Minimum (mph)
C1 Natural	55-70	65
C2 Rural	55-70	65
C2T Rural Town	25-45	40
C3 Suburban	35-55	50
C4 Urban General	25-45	45
C5 Urban Center	25-35	35
C6 Urban Core	25-30	30
Notes: <ol style="list-style-type: none"> (1) SIS Minimum Design Speed may be reduced to 35 mph for C2T Context Classification when appropriate design elements are included to support the 35-mph speed, such as on-street parking. (2) SIS Minimum Design Speed may be reduced to 45 mph for curbed roadways within C3 Context Classification. (3) For SIS facilities on the State Highway System, a selected Design Speed less than the SIS Minimum Design Speed requires a Design Variation as outlined in <i>SIS Procedure (Topic No. 525-030-260)</i>. (4) For SIS facilities not on the State Highway System, a selected Design Speed less than the SIS Minimum Design Speed may be approved by the District Design Engineer following a review by the District Planning (Intermodal Systems Development) Manager. 		

Table 210.2.1 – Minimum Travel and Auxiliary Lane Widths

Context Classification		Travel (feet)			Auxiliary (feet)			Two-Way Left Turn (feet)	
		Design Speed (mph)			Design Speed (mph)			Design Speed (mph)	
		25-35	40-45	≥ 50	25-35	40-45	≥ 50	25-35	40
C1	Natural	11	11	12	11	11	12	N/A	
C2	Rural	11	11	12	11	11	12		
C2T	Rural Town	11	11	12	11	11	12	12	12
C3	Suburban	10	11	12	10	11	12	11	12
C4	Urban General	10	11	12	10	11	12	11	12
C5	Urban Center	10	11	12	10	11	12	11	12
C6	Urban Core	10	11	12	10	11	12	11	12

Travel Lanes:

- (1) Minimum 11-foot travel lanes on designated freight corridors, SIS facilities, or when truck volume exceeds 10% with design speed 25-35 mph (regardless of context).
- (2) Minimum 12-foot travel lanes on all undivided 2-lane, 2-way roadways (for all context classifications and design speeds). However, 11-foot lanes may be used on 2-lane, 2-way curbed roadways that have adjacent buffered bicycle lanes.
- (3) 10-foot travel lanes are typically provided on very low speed roadways, but should consider wider lanes when transit is present or truck volume exceeds 10%.
- (4) Travel lanes should not exceed 14 feet in width.

Auxiliary Lanes:

- (1) Auxiliary lanes are typically the same width as the adjacent travel lane.
- (2) Table values for right turn lanes may be reduced by 1 foot when a bicycle keyhole is present.
- (3) Median turn lanes should not exceed 15 feet in width.
- (4) For RRR Projects, 9-foot right turn lanes on very low speed roadways are allowed.

Two-way Left Turn Lanes:

- (1) Two-way left turn lanes are typically one foot wider than the adjacent travel lanes.
- (2) For RRR Projects, the values in the table may be reduced by 1-foot.

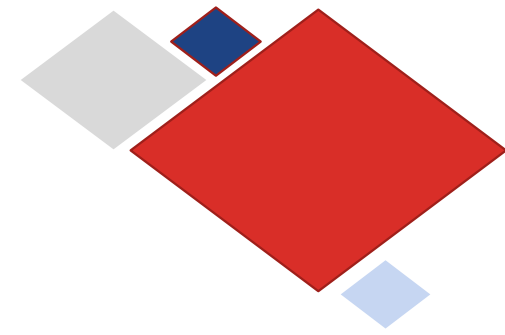


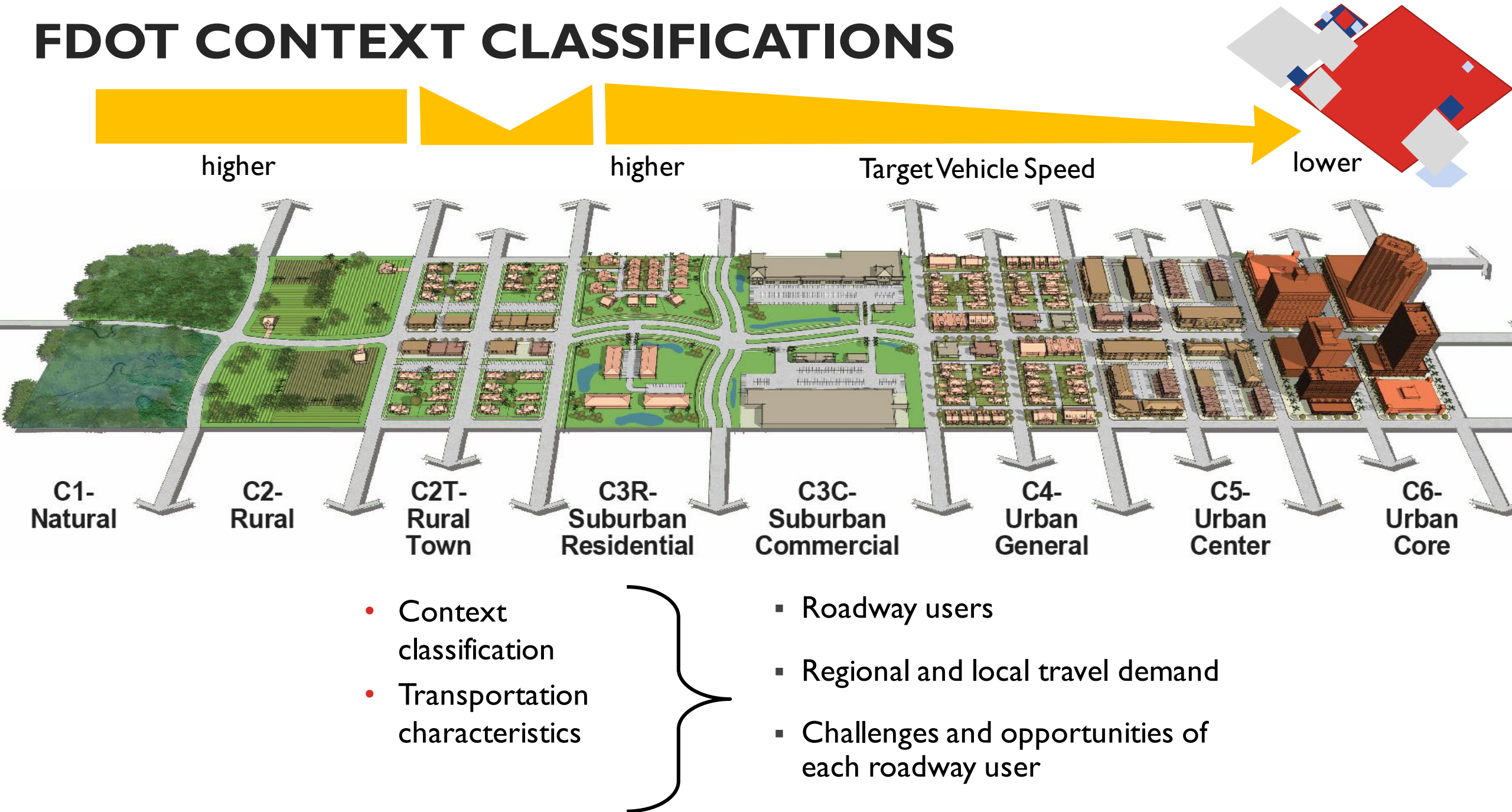
Table 222.1.1 Standard Sidewalk Widths

Context Classification		Sidewalk Width (feet)
C1	Natural	5
C2	Rural	5
C2T	Rural Town	6
C3	Suburban	6
C4	Urban General	6
C5	Urban Center	10
C6	Urban Core	12

Notes:

- (1) For C2T, C3 and C4, sidewalk width may be increased up to 8 feet when the demand is demonstrated.
- (2) For C5 and C6, when standard sidewalk width cannot be attained, provide the greatest attainable width possible, but not less than 6 feet.
- (3) For RRR projects, unaltered sidewalk with width 4 feet or greater may be retained within any context classification.
- (4) See **FDM 260.2.2** for sidewalk width requirements on bridges.

FDOT CONTEXT CLASSIFICATIONS



CONTEXT CLASSIFICATION & DESIGN SPEED



FDOT Context Classifications & Design Speed Range



C1-Natural

55-70 mph

Lands preserved in a natural or wilderness condition, including lands unsuitable for settlement due to natural conditions.

C2-Rural

55-70 mph

Sparsely settled lands; may include agricultural land, grassland, woodland, and wetlands.

C2T-Rural Town

25-45 mph

Small concentrations of developed areas immediately surrounded by rural and natural areas; includes many historic towns.

C3R-Suburban Residential

35-55 mph

Mostly residential uses within large blocks and a disconnected or sparse roadway network.

C3C-Suburban Commercial

35-55 mph

Mostly non-residential uses with large building footprints and large parking lots within large blocks and a disconnected or sparse roadway network.

C4-Urban General

30-45 mph

Mix of uses set within small blocks with a well-connected roadway network. May extend long distances. The roadway network usually connects to residential neighborhoods immediately along the corridor or behind the uses fronting the roadway.

C5-Urban Center

25-35 mph

Mix of uses set within small blocks with a well-connected roadway network. Typically concentrated around a few blocks and identified as part of a civic or economic center of a community, town, or city.

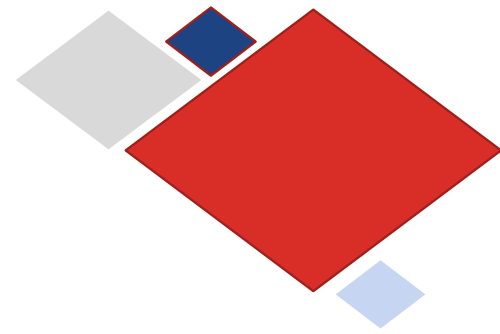
C6-Urban Core

25-30 mph

Areas with the highest densities and building heights, and within FDOT classified Large Urbanized Areas (population >1,000,000). Many are regional centers and destinations. Buildings have mixed uses, are built up to the roadway, and are within a well-connected roadway network.

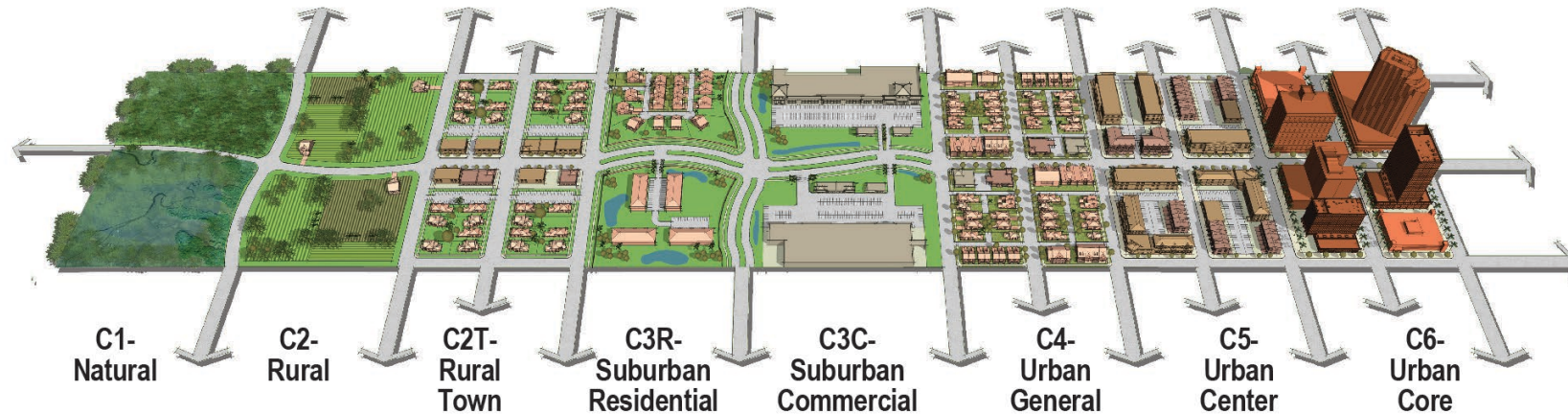
OTHER USERS OF CONTEXT CLASSIFICATION

- How might context classification affect your job?
 - Landscaping
 - Operations
 - Design
 - Planning
 - Permitting
 - PD&E

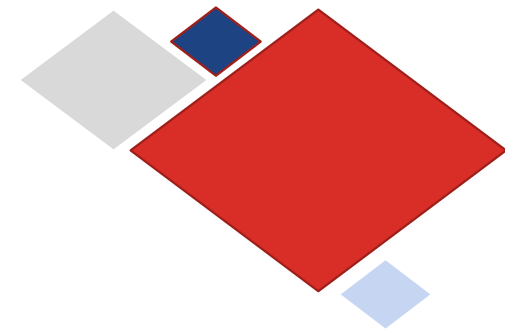


WHAT DOES CONTEXT CLASSIFICATION NOT TELL YOU?

- Access Management Class
- Equity/Safety Issues
- Existing Safety Problems
- Local Plans and Visions
- Whether a lane repurposing is needed

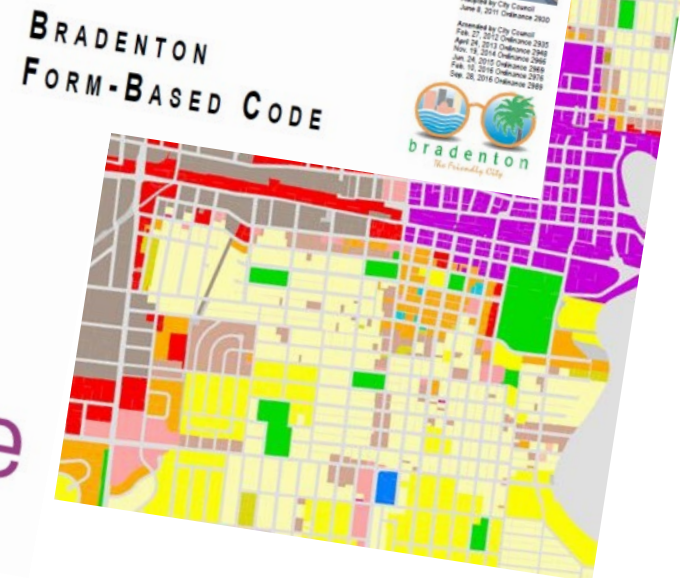
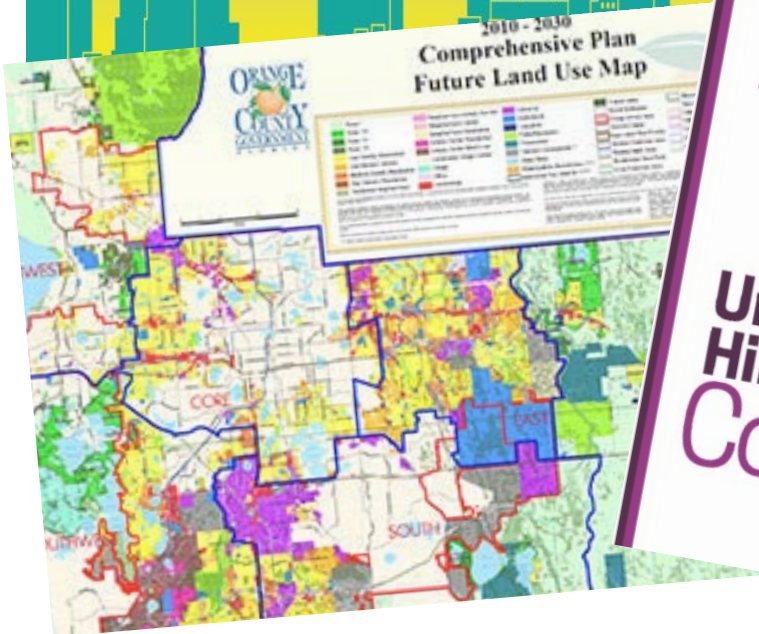


WHO DETERMINES CONTEXT CLASSIFICATION?



- District Staff
 - District can assign staff to oversee context classification evaluation
 - On projects where FDOT currently coordinates with local governments, FDOT should continue to coordinate with local governments to calibrate context classification on each project
 - Local form-based codes and zoning can be used to inform FDOT's context classification determination
- Final determination is made by FDOT

WHERE DOES FDOT LOOK TO UNDERSTAND COMMUNITY VISION?

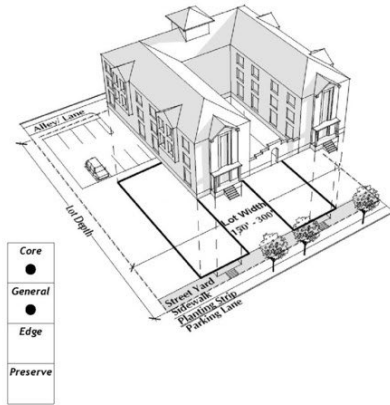


ZONING


- Single-use zoning codes can lead to a separation of land uses, creating long travel distances between uses
- Form-based codes that regulate physical form, rather than separating land uses, can support multimodal travel
- Introducing more mixed use, higher intensity and density activity centers can reduce the demand for vehicular trips overall

Section 6.11.5.d Article 6. District Development Review Standards

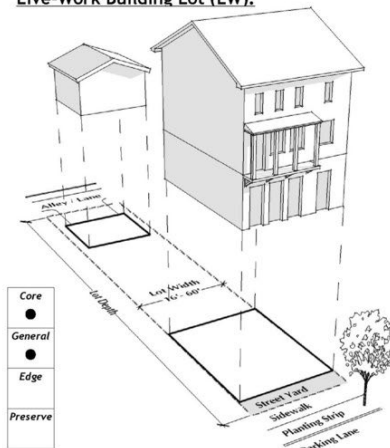
Courtyard Building Lot (CO):



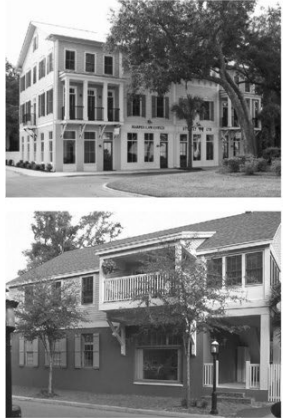
Character Examples:



Live-Work Building Lot (LW):



Character Examples:



Sarasota County, Florida Exhibit A – As Adopted on 8/28/07 Page A-13

Example of codes that regulate form instead of uses.

Source: Sarasota County, Florida

SITE DESIGN & BUILDING PLACEMENT

- Large building setbacks increase walking distances and create isolating, unwelcoming environments.
- Consider building scale, placement, and building design that supports pedestrian activity.
- Form-based codes can be used to address site design and building placement requirements.



ACCESS MANAGEMENT

- Helps accommodate improved traffic flow along roadways
- Reduces curb-cuts, improving walking and bicycling conditions
- Connected street network allows for internal site circulation by multiple modes
- Policies can allow/require cross access easement and shared driveways



PARKING STANDARDS

- Large surface parking lots create longer distances between destinations
- Establishing parking maximums combined with allowing for shared parking across properties
- Support a “park-once environment” to encourage multimodal travel



Questions



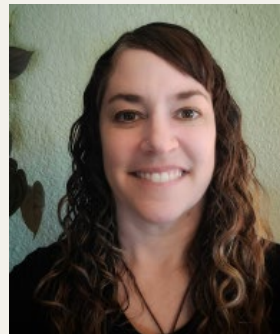
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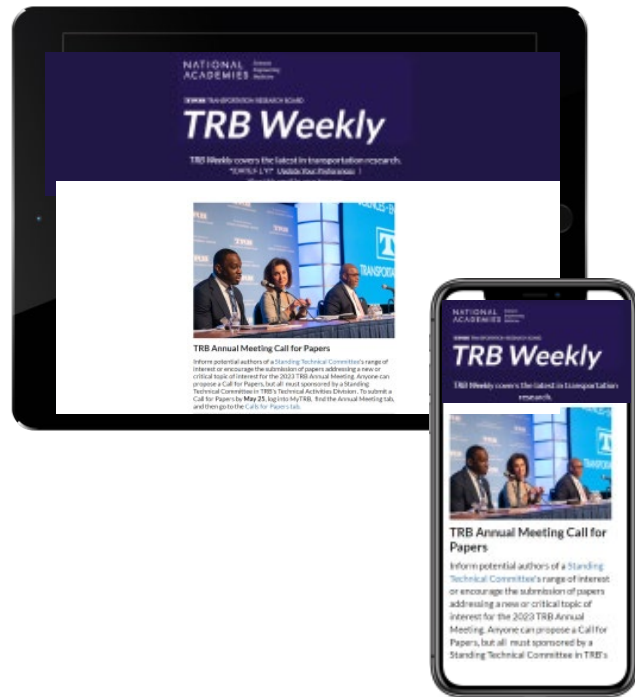


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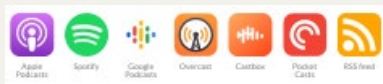
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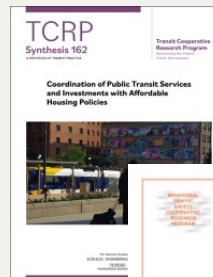
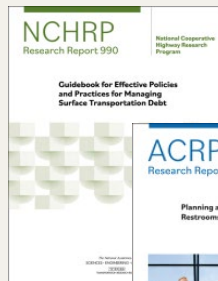
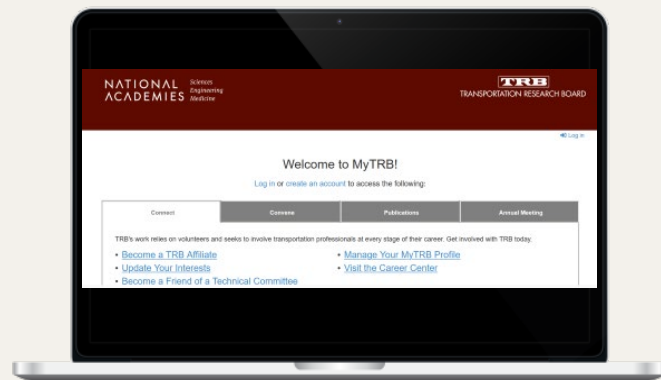
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